

# **COMPILATION OF EU MEMBER STATE CITES BIENNIAL REPORTS 2009–2010**

**February 2012**

**Report prepared for the European Commission**

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**TRAFFIC**  
the wildlife trade monitoring network

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## INTRODUCTION

The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) is implemented in the European Union (EU) through two main regulations: *Council Regulation (EC) No. 338/97* and *Commission Regulation (EC) No. 865/2006* (hereafter referred to jointly as the European Community (EC) Wildlife Trade Regulations).

According to Article 15 (c) of *Council Regulation (EC) No. 338/97* of 9 December 1996 *on the protection of species of wild fauna and flora by regulating trade therein*, EU Member States should report biennially to the European Commission “all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention”.

The biennial report format for EU Member States consists of two parts: Part 1 is composed exclusively of questions included in the CITES biennial report format, and Part 2 includes supplementary questions which are related to information on the provisions of the EC Wildlife Trade Regulations, that fall outside the scope of CITES.

This compilation presents the individual biennial reports 2009–2010 from the 27 EU Member States, in alphabetical order, in the format in which they were received.

The electronic version of annexes to the Biennial Reports 2009–2010 submitted by Member States are compiled on a CD-ROM and are available on the CIRCA website.

# AUSTRIA

### A. General information

Party	AUSTRIA
Period covered in this report: 1 January 2009 to 31 December 2010	2009-2010
Details of agency preparing this report	Federal Ministry of Agriculture, Forestry, Environment and Water Management Stubenbastei 5 A-1010 Wien Austria
Contributing agencies, organizations or individuals	Federal Ministry of Finance (Customs Authority) 9 Federal States "Länder" as Scientific Authorities

### B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? <span style="float: right;">Yes (fully) <input checked="" type="checkbox"/></span></p> <p style="padding-left: 150px;">Yes (partly) <input type="checkbox"/></p> <p>If yes, ignore questions 2, 3 and 4. <span style="float: right;">No <input type="checkbox"/></span></p> <p style="padding-left: 150px;">No information/unknown <input type="checkbox"/></p>
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <p>Title and date: <span style="float: right;">Status:</span></p> <p>Brief description of contents:</p> <p><b>The Federal Republic of Austria has ratified the Convention on International Trade in Endangered Species of Wild Fauna and Flora on 1<sup>st</sup> July 1981, which is enforced by the Federal Law Gazette No. 189/1982 of 27<sup>th</sup> April 1982.</b></p> <p><b>The Austrian Species Trade Act of 16<sup>th</sup> March 2010, enforced by Federal Austrian Law Gazette I No. 16/2010, includes Control Measures, Penal Provisions etc.;</b></p> <p><b>the Species Marking Ordinance of 19<sup>th</sup> April 2006, enforced by Federal Austrian Law Gazette II No. 164/2006, lays down the rules about marking methods of live Annex A animals;</b></p> <p><b>the Ordinance about Negligibility, enforced by Federal Austrian Law Gazette II No. 113/2010, lays down criterias about the negligibility of the trade with CITES-specimens.</b></p> <p><b>The Species Marking Ordinance is in the process of being revised.</b></p> <p><b>Furthermore, an Austrian national law about Animal Protection was established and has come into force on 1<sup>st</sup> January 2005.</b></p> <p><b>In order to support the work of Austrian customs and the nine Scientific authorities of the Austrian Federal states, a list of appointed expert bodies and individuals is continuously updated and made available to these authorities. The task of these independent experts is the identification of animals and plants.</b></p> <p><b>The Ordinance on Fees was amended (Federal Law Gazette II No. 371/2006; (entry into force: 5<sup>th</sup> October 2006).</b></p>
3	<p>Is enacted legislation available in one of the working languages of the Convention? <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p style="padding-left: 150px;">No <input type="checkbox"/></p>

		No information	<input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously	<input checked="" type="checkbox"/>				
	<b>ATTACHMENT B4a to B4c</b>	not available, will send later	<input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable					
		The conditions for:	The complete prohibition of:				
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable					
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes	No	No information	<input checked="" type="checkbox"/>		
	Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable					

Subject	Yes	No	No information
Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Please provide details if available:			
9	Please provide details of any additional measures taken:		

### C. Compliance and enforcement measures

	Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?		
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Review of reports and other information provided by traders and producers:		
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets		
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls <b>restricted to international airports</b>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)		
2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?		
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. Illegal Imports and national trade – outcome of legal procedures patchy.		
4	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?		
	Number of live specimens: 2009: 155 pcs.; 2010: 81 pcs.		
	Number of dead specimens: 2009: 3887 pcs.; 3,38 kg caviar 2010: 5006 pcs.; 6,49 kg caviar		
	<b>ATTACHMENT C4/5</b>		
5	If information available:		Number
	<input checked="" type="checkbox"/>	Significant seizures/confiscations	<input checked="" type="checkbox"/>
	<input checked="" type="checkbox"/>	Total seizures/confiscations	<input checked="" type="checkbox"/>
	If possible, please specify per group of species or attach details on annex.		
	<b>ATTACHMENT C4/5</b>		



6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: <b>The CITES-Secretariat and the European Commission are informed quarterly.</b>			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
13	If Yes, please give a brief description:			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>

	Comments:
17	Please provide details of any additional measures taken:

#### D. Administrative measures

##### **D1 Management Authority (MA)**

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated? <b>In Austria there is only one Management Authority (Federal Ministry of Agriculture, Forestry, Environment and Water) which is empowered to issue import, export and re-export-permits as well as EC-certificates.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? <b>8 persons</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>6 persons are working fulltime, 1 person part-time, 1 person is working 50 % on CITES matters</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. <b>Financial support for the Orchidaceae-project together with the University of Vienna (Botanical Garden).</b>	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	<p><b>Austria has designated 9 different and independent Scientific Authorities in the federal states (Länder). The Designation of scientific authorities is regulated within § 12 (3) of the Austrian national law No 33/1998 of 30th January 1998. The national Scientific Authorities in Austria are located in the nine local governments. These areas are regulated through their own nature- and animal-conservation laws. Additionally, since 1 January 2005 there is a revised Austrian national law about animal protection, including transport and housing for live animals. The scientific experts in the nine different Scientific Authorities are all members of the government of the province, therefore all the members of the Scientific Authorities are fully recognized legal bodies. The nine Scientific Authorities are responsible for the issuance of scientific opinions or expertises and the controlling of animal housing conditions and the legality of the offsprings. All nine Scientific Authorities are located in nine different national countries, therefore they are at independent geographic locations too.</b></p>	
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input checked="" type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?	

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p><b>In 8 Scientific Authorities the staff is only working 50 % on CITES related matters, in one SA one person is 100 % involved.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>					
7	<p>What are the skills/expertise of staff within the SA(s)?</p> <ul style="list-style-type: none"> <li>- Botany</li> <li>- Ecology</li> <li>- Fisheries</li> <li>- Forestry</li> <li>- Welfare</li> <li>- Zoology</li> <li>- Other (specify) <b>Law/policy</b></li> <li>- No information</li> </ul>	<p>Tick if applicable</p>	<p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>				
8	<p>Have any research activities been undertaken by the SA(s) in relation to CITES species?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>					
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p>						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
1							
2							
3							
etc.							
							<p>No information <input type="checkbox"/></p>
10	<p>Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>					
11	<p>Please provide details of any additional measures taken:</p> <p><b>Research on the Orchidaceae-project of the University of Vienna (Botanical Garden).</b></p>						

**D3 Enforcement Authorities**

1	<p>To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?</p> <p><b>Federal Ministry of Finance (also Customs Authority)</b></p> <p><b>According to paragraph 12 (5) of the Austrian Species Trade Act, the agendas of the Convention and the EC-Regulations on the Austrian borders, the Austrian's Customs Authority, will be executed by the Federal Ministry of Finance.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
2	<p>If No, please designate them here (with address, phone, fax and email).</p>	
3	<p>Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?</p> <p><b>The Customs Authority, with some police involvement.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Under consideration <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
4	<p>If Yes, please state which is the lead agency for enforcement:</p> <p><b>Federal Ministry of Finance Department IV/8 Hintere Zollamtsstraße 2b A-1030 Vienna Austria</b></p> <p><b>The customs offices which are authorised under § 12 (5) of the Austrian national law No 33/1998 of 30<sup>th</sup> January 1998 perform border controls (after EU enlargement major international airports represent our borders). At present, this list contains 25 authorised customs offices in Austria. Not all customs offices are permitted to handle all goods. For example, certain offices are only authorised to handle goods sent by post. The handling of live animals subject to certain provisions under veterinary legislation is restricted to a few selected customs offices.</b></p>	
5	<p>Please provide details of any additional measures taken:</p>	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?						Tick if applicable
	– Monitoring and reporting of data on legal trade						<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade						<input checked="" type="checkbox"/>
	– Permit issuance						<input checked="" type="checkbox"/>
	– Not at all						<input type="checkbox"/>
	– Other (specify)						<input type="checkbox"/>
2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						Yes <input checked="" type="checkbox"/>
							No <input type="checkbox"/>
							No information <input type="checkbox"/>

4	If Yes, does it provide information on:	Tick if applicable																				
	– Legislation (national, regional or international)?	<input checked="" type="checkbox"/>																				
	– Conservation status (national, regional, international)?	<input checked="" type="checkbox"/>																				
	– Other (please specify)? <b>Animal Protection</b>	<input checked="" type="checkbox"/>																				
5	Is it available through the Internet:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>																				
	Please provide URL:																					
	<u><a href="http://www.cites.at">www.cites.at</a></u> <b>This homepage provides general and specific information on CITES including the Austrian Regulations (Species Trade Act, the Species Marking Ordinance and also the Austrian National law about animal protection), and all relevant EC-Regulations and the Convention text together with information which is needed and helpful for the application of permits and certificates (like forms, fees, tourism, animals protection [transport and housing]) and some additional links. In addition, it provides for an online electronic permitting system. Furthermore the both german web-sites <a href="http://www.wisia.de">www.wisia.de</a> and <a href="http://www.zeet.de">www.zeet.de</a> are used.</b>																					
	<u><a href="http://www.wisia.de">www.wisia.de</a></u> <b>This internet data bank provides information on CITES protected animals and plants not only for professional conservationists but also for the general public. You can also find information on the protection status, including the relevant date since when a respective species has become a CITES protected specimen.</b>																					
	<u><a href="http://www.zeet.de">www.zeet.de</a></u> <b>Information on all decisions taken by the European Union on import restrictions and other stricter measures regarding international trade in CITES species.</b>																					
6	Do the following authorities have access to the following publications?	Tick if applicable																				
	<table border="1"> <thead> <tr> <th>Publication</th> <th>Management Authority</th> <th>Scientific Authority</th> <th>Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Publication	Management Authority	Scientific Authority	Enforcement Authority																			
<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			

7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:		Tick if applicable
	– Mortality in transport?		<input checked="" type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments: <b>ATTACHMENT D4/8</b>		
9	Is there a government website with information on CITES and its requirements?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If Yes, please give the URL: <b>www.cites.at</b>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?		Tick if applicable
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input type="checkbox"/>
	– Presentations		<input type="checkbox"/>
	– Displays		<input type="checkbox"/>
	– Information at border crossing points		<input type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken:		

#### D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If no, please provide details of any:		
	Changes in permit format:		
	Changes in designation or signatures of relevant officials:		
	<b>Within the reporting period Austria is having a temporarily change of the head of the Department. The change was notified immediately.</b>		
2	To date, has your country developed written permit procedures for any of the following?		Tick if applicable
		Yes      No      No information	



	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).						
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	
	How many documents were issued?	1877	143	3117	3954	<b>ATTACHMENT D5/3</b>	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0		
	Year 2					<b>ATTACHMENT D5/3</b>	
	How many documents were issued?	2153	166	3707	3436		
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No <input type="checkbox"/>
					information		
5	If Yes, please give the reasons for this.						
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable		
	Reason		Yes	No	No information		
	Technical violations		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
	Suspected fraud		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
	Other (specify)		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No <input type="checkbox"/>
					information		
	Comments						
	<b>Austria has had no exports of wild taken specimens. But there is a special responsibility in checking export documents of non-EC-Parties if these refer to export quotas.</b>						

8	<p>How many times has the Scientific Authority been requested to provide opinions?</p> <p><b>Exports only took place regarding specimen which were either bred in captivity or artificially propagated or products thereof. In such cases the Scientific Authorities are requested to provide advice whether the conditions for artificial propagation or breeding in captivity have been met. Referring to imports of CITES Appendix II species import permits are necessary in accordance with the EU regulations. To optimise and harmonize the application procedure the CITES Scientific Review Group (SRG) of the EU discusses regularly special import cases and concludes on basic scientific statements which either ends in a positive opinion to allow imports or comes to a negative opinion which leads to the restriction of respective imports. Our Scientific Authorities will be requested for an opinion in every case.</b></p>
9	<p>Has the Management Authority charged fees for permit issuance, registration or related CITES activities? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Issuance of CITES documents: <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Licensing or registration of operations that produce CITES species: <span style="float: right;"><input type="checkbox"/></span></li> <li>– Harvesting of CITES-listed species : <span style="float: right;"><input type="checkbox"/></span></li> <li>– Use of CITES-listed species: <span style="float: right;"><input type="checkbox"/></span></li> <li>– Assignment of quotas for CITES-listed species: <span style="float: right;"><input type="checkbox"/></span></li> <li>– Importing of CITES-listed species: <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Other (specify): <span style="float: right;"><input type="checkbox"/></span></li> </ul>
10	<p>If Yes, please provide the amounts of such fees.</p> <p><b>ATTACHMENT D5/9</b></p>
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Entirely: <span style="float: right;"><input type="checkbox"/></span></li> <li>– Partly: <span style="float: right;"><input type="checkbox"/></span></li> <li>– Not at all: <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Not relevant: <span style="float: right;"><input type="checkbox"/></span></li> </ul> <p>Comments:</p>
12	<p>Please provide details of any additional measures taken:</p>

**D6 Capacity building**

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span></p>																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">Increased budget for activities</td> <td style="width: 5%; text-align: center; padding: 5px;"><input type="checkbox"/></td> <td style="width: 40%; padding: 5px;">Improvement of national networks</td> <td style="width: 5%; text-align: center; padding: 5px;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding: 5px;">Hiring of more staff</td> <td style="text-align: center; padding: 5px;"><input type="checkbox"/></td> <td style="padding: 5px;">Purchase of technical equipment for monitoring/enforcement</td> <td style="text-align: center; padding: 5px;"><input type="checkbox"/></td> </tr> <tr> <td style="padding: 5px;">Development of implementation tools</td> <td style="text-align: center; padding: 5px;"><input type="checkbox"/></td> <td style="padding: 5px;">Computerisation</td> <td style="text-align: center; padding: 5px;"><input type="checkbox"/></td> </tr> <tr> <td colspan="2" style="padding: 5px;">– Other (specify)</td> <td></td> <td style="text-align: center; padding: 5px;"><input type="checkbox"/></td> </tr> </table>	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>	– Other (specify)			<input type="checkbox"/>
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Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>														
– Other (specify)			<input type="checkbox"/>														

2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1" data-bbox="244 257 1129 1160"> <thead> <tr> <th data-bbox="244 257 730 577">Target group</th> <th data-bbox="730 257 826 577">Oral or written advice/guidance</th> <th data-bbox="826 257 914 577">Technical assistance</th> <th data-bbox="914 257 1002 577">Financial assistance</th> <th data-bbox="1002 257 1074 577">Training</th> <th data-bbox="1074 257 1129 577">Other (specify)</th> </tr> </thead> <tbody> <tr> <td data-bbox="244 577 730 633">Staff of Management Authority</td> <td data-bbox="730 577 826 633"><input type="checkbox"/></td> <td data-bbox="826 577 914 633"><input type="checkbox"/></td> <td data-bbox="914 577 1002 633"><input type="checkbox"/></td> <td data-bbox="1002 577 1074 633"><input type="checkbox"/></td> <td data-bbox="1074 577 1129 633"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 633 730 689">Staff of Scientific Authority</td> <td data-bbox="730 633 826 689"><input type="checkbox"/></td> <td data-bbox="826 633 914 689"><input type="checkbox"/></td> <td data-bbox="914 633 1002 689"><input type="checkbox"/></td> <td data-bbox="1002 633 1074 689"><input type="checkbox"/></td> <td data-bbox="1074 633 1129 689"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 689 730 745">Staff of enforcement authorities</td> <td data-bbox="730 689 826 745"><input type="checkbox"/></td> <td data-bbox="826 689 914 745"><input type="checkbox"/></td> <td data-bbox="914 689 1002 745"><input type="checkbox"/></td> <td data-bbox="1002 689 1074 745"><input type="checkbox"/></td> <td data-bbox="1074 689 1129 745"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 745 730 801">Traders</td> <td data-bbox="730 745 826 801"><input type="checkbox"/></td> <td data-bbox="826 745 914 801"><input type="checkbox"/></td> <td data-bbox="914 745 1002 801"><input type="checkbox"/></td> <td data-bbox="1002 745 1074 801"><input type="checkbox"/></td> <td data-bbox="1074 745 1129 801"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 801 730 857">NGOs</td> <td data-bbox="730 801 826 857"><input type="checkbox"/></td> <td data-bbox="826 801 914 857"><input type="checkbox"/></td> <td data-bbox="914 801 1002 857"><input type="checkbox"/></td> <td data-bbox="1002 801 1074 857"><input type="checkbox"/></td> <td data-bbox="1074 801 1129 857"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 857 730 913">Public</td> <td data-bbox="730 857 826 913"><input type="checkbox"/></td> <td data-bbox="826 857 914 913"><input type="checkbox"/></td> <td data-bbox="914 857 1002 913"><input type="checkbox"/></td> <td data-bbox="1002 857 1074 913"><input type="checkbox"/></td> <td data-bbox="1074 857 1129 913"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 913 730 1160">Other (specify)</td> <td data-bbox="730 913 826 1160"><input type="checkbox"/></td> <td data-bbox="826 913 914 1160"><input type="checkbox"/></td> <td data-bbox="914 913 1002 1160"><input type="checkbox"/></td> <td data-bbox="1002 913 1074 1160"><input type="checkbox"/></td> <td data-bbox="1074 913 1129 1160"><input type="checkbox"/></td> </tr> </tbody> </table> <p data-bbox="1129 257 1441 1160">What were the external sources?</p>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
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4	Please provide details of any additional measures taken																																																						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>																									
		No <input type="checkbox"/>																									
		No information <input type="checkbox"/>																									
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p><b>An informal task force group with members of the Animals- and Plants-Committee, the Enforcement Authority and veterinary- and phytosanitary-agency meets annually.</b></p>																										
3	<p>If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <table border="1" data-bbox="248 779 1444 1061"> <thead> <tr> <th></th> <th>Daily</th> <th>Weekly</th> <th>Monthly</th> <th>Annually</th> <th>None</th> <th>No information</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><b>as required</b></td> </tr> </tbody> </table>		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>as required</b>		
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4	<p>At the national level have there been any efforts to collaborate with:</p> <table border="1" data-bbox="248 1146 1444 1505"> <thead> <tr> <th></th> <th>Tick if applicable</th> <th>Details if available</th> </tr> </thead> <tbody> <tr> <td>Agencies for development and trade</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Provincial, state or territorial authorities</td> <td><input checked="" type="checkbox"/></td> <td></td> </tr> <tr> <td>Local authorities or communities</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Indigenous peoples</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Trade or other private sector associations</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td><input checked="" type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Tick if applicable	Details if available	Agencies for development and trade	<input type="checkbox"/>		Provincial, state or territorial authorities	<input checked="" type="checkbox"/>		Local authorities or communities	<input type="checkbox"/>		Indigenous peoples	<input type="checkbox"/>		Trade or other private sector associations	<input type="checkbox"/>		NGOs	<input checked="" type="checkbox"/>		Other (specify)	<input type="checkbox"/>			
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Other (specify)	<input type="checkbox"/>																										
5	<p>To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?</p> <table border="1" data-bbox="248 1666 1444 2065"> <thead> <tr> <th></th> <th>Tick if applicable</th> </tr> </thead> <tbody> <tr> <td>SA</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Customs</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Police</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other border authorities (specify)</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other government agencies</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Private sector bodies</td> <td><input type="checkbox"/></td> </tr> <tr> <td>NGOs</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> </tr> </tbody> </table>		Tick if applicable	SA	<input type="checkbox"/>	Customs	<input type="checkbox"/>	Police	<input type="checkbox"/>	Other border authorities (specify)	<input type="checkbox"/>	Other government agencies	<input type="checkbox"/>	Private sector bodies	<input type="checkbox"/>	NGOs	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>								
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Other (specify)	<input type="checkbox"/>																										

	<b>No Memorandum of Understanding has been made with any other national agency.</b>		
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input type="checkbox"/>
	Meetings		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
12	If Yes, please give a brief description. <b>Species Identification for Bulbophyllum-species.</b>		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
14	If Yes, please give a brief description. <b>Our expert for the CITES-Convention on the plants-section is also the expert for the Convention on Biological Diversity (CBD).</b>		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description. <b>Marking of live reptiles (photo-documentation, micro-chips or DNA-analysis)</b>			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## EU – Regulation (EC) No. 338/97 Biennial Report Format PART 2 „Supplementary Questions“

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p> <p><b>Specific sanctions for the illegal import, export or re-export of species included in the EC-Regulation Annexes A, B, C and D are covered by different paragraphs in the Austrian Species Trade Act of 16<sup>th</sup> March 2010 (it creates sanctions for the offences listed in Article 16 of the Council Regulation). Penalties range up to 40.000 €; alternatively, offenders can be sentenced to up to 2 years imprisonment.</b></p> <p><b>There is the possibility of administrative and penal sanctions (only for Annex A) according to the weight of the offence. Paragraph 7 of the Austrian Species Trade Act authorizes the confiscation of specimens in order to secure (the) forfeiture. Paragraph 11 provides the possibility to impose punishment or replacement of the value if there is no possibility to execute (the) forfeiture or if there is a need to consider the ownership of any other person.</b></p>
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### C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p>ii) The outcomes of any prosecutions;</p> <p><b>No informations.</b></p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input checked="" type="checkbox"/></p> <p>Comments:</p>
18 ne w	<p>Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i>, Article 66)</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Comments:</p> <p><b>Further to Article 66 of Reg. (EC) No 865/2006 which regulates the marking of specimens of captive born and bred animals as well as other than captive bred live vertebrates area, all marking methods in Austria, like the possibility of closed rings, bands, tags, tattoos, marks, microchip-transponders and DNA-fingerprinting with feathers, blood and excrements regulated through the Species Marking Ordinance of 19th April 2006. Austria also accepts photo-documentation for reptiles as a method of identifying live specimens, reptiles in particular.</b></p>
19 ne	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p>

w	destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	No information	<input type="checkbox"/>
	Comments: <b>These activities are executed by our Scientific Authorities before issuing an expertise for the import.</b>		
20 ne w	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>The Management Authority created an national action plan which also involves the enforcement and scientific authorities.</b>		
21 ne w	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
22 ne w	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:  <b>If live animals or plants are seized, they have to be brought to a rescue centre or an appropriate institution. With regard to seized specimens, an agreement with the competent Scientific Authority requires a search for a suitable accommodation. In Austria 28 institutions or rescue centres are now at disposal for such specimens. According to Article 16 (3) and (4) of the Council Regulation (EC) No 338/97, all confiscated and seized parts, goods and derivatives are at the disposal of the Management Authority. These goods will be passed on to museums, schools, scientific institutions as well as for trainings courses for the officers of the customs authority. Some confiscated specimens will be used for information events about the theme of protected species regulated through the Convention.</b>			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	<input checked="" type="checkbox"/>
	<b>All meetings of the SRG have been attended by Dr. Andreas Ranner.</b> Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country? Yes	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	– Annex D listed species <input checked="" type="checkbox"/> – Other matters not reported on in question D4 (1) (please specify) <input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : <input type="checkbox"/>
	<b>The Austrian Annual Report is based on documents which were endorsed by the customs. Austrian customs returns every endorsed document to the MA. The consistency with which Austrian imports are customs cleared in other EU countries, and documents returned to the Austrian MA, is unknown. The MA of Austria regularly sends documents which are endorsed by Austrian customs to other Management Authorities in the European Union.</b>	<b>unknown</b> <input type="checkbox"/> No information <input type="checkbox"/>

14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>ATTACHMENT D1/14</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>ATTACHMENT D1/16</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Austria has one caviar producing plant for exporting, processing and repackaging, which has the Code AT 0001.</b>  <a href="http://www.cites.org/common/resources/reg_caviar.pdf">http://www.cites.org/common/resources/reg_caviar.pdf</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.  <b>Austria has also notified the issuance of Phytosanitary Certificates, also called Plants Health Certificates for Annex II/B plants. This is executed by the Chamber of Agriculture in Vorarlberg (enclosed there are Phytosanitary certificates). They will be used for the export of artificially propagated plants to Switzerland (ATTACHMENT D1/19).</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

## **D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring	Yes	<input type="checkbox"/>

	attention or assistance?	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# BELGIUM



## A. Informations générales

Partie	BELGIQUE
Période couverte par ce rapport:	1er janvier 2009 au 31 décembre 2010
Service préparant le rapport	SPF SANTE PUBLIQUE, SECURITE DE LA CHAINE ALIMENTAIRE ET ENVIRONNEMENT DG Animaux, Végétaux et Alimentation Service Bien-être animal et CITES EUROSTATION Bloc II - 7ème étage Place Victor Horta 40 bte 10 1060 Bruxelles tél. 0032 2 524.74.00
Services, organisations ou personnes y ayant contribué	<a href="mailto:Georges.Evrard@sante.belgique.be">Georges.Evrard@sante.belgique.be</a> <a href="mailto:Isabelle.gregoire@sante.belgique.be">Isabelle.gregoire@sante.belgique.be</a>

## B. Mesures législatives et réglementaires

1	Des informations sur la législation CITES ont-elles déjà été fournies dans le cadre du projet sur les législations nationales? Si oui, passer à la question 5.	Oui (complètement) <input checked="" type="checkbox"/> Oui (partiellement) <input type="checkbox"/> Non <input type="checkbox"/> Pas d'information <input type="checkbox"/>	
2	Si une législation CITES a été planifiée, préparée ou adoptée, veuillez indiquer: le titre et la date de la législation: _____ où elle en est: _____ Brève description du contenu: _____		
3	Existe-t-il une législation adoptée, disponible dans une des langues de travail de la Convention?	Oui <input type="checkbox"/> Non <input type="checkbox"/> Pas d'information <input type="checkbox"/>	
4	Si oui, veuillez joindre une copie du texte législatif complet ou des principales dispositions législatives publiées au journal officiel.	législation jointe <input type="checkbox"/> fournie antérieurement <input type="checkbox"/> non disponible, sera envoyée ultérieurement <input type="checkbox"/>	
5	Quelles sont parmi les questions suivantes celles qui sont traitées par des mesures internes plus strictes adoptées pour des espèces CITES (conformément à l'Article XIV de la Convention)? Cochez les cases applicables		
	Sous conditions:	Interdiction complète:	
	Question	Oui    Non    Pas d'information	Oui    Non    Pas d'information
	Commerce	x <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> x <input type="checkbox"/>
	Prélèvement	<input type="checkbox"/> x <input type="checkbox"/>	<input type="checkbox"/> x <input type="checkbox"/>
	Possession	x <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> x <input type="checkbox"/>
	Transport	x <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> X <input type="checkbox"/>
	Autre (à préciser)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<p>Depuis 1984, l'Union européenne applique les dispositions CITES au travers de règlements européens. Le règlement de base le plus récent est le Règlement CE du Conseil n° 338/97 relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce, entré en vigueur le 1 juin 1997.</p> <p>Les modalités d'application sont prévues par le Règlement CE de la Commission n° 865/06 du 4 mai 2006 modifié par le Règlement CE n° 100/08 de la Commission du 4 février 2008.</p> <p>Les dernières Annexes entrées vigueur dans l'Union européenne le 15/08/2010 sont reprises dans le Règlement UE n° 709/2010 du 22 juillet 2010 de la Commission concernant les modifications des Annexes A, B, C, D pour tenir compte des résultats de la dernière Conférence des Parties (Mars 2010).</p>			

## **Commerce :**

### Au niveau européen :

La réglementation européenne fournit des mesures plus strictes pour le commerce des espèces visées par la CITES:

- Un permis d'importation est requis pour les importations de spécimens des Annexes (CE) A et B ;
- Possibilité d'établir des restrictions à l'importation pour certaines combinaisons espèces-pays; avis négatifs à l'importation de certaines combinaisons espèces-pays établis par le Groupe d'examen scientifique de la CE.
- Les activités commerciales sont interdites en ce qui concerne les spécimens d'espèces inscrites à l'Annexe (CE) A sauf dérogations générales (ex. espèces fréquemment élevées en captivité, cf. Annexe X du Règlement n°865/06 du 4 mai 2006) ou particulières (certificats délivrés au cas par cas et sous certaines conditions).

### Au niveau belge:

- Obligation pour toute personne commercialisant des spécimens d'animaux de l'Annexe A ou B de tenir un registre des entrées et des sorties. Des dérogations spécifiques et générales sont prévues pour certaines catégories de spécimens.

## ➤ **Possession/Détention:**

### Au niveau européen :

L'article 8.2 du Règlement n°338/97 prévoit que les Etats membres peuvent interdire la détention de spécimens, notamment d'animaux vivants appartenant à des espèces de l'Annexe A.

### Au niveau belge:

La Loi du 28/07/1981 portant approbation de la CITES prévoit en son article 4 l'interdiction de détention de spécimens de l'Annexe I sauf dérogations générales ou particulières, visées à l'article 2 de l'AR du 09/04/2003 relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce.

Les dérogations particulières sont notamment accordées aux personnes physiques ou morales détenant des animaux vivants de l'Annexe I qui ont, notamment, introduit un inventaire selon les modalités prévues.

L'arrêté royal du 16/07/09 fixant la liste des animaux qui peuvent être détenus établit une liste de 42 espèces de mammifères qui peuvent être détenus par des particuliers en Belgique. Cet arrêté royal est entré en vigueur le 1<sup>er</sup> octobre 2009.

## ➤ **Transport:**

- Le Règlement CE n°338/97 rend contraignante l'application des règles de l'IATA pour le transport aérien d'animaux vivants et les directives de la CITES en matière de transport (cf. Résolution 10.21 rev COP14)
- La réglementation CE peut également restreindre les importations de spécimens d'espèces inscrites à l'Annexe B (CE) susceptibles d'une mortalité importante en cours de transport.

6	Quels sont les résultats de tout examen ou évaluation de l'efficacité de la législation CITES pour les éléments suivants? Cochez les cases applicables				
	Eléments	Adéquate	En partie inadéquate	Inadéquate	Pas d'information
	Compétences des organes CITES	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarté des obligations légales	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Maîtrise du commerce CITES	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Cohérence avec la politique de gestion et d'utilisation des espèces sauvages	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X
	Couverture par la loi de tous les types d'infraction	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Couverture par la loi de tous les types de sanction	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Application des réglementations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Cohérence interne de la législation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Autre (veuillez préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Veuillez fournir les détails disponibles:..					
7	Si aucun examen ou évaluation n'a eu lieu, y en a-t-il un planifié pour la prochaine période de rapport?			Oui	X
				Non	<input type="checkbox"/>
Le processus de révision de l'Arrêté royal du 09/04/2003 est toujours en cours (juin 2011) de même que celui de la réglementation communautaire CITES.			Pas d'information	<input type="checkbox"/>	
Les modifications qui seront apportées à l'Arrêté royal de 2003 visent entre autres :					
- à mentionner dans le texte les références aux règlements communautaires CITES existants afin que les modifications apportées à ces derniers soient prises en compte ;					
- à clarifier la définition 'd'activités commerciales' ;					
- à prévoir des dispositions plus strictes en ce qui concerne la détention de spécimens dont la descendance fait l'objet d'activités commerciales ;					
- à revoir les modalités relatives à la tenue du registre CITES et, notamment, les différentes catégories de spécimens pour lesquelles un registre CITES doit être tenu et celles pour lesquelles une exemption de tenue de registre doit être prévue.					
Veuillez donner les précisions disponibles:					
8	Y a-t-il eu un examen de la législation sur les sujets suivants pour ce qui est de l'application de la Convention? Cochez toutes les cases applicables				
	Sujet	Oui	Non	Pas d'information	
	Accès aux ressources naturelles ou propriété des ressources	<input type="checkbox"/>	x	<input type="checkbox"/>	
Prélèvement	<input type="checkbox"/>	x	<input type="checkbox"/>		

	Transport des spécimens vivants	<input type="checkbox"/>	x	<input type="checkbox"/>
	Manutention des spécimens vivants et installations les abritant	<input type="checkbox"/>	x	<input type="checkbox"/>
	Veuillez fournir les détails disponibles:			
9	Veuillez fournir des indications sur toute mesure supplémentaire prise:			
	<b>Décembre 2009.</b>			
	Publication le 9.12.2009 de :			
	- L'Arrêté royal déterminant la contribution financière de l'autorité fédérale belge au projet du Secrétariat CITES de parrainage des délégués participant à la 15 <sup>ème</sup> session de la Conférence des Parties à la CITES (Doha, Qatar du 13 au 25 mars 2010). Un montant de cinq mille euros (5.000 EUR) imputé du budget du Service public fédéral Santé publique, Sécurité de la Chaîne alimentaire et Environnement pour l'année budgétaire 2009 a été alloué au Secrétariat CITES ;			
	- L'Arrêté royal déterminant la contribution financière de l'autorité fédérale belge pour l'année 2009 à l'UICN et TRAFFIC pour l'élaboration de l'analyse des propositions d'amendement des annexes de la CITES soumises à la 15 <sup>ème</sup> session de la Conférence des Parties. . Un montant de cinq mille euros (5.000 EUR) imputé du budget du Service public fédéral Santé publique, Sécurité de la Chaîne alimentaire et Environnement pour l'année budgétaire 2009 a été alloué au Secrétariat CITES.			
	<b>Mai 2010.</b>			
	Publication le 19.05.2010 de la 'Loi –programme' modifiant la loi de 1981 afin de permettre au Roi d'octroyer des subsides pour soutenir la mise en oeuvre de la Convention et de ses Annexes et de projets internationaux développés dans le cadre de la Convention (voir version consolidée de la loi en annexe 1)			
	<b>Juin 2010.</b>			
	Publication le 02.06.2010 de l' Arrêté royal modifiant l'arrêté royal du 26 octobre 2001 portant des mesures relatives à l'importation, à l'exportation et au transit de certaines espèces d'oiseaux sauvages non indigènes (espèces ou sous-espèces d'oiseaux ne vivant pas naturellement à l'état sauvage sur le territoire communautaire) Cet arrêté rectifie celui de 2001 afin de reconnaître d'autres méthodes de marquage légalement admises dans l'Union européenne en tant que méthodes équivalentes de marquage pour des spécimens d'oiseaux vivants ou morts nés et élevés en captivité provenant d'un pays tiers ou d'un autre Etat membre.			
	voir textes à l'annexe 1			

### C. Mesures pour le respect de la Convention et la lutte contre la fraude

		Oui	Non	Pas d'information
1	Y a-t-il eu des opérations telles que celles indiquées ci-dessous?			
	Examen des rapports et d'autres informations fournies par les négociants et les producteurs	x	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections de négociants, de producteurs, de marchés	x	<input type="checkbox"/>	<input type="checkbox"/>
	Contrôles aux frontières	x	<input type="checkbox"/>	<input type="checkbox"/>
	Autres (veuillez préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Détails des contrôles voir annexe 2a			
2	Des mesures administratives (amendes, interdictions, suspensions, etc.) ont-elles été imposées pour des violations de la CITES?	X	<input type="checkbox"/>	<input type="checkbox"/>
3	Si oui, veuillez indiquer combien et pour quels types de violations et joindre les détails disponibles. Détails voir annexe 2			

4	Y a-t-il eu des saisies, confiscations ou séquestres importants de spécimens CITES?	X	<input type="checkbox"/>	
5	Si des informations sont disponibles:	X	<input type="checkbox"/>	Saisies/confiscations importantes
		X	<input type="checkbox"/>	Total des saisies/confiscations
<p><b>Veillez les indiquer si possible par groupe d'espèces ou joindre des indications.</b></p> <p>Les données concernant les saisies CITES opérées en Belgique au cours des années 2009 et 2010, présentées dans un tableau récapitulatif (voir à l'annexe 3b) ne sont malheureusement pas complètes. En effet, l'OG ne reçoit pas systématiquement copie de tous les procès verbaux relatifs aux saisies de spécimens CITES effectuées par les autorités de contrôle belges. Ce constat a déjà été évoqué lors de réunions de représentants des autorités de contrôle CITES et devra sans doute être répété.</p> <p>Plus de 95 % des saisies de spécimens CITES dont l'OG a eu connaissance pour les années 2009 et 2010 ont été opérées par le GAD (groupe Anti-Drogue) du Service de Recherches des Douanes de l'aéroport de Bruxelles National (Zaventem). Les saisies opérées aux autres points d'entrée communautaires en Belgique (aéroports de Gosselies et Bierset, port d'Anvers) sont plus « anecdotiques ». Quelques saisies ont été également effectuées par la police fédérale et locale, par des agents de l'AFSCA (Agence Fédérale pour la Sécurité de la Chaîne alimentaire), agents des régions wallonne et flamande ainsi que par des inspecteurs vétérinaires du Service Inspection rattaché à la DG Animaux et Végétaux du SPF Santé Publique.</p> <p>De l'analyse des données, il ressort que la majorité des spécimens saisis étaient contenus dans des colis postaux. Il s'agissait notamment de produits médicinaux contenant des extraits de plantes CITES destinés à des particuliers et qui avaient été commandés par internet auprès de laboratoires américains ou envoyés par des proches de Chine.</p> <p>Les détails des saisies 2009 et 2010 pour les plantes et les animaux sont repris dans les tableaux en <b>annexe 3.a.</b></p>				
6	Y a-t-il eu des poursuites pénales pour violation importante de la CITES?	x	<input type="checkbox"/>	<input type="checkbox"/>
7	Si oui, combien et pour quels types de violation? Veuillez joindre les détails en annexe.	<p>Les données disponibles à ce propos ne sont vraisemblablement pas complètes, l'OG n'étant pas systématiquement tenu au courant des procédures judiciaires relatives aux dossiers CITES qui sont en cours dans les différents Parquets. Cette lacune a été relevée par l'OG lors d'une séance d'information sur la CITES prodiguée à des magistrats (cf annexe 4 – communication et échange d'information)</p> <p>➤ Mars 2010 : Jugement : le tribunal de première instance de la Cour d'appel de Dendermonde a condamné un éleveur de rapaces (notamment de <i>Falco tinnunculus</i>) au paiement d'une amende d'un montant de 5500 EUR et, en cas de non paiement, d'une peine d'emprisonnement de 3 mois. La personne condamnée avait commis plusieurs infractions à la législation régionale flamande ainsi qu'à la législation CITES : fausses déclarations relatives à l'origine des spécimens qu'il détenait, ces derniers ayant été vraisemblablement capturés dans la nature.</p> <p>➤ Avril 2010 : Jugement : le tribunal de première instance de Gand a condamné un gérant de magasin d'animaux à 1 an et demi de prison avec une amende de 55 000 EUR pour détention et commerce illégal d'oiseaux protégés. Les infractions concernaient la législation régionale flamande ainsi que la CITES. Des oiseaux indigènes non CITES ont été saisis ainsi que des oiseaux CITES tel que des aigles des steppes (<i>Aquila nipalensis</i>), des toucans. Cette personne était impliquée dans un trafic international d'oiseaux menacés. Le contrevenant a fait appel, le dossier est toujours en cours en 2011. <b>Voir aussi en annexe 4.</b></p>		
8	Y a-t-il eu d'autres actions en justice pour violation de la CITES?	<input type="checkbox"/>	<input type="checkbox"/>	X
9	Si oui, pour quelles violations et avec quels résultats? Veuillez joindre les détails en annexe.			

10	Que deviennent habituellement les spécimens confisqués?	Cochez si applicable	
	- Retour au pays d'exportation	<input type="checkbox"/>	X
	- Zoos ou jardins botaniques publics	<input type="checkbox"/>	X
	- Centres de sauvetage désignés	<input type="checkbox"/>	X
	- Installations privées approuvées	<input type="checkbox"/>	X
	- Euthanasie	<input type="checkbox"/>	X
	- Autre (veuillez spécifier) : <b>Destruction</b>	<input type="checkbox"/>	X
<p>➤ Centres de sauvegarde:  Dans le courant de l'année 2010 des démarches ont été entreprises par l'OG pour agréer officiellement un autre centre de sauvegarde pour animaux vivants (Centre d'Opplabeek voir ci après). Celles-ci sont, à l'heure actuelle, toujours en cours (juin 2011).  Les centres de sauvegardes reconnus :  - La Société Royale de Zoologie d'Anvers constitue le seul centre officiellement reconnu par l'OG pour la sauvegarde des animaux vivants saisis.  - Le Jardin Botanique National de Meise constitue quant à lui le seul centre officiellement reconnu par l'OG pour la sauvegarde des plantes vivantes saisis.  La Société Royale de Zoologie d'Anvers n'ayant pas la capacité d'héberger l'ensemble des animaux vivants saisis, l'OG a, dans certains cas, recours à d'autres solutions (cf ci-dessous) :</p> <p>➤ Refuges désignés:  - "CARAPACE" asbl, localisé sur le site de « Pairi daiza » -Cambron –Casteau (anciennement dénommé « Parc Paradisio ») accueille des reptiles et amphibiens vivants (tortues en particulier).  - Centre de revalidation d'Opplabeek (principalement oiseaux vivants)</p> <p>➤ Zoos</p> <p>➤ Aquarium de l'Université de Liège: poissons, coraux.</p> <p>➤ Musées:  - Musée d'Histoire Naturelle de Tournai: reptiles, amphibiens vivants et spécimens morts  - Institut Royal des Sciences Naturelles de Belgique, Bruxelles: spécimens morts et invertébrés vivants  - Musée Royal d'Afrique centrale: spécimens morts</p> <p>* Dans certains cas, assez rares, lorsque le placement n'est possible dans aucune des différentes institutions précitées et qu'il s'agit d'espèces sensibles, le service peut avoir recours à une solution alternative comme le placement chez un spécialiste connu disposant des installations adéquates pour accueillir les spécimens..</p> <p>N.B. Les cas de refolement vers le pays d'origine sont assez exceptionnels et ne se produisent que lorsque l'OG a l'assurance que les dispositions concernant le bien-être des spécimens vivants seront respectées.</p>			
	Des informations détaillées ont-elles été communiquées au Secrétariat concernant des affaires importantes de commerce illicite (par ECOMESSAGE ou un autre moyen), ou des informations sur des négociants illicites reconnus coupables et sur des récidivistes?	Oui <input type="checkbox"/> Non <input type="checkbox"/> Non applicable <input type="checkbox"/> Pas d'information x	
	Commentaires		
12	Y a-t-il eu des activités de lutte contre la fraude menées en coopération avec d'autres pays? (échange de renseignements, appui technique, assistance pour enquête, opération conjointe, etc.)?	Oui x No <input type="checkbox"/> Pas d'information <input type="checkbox"/>	
13	Si oui, veuillez les indiquer brièvement: Echanges d'informations pertinentes sur les fraudes existantes par le GAD (Groupe Anti-drogue de la Douane) via des flashs d'information sous forme de messages d'alerte diffusés via le système EU-TWIX. Coopération avec d'autres pays dans le cadre de la lutte contre la fraude (non exhaustif) : cas intéressants : <ul style="list-style-type: none"> <li>• Coopération avec les autorités CITES américaines sur un cas d'exportation des Etats-Unis en Belgique de caviar de <i>Polyodon spathula</i> sans documents CITES ;</li> </ul>		

	<ul style="list-style-type: none"> <li>• Coopération avec les autorités CITES allemandes : pour plusieurs cas de fraudes dont, notamment, la mise en vente dans une salle de vente allemande d'une dent de narval (<i>Monodon monoceros</i>) pourvue d'un certificat CITES belge falsifié (certificat limité à la détention personnelle falsifié pour autoriser la commercialisation). La comparaison du certificat original transmis par les autorités CITES allemandes avec la copie préservée dans les archives du service a permis de mettre en évidence la falsification. La pièce a été saisie en Allemagne et l'intéressé a fait l'objet d'un procès verbal, le dossier est encore en cours en 2011.</li> <li>• Coopération avec les autorités douanières du Royaume-Uni dans le cadre de la découverte par la douane de l'aéroport de Heathrow d'une pièce en ivoire de 3 kg d'ivoire dissimulée dans une statuette en bois en provenance de Nairobi destinée à un antiquaire de Bruxelles. Cet envoi a été traité en tant que "livraison contrôlée" par la douane anglaise. Il a été saisi à son arrivée en Belgique par les services de recherche des douanes de l'aéroport de Bruxelles (Zaventem). Les services de recherche des douanes de Bruxelles ont, par la suite, été sollicités pour mener une perquisition au magasin et au domicile de l'antiquaire. Ils y ont saisi une trentaine de pièces principalement en ivoire dont une partie avait été acheminée d'Afrique en Belgique vraisemblablement selon le même procédé.</li> <li>• Coopération avec les autorités CITES du Japon : des échanges réguliers ont eu lieu au cours des années 2009 et 2010 pour valider l'authenticité de documents CITES belges. Cette collaboration a permis de déceler un faux permis d'exportation CITES qui avait été présenté en septembre 2010 à l'organe de gestion japonais pour l'importation de 4 spécimens d'<i>Amazona aestiva</i> en provenance de Belgique.</li> <li>• Coopération avec la France, les Pays-Bas, le Royaume-Uni, l'Italie, l'Espagne, et la RFA notamment dans le cadre d'une enquête internationale subséquente à la saisie en 2008 chez un éleveur belge détenant notamment des espèces de rapaces CITES. Cette saisie a permis de mettre à jour l'existence d'un trafic à grande échelle d'oiseaux CITES. L'enquête est, à ce jour, encore en cours (juin 2011).</li> </ul>
14	<p>Des incitations ont-elles été proposées aux populations locales pour qu'elles contribuent à l'application de la législation CITES, avec pour effet d'entraîner, par exemple, des arrestations et la condamnation des contrevenants?</p> <p>Oui <input type="checkbox"/></p> <p>Non <input checked="" type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p>
15	Si oui, veuillez indiquer lesquelles:

16 Y a-t-il eu un examen ou une évaluation de la lutte contre la fraude liée à la CITES?

**1. Groupe Application de la réglementation belge :**

Les suivis réguliers des différents développements des types de commerce illégal de spécimens CITES en Belgique se font par le biais des réunions du Groupe Application de la réglementation (voir point 9): 2 réunions en 2009 et 2 réunions en 2010. Ces réunions précèdent généralement les réunions de l'«Enforcement Group» au niveau communautaire.

Ce Groupe Application de la réglementation est présidé par l'OG et prévu par l'arrêté royal du 09.04.2003 (article 17). Il réunit différents représentants des autorités visées à l'article 7 de la loi du 28/07/1981 (voir point B9) et sa principale mission est :

- d'échanger des informations et d'établir des canaux de communication efficaces au niveau national et international;
- d'évaluer les mesures d'exécution et d'élaborer la stratégie des contrôles ; et
- d'assurer la formation des services de contrôle.

Il a été institué par arrêté ministériel en juillet 2008 (voir rapport bisannuel 2007/2008).

**2/ Recommandations CE : mesures de mise en œuvre :**

L'OG a proposé en en 2009 de créer un groupe de travail au sein du Groupe Application afin de déterminer les objectifs du Plan d'Action Application UE (Recommandations de la Commission 2007/425/CE du 13 juin 2007 définissant un ensemble de mesures de mise en œuvre du règlement (CE) n° 338/97 du Conseil relatif à la protection des espèces de faune et de flore sauvages par le contrôle de leur commerce) au niveau national. En effet, il était important de pouvoir évaluer, au niveau belge, quelles recommandations du Plan d'Action communautaire pouvaient être mises en œuvre, de déterminer les autorités compétentes pour le faire ainsi que les budgets nécessaires et les modalités de la mise en œuvre pratique. Au vu du nombre limité de personnes impliquées dans ce groupe de travail, l'OG a préféré soumettre directement pour approbation à chaque autorité de contrôle une proposition de « plan d'action sur mesure ».

Certaines recommandations générales du Plan d'Action communautaire ont été épinglées par le Groupe Application (voir point 1) comme nécessitant des actions particulières:

- 1/ "To increase the attention of enforcement authorities at major ports";
- 2/ "To establish strong in country enforcement: regular checks of pet shops, breeders and other facilities";
- 3/ "To investigate wildlife trade on the internet".

Dans le cadre du « plan d'action sur mesure » de la Douane :

- Une action de contrôle des importations de bois CITES a été menée en collaboration avec l'OG dans les ports d'Anvers, Gand et Zeebrugge. Préalablement à cette action, les douanes impliquées avaient reçu une formation appropriée sur la réglementation CITES (voir annexe 4).
  - Les « alertes » émanant du Secrétariat CITES sont à présent transmises à deux personnes de contact au sein des douanes qui sont chargées de diffuser plus largement ces informations au sein de leur administration.
  - Un point de contact officiel CITES pour les douanes est à présent désigné.
  - Le « Centre de Connaissance CITES » de la douane ayant été dissous (cf. rapport bisannuel 2007-2008), les questions CITES sont à présent incorporées dans la mission du Groupe cible « Marchandises criminelles » de cette administration.
  - Des concertations sont encore en cours en 2011 pour établir un protocole d'accord sur les échanges d'information entre la douane et différentes autres administrations douanières notamment afin d'autoriser des consultations réciproques des différentes bases de données détenues par ces administrations en matière de CITES.
- Un collaborateur de l'OG a publié en septembre 2009, dans le cadre de son stage de nomination, un travail relatif à l'application de la législation CITES en Belgique. Les bonnes pratiques ont été identifiées et des propositions d'amélioration de l'application de la législation ont été faites afin d'en renforcer



l'efficacité (voir en annexe 6).

- La mise en œuvre d'actions de formation pour l'administration judiciaire incombe, en Belgique, à l'Institut de formation judiciaire. Des formations abordant les différents domaines de la problématique environnementale sont régulièrement prodiguées par les magistrats compétents dans ces matières. Le chef de l'OG a participé comme conférencier à une de ces formations (cf. annexe 4).

#### **Commerce internet en BE (cf. point 3/ ci-dessus)**

Le commerce via internet est réglementé en Belgique au travers de la loi du 11/03/03 sur « certains aspects juridiques des services de la société de l'information » en application de la Directive de l'Union européenne 200/31/CE. Les douanes belges ont entrepris il y a quelques années d'enquêter sur le commerce par internet de la vente de caviar notamment par le biais du site web e-Bay mais aucun résultat n'est encore, à ce stade, disponible. Afin d'évaluer l'ampleur et la nature du commerce internet en Belgique, une étude visant à identifier les sites web belges ou orientés vers la Belgique les plus fréquemment utilisés pour la vente en ligne de spécimens d'espèces CITES a été menée en 2011 par l'Organe de gestion. Cette démarche a été effectuée en collaboration avec les douanes et la police fédérale notamment avec le FCCU (Federal Computer Crime Unit) de la police judiciaire fédérale. Un site web établi par le FCCU de la police judiciaire fédérale permet de signaler des délits commis sur ou via l'Internet.

7

**Veillez fournir des indications sur toute mesure supplémentaire prise:**

#### ➤ **Action de contrôles coordonnés à l'aéroport de Bruxelles (Zaventem) – janvier 2009:**

En janvier 2009, une importante action de contrôle impliquant différents services de douane a eu lieu à durant une semaine à l'aéroport de Bruxelles (Zaventem). Cette action avait été initiée par l'Organisation Mondiale des Douanes.

Des actions conjointes ont été menées dans la zone fret aérien, dans la zone « passagers » ainsi qu'au niveau des colis postaux. Pour le contrôle « passagers » : 46 passagers, en provenance de 6 pays ciblés (Turquie, Lybie, Mexique, Russie, Ukraine et Jamaïque) ont été contrôlés mais aucune irrégularité n'a été constatée.

En ce qui concerne le contrôle du fret aérien plus de 15 contrôles documentaires ('airwaybill') et physiques ('scanner') ont été menés sur des envois en provenance de Chine, d'Inde et de Jordanie mais aucune irrégularité n'a été constatée.

En ce qui concerne le contrôle de colis postaux, 370 envois ont été contrôlés et 9 infractions à la CITES ont été relevées. Il s'agissait majoritairement de colis en provenance de Chine et contenant des médicaments traditionnels chinois. Cette opération conjointe fut une réussite et a permis de confirmer que le risque plus élevé de fraude se portait principalement sur les colis postaux et qu'une attention particulière devait y être consacrée.

#### ➤ **Action «rapaces»- suite 2009 :**

Un groupe de travail 'rapaces' pluridisciplinaire (comprenant un expert de l'Institut Royal des Sciences Naturelles de Belgique), des agents des régions – compétentes pour la conservation des espèces indigènes et pour la CITES -, un représentant de la police fédérale et un représentant de l'OG) a été créé en 2008 afin d'organiser des contrôles ciblés auprès de certains éleveurs de certaines espèces de rapaces dites 'sensibles'. La liste d'espèces 'sensibles' (19 espèces) a été établie sur base de certains critères tels que la présence naturelle à l'état sauvage en Belgique, le statut de l'espèce en Europe et en Belgique, l'existence d'élevage en captivité, la vulnérabilité, etc. Les éleveurs ont été sélectionnés sur base de leur réputation dans le milieu. (cf. rapport biennuel 2007-2008). Les contrôles ont été effectués afin de vérifier la véracité des informations reprises sur les attestations d'élevage fournies à l'appui de demandes de certificats pour les jeunes spécimens déclarés nés en captivité. Des prélèvements de plumes (516) ont été effectués sur chaque spécimen afin de contrôler le lien de parenté, mais au final peu d'analyses ADN ont été réalisées (limitées aux cas les plus pertinents). L'analyse ADN opérée sur les plumes de rapaces a démontré que dans 46 % des cas les parents des animaux renseignés par le demandeur ne pouvaient être les parents biologiques des jeunes renseignés. Dans les cas de fraudes avérées les spécimens ont été directement saisis. Il a été prévu de poursuivre les contrôles en les ciblant aux 10 éleveurs de rapaces détenant au moins 5 espèces sensibles.

	<p>➤ <b>Action «colis postaux - juin 2010:</b></p> <p>A l'initiative de l'administration des douanes «Impositions et recouvrements» de Gand en collaboration avec l'administration des douanes et accises, plus de 7000 colis postaux provenant des pays tiers ont été contrôlés à l'aéroport de Zaventem (Brucargo) dans le but de détecter les infractions au niveau fiscal. Sur les 7000 colis postaux contrôlés, 504 présentaient des infractions à différentes législations (sanitaire, fiscale, CITES, médicaments etc.). En ce qui concerne la CITES, 20 colis sur les 504 (soit 4%) ont été saisis et détruits car étaient en infraction au niveau fiscal et au niveau CITES (absence de document).</p> <p>➤ <b>Action Bois – décembre 2010</b></p> <p>Une action de contrôle de l'identification du bois exotique à son arrivée de pays tiers au port d'Anvers a été organisée par la douane fin 2010. Cette action faisait suite à une formation CITES des agents de douane de ce port par l'OG et d'un expert CITES- bois du Musée d'Afrique centrale de Tervuren en octobre 2010 (voir annexe 4) Aucune infraction à la CITES n'a été constatée. Il a cependant été remarqué, après identification des échantillons prélevés, que les espèces de bois (non CITES) contrôlées étaient souvent déclarées sous un faux nom afin d'éviter de payer des taxes.</p> <p>L'action a permis d'améliorer la collaboration entre les différents services de douane et à sensibiliser les vérificateurs des douanes à la problématique CITES. Elle a également donné un signal important aux personnes impliquées dans ce secteur leur rappelant que le bois, importé par le port d'Anvers, était susceptible d'être contrôlé.</p> <p>➤ <b>Participation de la Douane belge à une opération de contrôle de grande envergure menée par le Secrétariat CITES et l'Organisation Mondiale des Douanes visant à examiner le commerce illégal des spécimens CITES suivants :</b></p> <ul style="list-style-type: none"> <li>• les grands singes (chimpanzés, gorilles et bonobos), la viande de brousse tirée de ces espèces et autres produits dérivés ;</li> <li>• d'autres produits d'espèces sauvages protégées, tels que l'ivoire ou les cornes de rhinocéros exportées, importées ou transbordées via certains ports ou aéroports internationaux et certains points de passage de frontières.</li> </ul> <p>Les résultats de cette opération qui a débuté en fin 2010 ne sont pas encore connus.</p>

## D. Mesures administratives

### D1 Organe de gestion (OG)

1	Y a-t-il eu des changements dans l'OG désigné ou dans ses coordonnées, n'ayant pas encore été consignés dans le Répertoire CITES?	Oui <input type="checkbox"/> Non <input checked="" type="checkbox"/> Pas d'information <input type="checkbox"/>
2	Si oui, veuillez indiquer ici ces changements:	
3	S'il y a plus d'un OG dans votre pays, un OG principal a-t-il été désigné?	Oui <input type="checkbox"/> Non <input type="checkbox"/> Pas d'information <input type="checkbox"/>
4	Si oui, veuillez indiquer son nom et s'il figure comme principal OG dans le Répertoire CITES.	
5	Quel est l'effectif de chaque OG?	- 1 Vétérinaire, chef de cellule - 4 assistants administratifs (dont un engagé en 2009) - 4 biologistes (dont 3 à 4/5 temps)

6	Pouvez-vous estimer le pourcentage de temps qu'il consacre aux questions CITES?	Oui Non Pas d'information	x <input type="checkbox"/> <input type="checkbox"/>
Si oui, veuillez faire une estimation: + ou - 80%			
7	Quelles sont les compétences du personnel des OG?	Cochez si applicable	
	- Administration		X
	- Biologie		X
	- Economie/commerce		<input type="checkbox"/>
	- Loi/politiques		X
	- Autre (veuillez spécifier) Sciences vétérinaires		X
	- Pas d'information		<input type="checkbox"/>
8	Les OG ont-ils entrepris ou appuyé des activités de recherche sur des espèces ou des questions techniques CITES (étiquetage, marquage, identification d'espèces, etc.) non couvertes par D2 (8) et D2 (9)?	Oui Non Pas d'information	X <input type="checkbox"/> <input type="checkbox"/>
<p>L'OG a commencé en 2010, dans le cadre d'un travail de stage de nomination d'un collaborateur du service, à examiner une méthode d'identification unique des bagues fermées pour les oiseaux CITES. En effet, il n'existe pour l'heure au niveau fédéral aucune exigence particulière en ce qui concerne le type de bague et la séquence d'informations figurant sur la bague. L'OG considère également l'utilisation d'un nouveau type de bague, la bague électronique : une bague fermée, munie d'une micropuce ISO incorporée. Cette bague ne porte aucune mention, il est cependant techniquement possible de graver le code ISO dans la bague.</p> <p>D'après les conclusions de ce travail, la législation au niveau fédéral devrait être adaptée afin de prévoir des mesures plus strictes pour l'identification des oiseaux. Cette démarche permettra <i>in fine</i> d'améliorer la traçabilité des oiseaux et d'apporter plus de cohérence avec les législations régionales. Un projet d'Arrêté ministériel est en cours en 2011.</p>			
<p>Un autre travail de stage de nomination d'un autre collaborateur de l'Organe de Gestion consacrés aux « méthodes d'identification applicables aux vertébrés vivants, parties ou produits dans le cadre de l'application de la Réglementation CITES » a été réalisé en 2010.</p> <p>Suite aux conclusions de ce rapport, il a été recommandé de mener une étude scientifique pour déterminer l'efficacité de nouveaux types de transpondeurs électroniques de taille réduite (taille de 1.4 x 9 mm contre : 2 mm x 12-32 mm pour les transpondeurs deurs classiques) comme méthode de marquage unique pour les jeunes spécimens (taille de plastron est inférieure à 10 cm) de tortues terrestres (<i>Testudo hermanni</i>). Ces derniers étant généralement commercialisés à la taille de 4-6 cm ce qui ne permet pas leur marquage par transpondeur sans risque pour la santé de l'animal. Or, cette non-identification augmente le risque de fraude par manque de traçabilité des spécimens.</p> <p>Des démarches sont entamées par l'OG pour la mise en place de cette étude en 2011.</p>			
9	Si oui, veuillez indiquer les espèces et le type de recherche.		
10	Veuillez fournir des indications sur toute mesure supplémentaire prise:		

## D2 Autorité scientifique (AS)

1	Y a-t-il eu des changements dans l'AS désignée ou dans ses coordonnées, n'ayant pas encore été consignés dans le Répertoire CITES?	Oui Non Pas d'information	<input type="checkbox"/> x <input type="checkbox"/>
2	Si oui, veuillez indiquer ici ces changements:		
3	L'autorité scientifique désignée est-elle indépendante de l'organe de gestion?	Oui Non Pas d'information	x <input type="checkbox"/> <input type="checkbox"/>
4	Quelle est la structure des AS?	Cochez si applicable	

	<ul style="list-style-type: none"> <li>- Institution gouvernementale <input type="checkbox"/></li> <li>- Institution académique ou de recherche <input type="checkbox"/></li> <li>- Commission permanente <input type="checkbox"/></li> <li>- Groupe d'individus ayant certaines connaissances <input checked="" type="checkbox"/></li> <li>- Autre (veuillez spécifier): <input type="checkbox"/></li> </ul>																																											
5	<p><b>Quel est l'effectif de chaque AS pour les questions CITES?</b></p> <p>Le comité scientifique est constitué d'experts bénévoles émanant de différents universités, musées, instituts, jardins botaniques et parcs zoologiques de Belgique. Il est composé de 20 membres répartis en 5 sections : mammifères (4), oiseaux (4) ; reptiles et autres vertébrés (4), invertébrés (4) et plantes (5).</p> <p>Le mandat des membres de ce Comité scientifique est gratuit mais les membres ont droit au remboursement de leur frais de déplacement et, le cas échéant, de séjour.</p> <p>Ce Comité se réunit de 3 à 5 fois par an, généralement avant chaque réunion du SRG.</p>																																											
6	<p><b>Pouvez-vous estimer le pourcentage de temps qu'il consacre aux questions CITES?</b></p> <p>Oui <input checked="" type="checkbox"/></p> <p>Non <input type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p> <p><b>Si oui, veuillez faire une estimation</b></p> <p>Entre 1 et 8%, dépendant de la fonction et de l'activité du membre au sein de cette autorité.</p>																																											
7	<p><b>Quelles sont les compétences du personnel des AS?</b> <span style="float: right;">Cochez si applicable</span></p> <ul style="list-style-type: none"> <li>- Botanique <input checked="" type="checkbox"/></li> <li>- Ecologie <input checked="" type="checkbox"/></li> <li>- Pêcheries <input type="checkbox"/></li> <li>- Foresterie <input checked="" type="checkbox"/></li> <li>- Protection animale <input checked="" type="checkbox"/></li> <li>- Zoologie <input checked="" type="checkbox"/></li> <li>- Autre (veuillez préciser) <input type="checkbox"/></li> <li>- Pas d'information <input type="checkbox"/></li> </ul>																																											
8	<p><b>Des activités de recherche ont-elles été menées par les AS sur des espèces CITES?</b></p> <p>Oui <input type="checkbox"/></p> <p>Non <input checked="" type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p>																																											
9	<p><b>Si oui, veuillez indiquer les espèces et le type de recherche</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Espèce</th> <th>Population</th> <th>Répartition géographique</th> <th>Prélèvement</th> <th>Commerce licite</th> <th>Commerce illicite</th> <th>Autre (préciser)</th> </tr> </thead> <tbody> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>etc.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="5"></td> <td>Pas d'information</td> <td>x</td> </tr> </tbody> </table>	Espèce	Population	Répartition géographique	Prélèvement	Commerce licite	Commerce illicite	Autre (préciser)	1							2							3							etc.												Pas d'information	x	
Espèce	Population	Répartition géographique	Prélèvement	Commerce licite	Commerce illicite	Autre (préciser)																																						
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2																																												
3																																												
etc.																																												
					Pas d'information	x																																						
10	<p><b>Des propositions de projets de recherche scientifique ont-elles été soumises au Secrétariat au titre de la résolution Conf. 12.2?</b></p> <p>Oui <input type="checkbox"/></p> <p>Non <input checked="" type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p>																																											

11	<p><b>Veillez fournir des indications sur toute mesure supplémentaire prise:</b></p> <p>Après plusieurs vaines tentatives pour trouver le budget nécessaire, un accord pour le recrutement d'un conseiller scientifique chargé de la coordination de notre AS a pu être trouvé via le « Fonds CITES » créé depuis 2005 et faisant partie du « Fonds des matières premières et des Produits ». Ce recrutement a eu lieu en mai 2009. Cette personne dont la fonction est de répercuter au niveau de l'AS toutes les tâches scientifiques en matière de CITES, est entrée en fonction le 1er octobre 2009. Sa mission principale est de préparer les avis à soumettre au comité, préparer les réunions du comité scientifique belge, assurer la représentation de la Belgique et défendre les positions belges au niveau de la CE (SRG) et au niveau international (Comité Animaux, Comité Plantes et Conférences des parties).</p> <p>Dans le cadre de l'amélioration du fonctionnement du comité scientifique, une réorganisation du comité a été entamée en 2010 notamment pour remplacer des membres démissionnaires ou inactifs. Des appels aux candidatures ont été lancés dans plusieurs universités et institutions scientifiques. Les domaines pour lesquels des nouveaux membres ont été recrutés :</p> <ul style="list-style-type: none"> <li>- Reptiles, amphibiens (de préférence spécialistes en espèces exotiques)</li> <li>- Poissons (espèces marines et en particulier les requins, anguilles et esturgeons)</li> <li>- Invertébrés (en particulier les coraux et coquillages)</li> <li>- Plantes (en particulier les cactus et orchidées)</li> </ul> <p>Le processus est encore en cours en 2011.</p>
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### **D3 Autorités chargées de la lutte contre la fraude**

1	<p>Les autorités désignées pour recevoir des informations confidentielles sur la lutte contre la fraude dans le cadre de la CITES ont-elles été indiquées au Secrétariat?</p>	<p>Oui <input checked="" type="checkbox"/></p> <p>Non <input type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p>
2	<p>Si non, veuillez les désigner ici (avec l'adresse, le téléphone, le fax et le courriel):</p>	
3	<p>Y a-t-il un service spécialisé dans la lutte contre la fraude CITES (dans le département chargé des espèces sauvages, les douanes, la police, l'appareil judiciaire, etc.)?</p>	<p>Oui <input checked="" type="checkbox"/></p> <p>Non <input type="checkbox"/></p> <p>A l'étude <input type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p>
4	<p>Si oui, veuillez indiquer le principal organisme chargé de la lutte contre la fraude: plusieurs services sont compétents pour exercer les contrôles CITES (article 7 de la loi de 1981), parmi ceux-ci :</p> <ul style="list-style-type: none"> <li>- Police fédérale, service environnement,</li> <li>- Inspection nationale des recherches des Douanes</li> <li>- Service d'Inspection Bien-être animal et CITES</li> </ul> <p>Un groupe application de la réglementation officiellement créé en juin 2008 (voir point B9)</p>	
5	<p>Veillez fournir des indications sur toute mesure supplémentaire prise:</p>	

**D4 Communication; gestion et échange des informations**

1	Quelles sont les informations CITES ayant été informatisées? <span style="float: right;">Cochez si applicable</span>						
	– Suivi et rapport sur les données sur le commerce licite <span style="float: right;">X</span>						
	– Suivi et rapport sur les données sur le commerce illicite <span style="float: right;">X</span>						
	– Délivrance des permis <span style="float: right;">X</span>						
	– Aucune <span style="float: right;"><input type="checkbox"/></span>						
– Autres (veuillez préciser): <span style="float: right;"><input type="checkbox"/></span>							
2	Les autorités suivantes ont-elles accès à Internet? <span style="float: right;">Cochez si applicable</span>						
	Autorité	Oui, accès continu et sans restriction	Oui, mais seulement par téléphone	Oui, mais seulement par l'intermédiaire d'un autre service	Seulement certains services	Non, aucune	Veuillez, s'il y a lieu, donner des précisions
	Organe de gestion	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Autorité scientifique	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Autorité de lutte contre la fraude	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Y a-t-il un système d'information électronique donnant des informations sur les espèces CITES?						
	Oui <span style="float: right;">x</span>						
	Non <span style="float: right;"><input type="checkbox"/></span>						
Pas d'information <span style="float: right;"><input type="checkbox"/></span>							
4	Si oui, donne-t-il des informations sur: <span style="float: right;">Cochez si applicable</span>						
	– la législation (nationale, régionale ou internationale)? <span style="float: right;">X</span>						
	– la conservation (nationale, régionale ou internationale)? <span style="float: right;">X</span>						
	– autre (veuillez préciser)? <span style="float: right;"><input type="checkbox"/></span>						

5	<p>Est-il disponible par Internet?</p> <p>Oui <input checked="" type="checkbox"/> x</p> <p>Non <input type="checkbox"/></p> <p>Non applicable <input type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p> <p><b>Veillez indiquer l'URL:</b></p> <p>- www.unep-wcmc.org : information décisions combinaison espèces/pays</p> <p>Autres :</p> <p>- les autorités chargées de la lutte contre la fraude ont reçu en 2009 le CD ROM 'Green Parrot Project' qui fournit une aide à l'identification des espèces de faune et flore figurant aux annexes CITES.</p>																					
6	<p>Les autorités mentionnées ont-elles accès aux publications suivantes? Cochez si applicable</p> <p>Oui <input checked="" type="checkbox"/> x</p> <p>Non <input type="checkbox"/></p> <p>Non applicable <input type="checkbox"/></p> <p>Pas d'information <input type="checkbox"/></p> <p>Les autorités de lutte contre la fraude et les autorités scientifiques ont également à leur disposition : notamment</p> <ul style="list-style-type: none"> <li>- les guides d'identification produits par Environnement Canada (Oiseaux, tortues, crocodiles, esturgeons et spatules, papillons, trophées de chasse, bois tropicaux) ainsi que ceux produits par TRAFFIC (Hippocampes et Médicaments chinois) ;</li> <li>- un compendium CITES comprenant la législation en vigueur au niveau international, communautaire (notamment le règlement CE concernant la liste des espèces reprises aux annexes A,B, C et D) et nationale mais aussi toute une série d'informations pratiques utiles facilitant la compréhension et l'application de la CITES en Belgique ;</li> <li>- une version actualisée (octobre 2010) des nouvelles listes alphabétique des animaux couverts par la CITES (voir annexe 4) ;</li> <li>- le rapport TRAFFIC de 2009 'Compilation of CITES caviar labels used in the European Union' a été transmis aux autorités de contrôles concernées : AFSCA, Police et Douanes.</li> </ul> <table border="1" data-bbox="242 1301 1455 1630"> <thead> <tr> <th>Publications</th> <th>Organe de gestion</th> <th>Autorité scientifique</th> <th>Autorité de lutte contre la fraude</th> </tr> </thead> <tbody> <tr> <td><i>Liste des espèces CITES 2008</i> (livre)</td> <td>X</td> <td>x</td> <td><input type="checkbox"/></td> </tr> <tr> <td><i>Liste des espèces CITES 2008 et Annexes et réserves CITES annotées</i> (CD-ROM)</td> <td>X</td> <td>x</td> <td><input type="checkbox"/></td> </tr> <tr> <td><i>Manuel d'identification</i></td> <td>X</td> <td><input type="checkbox"/></td> <td>X</td> </tr> <tr> <td><i>Guide CITES</i></td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Publications	Organe de gestion	Autorité scientifique	Autorité de lutte contre la fraude	<i>Liste des espèces CITES 2008</i> (livre)	X	x	<input type="checkbox"/>	<i>Liste des espèces CITES 2008 et Annexes et réserves CITES annotées</i> (CD-ROM)	X	x	<input type="checkbox"/>	<i>Manuel d'identification</i>	X	<input type="checkbox"/>	X	<i>Guide CITES</i>	X	<input type="checkbox"/>	<input type="checkbox"/>	
Publications	Organe de gestion	Autorité scientifique	Autorité de lutte contre la fraude																			
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<i>Manuel d'identification</i>	X	<input type="checkbox"/>	X																			
<i>Guide CITES</i>	X	<input type="checkbox"/>	<input type="checkbox"/>																			
7	Si non, quels ont été les problèmes rencontrés pour accéder à ces informations?																					
8	<p>Des autorités chargées de la lutte contre la fraude ont-elles soumis à l'organe de gestion des rapports sur:</p> <ul style="list-style-type: none"> <li>- la mortalité pendant le transport? <input checked="" type="checkbox"/> X</li> <li>- les saisies et les confiscations? <input checked="" type="checkbox"/> X</li> <li>- des écarts entre le nombre d'articles figurant sur les permis et le nombre d'articles effectivement commercialisés? <input type="checkbox"/></li> </ul> <p><b>Commentaires:</b></p> <p>La base de données informatisée de l'OG utilisée pour l'encodage des documents permet l'enregistrement des données de mortalité pendant le transport. En effet, les quantités de spécimens</p>	<p>Cochez si applicable</p>																				

	réellement importés ou (ré) exportés sont mentionnées par les douaniers lors du dédouanement au niveau de la case n°27 des permis communautaires. Ces données sont ensuite encodées dans la base de données informatisée au niveau de l'OG. Il ressort d'après l'analyse des données, aucune mortalité n'a été enregistrée en 2009 ni en 2010.		
9	Y a-t-il un site Internet du gouvernement donnant des informations sur la CITES et les obligations qui en découlent?	Oui Non Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Si oui, veuillez indiquer l'URL: <a href="http://www.health.belgium.be/eportal">http://www.health.belgium.be/eportal</a>		
10	Des autorités CITES ont-elles participé aux activités suivantes pour un plus large accès du public à la Convention et une meilleure compréhension des obligations qui en découlent?	Cochez si applicable	
	– Communiqués de presse /conférences de presse		X
	– Articles de journaux, interventions à la radio/à la télévision		X
	– Brochures, fascicules		X
	– Présentations		X
	– Expositions		X
	– Information aux frontières		X
	– Ligne téléphonique spéciale		<input type="checkbox"/>
	– Autre (veuillez préciser)		<input type="checkbox"/>
	Veuillez joindre une copie de ces éléments. Disponible sur demande auprès de l'OG		
11	Veuillez fournir des indications sur toute mesure supplémentaire prise:		

#### **D5 Procédures en matière de permis et d'enregistrement**

1	Des changements dans le formulaire de permis, dans les cadres désignés pour signer les permis/certificats CITES, ou dans les signatures, ont-ils été signalés au Secrétariat?	Oui Non Non applicable Pas d'information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	Si non, veuillez donner des précisions sur: les changements dans le formulaire de permis: les changements dans les cadres désignés et les signatures:			
2	Votre pays a-t-il élaboré des procédures écrites pour ce qui suit?	Cochez si applicable		
		Oui	Non	Pas d'information
	Délivrance/acceptation des permis	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Enregistrement des négociants	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Enregistrement des producteurs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>



3	Combien de documents CITES ont-ils été délivrés et rejetés dans la période de deux ans? (Notez que dans les rapports annuels, certaines Parties enregistrent le commerce effectif. Cette question se réfère aux documents délivrés).					
	Année 2009	Importation ou introduction en provenance de la mer	Exportation	Réexportation	Autre	Commentaires
	Nombre de documents délivrés:	- 812 animaux (import) - 114 plantes (import)	187 animaux 311 plantes	206 animaux 3 plantes	4441 animaux	Autre=Certificat intracommunautaire
	Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	2 rejets sur base scientifique (coraux /Australie, nomenclature+ espèce non présente en Australie)				
	Année 2010					
Nombre de documents délivrés:	- 888 animaux (import) - 128 plantes (import)	183 animaux 279 plantes	167 animaux 58 plantes	4447 animaux	Autre=Certificat intracommunautaire	
Nombre de demandes rejetées en raison de graves omissions ou d'informations erronées?	2 rejets sur base scientifique					
4	Des documents CITES délivrés ont-ils par la suite été annulés et remplacés en raison de graves omissions ou d'informations erronées?				Oui <input type="checkbox"/>	
					Non <input type="checkbox"/>	
					Pas d'information <input checked="" type="checkbox"/>	
5	Si oui, veuillez en indiquer les motifs.					
6	Veuillez indiquer les motifs du rejet de documents CITES émanant d'autres pays.				Cochez applicable si	
	Motif			Oui	Non	Pas d'information
	Violation technique			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspicion de fraude			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Base insuffisante pour établir l'avis de commerce non préjudiciable			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Base insuffisante pour établir la légalité de l'acquisition			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Autre (veuillez préciser)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Les quotas de prélèvement/d'exportation sont-ils des outils de gestion dans la procédure de délivrance des permis?				Oui <input checked="" type="checkbox"/>	
					Non <input type="checkbox"/>	
					Pas d'info <input type="checkbox"/>	
	Commentaires: les niveaux des quotas d'exportation ainsi que leur évolution dans le temps sont examinés par les comités scientifiques belge et européen (SRG : Scientific review Group) dans le cadre de l'avis de commerce non-préjudiciable (NDF).					
8	Combien de fois l'autorité scientifique a-t-elle été priée de donner son opinion? Pour les deux années : oui, <b>25 fois</b> dans le cadre de demandes d'avis pour l'importation de spécimens de certaines combinaison espèces/pays. Certaines demandes couvrant parfois plusieurs espèces différentes. Voir tableau en annexe 5 a.					

9	L'OG perçoit-il des droits pour la délivrance des permis, l'enregistrement ou d'autres activités touchant à la CITES?	Cochez si applicable												
	<ul style="list-style-type: none"> <li>– Délivrance de documents CITES</li> <li>– Octroi de licences ou enregistrement des établissements produisant des espèces CITES</li> <li>– Prélèvement d'espèces CITES</li> <li>– Utilisation d'espèces CITES</li> <li>– Affectation de quotas à des espèces CITES</li> <li>– Importation d'espèces CITES</li> <li>– Autre (veuillez préciser)</li> </ul>	<ul style="list-style-type: none"> <li>x</li> <li><input type="checkbox"/></li> <li><input type="checkbox"/></li> <li><input type="checkbox"/></li> <li><input type="checkbox"/></li> <li><input type="checkbox"/></li> </ul>												
10	Si oui, veuillez en indiquer le montant.													
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">Document</th> <th style="width: 33%;">Redevance : Animaux</th> <th style="width: 33%;">Redevance : Plantes</th> </tr> </thead> <tbody> <tr> <td>demande de certificat</td> <td>12,50 EUROS par espèce. Ce montant étant plafonné à 125 EURO par demande.</td> <td>12,50 EUROS par genre ce montant étant plafonné à 125 EURO par demande.</td> </tr> <tr> <td>demande de permis d'importation ou d'exportation ou de certificat de réexportation</td> <td>25 EUROS par espèce genre. Ce montant étant plafonné à 125 EURO par demande.</td> <td>25 EUROS par genre ce montant étant plafonné à 125 EURO par demande.</td> </tr> </tbody> </table>		Document	Redevance : Animaux	Redevance : Plantes	demande de certificat	12,50 EUROS par espèce. Ce montant étant plafonné à 125 EURO par demande.	12,50 EUROS par genre ce montant étant plafonné à 125 EURO par demande.	demande de permis d'importation ou d'exportation ou de certificat de réexportation	25 EUROS par espèce genre. Ce montant étant plafonné à 125 EURO par demande.	25 EUROS par genre ce montant étant plafonné à 125 EURO par demande.			
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	<p>Pour l'ensemble des documents CITES délivrés au cours de 2009 et 2010 pour autoriser le commerce des plantes et animaux CITES (permis d'importation, permis d'exportation, certificats de réexportation et certificats intra-communautaire) : les montants ci-après ont été perçus :</p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th></th> <th>2009</th> <th>2010</th> </tr> </thead> <tbody> <tr> <td>Fauna</td> <td>86.350,00 €</td> <td>77.575,00 €</td> </tr> <tr> <td>Flora</td> <td>25.562,50 €</td> <td>26.025,00 €</td> </tr> <tr> <td><b>Total</b></td> <td><b>111.912,50 €</b></td> <td><b>103.600,00 €</b></td> </tr> </tbody> </table>			2009	2010	Fauna	86.350,00 €	77.575,00 €	Flora	25.562,50 €	26.025,00 €	<b>Total</b>	<b>111.912,50 €</b>	<b>103.600,00 €</b>
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11	Les recettes des droits sont-elles utilisées pour l'application de la CITES ou pour la conservation des espèces sauvages?	Cochez si applicable												
	<ul style="list-style-type: none"> <li>– Entièrement</li> <li>– Partiellement</li> <li>– Pas du tout</li> <li>– Non pertinent</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/></li> <li>X</li> <li><input type="checkbox"/></li> <li>X</li> </ul>												
	Commentaires: les recettes ont permis le recrutement de personnel supplémentaire affecté à la CITES : 1 conseiller scientifique et d'un assistant administratif.													
12	Veuillez fournir des indications sur toute mesure supplémentaire prise:													

## D6 Renforcement des capacités

1	<p>Les activités suivantes ont-elles été entreprises pour améliorer l'efficacité de l'application de la CITES au niveau national?</p>	Cochez si applicable																						
Augmentation du budget des activités	<input type="checkbox"/>	Amélioration des réseaux nationaux				<input type="checkbox"/>																		
Engagement de personnel	x	Achat d'équipements techniques pour la surveillance continue/la lutte contre la fraude				<input type="checkbox"/>																		
Elaboration d'outils d'application	<input type="checkbox"/>	Informatisation				x																		
<p>– Autres (veuillez préciser)</p> <ul style="list-style-type: none"> <li>➤ Nomination officielle des membres du Groupe application de la CITES (point C.16) ;</li> <li>➤ Engagement d'un conseiller scientifique pour coordonner les activités du comité scientifique et en améliorer l'efficacité ;</li> <li>➤ Financement de la base de données EU-TWIX ;</li> <li>➤ Révision complète de la base de données informatisée CITES entamée en 2010 se poursuit en 2011.</li> </ul>																								
2	Les autorités CITES ont-elles bénéficié des activités suivantes de renforcement des capacités assurées par des sources externes?																							
<p>Veuillez cocher les cases pour indiquer les groupes ciblés et les activités.</p>																								
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;"></th> <th style="writing-mode: vertical-rl; transform: rotate(180deg);">Avis/orientation fourni oralement ou par écrit</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg);">Assistance technique</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg);">Assistance financière</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg);">Formation</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg);">Autre (à spécifier)</th> </tr> </thead> <tbody> <tr> <td>Groupes ciblés</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Personnel de l'OG</td> <td style="text-align: center;">x</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;">x</td> </tr> </tbody> </table>								Avis/orientation fourni oralement ou par écrit	Assistance technique	Assistance financière	Formation	Autre (à spécifier)	Groupes ciblés						Personnel de l'OG	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	x
	Avis/orientation fourni oralement ou par écrit	Assistance technique	Assistance financière	Formation	Autre (à spécifier)																			
Groupes ciblés																								
Personnel de l'OG	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	x																			
<p>Quelles étaient les sources externes?</p> <ul style="list-style-type: none"> <li>- CE, Secrétariat CITES,</li> <li>- TRAFFIC Europe ;</li> <li>- UNEP-WCMC ;</li> <li>- Organe de Gestion des Pays-Bas (juin 2010): visite d'étude de 2 attachés de l'OG, présentation des services et de leur fonctionnement. Echange d'information générale et spécifique sur la manière d'appliquer la CITES ;</li> <li>- Négociant en bois tropicaux (juin 2010) : visite d'étude de 3 attachés et chef de l'OG (juin 2010) dans le cadre de la préparation de 'l'action bois' ;</li> <li>- Douane du port d'Anvers (juin 2010) : visite d'étude de 2 attachés de l'OG dans le cadre de la préparation de 'l'action bois'.</li> </ul>																								
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Personnel de l'AS	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	x																			
<ul style="list-style-type: none"> <li>- Musée Royal des Sciences Naturelles : participation d'un nouveau membre du Comité scientifique et de 2 attachés de l'OG à une petite formation pratique CORAUX (mars 2009) en vue de préparer un contrôle chez un importateur de coraux.</li> <li>- AS plantes et AS animaux du Royaume-Unis : visite d'étude du conseiller scientifique ;</li> <li>- UNEP-WCMC (mai 2010) : visite d'étude du conseiller scientifique ;</li> <li>- AS et OG des Pays-Bas et d'Allemagne (juin et juillet 2010) : visite d'étude du conseiller scientifique:</li> </ul>																								

Personnes autorisées à effectuer les activités de renforcement des capacités suivantes?		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Négociants		X	<input type="checkbox"/>	<input type="checkbox"/>	X	Diffusion de circulaires ciblées (annexe 4)
ONG	Veuillez cocher les cases pour indiquer les groupes ciblés et les activités.	X	X	<input type="checkbox"/>	<input type="checkbox"/>	Traffic europe
Public		X	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Détails
Autres (veuillez préciser)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Information Via mail ou téléphone
	Groupes ciblés	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Personnel de l'OG		X	<input type="checkbox"/>	<input type="checkbox"/>	
	Personnel de l'AS		X	X	<input type="checkbox"/>	
<p>Pour les membres de l'Autorité scientifique (AS) :</p> <ul style="list-style-type: none"> <li>- transmission par le conseiller scientifique d'informations utiles en vue de faciliter la formulation d'avis dans le cadre du NDF via un formulaire spécifique pré-rempli 'template de demande d'avis' (voir annexe 5b) ;</li> <li>- transmission d'information permettant améliorer les connaissances sur la CITES via CIRCA (site internet sécurisé de la CE), guide de référence CITES, guideline CE pour formulation d'avis scientifiques, compendium etc.</li> <li>- organisation de réunions avec l'ensemble des membres env. 4 fois/an.</li> </ul>						
	Personnel d'autorités de lutte contre la fraude				X	X
<ul style="list-style-type: none"> <li>- transmission de toute information utile et pratique pour faciliter les contrôles et améliorer les connaissances de la CITES via CIRCA (site internet sécurisé de la CE) et EU-TWIX ; mise à disposition du compendium, listes alphabétiques etc. ;</li> <li>- organisation de réunions env. 4 fois/an avec tous les membres du groupe application voir point (B9) ;</li> <li>- organisation de formations (voir annexe 4)</li> </ul>						
	Négociants				X	X
	Négociants et public				X	X
<ul style="list-style-type: none"> <li>- via la diffusion de circulaires ciblées ou non, diffusion de dépliants d'information (souvenirs, caviar),</li> <li>- transmission de réponse à des 'FAQ's lors de bourses etc..</li> </ul>						

ONG	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Autres parties/réunions internationales Assistance technique et financière pour EU-TWIX	x	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

#### 4. Veuillez fournir des indications sur toute mesure supplémentaire prise

- Avril 2009 (du 27 au 30) Orléans (France): participation de deux attachés de l'OG ainsi que de 8 inspecteurs vétérinaire du service d'Inspection à une formation organisée par le Centre de Formation CITES de Clery. Une session de cette formation, prévue en temps normal pour les agents de l'Office national de la chasse et de la pêche français, avait déjà été organisée en 2005 pour certains agents de la Région wallonne. Cette session-ci était spécifiquement réservée aux autorités belges. Le programme de la formation comprenait notamment un rappel de la réglementation communautaire CITES, la lecture des Annexes et des codes. Un module consacré à la législation CITES en Belgique, les documents à contrôler et enfin une série de mise en situation avec analyse des résultats.
- Avril 2009 : participation de certains membres du GAD (Groupe Anti-Drogue) de l'aéroport de Bruxelles national (Zaventem) à une formation sur les produits médicinaux asiatiques données par Mr Jaap Reijngoud (Pays-Bas), spécialiste en la matière. Le but étant de familiariser les agents avec les idéogrammes mentionnant les ingrédients qui se trouvent sur les boîtes de médicaments.
- Juin 2009 (25 et 26) – Hambourg (Allemagne) : participation de l'OG et d'un membre du Comité scientifique à l'atelier « International workshop on Identification of CITES traded timber » organisé par l'AS – plantes allemande en collaboration avec l'Institute for Wood Biology and Wood protection du German Federal Research Center for Forestry and Forest products ». Environ 40 participants de différents EM étaient présents ainsi que des représentants du Secrétariat CITES. Tous les participants ont été notamment formés à utiliser le CD Rom CITES IDWood facilitant l'identification du bois CITES en excluant les bois non CITES.
- Juin 2009 - Ashland (Etats-Unis): participation d'un membre de la Police fédérale en tant que représentant des autorités belges à un meeting relatif à « GLOBAL-TWIX : « the global Database system » établi par le Secrétariat CITES et un groupe d'experts constitué lors de la COP14. Le groupe d'experts présents s'est réuni au U.S. Fish and Wildlife Service Forensics Laboratory, Oregon. Le rapport de ce groupe a été présenté à la 58<sup>ème</sup> réunion du Comité Permanent (document SC58 Doc. 23 Addendum). La principale recommandation étant qu'une base de données mondiale créée selon le modèle d'EU TWIX pourrait être développée dans le cadre de la lutte contre la fraude en matière de CITES.
- Novembre 2009 (Bruxelles) : participation de la Police fédérale à une journée et demie de formation CITES. Cette formation a été organisée et financée par la Commission européenne. Le but de celle-ci était de permettre aux enquêteurs de se spécialiser dans la législation CITES ainsi que la reconnaissance de vrais et faux documents.
- Novembre 2009 (Bruxelles) : participation de la Police fédérale, de l'OG et de la coordonatrice belge de TRAFFIC pour 'EU TWIX' au groupe 'EU-TWIX Advisory Group' dans le cadre de l'évaluation de « GLOBAL-TWIX » (voir ci-dessus) . L'objectif était encore de discuter sur les possibilités d'utiliser EU-TWIX comme modèle pour le développement GLOBAL-TWIX. Les aspects pratiques et techniques d'EU-TWIX ont été considérés et la possibilité de produire des points à prendre en compte pour l'Union européenne dans le cadre de la formation d'un groupe de travail sur le sujet pour la COP15. Le groupe a soutenu la proposition de créer GLOBAL-TWIX et il a été décidé que les deux systèmes de base de données EU TWIX et Global TWIX fonctionneraient en parallèle. 'EU TWIX' restant tel quel et les données sur les saisies de l'UE seraient intégrées dans GLOBAL-TWIX.

4	<ul style="list-style-type: none"> <li>➤ Décembre 2009 (Bruxelles): participation de 2 attachés de l'OG et cinq inspecteurs vétérinaires néerlandophones du service Inspection à une formation générale « Enforcement » présentée par M. Franz Böhmer (OG allemand). Cette formation était constituée de plusieurs modules axés notamment sur des exercices de mises en situation (contrôles documentaires etc.) :</li> <li>➤ Novembre 2010 (Bruxelles) : participation de 2 attachés de l'OG a une formation organisée par le UNEP-WCMC « Overview of UNEP WCMC database ». Le but de cette formation était de familiariser les utilisateurs à toutes les possibilités d'analyse des données offertes par cette base de données.</li> <li>➤ .Novembre 2010 (Mons) : participation du chef de l'OG en tant que conférencier à une formation organisée par l'Université Catholique de Louvain dans le cadre d'une journée d'étude pour les magistrats sur la protection juridique des espèces en dehors des aires protégées. Il s'agissait de fournir des informations générales sur la législation CITES et son application en Belgique.</li> </ul>
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#### **D7 Collaboration/ initiatives concertées**

1	Existe-t-il un comité sur la CITES interagences ou intersectoriel?	Oui <input type="checkbox"/>	Non <input checked="" type="checkbox"/>	Pas d'information <input type="checkbox"/>				
2	Si oui, quelles sont les agences représentées et à quelle fréquence les réunions ont-elles lieu?							
3	Si non, veuillez indiquer à quelle fréquence l'organe de gestion tient des réunions ou des consultations pour assurer la coordination entre les autorités CITES [autres OG, AS, douanes, police, autres]):							
		Par jour	Par semaine	Par mois	Par an	Aucune	Pas d'information	Autres (spécifier)
	Réunions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3 -4 avec l'AS avant SRG et 2-3 avec le groupe application (point D9) avant EWG 2-3 avec le groupe de travail 'rapaces'	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	En fonction des besoins.
4	Y a-t-il au niveau national un effort pour collaborer avec:						Cochez si applicable	Détails, si disponibles
	Les agences pour le développement et le commerce						<input type="checkbox"/>	
	Les autorités provinciales, d'Etat ou territoriales Les autorités régionales sont compétentes pour la conservation de la nature (Directives CE Habitat et Oiseaux), des représentants de ces autorités siègent au niveau du Groupe application de la réglementation (voir point D9).							x
	Les autorités locales ou les collectivités							<input type="checkbox"/>
	Les populations indigènes							<input type="checkbox"/>

	Les associations privées, commerciales ou autres Andibel et Anizoo (animaux vivants), Fédération du bois, Fédération de la Fourrure, Clubs de fauconniers, Associations d'éleveurs d'oiseaux. éleveurs de tortues		x
	Les ONG Traffic, WWF, IUCN, FACE (Chasseurs)		x
	Autres (veuillez préciser) Agence pour la Sécurité de la Chaîne alimentaire (AFSCA) , compétente en matière de contrôle CITES (art 7 de la loi de 1981)		x
5	Existe-t-il des protocoles d'accord ou autres arrangements formels entre l'organe de gestion et les agences suivantes en vue d'une coopération institutionnelle touchant à la CITES? Cochez si applicable	Cochez si applicable	
	Autorités scientifiques		x
	Douanes		x
	Police		<input type="checkbox"/>
	Autres autorités aux frontières (veuillez préciser) : Services d'Inspection de l'AFSCA aux postes d'inspection frontaliers		x
	Autres agences gouvernementales		<input type="checkbox"/>
	Organismes du secteur privé		<input type="checkbox"/>
	ONG		<input type="checkbox"/>
	Autres (veuillez préciser)		<input type="checkbox"/>
6	Des fonctionnaires ont-ils participé à des activités régionales touchant à la CITES?	Cochez si applicable	
	Ateliers		x
	Réunions		x
	Autres (veuillez préciser)		<input type="checkbox"/>
7	Une action a-t-elle été menée pour encourager des non-Parties à adhérer à la Convention?	Oui <input type="checkbox"/> Non <input checked="" type="checkbox"/> Pas d'information <input type="checkbox"/>	
8	Si oui, lesquels et comment?		
9	Une assistance technique ou financière a-t-elle été fournie à un autre pays concernant la CITES?	Oui <input type="checkbox"/> Non <input checked="" type="checkbox"/> Pas d'information <input type="checkbox"/>	
10	Si oui, quel type d'assistance a-t-elle été fournie et à quels pays?		
11	Des données à inclure dans le Manuel d'identification CITES ont-elles été fournies?	Oui <input type="checkbox"/> Non <input checked="" type="checkbox"/> Pas d'information <input type="checkbox"/>	
12	Si oui, veuillez en faire une brève description.		
13	Des mesures ont-elles été prises pour coordonner les activités des autorités CITES nationales et celles d'autres accords multilatéraux sur l'environnement (conventions liées à la biodiversité, etc.) et pour réduire les doubles-emplois?	Oui <input checked="" type="checkbox"/> Non <input type="checkbox"/> Pas d'information <input type="checkbox"/>	

14	<p>Si oui, veuillez en faire une brève description.</p> <ul style="list-style-type: none"> <li>- Participation aux réunions du "Groupe Directeur Nature" dépendant du CCPIE (Comité de Coordination de la Politique internationale de l'Environnement). Ce groupe a, entre autres, pour mission de coordonner la position des entités fédérées et du fédéral sur la scène internationale. Des réunions ont notamment été organisées dans le cadre de la préparation de la COP 15.</li> <li>- Collaboration avec le service 'Milieu marin' de la Direction générale environnement et le service Affaire Multilatérales et stratégiques dans le cadre de la préparation de la COP 15 au sujet des dossiers 'thon rouge' et 'requins'.</li> <li>- Collaboration avec la Direction générale Environnement du SPF dans le cadre de la stratégie européenne pour lutter contre les espèces invasives 'EU Strategy on IAS'. Un arrêté royal établissant une liste de vingt espèces animales et végétales dont l'importation, l'exportation et le transit seront interdits dans notre pays est en cours d'élaboration. Il s'agit d'espèces exotiques qui ne sont que peu présentes chez nous mais qui risqueraient, si elles se retrouvaient dans la nature, de provoquer d'importants dégâts à l'environnement (ex. l'ibis sacré, cerf sika, grenouille taureau).</li> <li>- Participation du conseiller scientifique au groupe de travail 'Anguilles' présidé par la CE. Ce groupe qui comprend quelques représentants des Etats membres chargés de la CITES et de la Pêche. Une réunion s'est tenue à Bruxelles en septembre 2010, l'essentiels des échanges entre membres du groupe se faisant par courrier électronique.</li> </ul>
15	<p>Veuillez fournir des indications sur toute mesure supplémentaire prise</p> <p>Révision du texte pour la Belgique et réponses aux questions dans le cadre d' « EUFJE Conference 2010 – 'Enforcement of European Biodiversity Law at national level. ». Ce texte concerne l'application par les Etats membres des différentes législations européennes en matière de protection des habitats naturels (Directive Habitat) ; protection des espèces (Directive Oiseaux et Directive Eco-Crimes) et commerce international (CITES).</p>

#### **D8 Domaines pour une activité future**

1	<p>Les activités suivantes sont-elles nécessaires pour améliorer l'efficacité de l'application de la CITES au niveau national et quel est leur rang de priorité?</p> <p style="text-align: center;">Activité à rang de priorité:</p>	élevé	moyen	faible
	Augmentation du budget des activités	x	<input type="checkbox"/>	<input type="checkbox"/>
	Engagement de personnel	x	<input type="checkbox"/>	<input type="checkbox"/>
	Elaboration d'outils d'application	<input type="checkbox"/>	x	<input type="checkbox"/>
	Amélioration des réseaux nationaux	<input type="checkbox"/>	x	<input type="checkbox"/>
	Achat d'équipements techniques pour la surveillance continue/ la lutte contre la fraude	<input type="checkbox"/>	<input type="checkbox"/>	x
	Informatisation	<input type="checkbox"/>	<input type="checkbox"/>	x
	Autres (veuillez préciser)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Des difficultés ont-elles été rencontrées dans l'application de certaines résolutions ou décisions adoptées par la Conférence des Parties?	Oui		<input type="checkbox"/>
		Non		x
		Pas d'information		<input type="checkbox"/>
3	Si oui, lesquelles et quelle est la principale difficulté?			
4	Des contraintes au niveau de l'application de la Convention nécessitant une attention ou une assistance ont-elles surgi dans votre pays?	Oui		<input type="checkbox"/>
		Non		x
		Pas d'information		<input type="checkbox"/>
5	Si oui, veuillez indiquer lesquelles et le type d'attention ou d'assistance requise.			
6	Des mesures, des procédures ou des mécanismes qui bénéficieraient d'un examen et/ou d'une simplification ont-ils été décelés dans la Convention?	Oui		x
		Non		<input type="checkbox"/>
		Pas d'information		<input type="checkbox"/>
7	Si oui, veuillez en faire une brève description.			



	Révision des procédures pour les échanges internationaux d'échantillons médicaux.
8	Veillez fournir des indications sur toute mesure supplémentaire prise:

## E. Commentaires généraux

Veillez joindre tous autres commentaires, y compris au sujet de cette présentation.

Merci d'avoir rempli ce formulaire. Veuillez inclure toutes les annexes demandées dans ce document. Elles sont rappelées ci-après:

Partie	A fournir		
B4	Copie du texte complet de la législation CITES	Jointe	x
	Il s'agit des textes qui n'ont pas encore transmis lors des précédents rapports bisannuels	Non disponible	<input type="checkbox"/>
	VOIR ANNEXE 1	Non applicable	<input type="checkbox"/>
C3	Détail des violations et des mesures administratives imposées	Joint	x
	VOIR ANNEXE 2	Non disponible	<input type="checkbox"/>
		Non applicable	<input type="checkbox"/>
C5	Détail des spécimens saisis, confisqués ou mis sous séquestre	Joint	x
	VOIR ANNEXES 3 a et b	Non disponible	<input type="checkbox"/>
		Non applicable	<input type="checkbox"/>
C7	Détail des violations et résultat des poursuites	Joint	<input type="checkbox"/>
		Non disponible	x
		Non applicable	<input type="checkbox"/>
C9	Détail des violations et résultat des actions en justice	Joint	<input type="checkbox"/>
		Non disponible	x
		Non applicable	<input type="checkbox"/>
D4(10)	Détails sur les brochures ou fascicules sur la CITES produits au plan national à des fins d'éducation ou de sensibilisation du public	Joint	x
	Ces documents sont disponibles sur demandes au service ou via internet <a href="http://www.health.belgium.be/eportal">http://www.health.belgium.be/eportal</a>	Non disponible	<input type="checkbox"/>
	Voir ANNEXE 4	Non applicable	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	<b>See answer to question B5 – Part 1</b>					
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Please provide details if available:						
	<p><b>- In the framework of the EU Strategy on Invasive Alien species, the General Directorate Environment of our Federal Public Service prepares since 2010 a Royal Decree which will set a list of 20 animal and plant species for which importation, exportation and transit into, from or through Belgium will be banned. CITES species are concerned (ex: <i>Rana castesbiana</i>).</b></p> <p><b>- A Ministerial Decree relating to the identification of birds is in preparation within our MA in order to set specific conditions for a unique sequence of information mentioned on closed foot-ring this in coherence with the regional legislation (see answer to question D1-8)</b></p>						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p> <p><b>Sanctions for the violation of Article 16 of Regulation 338/1997 were strengthened in 2004. Article 127 of the Programme law of 27 December 2004 replaced Article 5 of the law of July 1981 and sets a fine of 137.50 (25 x 5.5) € to 275 000 (50 000 x 5.5) € and prison sentences of six months to five years. Under prior legislation, the maximum prison sentence was only six months. In addition, the Article 5bis of the Act of 1981, inserted by a Act of 22 December 2003 and the Royal Decree of 20 September 2005 sets the possibility of administrative sanctions, in particular fines, the payment of which ends the public action.</b></p> <p><b>- Articles 6 and 7 of the act of 28/07/1981 relative to the approbation of CITES in Belgium assesses the measures to be conducted by enforcement officers in case of identifying illegal trade.</b></p>
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### C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p>ii) The outcomes of any prosecutions;</p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Comments:</p>
18 new	<p>Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i>, Article 66)</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Comments:</p> <p><b>Depending on the species and/or the age of the specimen, article 66 of EC Regulation 865/2006 may not apply. For specimens of <i>Testudo kleinmanni</i> which are too small, even as an adult, to be identified by a microchip, photo-identification can be accepted instead. For juveniles of <i>Testudo hermanni</i> and <i>T. graeca</i> with a plastron smaller than 10 cm, a restricted certificate (for transaction within the Belgian territory and for one transaction with another Member State) is issued. When the specimen is big enough to be identified with a microchip, the certificate is then replaced.</b></p> <p><b>We do plan in the coming months to conduct a study to test the insertion of the smallest size of microchip available on the market into very young specimens of <i>Testudo hermanni</i> (plastron of 4 cm). This study will normally ending in 2012.</b></p>
19 new	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i>).</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>

	<p>Comments:</p> <p><b>Guarantees to ensure that the intended accommodation is adequately equipped are made on the basis of a written declaration given by the intended new owner. The scientific advisor has set a ‘accommodation care questionnaire’ filled in by the applicant (plan of installation, type of care, presence of other species in the same environment, etc. This document is then examined by the scientific advisor that deals with the norms established in animal welfare legislation.</b></p> <p><b>In case of specimens of wild origin, of F origin , advice from the Belgian SA is required. As for other origin codes, the MA will take the decision on the basis of the provided data. If needed, the intended accommodation is controlled. Furthermore all commercial operations dealing with live animals must be registered according to the law of 14 August 1986 on animal welfare. Registration implies that specific standards for the holding of live animals have to be respected.</b></p>		
20 new	<p>Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIa.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p> <p><b>Belgium has, since 2006, established some focal areas and priorities for CITES enforcement. Belgium is although not yet ready to establish a formal action plan with all administrations involved: Federal Public Service (FPS) Public Health and Environment, FPS Finance (Customs), AFSCA (sanitary authority), Federal Police and the administrations of the three regions. For action already taken in the framework of an ‘Tailored Action Plan’ see answer to question C16 – Part 1</b></p>		
21 new	<p>Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIb.)</p> <p>If yes, please provide details.</p> <p><b>A list of experts available for species identification is mentioned in the CITES Compendium (prepared by the MA) which is distributed to all enforcement authorities in the country. See answers to question D4: 5-6.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p>		
22 new	<p>Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIc.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p> <p><b>Our service has established, with the help of our legal department, the amount of the different administrative fines that will be given for infringements to CITES dispositions. Different levels of infractions have been set out. Market value of the specimens, the status of the offender, the number of specimens involved etc. are also taken into account (see annex 2).</b></p>		
23 new	<p>Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph II d.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments: see <b>See answer to question C16 – Part 1</b></p>		
24 new	<p>Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIg.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	

	Comments: <b>Article 7 of our national law of 1981 names the different authorities in charge of CITES controls. Checks at shops, nurseries, etc. are usually carried out by veterinary inspectors of the inspection service attached to the same General Directory in collaboration with the federal or local police. CITES controls of shops dealing with live animals are usually carried out together with Animal Welfare controls (see annex 2).</b>		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	<b>The risk and intelligence assessment is used daily, during the controls of the passengers and the control of the cargo shipments. The customs needs this tool, because it is impossible to check all the goods and the passengers.</b>		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIi.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	<b>Comments: see answer to question C10-- part 1</b>		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	<b>Comments: such cooperation takes place on a case by case basis. Belgium participates actively in the meetings of the EC Enforcement Group. See also answer to question C 13 - part 1</b>		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	<b>Comments: such liaison take place on a case by case basis, see also answer to question C 13 - part 1</b>		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
	Comments:		

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No No information	x <input type="checkbox"/> <input type="checkbox"/>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Representatives of	Number	<input type="checkbox"/>				
	<p>Since her taking up of duties (1st October 2009) our scientific advisor (see also D2. 11 – part 1) has attended all SRG meetings that took place in 2009 and 2010 (4 meetings). During these meetings the scientific advisor transmitted the advices given by the different members of our Scientific Authority on the matters under discussion.</p> <p>A representative of the MA has sometimes also attended SRG meetings but not systematically.</p>						
	<p>Indicate any difficulties that rendered attendance to the SRG difficult:</p> <p>Members of our SA are experts working for CITES on a voluntary basis. They all have very busy schedules and do not have always the time to contribute significantly to CITES scientific issues. This situation has totally improved with the taking up of duties of our new scientific advisor based within the MA (as of 01. 10.09) as this advisor could thoroughly examined the documents submitted at the SRG for discussion in order to help the advice making process by the members of the SA.</p>						

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
	See answer C16				

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	– Annex D listed species		<input checked="" type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?  <b>Approximately 85%</b>	Percentage : ....% No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>See Annexe 7</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Not this period 2009-2010 . One firm registered in 2008 is entitled to use pre-issued documents for (re) export of reptiles leather items in the framework of Article 19 of Regulation 865/2006.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>Not during this period 2009-2010 The scientific institutions already registered can be found : <a href="http://www.cites.org/common/reg/f_si.html">http://www.cites.org/common/reg/f_si.html</a></b>	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>See following : <a href="http://www.cites.org/common/resources/reg_caviar.pdf">http://www.cites.org/common/resources/reg_caviar.pdf</a></b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>AFSCA(sanitary authority) issues phytosanitary certificates that are used as CITES export permit for artificially propagated plants in accordance with Article 17 of Regulation 865/2006. This for third countries which accept these documents.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance? <b>Remarks have been made in the framework of the revision of Implementation of Reglementation. (IR)</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>



# **BULGARIA**

### A. General information

Party	<b>BULGARIA</b>
Period covered in this report:	<b>1 January 2009 to 31 December 2010</b>
Details of agency preparing this report	National Nature Protection Service Directorate Ministry of Environment and Water 22, Maria Luisa Blvd., Sofia 1000 tel. (+359 2 940 6151) tel. (+359 2 940 6127)
Contributing agencies, organizations or individuals	National Customs Agency 47 Rakovsky Str., 1202 Sofia

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) Yes (partly) No No information/unknown	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously not available, will send later	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? <span style="float: right;">Tick all applicable</span>					
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p>Complete prohibitions for taking, possession and trade exists for protected native species listed in CITES Annex I and II. For all other species from Appendix I taken from the wild any commercial activities are prohibited.</p> <p>The Animal Protection Act (SG No. 13/2008) prohibits keeping the wild species of Felines and Primates outside the zoos and Rescue Centers.</p> <p>The EU legislation authorization is required for any movement within the Union of a live species listed in Annex A of the Regulation 338/97 on the protection of species of wild fauna and flora by regulating trade therein.</p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>		
Please provide details if available: In 2011 and 2012 the Ministry of Environment and Water will reviews the implementation on the national CITES relevant legislation and will elaborate amendments were necessary.					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available: Since 2003 a Regulation No 3 on minimum requirements for keeping animals in zoos is in place. The regulation serves as a base for evaluation of the existing facilities in the zoos. It is a base also for the decisions about issuance of import permits for Appendix I animals. An amendment of Regulation No 3 was enacted in 2009 in order to include standard for additional wild species and species group. Since 2009 these standards are applicable also for the Keeping and Breeding Centers for Wild species (SG No 44/2009).  In 2009 was enacted a Regulation on the requirements to the objects which keep, bred and/or offer pets for sale and boarding at animal shelters (SG No 1/2009).					
9	Please provide details of any additional measures taken:				

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. See Annex 1			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations  If possible, please specify per group of species or attach details on annex.	Number 1 cases 4 cases		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. See Annex 1			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	- Return to country of export			<input type="checkbox"/>
	- Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	- Designated rescue centres			<input checked="" type="checkbox"/>
	- Approved, private facilities			<input type="checkbox"/>
	- Euthanasia			<input type="checkbox"/>
	- Other (specify)			<input checked="" type="checkbox"/>
	Comments: The specimens of 40 Grey Parrot ( <i>Psittacus erithacus</i> ) were provided to Sofia Zoo for participation in reintroduction programs.			
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?		Yes	<input type="checkbox"/>
			No	<input checked="" type="checkbox"/>
			Not applicable	<input type="checkbox"/>
			No information	<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries		Yes	<input type="checkbox"/>
			No	<input checked="" type="checkbox"/>
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?		No information	<input type="checkbox"/>

13	If Yes, please give a brief description:		
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? 4 experts		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: The percentage of the time spend on CITES issues depends of the number of cases arised.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	- Administration		<input checked="" type="checkbox"/>
	- Biology		<input checked="" type="checkbox"/>
	- Economics/trade		<input type="checkbox"/>
	- Law/policy		<input checked="" type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

D2 *Scientific Authority (SA)*

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country? <span style="float: right;">Tick if applicable</span>						
	- Government institution	<input type="checkbox"/>					
	- Academic or research institution	<input checked="" type="checkbox"/>					
	- Permanent committee	<input checked="" type="checkbox"/>					
	- Pool of individuals with certain expertise	<input type="checkbox"/>					
	- Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? Since 2009 a special CITES Scientific council with 15 experts has been appointed to support the CITES MA in implementation of the Convention and advising the CITES Management Authority.						
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)? <span style="float: right;">Tick if applicable</span>						
	- Botany	<input checked="" type="checkbox"/>					
	- Ecology	<input checked="" type="checkbox"/>					
	- Fisheries	<input checked="" type="checkbox"/>					
	- Forestry	<input type="checkbox"/>					
	- Welfare	<input type="checkbox"/>					
	- Zoology	<input checked="" type="checkbox"/>					
	- Other (specify)	<input type="checkbox"/>					
	- No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input checked="" type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					

11	Please provide details of any additional measures taken:
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D3 *Enforcement Authorities*

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement: Biodiversity Division, 'National Nature protection Service' Directorates in the Ministry of Environment and Water and 'Tariff Policy' Division in the National Custom Agency, Bulgarian Agency of Food Safety under the Ministry of Agriculture and Food.			
5	Please provide details of any additional measures taken:			

D4 *Communication, information management and exchange*

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>					
	-	Monitoring and reporting of data on legal trade				<input checked="" type="checkbox"/>
	-	Monitoring and reporting of data on illegal trade				<input checked="" type="checkbox"/>
	-	Permit issuance				<input checked="" type="checkbox"/>
	-	Not at all				<input type="checkbox"/>
	-	Other (specify)				<input type="checkbox"/>
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>					
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/></span>					
	<span style="float: right;">No <input type="checkbox"/></span>					
	<span style="float: right;">No information <input type="checkbox"/></span>					

4	If Yes, does it provide information on: <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)?</li> <li>- Conservation status (national, regional, international)?</li> <li>- Other (please specify)?</li> </ul>	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																						
5	Is it available through the Internet: <p style="text-align: right;">           Yes <input checked="" type="checkbox"/>            No <input type="checkbox"/>            Not applicable <input checked="" type="checkbox"/>            No information <input type="checkbox"/> </p> Please provide URL: <a href="http://www.moew.government.bg/">http://www.moew.government.bg/</a> and <a href="http://www.chm.moew.government.bg/">http://www.chm.moew.government.bg/</a>																							
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>																							
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Publication</th> <th style="text-align: center;">Management Authority</th> <th style="text-align: center;">Scientific Authority</th> <th style="text-align: center;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2005 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td><i>2005 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2005 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>2005 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																					
7	If not, what problems have been encountered to access to the mentioned information?																							
8	Have enforcement authorities reported to the Management Authority on: <ul style="list-style-type: none"> <li>- Mortality in transport?</li> <li>- Seizures and confiscations?</li> <li>- Discrepancy in number of items in permit and number of items actually traded?</li> </ul> Comments: The Customs are obliged by law to report of any seizures and confiscations. The Minister of Environment and Water is empowered to decide about the final disposal of the confiscated specimens.		Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>																					
9	Is there a government website with information on CITES and its requirements? <p style="text-align: right;">           Yes <input checked="" type="checkbox"/>            No <input type="checkbox"/>            No information <input type="checkbox"/> </p> If Yes, please give the URL: <a href="http://chm.moew.government.bg/nnps/IndexDetails.cfm?vID=5">http://chm.moew.government.bg/nnps/IndexDetails.cfm?vID=5</a>																							
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? <ul style="list-style-type: none"> <li>- Press releases/conferences</li> <li>- Newspaper articles, radio/television appearances</li> <li>- Brochures, leaflets</li> <li>- Presentations</li> <li>- Displays</li> <li>- Information at border crossing points</li> <li>- Telephone hotline</li> <li>- Other (specify)</li> </ul> Please attach copies of any items as Annex.		Tick if applicable <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																					
11	Please provide details of any additional measures taken:																							



1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?				Yes	<input type="checkbox"/>	
					No	<input checked="" type="checkbox"/>	
					Not applicable	<input type="checkbox"/>	
If no, please provide details of any:							
Changes in permit format:							
Changes in designation or signatures of relevant officials:							
2	To date, has your country developed written permit procedures for any of the following?				Tick if applicable		
					Yes	No	No information
	Permit issuance/acceptance				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Registration of producers				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).						
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	
	How many documents were issued?	147	16	4	13	No permits for introduction from the sea were issued.	
How many applications were denied because of severe omissions or mis-information?							
Year 2							
How many documents were issued?							
How many applications were denied because of severe omissions or mis-information?							
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input checked="" type="checkbox"/>	
					No	<input type="checkbox"/>	
					No information	<input type="checkbox"/>	
5							
If Yes, please give the reasons for this.							
The usual reason of cancellation and replacement of CITES documents was the advice made by the Scientific Authorities or lack of necessity for issuance of such documentation.							
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable		
	Reason				Yes	No	No information
	Technical violations				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud				<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition				<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input type="checkbox"/>	
					No	<input checked="" type="checkbox"/>	
					No information	<input type="checkbox"/>	

	Comments: Only for export of caviar harvested from the wild but during the period no quota had been published.	
8	How many times has the Scientific Authority been requested to provide opinions? 2 times for some application for import of Annex II species where the stricter domestic measures of the EU legislation are applicable.	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? - Issuance of CITES documents: - Licensing or registration of operations that produce CITES species: - Harvesting of CITES-listed species : - Use of CITES-listed species: - Assignment of quotas for CITES-listed species: - Importing of CITES-listed species: - Other (specify):	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. 1. Issuance of CITES import or export permits or re-export certificate for native species for Bulgaria - 30 BGN (Appr. 15 EUR) for each species. 2. Issuance of CITES import permits for non native species for Bulgaria - 45 BGN (Appr. 23 EUR) for each species. 3. Issuance of CITES import or export permits or re-export certificate the zoos, botanical gardens, circuses, delphinariums, expositions of plants and animals and for specimens museums and scientific institutions - 25 BGN (Appr. 12,80 EURO) for the permit. 4. Registration of breeding operation for Appendix - I species and caviar processing and exporting plants - 300 BGN (App. 154 EUR).	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? - Entirely: - Partly: - Not at all: - Not relevant: Comments: The revenue comes into the Enterprise for Management of Environmental Protection Activities (EMEPA) in the Ministry of Environment and Water. EMEPA finances partially the running cost of the designated CITES Rescue Centers.	Tick if applicable <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken:	

D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable
	Increased budget for activities	<input type="checkbox"/> Improvement of national networks <input checked="" type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/> Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/> Computerisation <input type="checkbox"/>
	- Other (specify):	<input type="checkbox"/>

2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	<p>Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?</p> <p>Please tick boxes to indicate which target group and which activity.</p>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	The CITES MA provides regular training at least ones a year for officers from the National Custom Agency.  The CITES MA provides consultations with the customs officers at the border in different cases.
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The CITES MA provides consultations with the traders on the CITES provisions.
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	<p>Please provide details of any additional measures taken:</p> <p>In 2009 the CITES MA provided training to the staff of the Regional Environment Inspectorates (REW) about the implementation of the Convention. REW are responsible for regulation of the domestic trade with CITES listed species.</p>						

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>				
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The frequency of the consultations is very much depended on the subjects and cases arised
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input type="checkbox"/>						
	Provincial, state or territorial authorities	<input type="checkbox"/>						
	Local authorities or communities	<input type="checkbox"/>						
	Indigenous peoples	<input type="checkbox"/>						
	Trade or other private sector associations	<input type="checkbox"/>						
	NGOs	<input checked="" type="checkbox"/>						
	Other (specify)	<input checked="" type="checkbox"/>		Exsecutive Agency of Fishery and Aquaculture				
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable						
	SA	<input type="checkbox"/>						
	Customs	<input type="checkbox"/>						
	Police	<input type="checkbox"/>						
	Other border authorities (specify): Veterinary and Phytosanitary Border Control	<input checked="" type="checkbox"/>						
	Other government agencies:	<input type="checkbox"/>						
	Private sector bodies	<input type="checkbox"/>						
	NGOs	<input type="checkbox"/>						
	Other (specify): The relations between the CITES Implementation bodies are clearly determined by the Biological Diversity Act (2002, ammended). In 2010 the relevant CITES Authority start elaboration of a document named 'Rules for cooperation between National Customs Agency, Bulgarian Food Safety Agency and the Ministry of Environment and Water on increasing effectively of control over the export, trade, transit and export of endangered species of wild fauna and flora". The document provides guidelines for cooperation on the implementation of the Convention and relevant EU CITES legislation.	<input checked="" type="checkbox"/>						

6	Has Government staff participated in any regional activities related to CITES? Workshops Meetings Other (specify)		Tick if applicable <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. 'National Nature Protection Service' Directorates in the Ministry of Environment and Water is only the body authorised to administrate the implementation of the biodiversity -related Conventions.		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No	<input type="checkbox"/> <input checked="" type="checkbox"/>	

		No information	<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.		
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>

#### ANNEX - PROPOSED BIENNIAL REPORT FORMAT

#### PART 2 - SUPPLEMENTARY QUESTIONS<sup>1</sup>

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

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<sup>1</sup> Part 2 agreed at COM 45

PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species? <sup>2</sup>						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Additional comments	Strict domestic measures are in place since 2002 for all protected native species.						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
9b	Please provide the following details about Regulations-related violations:						
	i)	Maximum penalties that may be imposed;					
	ii)	Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).					

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006, Article 66</i> )	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: Only for the zoos.	
20	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551, paragraph IIa.</i> )	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
21	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551, paragraph IIb.</i> ) If yes, please provide details.	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
	Comments:	
22	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551, paragraph IIc.</i> )	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
23	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551, paragraph II d.</i> )	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments: In November (23-24) 2009 and September (28-29) 2010, the National Customs Agency has organized 2-days training seminars on CITES implementation. Each seminar was attended by 25 customs officers from different customs borders point. Both seminars was held in the Customs Headquarter in Sofia. An expert from the CITES MA was invited as a lecturer.	

24	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: The necessary check is carried out by the Regional Inspectorates of Environment and Waters.			
25	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
26	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIi.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: 5 rescue centers - 3 for animals and 2 for plants operate since 2003.			
27	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
28	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
29	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: With the World Customs Organisation			
30	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		information	<input type="checkbox"/>

11	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input type="checkbox"/>				
		No information	<input checked="" type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
					No information	<input checked="" type="checkbox"/>	
11	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	2				
	Indicate any difficulties that rendered attendance to the SRG difficult: The Scientific Authority has difficulties to ensure funding for participation to the SRG. In many cases the usual busy schedule of the scientists prevents their representation to the meeting.						

D3 Enforcement Authorities

6	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	- Annex D listed species		<input type="checkbox"/>
	- Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

13	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs? Percentage : a) 2009 - average 92 % b) 2010 - average 76 %	Yes <input checked="" type="checkbox"/> No information <input type="checkbox"/>
14	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. See Annex 2 below.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
15	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
16	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
17	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
18	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details: Four caviar producing and processing plants are currently registered as caviar exporters, they are:  a) Agamemnon I Ltd 9, Europe Blvd, Sofia registration code: BG 2113002  b) Beluga Inc. Vidin South Industrial Zone, 3700 Vidin registration code: BG 0513002  c) Oscietre Commerce Ltd. 4114 Boliartzi, Reg. Plovdiv registration code: BG 1613002  d) Parpen Tchobanov Ltd. 4114 Boliartzi, Reg. Plovdiv registration code: BG 1613002  The caviar processing plant is the same for Parpen Tchobanov Ltd. and Oscietre Commerce Ltd. and they have using the same kind of labels and tins and bearing the same registration code.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
19	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

20	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

*D8 Areas for future work*

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# CYPRUS

## PART 1 - CITES QUESTIONS

### A. General information

Party	CYPRUS
Period covered in this report: 1 January 2009 to 31 December 2010	√
Details of agency preparing this report	Department of Environment, Ministry of Agriculture, Natural Resources and Environment
Contributing agencies, organizations or individuals	Department of Environment

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4. See Annex I	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>																																																							
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____																																																								
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																							
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>																																																							
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? (in accordance with Article XIV of the Convention)?	Tick all applicable																																																							
	<table border="1"> <thead> <tr> <th rowspan="2">Issue</th> <th colspan="3">The conditions for:</th> <th colspan="3">The complete prohibition of:</th> </tr> <tr> <th>Yes</th> <th>No</th> <th>No information</th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Additional comments</td> <td colspan="6"></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Taking	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Possession	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Transport	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Additional comments							
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Other (specify)	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>																																																			
Additional comments																																																									

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable																																			
	<table border="1"> <thead> <tr> <th>Item</th> <th>Adequate</th> <th>Partially Inadequate</th> <th>Inadequate</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Powers of CITES authorities</td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Clarity of legal obligations</td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Control over CITES trade</td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Consistency with existing policy on wildlife management and use</td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of offences</td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of penalties</td> <td><input type="checkbox"/></td> <td>√</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Item	Adequate	Partially Inadequate	Inadequate	No information	Powers of CITES authorities	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clarity of legal obligations	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Control over CITES trade	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consistency with existing policy on wildlife management and use	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of offences	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of penalties	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	
Item	Adequate	Partially Inadequate	Inadequate	No information																																	
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Clarity of legal obligations	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																	
Control over CITES trade	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																	
Consistency with existing policy on wildlife management and use	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																	
Coverage of law for all types of offences	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																	
Coverage of law for all types of penalties	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>																																	

	Implementing regulations	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Please provide details if available:				
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
				No information <input type="checkbox"/>	
	Please provide details if available:				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable	
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	√	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	√	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	√	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	√	<input type="checkbox"/>	
	Please provide details if available:				
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	√	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	√	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	√	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	√	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. None for 2009-2010.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	√	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available:		Number	
	√ Significant seizures/confiscations		9	
	√ Total seizures/confiscations		9	
	If possible, please specify per group of species or attach details on annex. 6 Skins of <i>canis lupus</i>			
	12 stuffed <i>Naja naja</i>			
	3 stuffed <i>Buteo bueto</i>			
	1 stuffed <i>Strix nubulosa</i>			
	1 stuffed <i>Papio hamandryas ursinus</i>			
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	√	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			



8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	√	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of? Tick if applicable			
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			√
	Comments: No live animals have been confiscated so far included in CITES Appendices. Confiscated parts and derivatives are kept in the premises of the Department of Environment.			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		√
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input type="checkbox"/>
		No		√
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description:			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		√
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input type="checkbox"/>
		No		√
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes		<input type="checkbox"/>
		No		√
		No information		<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.			
3	If there is more than one MA in your country, has a lead MA been designated? There is only one MA.	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.			
5	How many staff work in each MA? 2 persons (one MA)			

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 10 hours per week.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?		Tick if applicable
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input checked="" type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority? Yes, there are 4 Scientific Committees. Please see Annex II.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?		Tick if applicable
	– Government institution		<input type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input checked="" type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? Most members of the scientific authorities work in more than one SA. Please see Annex II.		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?		Tick if applicable
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input checked="" type="checkbox"/>
	– Forestry		<input checked="" type="checkbox"/>
	– Welfare		<input checked="" type="checkbox"/>
	– Zoology		<input checked="" type="checkbox"/>

	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
		Other (specify)
	1	
	2	
	3	
	etc.	
		No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
	The Customs and Excise Department, Ministry of Finance, M. Karoli and Gr. Afxentiou Street, 1096 Nicosia, Tel. 00357 22 601751, fax. 22602762, e-mail: <a href="mailto:geconstantinou@customs.mof.gov.cy">geconstantinou@customs.mof.gov.cy</a>	
4	If Yes, please state which is the lead agency for enforcement: The Customs and Excise Department.	
5	Please provide details of any additional measures taken:	

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>							
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	
	Management Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Scientific Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Enforcement Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
3	Do you have an electronic information system providing information on CITES species?		Yes	√	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
4	If Yes, does it provide information on:		Tick if applicable					
	– Legislation (national, regional or international)?		√					
	– Conservation status (national, regional, international)?		<input type="checkbox"/>					
	– Other (please specify)?		<input type="checkbox"/>					
5	Is it available through the Internet:		Yes	√	No	<input type="checkbox"/>	Not applicable	<input type="checkbox"/>
			No information	<input type="checkbox"/>				
	Please provide URL: <a href="http://www.moa.gov.cy">www.moa.gov.cy</a> (Department of Environment link)							
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>							
	Publication	Management Authority	Scientific Authority	Enforcement Authority				
	<i>Checklist of CITES Species</i> (book)	√	√	√				
	<i>Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	√	√	√				
	<i>Identification Manual</i>	√	√	√				
	<i>CITES Handbook</i>	√	√	√				
7	If not, what problems have been encountered to access to the mentioned information?							
8	Have enforcement authorities reported to the Management Authority on:		Tick if applicable					
	– Mortality in transport?		<input type="checkbox"/>					
	– Seizures and confiscations?		√					
	– Discrepancy in number of items in permit and number of items actually traded?		<input type="checkbox"/>					
Comments:								
9	Is there a government website with information on CITES and its requirements?		Yes	√	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.moa.gov.cy">www.moa.gov.cy</a>							

10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	√
	– Newspaper articles, radio/television appearances	<input type="checkbox"/>
	– Brochures, leaflets	√
	– Presentations	√
	– Displays	<input type="checkbox"/>
	– Information at border crossing points (N/A)	<input type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>
– Other (specify) Articles in the web site.	√	
Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input type="checkbox"/>			
		No	√			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input type="checkbox"/>	√	<input type="checkbox"/>		
	Registration of traders	√	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	√	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1 2009	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	3	5	-	-	-
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	-
	Year 2 2010	3	10	-	-	-
	How many documents were issued?	3	10	-	-	-
How many applications were denied because of severe omissions or mis-information?	-	-	-	-	-	
4	Were any CITES documents that were issued later cancelled and replaced because	Yes	<input type="checkbox"/>			

	of severe omissions or mis-information?	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.		
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable	
	Reason	Yes	No
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments		
8	How many times has the Scientific Authority been requested to provide opinions? According to the applications for import or export.		
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? No fees charged.	Tick if applicable	
	– Issuance of CITES documents:		<input type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:		<input type="checkbox"/>
	– Harvesting of CITES-listed species :		<input type="checkbox"/>
	– Use of CITES-listed species:		<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:		<input type="checkbox"/>
	– Importing of CITES-listed species:		<input type="checkbox"/>
	– Other (specify):		<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees.		
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable	
	– Entirely:		<input type="checkbox"/>
	– Partly:		<input type="checkbox"/>
	– Not at all:		<input type="checkbox"/>
	– Not relevant:		<input type="checkbox"/>
	Comments: No fees charged		
12	Please provide details of any additional measures taken:		

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable	
	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement
	Development of implementation tools	<input type="checkbox"/>	Computerisation
	– Other (specify)		<input type="checkbox"/>

2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1" data-bbox="245 241 1129 976"> <thead> <tr> <th data-bbox="245 241 730 488">Target group</th> <th data-bbox="730 241 820 488">Oral or written advice/guidance</th> <th data-bbox="820 241 909 488">Technical assistance</th> <th data-bbox="909 241 999 488">Financial assistance</th> <th data-bbox="999 241 1088 488">Training</th> <th data-bbox="1088 241 1129 488">Other (specify)</th> </tr> </thead> <tbody> <tr> <td data-bbox="245 488 730 555">Staff of Management Authority</td> <td data-bbox="730 488 820 555">√</td> <td data-bbox="820 488 909 555"><input type="checkbox"/></td> <td data-bbox="909 488 999 555"><input type="checkbox"/></td> <td data-bbox="999 488 1088 555">√</td> <td data-bbox="1088 488 1129 555"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 555 730 622">Staff of Scientific Authority</td> <td data-bbox="730 555 820 622">√</td> <td data-bbox="820 555 909 622"><input type="checkbox"/></td> <td data-bbox="909 555 999 622"><input type="checkbox"/></td> <td data-bbox="999 555 1088 622">√</td> <td data-bbox="1088 555 1129 622"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 622 730 689">Staff of enforcement authorities</td> <td data-bbox="730 622 820 689">√</td> <td data-bbox="820 622 909 689"><input type="checkbox"/></td> <td data-bbox="909 622 999 689"><input type="checkbox"/></td> <td data-bbox="999 622 1088 689">√</td> <td data-bbox="1088 622 1129 689"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 689 730 757">Traders</td> <td data-bbox="730 689 820 757">√</td> <td data-bbox="820 689 909 757"><input type="checkbox"/></td> <td data-bbox="909 689 999 757"><input type="checkbox"/></td> <td data-bbox="999 689 1088 757"><input type="checkbox"/></td> <td data-bbox="1088 689 1129 757"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 757 730 824">NGOs</td> <td data-bbox="730 757 820 824"><input type="checkbox"/></td> <td data-bbox="820 757 909 824"><input type="checkbox"/></td> <td data-bbox="909 757 999 824"><input type="checkbox"/></td> <td data-bbox="999 757 1088 824"><input type="checkbox"/></td> <td data-bbox="1088 757 1129 824"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 824 730 891">Public</td> <td data-bbox="730 824 820 891">√</td> <td data-bbox="820 824 909 891"><input type="checkbox"/></td> <td data-bbox="909 824 999 891"><input type="checkbox"/></td> <td data-bbox="999 824 1088 891"><input type="checkbox"/></td> <td data-bbox="1088 824 1129 891"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 891 730 976">Other (specify)</td> <td data-bbox="730 891 820 976"><input type="checkbox"/></td> <td data-bbox="820 891 909 976"><input type="checkbox"/></td> <td data-bbox="909 891 999 976"><input type="checkbox"/></td> <td data-bbox="999 891 1088 976"><input type="checkbox"/></td> <td data-bbox="1088 891 1129 976"><input type="checkbox"/></td> </tr> </tbody> </table> <p data-bbox="1161 338 1414 398">What were the external sources?</p>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Staff of Management Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Staff of Scientific Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Staff of enforcement authorities	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Traders	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Public	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
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Public	√	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
3	<p>Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1" data-bbox="245 1048 1129 1872"> <thead> <tr> <th data-bbox="245 1048 730 1294">Target group</th> <th data-bbox="730 1048 820 1294">Oral or written advice/guidance</th> <th data-bbox="820 1048 909 1294">Technical assistance</th> <th data-bbox="909 1048 999 1294">Financial assistance</th> <th data-bbox="999 1048 1088 1294">Training</th> <th data-bbox="1088 1048 1129 1294">Other (specify)</th> </tr> </thead> <tbody> <tr> <td data-bbox="245 1294 730 1361">Staff of Management Authority</td> <td data-bbox="730 1294 820 1361">√</td> <td data-bbox="820 1294 909 1361"><input type="checkbox"/></td> <td data-bbox="909 1294 999 1361"><input type="checkbox"/></td> <td data-bbox="999 1294 1088 1361">√</td> <td data-bbox="1088 1294 1129 1361"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 1361 730 1429">Staff of Scientific Authority</td> <td data-bbox="730 1361 820 1429">√</td> <td data-bbox="820 1361 909 1429"><input type="checkbox"/></td> <td data-bbox="909 1361 999 1429"><input type="checkbox"/></td> <td data-bbox="999 1361 1088 1429">√</td> <td data-bbox="1088 1361 1129 1429"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 1429 730 1496">Staff of enforcement authorities</td> <td data-bbox="730 1429 820 1496">√</td> <td data-bbox="820 1429 909 1496"><input type="checkbox"/></td> <td data-bbox="909 1429 999 1496"><input type="checkbox"/></td> <td data-bbox="999 1429 1088 1496">√</td> <td data-bbox="1088 1429 1129 1496"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 1496 730 1563">Traders</td> <td data-bbox="730 1496 820 1563">√</td> <td data-bbox="820 1496 909 1563"><input type="checkbox"/></td> <td data-bbox="909 1496 999 1563"><input type="checkbox"/></td> <td data-bbox="999 1496 1088 1563">√</td> <td data-bbox="1088 1496 1129 1563"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 1563 730 1630">NGOs</td> <td data-bbox="730 1563 820 1630">√</td> <td data-bbox="820 1563 909 1630"><input type="checkbox"/></td> <td data-bbox="909 1563 999 1630"><input type="checkbox"/></td> <td data-bbox="999 1563 1088 1630">√</td> <td data-bbox="1088 1563 1129 1630"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 1630 730 1697">Public</td> <td data-bbox="730 1630 820 1697"><input type="checkbox"/></td> <td data-bbox="820 1630 909 1697"><input type="checkbox"/></td> <td data-bbox="909 1630 999 1697"><input type="checkbox"/></td> <td data-bbox="999 1630 1088 1697"><input type="checkbox"/></td> <td data-bbox="1088 1630 1129 1697"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 1697 730 1765">Other parties/International meetings</td> <td data-bbox="730 1697 820 1765"><input type="checkbox"/></td> <td data-bbox="820 1697 909 1765"><input type="checkbox"/></td> <td data-bbox="909 1697 999 1765"><input type="checkbox"/></td> <td data-bbox="999 1697 1088 1765"><input type="checkbox"/></td> <td data-bbox="1088 1697 1129 1765"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="245 1765 730 1872">Other (specify)</td> <td data-bbox="730 1765 820 1872"><input type="checkbox"/></td> <td data-bbox="820 1765 909 1872"><input type="checkbox"/></td> <td data-bbox="909 1765 999 1872"><input type="checkbox"/></td> <td data-bbox="999 1765 1088 1872"><input type="checkbox"/></td> <td data-bbox="1088 1765 1129 1872"><input type="checkbox"/></td> </tr> </tbody> </table> <p data-bbox="1246 1193 1326 1223">Details</p>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Staff of Management Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Staff of Scientific Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Staff of enforcement authorities	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Traders	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	NGOs	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)																																																		
Staff of Management Authority	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>																																																		
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Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
4	Please provide details of any additional measures taken																																																						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>				
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicate the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Case by case, when numbers of cases have to be considered. (looking at no more than 4 cases at each meeting)
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Case by case
4	At the national level have there been any efforts to collaborate with:					Tick if applicable	Details if available	
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?					Tick if applicable		
	SA					<input checked="" type="checkbox"/>		
	Customs					<input checked="" type="checkbox"/>		
	Police					<input type="checkbox"/>		
	Other border authorities (specify)					<input type="checkbox"/>		
	Other government agencies. The Veterinary Department					<input checked="" type="checkbox"/>		
	Private sector bodies					<input type="checkbox"/>		
	NGOs					<input type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		
6	Has your country participated in any regional activities related to CITES?					Tick if applicable		
	Workshops					<input checked="" type="checkbox"/>		



	Meetings		√
	Other (specify) Seminars		√
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	√	<input type="checkbox"/>
	Hiring of more staff	√	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	√	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	√	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	√	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	√
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			

4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required. The identification of certain species.		
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation In Greek. Annex I	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	√	<input type="checkbox"/>	<input type="checkbox"/>	√	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of the Regulation?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	√	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	√	<input type="checkbox"/>			
	Please provide details if available,						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	Please provide the following details about Regulations-related violations: i) Maximum penalties that may be imposed; Maximum penalty CY1.000 or/and 3 years of imprisonment. ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).
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### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; The status of prosecutions is not closed yet		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.d.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II.g.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.h.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.i.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.e.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.j.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
Comments:		No information	<input type="checkbox"/>

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.k.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments:		No information	<input type="checkbox"/>
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.l.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
Comments:		No information	<input type="checkbox"/>

## D. Administrative measures

### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

## D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? None	Number	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

## D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

## D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species? We use UNEP database	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

## D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ....%	<input type="checkbox"/>
		No information	<input type="checkbox"/>

			<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. Annex III	Yes No No information	√ <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> √ <input type="checkbox"/>

# **CZECH REPUBLIC**



## PART 1 - CITES QUESTIONS

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

### A. General information

Party	Czech Republic
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	Ministry of the Environment of the Czech Republic – CITES Management Authority
Contributing agencies, organizations or individuals	- Agency for Nature Conservation and Landscape Protection of the Czech Republic – CITES Scientific Authority - Czech Environmental Inspectorate (CEI) - General Directorate of Customs - Police Headquarters of the Czech Republic

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) Yes (partly) No No information/unknown	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:"		
	Title and date: Act No. 346/2009 Coll. on amendment of the Act No. 100/2004 Coll. on the protection of species of wild fauna and flora by regulating trade therein and on further measures for protection of these species and on amendment of several acts (Act on trade in endangered species).	Status: In force since January 1, 2010	
	Decree No. 210/2010 Coll. implementing certain provisions of the Act on Trade in Endangered Species.	Status: In force since July 10, 2010	
	Brief description of contents: - implementation of the Commission Regulation (EC) No. 865/2006 as amended by the Commission Regulation (EC) No. 100/2008 (protection of species of wild fauna and flora by regulating trade therein); - refinement of the CITES administration and adjusting of the stricter domestic measures for treating the CITES specimens in the Czech Republic.		
3	Is enacted legislation available in one of the working languages of the Convention?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously not available, will send later	<input type="checkbox"/> <b>Y</b> <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable	
	The conditions for:	The complete prohibition of:	

Issue	Yes	No	No information	Yes	No	No information
Trade	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p>Most of the stricter measures resulted in the implementation of the Council Regulation (EC) No 338/97 (CITES) and other relevant EC legislation.</p> <p>The additional stricter measures implemented by the Czech Republic:</p> <p>(1) Most of species listed by the CITES which are indigenous for the Czech Republic are strictly protected by the Act No. 114/1992 Coll., on Protection of Nature and the Landscape. Taking from the wild, trade and possession are prohibited. Exceptions only under strict conditions, e.g. for bred-in-captivity animals or artificially propagated plants.</p> <p>(2) Live exotic specimens of selected species of mammals, birds and reptiles from Appendix I and II must be registered by the state authorities in accordance with the Section 23 of the Act No. 100/2004 Coll. (Act on Trade in Endangered Species).</p>					
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?			Tick all applicable		
Item	Adequate	Partially Inadequate	Inadequate	No information		
Powers of CITES authorities	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Clarity of legal obligations	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Control over CITES trade	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Consistency with existing policy on wildlife management and use	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Coverage of law for all types of offences	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Coverage of law for all types of penalties	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Implementing regulations	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Coherence within legislation	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
The former legislation (Act No. 16/1997 Coll.) which was valid between 1997 – 2004 was assessed by the CITES Secretariat as Category 1 during the National Legislation Project. Since May 1, 2004, the Czech R. acceded to the EU and the “new” CITES legislation included the Council Regulation (EC) No 338/97 and the national Act No. 100/2004 Coll. (Act on Trade in Endangered Species).						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	No	No information
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable		
Subject	Yes	No	No information			
Access to or ownership of natural resources	<input type="checkbox"/>	<b>N*</b>	<input type="checkbox"/>			
Harvesting	<input type="checkbox"/>	<b>N*</b>	<input type="checkbox"/>			
Transporting of live specimens	<input type="checkbox"/>	<b>N*</b>	<input type="checkbox"/>			
Handling and housing of live specimens	<input type="checkbox"/>	<b>N*</b>	<input type="checkbox"/>			

	<p>Please provide details if available: *) These items are dealt with other legislation, but not in direct relation to implementation of the CITES.</p> <p>Access to or ownership of natural resources (wild fauna and flora): Nature protection act, Act on hunting, Act on fishing.</p> <p>Harvesting (wild fauna and flora): Act on hunting, Act on fishing.</p> <p>Transporting of live specimens, handling and housing of live specimens: Veterinary Act, Act on protection of animals against cruelty, Act on zoological gardens.</p>
9	<p>Please provide details of any additional measures taken:</p> <p>Maximum penalties that may be imposed for CITES-related violations: a fine up to CZK 1 500 000 (EUR ca 60 000); imprisonment up to 5 years.</p> <p>Transporting of live specimens and handling/housing of live specimens are subject of the veterinary legislation which meets basic requirements by the Convention on animal welfare.</p>

### C. Compliance and enforcement measures

		Yes	No	No information															
1	Have any of the following compliance monitoring operations been undertaken?																		
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<b>N</b>	<input type="checkbox"/>															
	Inspections of traders, producers, markets	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>															
	Border controls	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>															
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>															
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>															
3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p>The main types of fraud were smuggling and not proving the legal origin of CITES specimen in trade.</p> <p>Summary of the report by the Czech Environmental Inspectorate:</p> <table border="1"> <thead> <tr> <th rowspan="2"></th> <th colspan="2">Penalties</th> <th>Confiscations</th> </tr> <tr> <th>No</th> <th>CZK value</th> <th>Cases</th> </tr> </thead> <tbody> <tr> <td>2009</td> <td>119</td> <td>734 900</td> <td>62</td> </tr> <tr> <td>2010</td> <td>86</td> <td>553 400</td> <td>50</td> </tr> </tbody> </table>		Penalties		Confiscations	No	CZK value	Cases	2009	119	734 900	62	2010	86	553 400	50			
	Penalties		Confiscations																
	No	CZK value	Cases																
2009	119	734 900	62																
2010	86	553 400	50																
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>															

5	If information available: <b>Y</b> Significant seizures/confiscations <b>Y</b> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. No. of confiscated CITES specimens:		Number Exact number are not available. The database by the CEI does not distinguish between significant and non-significant seizures.		
		2009	2010		
	Mammals - living	0	0		
	- non-living	59	14 + 133 TCM packages (bear extract)		
	Birds - living	14	10		
	- non-living	0	6		
	Reptiles - living	13	25		
	- non-living	115	4		
	Fish – living	0	0		
	- non-living	0.25 kg	6 TCM packages (sturgeon extract)		
	Invertebrates – living	0	0		
	– non-living	278	259 + 237 TCM packages (leeches extract) + 7 kg (corals)		
	Plants - living	406	0		
	- non-living	0	192 TCM packages ( <i>Cistanche deserticola</i> )		
6	Have there been any criminal prosecutions of significant CITES-related violations?		<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
7	<p>If Yes, how many and for what types of violations? If available, please attach details as Annex.</p> <p>In 2009 there were 7 cases investigated by Police in which 9 persons were charged with criminal offence of unauthorized management of protected wild fauna and flora (CITES). Two persons were cleared of charges by Court. Maximum penalty given by a court was 16 months in prison on 2.5 year probation. All prison sentences were given on probation.</p> <p>For details see Annex 5.1. to this Questionnaire.</p> <p>In 2010 there were 15 cases investigated by Police in which 21 persons were charged with criminal offence of unauthorized management of protected wild fauna and flora (CITES). Maximum penalty given by a court was 1 year in prison on 1 year probation. However, investigation and/or court action has not been finished in most cases.</p> <p>For details see Annex 5.1. to this Questionnaire.</p>				
8	Have there been any other court actions of CITES-related violations?		<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
9	See 7 above and Annex 5.1. to this Questionnaire.				
10	How were the confiscated specimens generally disposed of?		Tick if applicable		
	– Return to country of export				<input type="checkbox"/>
	– Public zoos or botanical gardens				<b>Y</b>
	– Designated rescue centres				<b>Y</b>
	– Approved, private facilities				<b>Y</b>
	– Euthanasia				<input type="checkbox"/>

	– Other (specify) <input type="checkbox"/>		
	Comments: Live specimens are most often placed in rescue centers, zoos and botanical gardens. Nonliving specimens are offered by the state to museums and other scientific collections. The Czech Republic does not sell confiscated specimens, but only donates them for non-commercial purposes.		
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes No Not applicable No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: The information provided to the Interpol and Secretariat by the Ecomessages and to the EU by the EU-TWIX database.		
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
13	If Yes, please give a brief description: Participation in the EU Enforcement Group on CITES. The Czech Republic is active in the Interpol Wildlife Working Group and the EU-TWIX Advisory Group. The Czech Environmental Inspectorate has close co-operation with enforcement authorities in e.g. the Netherlands, Brazil, New Zealand, USA, Germany, Austria, United Kingdom, Slovakia etc. Special joint "Operation Lora" with Brazil, Germany and Portugal resulted in arresting several people in the Czech Republic for illegal trade in parrots (see case KRPB-14993 in Annex 5.1. to this Questionnaire).		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken:		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Ministry of the Environment (No. 1 <sup>o</sup> MA in the CITES Directory).		
5	How many staff work in each MA? Ministry of the Environment - Office of the CITES Management Authority: 4. Other MA (see Chapter 1 on administrative structure of this Report): There is at least one officer responsible for the CITES at each office, but mostly as a part-time job because those officers have other responsibilities as well.		

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p>Ministry of the Environment - Office of the CITES Management Authority: 3 officers 100%, 1 officer 30%</p> <p>See also 5</p>	<p>Yes <b>Y</b></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
7	<p>What are the skills/expertise of staff within the MA(s)?</p> <p>– Administration</p> <p>– Biology</p> <p>– Economics/trade</p> <p>– Law/policy</p> <p>– Other (specify) – Environment protection</p> <p>– No information</p>	<p>Tick if applicable</p> <p><input type="checkbox"/></p> <p><b>Y</b></p> <p><input type="checkbox"/></p> <p><b>Y</b></p> <p><b>Y</b></p> <p><input type="checkbox"/></p>
8	<p>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</p>	<p>Yes <input type="checkbox"/></p> <p>No <b>N</b></p> <p>No information <input type="checkbox"/></p>
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p>	
10	<p>Please provide details of any additional measures taken</p>	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>				
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>				
4	What is the structure of the SA(s) in your country?	Tick if applicable					
	– Government institution		<b>Y</b>				
	– Academic or research institution		<input type="checkbox"/>				
	– Permanent committee		<input type="checkbox"/>				
	– Pool of individuals with certain expertise		<input type="checkbox"/>				
	– Other (specify)		<input type="checkbox"/>				
5	How many staff work in each SA on CITES issues? The Agency for Nature Conservation and Landscape Protection of the Czech Republic: 4 “CITES officers”+ case by case other staff of the Agency and independent experts.						
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>				
	If yes, please give estimation: 4 officers 100%, the others only partially.						
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	– Botany		<b>Y</b>				
	– Ecology		<b>Y</b>				
	– Fisheries		<input type="checkbox"/>				
	– Forestry		<input type="checkbox"/>				
	– Welfare		<input type="checkbox"/>				
	– Zoology		<b>Y</b>				
	– Other (specify)		<input type="checkbox"/>				
	– No information		<input type="checkbox"/>				
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>				
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>				
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Česká inspekce životního prostředí (Czech Environmental Inspectorate), Odbor mezinárodní ochrany biodiverzity a CITES (Department for the International Protection of Biodiversity and CITES) (see Part 1 on Administrative structure for details)		
5	Please provide details of any additional measures taken:		

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	–	Monitoring and reporting of data on legal trade				<b>Y</b>	
	–	Monitoring and reporting of data on illegal trade				<b>Y</b>	
	–	Permit issuance				<b>Y</b>	
	–	Not at all				<input type="checkbox"/>	
	–	Other (specify)				<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? Yes No No information <b>Y</b> <input type="checkbox"/> <input type="checkbox"/>						



4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<b>Y</b>
	– Conservation status (national, regional, international)?			<b>Y</b>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<b>Y</b>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL: National - <a href="http://www.mzp.cz/cites">http://www.mzp.cz/cites</a> , <a href="http://www.cizp.cz">www.cizp.cz</a> , <a href="http://www.nature.cz">www.nature.cz</a> European Union - <a href="http://ec.europa.eu/environment/cites/home_en.htm">http://ec.europa.eu/environment/cites/home_en.htm</a> , <a href="http://www.unep-wcmc-apps.org/eu/taxonomy/">http://www.unep-wcmc-apps.org/eu/taxonomy/</a>			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<b>Y</b>	<b>Y</b>	<b>Y</b>
	<i>2003 + 2008 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<b>Y</b>	<b>Y</b>	<b>Y</b>
	<i>Identification Manual</i>	<b>Y</b>	<b>Y</b>	<b>Y</b>
	<i>CITES Handbook</i>	<b>Y</b>	<b>Y</b>	<b>Y</b>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<b>Y*</b>
	– Seizures and confiscations?			<b>Y</b>
	– Discrepancy in number of items in permit and number of items actually traded?			<b>Y</b>
	*Comments: Mortality in transport is reported only during checks on import/export by customs on CITES permits.			
9	Is there a government website with information on CITES and its requirements?	Yes		<b>Y</b>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.mzp.cz/cites">www.mzp.cz/cites</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<b>Y</b>
	– Newspaper articles, radio/television appearances			<b>Y</b>
	– Brochures, leaflets			<b>Y</b>
	– Presentations			<b>Y</b>
	– Displays			<b>Y</b>
	– Information at border crossing points			<b>Y</b>
	– Telephone hotline			<b>Y*</b>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken: *Czech Environmental Inspectorate operates a "Green Hotline 800 011 011".			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?				Yes	<b>Y</b>
					No	<input type="checkbox"/>
					Not applicable	<input type="checkbox"/>
If no, please provide details of any:				No information		
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1 (2009)	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	507	195	12		
	How many applications were denied because of severe omissions or mis-information?					Total No.: 9 denied applications
	Year 2 (2010)	534	218	30		
	How many documents were issued?					
	How many applications were denied because of severe omissions or mis-information?					Total No.: 12 denied applications
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<b>Y</b>
					No	<input type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this. Import permits No. 09CZ019931, 09CZ019932 and 10CZ020607 for Pancake Tortoises ( <i>Malacochersus tornieri</i> ) from Tanzania were retrospectively amended in 2011 in order to restrict the purpose for scientific, breeding, research or educational reasons from which conservation benefits will accrue to the species <i>Malacochersus tornieri</i> . The species is in Annex A to Regulation (EC) No 338/97 and Appendix II, but the import was mistakenly allowed for commercial purposes which was contrary to Art. 4(1)(d) of the Regulation (EU stricter measure).					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input type="checkbox"/>
					No	<b>N</b>
					No information	<input type="checkbox"/>
Comments: The Czech Republic does not normally export specimens taken from						

	the wild and does not have any export quotas (with the exception of EU's export quotas for <i>Anguilla anguilla</i> valid from 3 December 2010 until the end of 2011). The export quotas of the third countries are considered when allowing import into the Czech R./European Union.	
8	How many times has the Scientific Authority been requested to provide opinions? For every application for a CITES document	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: – Licensing or registration of operations that produce CITES species: – Harvesting of CITES-listed species : – Use of CITES-listed species: – Assignment of quotas for CITES-listed species: – Importing of CITES-listed species: – Other (specify):	Tick if applicable  <b>Y</b> <sup>1</sup> <b>Y</b> <sup>2</sup> <b>N</b> <b>N</b> <b>N</b> <b>N</b> <input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <sup>1</sup> CZK 1 000 (ca EUR 40) per one application for CITES documents <sup>2</sup> CZK 10 000 (ca EUR 400) per application for a license for a caviar processing or packaging plant.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant:  Comments: The collected fees go to the State Budget, from which the CITES administration is paid. However, the fees do not cover all cost by the Ministry of Environment with the CITES agenda.	Tick if applicable  <input type="checkbox"/> <b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?						Tick if applicable
	Increased budget for activities	<b>N</b>	Improvement of national networks			<b>Y</b>	
	Hiring of more staff	<b>N</b>	Purchase of technical equipment for monitoring/enforcement			<b>N</b>	
	Development of implementation tools	<b>Y</b>	Computerisation			<b>Y</b>	
	– Other (specify)					<input type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Y</b>	<input type="checkbox"/>	Mostly from the EU Commission and Member States and TRAFFIC
	Staff of Scientific Authority	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Y</b>	<input type="checkbox"/>	“”

	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	TRAFFIC, ZOO, experts, abroad enforcement auth.
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Regular training for the staff.
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p>CITES committees and working groups by the European Commission.</p> <p>On national level, there is a standing working group on enforcement composed by the Customs and the Czech Environmental Inspectorate.</p>		

3	<p>If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <p>(1) Regular weekly consultations of the MA with the SA on new applications for permits.</p> <p>(2) Half-day joint “CITES meetings” of the Ministry of the Environment (MA), the Agency for Nature Conservation and Landscape Protection (SA), the Czech Environmental Inspectorate and the General Directorate of Customs are regularly organized approx. six times a year. Other authorities are invited to those meetings on case-by-case basis.</p> <p>(3) Twice a year, there are one-day meetings of the Ministry of Environment (principal MA) with other regional MAs.</p> <p>(4) The Czech Environmental Inspectorate organizes special one-day meetings on CITES enforcement for its wildlife inspectors once or twice a year.</p> <p>(5) The Czech Environmental Inspectorate organizes special 3-days workshops for its wildlife inspectors, Customs, Police, prosecutors and other experts once a year. Meetings are usually connected with a CITES training.</p> <table border="1" data-bbox="263 660 1444 853"> <thead> <tr> <th></th> <th>Daily</th> <th>Weekly</th> <th>Monthly</th> <th>Annually</th> <th>None</th> <th>No information</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><b>Y 2)</b></td> <td><b>Y 3) 4) 5)</b></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td><input type="checkbox"/></td> <td><b>Y 1)</b></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<b>Y 2)</b>	<b>Y 3) 4) 5)</b>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<b>Y 1)</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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Workshops	<b>Y</b>																								

	Meetings		<b>Y</b>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <b>N</b>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. Biodiversity-related Conventions are administered by the same Department of the Ministry of the Environment.		
15	Please provide details of any additional measures taken:		

#### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?				
		Activity	High	Medium	Low
		Increased budget for activities	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
		Hiring of more staff	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
		Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<b>Y</b>
		Improvement of national networks	<input type="checkbox"/>	<b>Y</b>	<input type="checkbox"/>
		Purchase of new technical equipment for monitoring and enforcement	<b>Y</b>	<input type="checkbox"/>	<input type="checkbox"/>
		Computerisation	<input type="checkbox"/>	<b>Y</b>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>	
		No		<b>N</b>	
		No information		<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?				
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>	
		No		<b>N</b>	
		No information		<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.				
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>	
		No		<b>N</b>	
		No information		<input type="checkbox"/>	
7	If Yes, please give a brief description.				

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<b>Y<sup>1</sup></b>
	<sup>1</sup> English translations of CITES-relevant legislation are at <a href="http://www.mzp.cz/cz/cites_informace_cizinec">http://www.mzp.cz/cz/cites_informace_cizinec</a>		
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<b>Y<sup>2</sup></b>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<b>Y<sup>2</sup></b>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	<sup>2</sup> See 5.1. Annex to this Questionnaire.		
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<b>Y<sup>3</sup></b>
	<sup>3</sup> Most of them are available from our web site. See Part 4 of this Report: Selection list of documents and publications for the public on CITES issued by the Ministry of the Environment and other CITES bodies in the Czech Republic		

## PART 2 - SUPPLEMENTARY QUESTIONS<sup>1</sup>

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date: Draft Act on amendment of the Act No. 100/2004 Coll. on the protection of species of wild fauna and flora by regulating trade therein and on further measures for protection of these species and on amendment of several acts (Act on trade in endangered species).			Status: Approved by the Government on 30 March 2011 and submitted to the Parliament. Under consideration by the Parliament.			
	Brief description of contents: Implementation of (1) Regulation (EC) No 1007/2009 of the European Parliament and of the Council of 16 September 2009 on trade in seal products and (2) Commission Regulation (EU) No 737/2010 of 10 August 2010 laying down detailed rules for the implementation of Regulation (EC) No 1007/2009.						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
	Issue	The conditions for:			The complete prohibition of:		
		Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	N	<input type="checkbox"/>	<input type="checkbox"/>	N	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			

<sup>1</sup> Part 2 agreed at COM 45

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<b>N</b>	<input type="checkbox"/>
Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<b>N</b>	<input type="checkbox"/>
Please provide details if available:			
9b	Please provide the following details about Regulations-related violations: i) Maximum penalties that may be imposed; ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).		

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; For details see Annex 5.1. to this Questionnaire.		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
	Comments: In our previous report for 2007-2008, there was answer "Yes" because we regarded our very detailed national legislation for CITES (see 1.B) as a <i>de-facto</i> national action plan for enforcement. Now we are discussing if there is a need for a separated action plan for enforcement with objectives going beyond the national law and with timeframes, regular harmonization and review. The national CITES law was thoroughly reviewed in 2010.		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<b>Y*</b> <input type="checkbox"/> <input type="checkbox"/>
	*Comments: Generally yes (e.g. the Czech Environmental Inspectorate (CEI) has special equipment for handling and catching of live animals, specialised literature, PCs, cars etc.), but there are partial problems: - DNA analysis. In the Czech Republic there is no laboratory able to do the analysis for CITES specimens. In Europe there are only few ones. Their results are often not accepted by courts, because the laboratories do not give detailed methodology and description of the analysis. - Financial and personal resources. Number of CITES inspectors at the CEI has been decreased by one third since 2006, namely from 18 to 12 persons today. Ca one half from these twelve people does work for CITES only partially. The number of CITES inspectors is not sufficient for controls on the territory of the Czech Republic. The salaries of CITES inspectors are below the average salary in the Czech Republic. There are no sufficient financial resources for CITES controls and risk analysis (no money for analytical software, the CEI does not have an analytical expert etc.).		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input type="checkbox"/> <b>N*</b> <input type="checkbox"/>

	*Comments: In our previous report for 2007-2008, there was answer "Yes" because our laws [Act on offences and Act on trade in endangered species (CITES)] prescribe general conditions that penalties must take into account gravity of the offence, importance and extent of consequences thereof. However, the penalties are often very low in practice and do not take into account the market and conservation value of the specimens.		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	Y* <input type="checkbox"/> <input type="checkbox"/>
	*Comments: It was carried out for enforcement agencies mainly, not for b) prosecution services, and c) the judiciary.		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes No No information	<input type="checkbox"/> N* <input type="checkbox"/>
	*Comments: Checks are carried irregularly. Enforcement agencies do not have enough staff for regular checks. CITES and wildlife crime are not priority.		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II h.)	Yes No No information	<input type="checkbox"/> N* <input type="checkbox"/>
	*Comments: General risk and intelligence analysis is used by customs at border crossing points, but for CITES not systematically and only rarely. Other risk and intelligence assessment is missing.		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II i.)	Yes No No information	Y <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes No No information	Y <input type="checkbox"/> <input type="checkbox"/>
	Comments: Only occasionally. There is a problem with sharing of information officially (rules for protection of personal data and different laws in individual Member States). There are problems with official international sharing of information – exchange of information from investigation is very often blocked by strict or different national rules and laws for protection of personal and sensitive data. Other problem is low priority of CITES and wildlife crime in general.		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes No No information	<input type="checkbox"/> N <input type="checkbox"/>
	Comments:		
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III k.)	Yes No No information	Y <input type="checkbox"/> <input type="checkbox"/>
	Comments: Problem with sharing of information officially. See Comments 27		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III l.)	Yes No No information	<input type="checkbox"/> N <input type="checkbox"/>
	Comments:		

## D. Administrative measures

### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<b>N</b>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<b>N</b>
		<i>Not applicable</i>	<b>+</b>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<b>N</b>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	8 (4+4)				
	Indicate any difficulties that rendered attendance to the SRG difficult:						

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<b>Y</b>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<b>Y</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 95 % No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <i>Up to now, the Czech Republic does not implement simplified procedures (pre-issued permits and certificates) in accordance with Articles 18 and 19.</i>	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <i>At the end of 2010, there were no scientific institutions registered in accordance with Article 60. (We apologize for the false information in 2007-8 Biennial Report. At the end of 2010, there were 10 scientific institutions from the Czech Republic registered by the Secretariat for the purpose of derogation in accordance with Article 7.4 of Council Regulation (EC) 338/97).</i>	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <i>Up to now, the Czech Republic does not implement simplified procedures (pre-issued certificates) in accordance with Articles 63.</i>	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <i>Up to now, the Czech Republic has not licensed any caviar (re-)packaging plant in accordance with Articles 64.</i>	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. See the introductory part of this Report -1. ADMINISTRATIVE STRUCTURE – 1.2. ADDITIONAL MANAGEMENT AUTHORITIES COMPETENT TO ISSUE PHYTOSANITARY CERTIFICATES INSTEAD OF CITES CERTIFICATES FOR EXPORT OF ARTIFICIALLY PROPAGATED PLANTS	Yes No No information	<b>Y</b> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>

### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <b>N</b> <input type="checkbox"/>

# DENMARK

### A. General information

Party	Denmark
Period covered in this report: 1 January 2009 to 31 December 2010	
Details of agency preparing this report	Danish Nature Agency
Contributing agencies, organizations or individuals	

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes, partly <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Taking	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Possession	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Transport	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments						

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input type="checkbox"/>
			No information	<input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	X	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	X	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	X	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	X	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	X	<input type="checkbox"/>
	Inspections of traders, producers, markets	X	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	X	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X	<input type="checkbox"/>	<input type="checkbox"/>



5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p>X Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p>	<p>Number</p> <p><input type="checkbox"/></p> <p>139</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			X
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments: Parts and derivatives are not included in the answer			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		X
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: None significant cases			
12	Has your country been involved in cooperative enforcement activities with other countries	Yes		<input type="checkbox"/>
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No		X
		No information		
13	If Yes, please give a brief description:			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		X
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		X
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
17	Please provide details of any additional measures taken: An enforcement Plan is under preparation			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. The Nature Agency as mentioned in the CITES Directory.	
5	How many staff works in each MA? 12 persons, 9 part-time	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation  Apr. 625% = 5,25 fulltime staff	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.							
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?		Tick if applicable					
	– Government institution		<input type="checkbox"/>					
	– Academic or research institution		<input checked="" type="checkbox"/>					
	– Permanent committee		<input type="checkbox"/>					
	– Pool of individuals with certain expertise		<input type="checkbox"/>					
	– Other (specify)		<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues?							
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)?		Tick if applicable					
	– Botany		<input checked="" type="checkbox"/>					
	– Ecology		<input checked="" type="checkbox"/>					
	– Fisheries		<input type="checkbox"/>					
	– Forestry		<input type="checkbox"/>					
	– Welfare		<input checked="" type="checkbox"/>					
	– Zoology		<input checked="" type="checkbox"/>					
	– Other (specify)		<input type="checkbox"/>					
	– No information		<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
							No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
11	Please provide details of any additional measures taken:							

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
			No information <input type="checkbox"/>	
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	–	Monitoring and reporting of data on legal trade				<input checked="" type="checkbox"/>	
	–	Monitoring and reporting of data on illegal trade				<input checked="" type="checkbox"/>	
	–	Permit issuance				<input checked="" type="checkbox"/>	
	–	Not at all				<input type="checkbox"/>	
	–	Other (specify)				<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/></span>						
	<span style="float: right;">No <input type="checkbox"/></span>						
	<span style="float: right;">No information <input type="checkbox"/></span>						

4	If Yes, does it provide information on:	Tick if applicable	
	– Legislation (national, regional or international)?		X
	– Conservation status (national, regional, international)?		X
	– Other (please specify)?		<input type="checkbox"/>
5	Is it available through the Internet:	Yes	X
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Please provide URL: <a href="http://naturstyrelsen.dk/Naturbeskyttelse/Handel_med_truede_arter/">http://naturstyrelsen.dk/Naturbeskyttelse/Handel_med_truede_arter/</a>		
6	Do the following authorities have access to the following publications?	Tick if applicable	
	Publication	Management Authority	Scientific Authority
		Enforcement Authority	
	<i>2003 Checklist of CITES Species</i> (book)	x	x
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	x	x
	<i>Identification Manual</i>	x	x
	<i>CITES Handbook</i>	x	x
7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		X
	– Seizures and confiscations?		X
	– Discrepancy in number of items in permit and number of items actually traded?		X
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://naturstyrelsen.dk/Naturbeskyttelse/Handel_med_truede_arter/">http://naturstyrelsen.dk/Naturbeskyttelse/Handel_med_truede_arter/</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		x
	– Newspaper articles, radio/television appearances		x
	– Brochures, leaflets		x
	– Presentations		x
	– Displays		x
	– Information at border crossing points		<input type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken: Participation at trade fairs		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?			Yes	<input checked="" type="checkbox"/>	
				No	<input type="checkbox"/>	
				Not applicable	<input type="checkbox"/>	
			No information	<input type="checkbox"/>		
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date has your country developed written permit procedures for any of the following?			Tick if applicable		
				Yes	No	No information
	Permit issuance/acceptance			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Registration of producers			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	894	312	170		
How many applications were denied because of severe omissions or mis-information?						
	Year 2	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	978	239	239		
	How many applications were denied because of severe omissions or mis-information?					
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?			Yes	<input type="checkbox"/>	
				No	<input checked="" type="checkbox"/>	
				No information	<input type="checkbox"/>	
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input checked="" type="checkbox"/>	
				No	<input type="checkbox"/>	
				No information	<input type="checkbox"/>	
Comments						
8	How many times has the Scientific Authority been requested to provide opinions?			Aprs. 10 times		
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable		
	– Issuance of CITES documents:			<input type="checkbox"/>		

	<ul style="list-style-type: none"> <li>– Licensing or registration of operations that produce CITES species: <input type="checkbox"/></li> <li>– Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>– Use of CITES-listed species: <input type="checkbox"/></li> <li>– Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>– Importing of CITES-listed species: <input type="checkbox"/></li> <li>– Other (specify): <input type="checkbox"/></li> </ul>
10	If Yes, please provide the amounts of such fees.
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Entirely: <input type="checkbox"/></li> <li>– Partly: <input type="checkbox"/></li> <li>– Not at all: <input type="checkbox"/></li> <li>– Not relevant: <input type="checkbox"/></li> </ul> <p>Comments:</p>
12	Please provide details of any additional measures taken:

**D6 Capacity building**

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span></p>						
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>			
	Hiring of more staff		Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>			
	– Other (specify)			<input type="checkbox"/>			
2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p>						
	<p>Please tick boxes to indicate which target group and which activity.</p> <p>Target group</p>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	X	X	<input type="checkbox"/>	X	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	<input type="checkbox"/>
							No	x
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>		x	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	X		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					X		
	NGOs					<input type="checkbox"/>		
	Other (specify)					X		Veterinarian Service,



5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		X
	Customs		X
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		
	Meetings		X
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	 X <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. Coordination with colleagues.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	X
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?		Yes	<input type="checkbox"/>
			No	X
			No information	<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?		Yes	<input type="checkbox"/>
			No	X
			No information	<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?		Yes	X
			No	<input type="checkbox"/>
			No information	<input type="checkbox"/>
7	If Yes, please give a brief description. Exempt small amount of dead stony corals from CITES.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	x
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	x	<input type="checkbox"/>	<input type="checkbox"/>	x	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	x	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	x
		No <input checked="" type="checkbox"/>	
		No information <input type="checkbox"/>	
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	x
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments: Mainly.		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/>	X
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/>	
		No <input checked="" type="checkbox"/>	X
		No information <input type="checkbox"/>	
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input checked="" type="checkbox"/>	X
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	If yes, please provide details.		
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/>	X
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	x
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments: Only for a), not possible for b) and c), very few cases annually.		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes <input checked="" type="checkbox"/>	X
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In	Yes <input type="checkbox"/>	
		No <input type="checkbox"/>	

	accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	No information	<input type="checkbox"/>
	Comments: Done together with other checks.		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

## D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	all				
	Indicate any difficulties that rendered attendance to the SRG difficult:						

## D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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## D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	– Annex D listed species	<input type="checkbox"/>		
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

## D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.			
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ....%	<input type="checkbox"/>	No information <input checked="" type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please attach.			
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details.			

16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	 x <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> x <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. None in Denmark	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Done in cooperation with the importing Party	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>



# ESTONIA

### A. General information

Party	Estonia
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	Ministry of the Environment Narva mnt 7a 15172 Tallinn Estonia
Contributing agencies, organizations or individuals	Estonian Tax and Customs Board Environmental Inspectorate Estonian SA

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) Yes (partly) No No information/unknown	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <p>1. Regulation No. 38 of the Minister of the Environment of 27 July 2009 "Procedure for Labelling Caviar Containers and Approval of Standard Format for Reports of Holders of Caviar Handling Licences Date: 27.07.2009 (adopted) Brief description of contents: The Regulation is established on the basis of relevant subsection (59<sup>1</sup> (9)) of the Nature Conservation Act. This Regulation establishes the procedure for the labelling of caviar containers and approves the standard format for labels and for the reports of holders of caviar handling licences.</p> <p>2. Amendment of the Regulation No. 63 of the Minister of the Environment of 20 December 2007 "List of animal species specified in Annexes to Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, the live specimens of which are permitted to be killed" Date: 08.05.2009 (adopted) Brief description of contents: European eel (<i>Anguilla anguilla</i>) was added into this list.</p> <p>3. Amendment of the Regulation No 213 of the Government of the Republic of 10 June 2004 "Customs Offices and Customs Authorities Designated for Import and Export of Endangered Animal Species and Plant Species" Date: 01.10.2010 (adopted) Brief description of contents: Amendment of the list of Customs Offices</p> <p>4. Amendment of the Regulation No 69 "Computation procedure of and compensation rates for environmental damages caused by destroying or damaging of protected natural objects or species or other species of birds and mammals". Date: 26.12.2009 (adopted) Brief description of contents: Amendment of the compensation rates</p>		
	Title and date:	Status:	
	Brief description of contents:		
3	Is enacted legislation available in one of the working languages of the Convention?	Yes (partly) No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously	<input checked="" type="checkbox"/> <input type="checkbox"/>

	not available, will send later <input checked="" type="checkbox"/>					
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable					
	The conditions for:			The complete prohibition of:		
Issue	Yes	No	No information	Yes	No	No information
Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments						

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	
				No <input type="checkbox"/>	
			No information <input type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Please provide details if available:				
9 Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations?			
	5 misdemeanors (details are included in the attachment: Violations/ confiscations in Estonia 2009-2010)			

4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number <input checked="" type="checkbox"/> 3 <input checked="" type="checkbox"/> 15		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of? <span style="float: right;">Tick if applicable</span>			
	– Return to country of export	<input type="checkbox"/>		
	– Public zoos or botanical gardens	<input type="checkbox"/>		
	– Designated rescue centres	<input type="checkbox"/>		
	– Approved, private facilities	<input type="checkbox"/>		
	– Euthanasia	<input type="checkbox"/>		
	– Other – retained as samples (collection of confiscated goods for training), destruction	<input checked="" type="checkbox"/>		
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments: Eu-Twix, Environet			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description: Exchange of intelligence. Customs collaborate with police and customs of different countries (e.g. joint operations with neighbouring countries. Also cooperation with international bodies (WCO, Europol etc.) is going on.			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			

17	Please provide details of any additional measures taken:
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### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? 1 person		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: approximately 50 %	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.							
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable						
	– Government institution		<input type="checkbox"/>					
	– Academic or research institution		<input type="checkbox"/>					
	– Permanent committee		<input type="checkbox"/>					
	– Pool of individuals with certain expertise		<input checked="" type="checkbox"/>					
	– Other (specify)		<input checked="" type="checkbox"/>					
5	How many staff work in each SA on CITES issues? One person works permanently. Scientific Committee consist of 5 persons (work in case of need).							
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable						
	– Botany		<input checked="" type="checkbox"/>					
	– Ecology		<input checked="" type="checkbox"/>					
	– Fisheries		<input type="checkbox"/>					
	– Forestry		<input type="checkbox"/>					
	– Welfare		<input type="checkbox"/>					
	– Zoology		<input checked="" type="checkbox"/>					
	– Other (specify)		<input type="checkbox"/>					
	– No information		<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
							No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No	<input type="checkbox"/> <input checked="" type="checkbox"/>					

	No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?					Tick if applicable	
	– Monitoring and reporting of data on legal trade					<input checked="" type="checkbox"/>	
	– Monitoring and reporting of data on illegal trade					<input checked="" type="checkbox"/>	
	– Permit issuance					<input checked="" type="checkbox"/>	
	– Not at all					<input type="checkbox"/>	
	– Other (specify)					<input type="checkbox"/>	
2	Do the following authorities have access to the Internet?					Tick if applicable	
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? We use EU Wildlife Trade Reference Database					Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>



		No information		
4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<input type="checkbox"/>
	– Conservation status (national, regional, international)?			<input type="checkbox"/>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL:			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?			<input type="checkbox"/>
	Comments:			
9	Is there a government website with information on CITES and its requirements?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.envir.ee/1091391">http://www.envir.ee/1091391</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input checked="" type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input checked="" type="checkbox"/>
	– Information at border crossing points			<input checked="" type="checkbox"/>
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items as Annex.			

11	Please provide details of any additional measures taken:
----	--

**D5 Permitting and registration procedures**

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p>If no, please provide details of any:</p> <p style="margin-left: 20px;">Changes in permit format:</p> <p style="margin-left: 20px;">Changes in designation or signatures of relevant officials:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																					
2	<p>To date, has your country developed written permit procedures for any of the following?</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 20%;">No information</th> </tr> </thead> <tbody> <tr> <td>Permit issuance/acceptance</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Registration of traders</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Registration of producers</td> <td style="text-align: center;"><input checked="" type="checkbox"/> (for caviar)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	No information	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Registration of producers	<input checked="" type="checkbox"/> (for caviar)	<input type="checkbox"/>	<input type="checkbox"/>	<p>Tick if applicable</p>																					
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Registration of producers	<input checked="" type="checkbox"/> (for caviar)	<input type="checkbox"/>	<input type="checkbox"/>																																				
3	<p>Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 25%;">Year 1</th> <th style="width: 10%;">Import or introduction from the sea</th> <th style="width: 10%;">Export</th> <th style="width: 10%;">Re-export</th> <th style="width: 10%;">Other</th> <th style="width: 35%;">Comments</th> </tr> </thead> <tbody> <tr> <td>How many documents were issued?</td> <td style="text-align: center;">40</td> <td style="text-align: center;">11</td> <td style="text-align: center;">4</td> <td style="text-align: center;">14</td> <td>Other: EU certificates</td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: center;">Year 2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>How many documents were issued?</td> <td style="text-align: center;">58</td> <td style="text-align: center;">17</td> <td style="text-align: center;">2</td> <td style="text-align: center;">20</td> <td>Other: EU certificates</td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>			Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	How many documents were issued?	40	11	4	14	Other: EU certificates	How many applications were denied because of severe omissions or mis-information?						Year 2						How many documents were issued?	58	17	2	20	Other: EU certificates	How many applications were denied because of severe omissions or mis-information?					
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4	<p>Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																					
5	<p>If Yes, please give the reasons for this.</p>																																						
6	<p>Please give the reasons for rejection of CITES documents from other countries.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 55%;">Reason</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 25%;">No information</th> </tr> </thead> <tbody> <tr> <td>Technical violations</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Suspected fraud</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Insufficient basis for finding of non-detriment</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Insufficient basis for finding of legal acquisition</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Reason	Yes	No	No information	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>Tick if applicable</p>													
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																				
7	<p>Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p>																																					

		No information <input type="checkbox"/>
	Comments	
8	How many times has the Scientific Authority been requested to provide opinions? Frequently	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	– Issuance of CITES documents:	<input type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>
	– Harvesting of CITES-listed species :	<input type="checkbox"/>
	– Use of CITES-listed species:	<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	– Importing of CITES-listed species:	<input type="checkbox"/>
	– Other (specify):	<input checked="" type="checkbox"/>
10	If Yes, please provide the amounts of such fees. A State fee shall be paid for the issue of the official label for the container of caviar (500 labels/320 eur)	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely:	<input checked="" type="checkbox"/>
	– Partly:	<input type="checkbox"/>
	– Not at all:	<input type="checkbox"/>
	– Not relevant:	<input type="checkbox"/>
	Comments:	
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable																		
	Increased budget for activities	<input type="checkbox"/> Improvement of national networks <input checked="" type="checkbox"/>																		
	Hiring of more staff	<input type="checkbox"/> Purchase of technical equipment for monitoring/enforcement <input checked="" type="checkbox"/>																		
	Development of implementation tools	<input checked="" type="checkbox"/> Computerisation <input type="checkbox"/>																		
	– Other (specify)	<input type="checkbox"/>																		
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?																			
	Please tick boxes to indicate which target group and which activity.																			
	Target group	<table border="1"> <thead> <tr> <th>Oral or written advice/guidance</th> <th>Technical assistance</th> <th>Financial assistance</th> <th>Training</th> <th>Other (specify)</th> <th>What were the external sources?</th> </tr> </thead> <tbody> <tr> <td>Staff of Management Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of Scientific Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?															
Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																
Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																

Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?  Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Seminars and trainings (CITES implementation) for customs officers and environmental inspectors
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	* Lectures in Academy of Security Sciences (2009 and 2010)  * Lectures for schoolchilds (2009 and 2010);  * Presentation in Tourest 2009 and 2010 (Travel Trade Fair) in Tallinn (CITES exhibition and presentation of collection of confiscated goods);
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):		
	Daily	Weekly	Monthly
	Annually	None	No information
	Other (specify)		
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	Collaboration with: *State Agency of Medicines *The Veterinary and Food Board
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	
	Local authorities or communities	<input type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	Consultations and discussions with different private companies and NGO-s
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable	
	SA	<input type="checkbox"/>	
	Customs	<input type="checkbox"/>	
	Police	<input type="checkbox"/>	
	Other border authorities (specify) between customs and environmental inspectorate	<input type="checkbox"/>	
	Other government agencies	<input type="checkbox"/>	
	Private sector bodies	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
6	Has your country participated in any regional activities related to CITES?	Tick if applicable	

	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
		High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	

5	If Yes, please describe the constraint and the type of attention or assistance that is required.		
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		



### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						
	<b>Sanctions</b>						
	<b>Nature Conservation Law</b>						
	violation of the requirements for use or protection of protected objects - fine up to 1150 EUR or arrest; The same violation, if this is committed by legal person – fine up to 3200 EUR						
	<b>Penal Code</b>						
	* Violation of the requirements for the use or protection of a protected natural object, if significant damage is thereby caused to the protected natural object, is punishable by a pecuniary punishment or up to 5 years' imprisonment.						

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

The same act, if significant damage is thereby caused to the protected natural object through negligence, is punishable by a pecuniary punishment or up to 3 years' imprisonment or  
The same acts, if committed by a legal person, is punishable by a pecuniary punishment

\* Violation of the requirements for hunting, catching or other utilisation of wild game, fish or other wild fauna, if significant damage is thereby caused to the environment, or unlawfully organising the hunting or catching of wild game, fish or other wild fauna, is punishable by a pecuniary punishment or up to 3 years' imprisonment.  
The same act, if committed by a legal person, is punishable by a pecuniary punishment

\* A person who, while carrying goods to be declared across the customs frontier, conceals the goods from the customs control or evades customs control, fails to declare the goods, declares the goods under an incorrect commodity code or using a false description, submits falsified documents, or uses any other fraud, if the object of the act is a large quantity of goods or a punishment for a misdemeanour has been imposed on the offender for the same act, shall be punished by a pecuniary punishment or up to 3 years' imprisonment.

The same act, if committed:

1) by an official taking advantage of his or her official position, or

2) by a group,

is punishable by 1 to 5 years' imprisonment.

A court may, pursuant to the provisions of this Code, apply confiscation of a substance or object which was the direct object of the commission of an offence provided for in this section.

#### **Customs Act**

The conveyance of goods which are subject to prohibitions and restrictions without a mandatory document or register entry, from a third country to Estonia or from Estonia to a third country, the carriage of forbidden goods from a third country to Estonia or from Estonia to a third country, or the declaration of such goods for customs-approved treatment or use is punishable by a fine of up to 1150 EUR or by detention.

The same act, if committed by a legal person, is punishable by a fine of up to 3200 EUR

#### **Animal Protection Act**

Violation of the requirements for the keeping of animals is punishable by a fine of up to 200 fine units (770 EUR)

The same act, if committed by a legal person, is punishable by a fine of up to 3200 EUR

Commission of a prohibited act with respect to an animal is punishable by a fine of up to 200 fine units (770 EUR)

The same act, if committed by a legal person, is punishable by a fine of up to 3200 EUR

Violation of the requirements for the transport of animals is punishable by a fine of up to 200 fine units (770 EUR).

The same act, if committed by a legal person, is punishable by a fine of up to 3200 EUR

The conduct of an animal experiment without a permit or violation of the requirements for the conduct of animal experiments is punishable by a fine of up to 200 fine units (770 EUR).

The same act, if committed by a legal person, is punishable by a fine of up to 3200 EUR

#### **Regulation of the Government 08.04.2005 No 69 Computation procedure of and compensation rates for environmental damages caused by destroying or damaging of protected natural objects or species or other species of birds and mammals.**

In the case of infringement of rules established under EC Reg. No. 338/97 for transactions and operations with specimens of species listed in Annexes A-D of the same Regulation, compensation for environmental damages will be up to 65 000 EUR, depending on the level of threatenedness and on the market value of the specimen concerned.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; 447,38 EUR ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II h.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	5	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species? We use EU Wildlife Trade Reference Database	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 70 %	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>



# FINLAND



6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
Please provide details if available: An assessment of the national nature conservation legislation was conducted in 2010.					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input type="checkbox"/>
			No information	<input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				
	No permits or certificates are issued for live animals listed as category Annex A in the EU CITES regulations (= all CITES Appendix I species + some Appendix II) unless the specimen is permanently marked (if animal too small for marking: the animal must be marked as soon as possible).				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p>35 cases, where CITES goods were intercepted by Customs since they lacked the required CITES documents (3 of these were in transit to Russia, the rest were meant for the Finnish/EU market).</p>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p> <p>The typical confiscation involved reptile items sent in postal packages. Significant confiscations: 2 TCM transit shipments (2010 China-Russia), 7 kg of whale meat (2009 Norway-Finland) and a large herbarium with illegally collected plants.</p>		Number	
			4 cases	
			37 cases	
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	<p>If Yes, how many and for what types of violations? If available, please attach details as Annex.</p> <p>The owner of a large illegally collected herbarium, of which part were CITES specimens imported from neighbouring countries, was prosecuted.</p>			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	<p>If Yes, what were the violations involved and what were the results? Please attach details as Annex.</p> <p>4 court actions, which involved import of CITES goods without required documents (one fur company, one personal ebay fur coat purchase, one personal orchid import, one cosmetics company). Results: fines and forfeiture of goods.</p>			
10	<p>How were the confiscated specimens generally disposed of? Tick if applicable</p> <p>– Return to country of export <input type="checkbox"/></p> <p>– Public zoos or botanical gardens <input type="checkbox"/></p> <p>– Designated rescue centres <input type="checkbox"/></p> <p>– Approved, private facilities <input type="checkbox"/></p> <p>– Euthanasia <input type="checkbox"/></p> <p>– Other (specify): Some dead specimens are used for training purposes; the rest are stored by the Customs and later destroyed. <input checked="" type="checkbox"/></p> <p>Comments: There were no confiscations of live specimens during the reporting period</p>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Not applicable <input type="checkbox"/>
		No information <input type="checkbox"/>		
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
13	If Yes, please give a brief description:			

14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Not applicable <input type="checkbox"/>
			No information <input type="checkbox"/>	
	Comments:			
17	Please provide details of any additional measures taken: According to the Finnish Penal Code the maximum penalty for CITES violations is 2 years imprisonment per case (for several cases maximum 4 years). Where applicable, the so-called living value of the animal or plant involved is forfeited to the State (covers all native species protected under national Nature Conservation Act or national Hunting Act; almost all native mammals and birds are protected).			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	<p>If Yes, please use the opportunity to provide those changes here. [These corrections were sent to the Secretariat in May 2011]</p> <p>There are some spelling errors in the MA's details that should be corrected + new telephone number: Ympäristöministeriö (Ministry of the Environment) Luontoympäristöosasto (Department for Natural Environment) Kasarmikatu 25 P.O. Box 35 FI-00023 GOVERNMENT</p> <p>Tel: +358 20 610 100 Fax: +358 (9) 16 03 93 64 Email: citesma @ environment.fi</p> <p>Correct details of the other/permitting MA (phone, fax and email are correct in the CITES Directory): Suomen ympäristökeskus SYKE (Finnish Environment Institute) P.O. Box 140 FI-00251 Helsinki</p>			
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. The Ministry of the Environment is identified as the lead MA in the CITES Directory.			

5	How many staff work in each MA? Staff working with CITES: 2 staff in lead MA, 3 staff in the secondary MA Staff overall: ca 300 in lead MA and ca 670 in secondary MA		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: Lead MA 5% per year per staff, secondary/permitting MA 50-90 % per year per staff.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?		Tick if applicable
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

D2 *Scientific Authority (SA)*

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
2	<p>If Yes, please use the opportunity to provide those changes here.</p> <p>Luonnontieteellinen keskusmuseo, Helsingin yliopisto (Finnish Museum of Natural History, University of Helsinki) PO Box 17 FI-00014 University of Helsinki</p> <p>Animals: Olof Biström +358 (0)50 318 2326, email <a href="mailto:olof.bistrom@helsinki.fi">olof.bistrom@helsinki.fi</a> Plants: Leo Junikka +358 (0)50 311 0385, email <a href="mailto:leo.junikka@helsinki.fi">leo.junikka@helsinki.fi</a></p>						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable					
	– Government institution	<input type="checkbox"/>					
	– Academic or research institution	<input checked="" type="checkbox"/>					
	– Permanent committee	<input type="checkbox"/>					
	– Pool of individuals with certain expertise	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues?	2					
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
	If yes, please give estimation: 5 % per staff per year						
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	– Botany	<input checked="" type="checkbox"/>					
	– Ecology	<input checked="" type="checkbox"/>					
	– Fisheries	<input type="checkbox"/>					
	– Forestry	<input type="checkbox"/>					
	– Welfare	<input type="checkbox"/>					
	– Zoology	<input checked="" type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
	– No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information <input type="checkbox"/>						

10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:			

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized? Tick if applicable						
	–	Monitoring and reporting of data on legal trade [Details: no data systems; reporting in word and excel formats]				<input checked="" type="checkbox"/>	
	–	Monitoring and reporting of data on illegal trade [Details: real data systems (Customs/Enforcement databases)]				<input checked="" type="checkbox"/>	
	–	Permit issuance [Details: permits are word documents; no data system in place]				<input checked="" type="checkbox"/>	
	–	Not at all				<input type="checkbox"/>	
	–	Other (specify)				<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	



3	Do you have an electronic information system providing information on CITES species? [Details: no national EIS; the EU Wildlife Regulation database by UNEP-WCMC can be accessed through internet.]	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
4	If Yes, does it provide information on: – Legislation (national, regional or international)? – Conservation status (national, regional, international)? – Other (please specify)?		Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>		
5	Is it available through the Internet:  Please provide URL: <a href="http://www.unep-wcmc-apps.org/eu/Taxonomy//index.cfm">http://www.unep-wcmc-apps.org/eu/Taxonomy//index.cfm</a>	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
6	Do the following authorities have access to the following publications?		Tick if applicable		
		Publication	Management Authority	Scientific Authority	Enforcement Authority
		<i>2003 Checklist of CITES Species</i> (book)	x	x	x
		<i>2003 and 2008 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	x	x	x
		<i>Identification Manual</i>	x	x	x
		<i>CITES Handbook</i>	x	x	x
7	If not, what problems have been encountered to access to the mentioned information?				
8	Have enforcement authorities reported to the Management Authority on: – Mortality in transport? – Seizures and confiscations? – Discrepancy in number of items in permit and number of items actually traded? Comments: There has been no detected mortality in CITES transports.			Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	
9	Is there a government website with information on CITES and its requirements?  If Yes, please give the URL: <a href="http://www.ymparisto.fi/cites">www.ymparisto.fi/cites</a> , <a href="http://www.miljo.fi/cites">www.miljo.fi/cites</a> , <a href="http://www.environment.fi/cites">www.environment.fi/cites</a> (websites of the environment administration: in Finnish, Swedish and short English version); <a href="http://www.tulli.fi">www.tulli.fi</a> (customs web site where e.g. the handbook for import and export restrictions can be publicly accessed)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? – Press releases/conferences press release on requirements (and suspensions) for import of CITES hunting trophies, press release on CITES requirements concerning hunting and exporting of Finnish CITES game species. – Newspaper articles, radio/television appearances article on requirements (and suspensions) for import of CITES hunting trophies – Brochures, leaflets Customs' brochure on import restrictions – Presentations [Travel Fair 2010] – Displays [Travel Fair 2009 and 2010, World Village Festival 2010] – Information at border crossing points		Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>		

	<ul style="list-style-type: none"> <li>– Telephone hotline <input type="checkbox"/></li> <li>– Other (specify) The national CITES web page (in Finnish) was updated and restructured <input checked="" type="checkbox"/></li> </ul> <p>Please attach copies of any items as Annex.</p> <p>A copy of the brochure (by Customs) about import restrictions (CITES is included as one of said restrictions) was attached to previous report (2008-09).</p>
11	<p>Please provide details of any additional measures taken:</p> <p>The main information channel is the CITES webpage <a href="http://www.ymparisto.fi/cites">www.ymparisto.fi/cites</a></p>

**D5 Permitting and registration procedures**

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p>Yes <input checked="" type="checkbox"/>  No <input type="checkbox"/>  Not applicable <input type="checkbox"/>  No information <input type="checkbox"/></p> <p>Changes in designation and signatures of relevant officials were communicated to the Secretariat on 14.1.2010.</p> <p>If no, please provide details of any:</p> <p>Changes in permit format:</p> <p>Changes in designation or signatures of relevant officials:</p>																																				
2	<p>To date, has your country developed written permit procedures for any of the following? Tick if applicable</p> <table border="1"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Permit issuance/acceptance</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of traders [caviar traders]</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of producers [caviar producers]</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	No information	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of traders [caviar traders]	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of producers [caviar producers]	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
	Yes	No	No information																																		
Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																		
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Registration of producers [caviar producers]	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																		
3	<p>Please indicate how many CITES documents were issued or denied <b>in the two year period?</b> (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).</p> <table border="1"> <thead> <tr> <th>Year 1</th> <th>Import or introduction from the sea</th> <th>Export</th> <th>Re-export</th> <th>Other</th> <th>Comments</th> </tr> </thead> <tbody> <tr> <td>How many documents were issued?</td> <td style="text-align: center;"><b>122</b></td> <td style="text-align: center;"><b>10</b></td> <td style="text-align: center;"><b>3</b></td> <td style="text-align: center;"><b>163</b></td> <td>Explanation for 'Other': 102 internal EU certificates for specimens of species listed in Annex A to EU Reg.</td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td style="text-align: center;">None</td> <td style="text-align: center;">None</td> <td style="text-align: center;">None</td> <td style="text-align: center;">None</td> <td></td> </tr> <tr> <td>Year 2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>How many documents were issued?</td> <td style="text-align: center;"><b>113</b></td> <td style="text-align: center;"><b>4</b></td> <td style="text-align: center;"><b>9</b></td> <td style="text-align: center;"><b>217</b></td> <td>Explanation for 'Other': 217 internal EU certificates for specimens of species listed in Annex A to EU Reg.</td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td style="text-align: center;">None</td> <td style="text-align: center;">None</td> <td style="text-align: center;">None</td> <td style="text-align: center;">None</td> <td></td> </tr> </tbody> </table>	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	How many documents were issued?	<b>122</b>	<b>10</b>	<b>3</b>	<b>163</b>	Explanation for 'Other': 102 internal EU certificates for specimens of species listed in Annex A to EU Reg.	How many applications were denied because of severe omissions or mis-information?	None	None	None	None		Year 2						How many documents were issued?	<b>113</b>	<b>4</b>	<b>9</b>	<b>217</b>	Explanation for 'Other': 217 internal EU certificates for specimens of species listed in Annex A to EU Reg.	How many applications were denied because of severe omissions or mis-information?	None	None	None	None	
Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments																																
How many documents were issued?	<b>122</b>	<b>10</b>	<b>3</b>	<b>163</b>	Explanation for 'Other': 102 internal EU certificates for specimens of species listed in Annex A to EU Reg.																																
How many applications were denied because of severe omissions or mis-information?	None	None	None	None																																	
Year 2																																					
How many documents were issued?	<b>113</b>	<b>4</b>	<b>9</b>	<b>217</b>	Explanation for 'Other': 217 internal EU certificates for specimens of species listed in Annex A to EU Reg.																																
How many applications were denied because of severe omissions or mis-information?	None	None	None	None																																	
4	<p>Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?</p> <p>Yes <input type="checkbox"/>  No <input checked="" type="checkbox"/></p>																																				

		No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.		
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable	
	Reason	Yes	No
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify): expired export permits	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	Are harvest and/or export quotas USED as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Details: <u>Harvest quotas:</u> No export permits for brown bear, wolf or lynx trophies may be issued unless it is shown that the animal was harvested in accordance with the national quota with a valid hunting licence (issued according to the Hunting Act). <u>Export quotas:</u> Import permit applications are checked against export quotas published on <a href="http://www.cites.org">www.cites.org</a> .		
8	How many times has the Scientific Authority been requested to provide opinions? 5 - 10 times per year		
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable	
	– Issuance of CITES documents:	<input checked="" type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:	<input checked="" type="checkbox"/>	
	– Harvesting of CITES-listed species :	<input type="checkbox"/>	
	– Use of CITES-listed species:	<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>	
	– Importing of CITES-listed species:	<input type="checkbox"/>	
	– Other (specify): non-CITES statements (needed by some traders when trading in specimens of non-listed species with certain countries, where the authorities for some reason require a document stating that the said species is not listed).	<input checked="" type="checkbox"/>	
10	If Yes, please provide the amounts of such fees. 1. Export permits, import permits and re-export certificates: 75 € (2009), 100 € (2010) 2. EU certificates (for commercial use of CITES specimens and for movement of live CITES specimens inside EU territory if belonging to species listed in Annex A of EU Regulations): 40 € (2009), 50 € (2010) 3. Non-CITES statements: 42 € (2009), 50 € (2010) (one certificate usually covers several shipments, see point 9 for further explanation). 4. Registration of caviar packaging plants: 820 €		
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable	
	– Entirely:	<input type="checkbox"/>	
	– Partly:	<input type="checkbox"/>	
	– Not at all:	<input checked="" type="checkbox"/>	
	– Not relevant:	<input type="checkbox"/>	
	Comments: Revenues from fees cover administrative costs of permitting authority.		
12	Please provide details of any additional measures taken:		

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks			<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement			<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	Computerisation			<input type="checkbox"/>
	– Other (specify)					<input checked="" type="checkbox"/>
	The MA hired 1 temporary staff to update the Finnish language CITES-webpage and to compile a work file for the SA.					
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						What were the external sources?

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?  Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority Staff of Scientific Authority Staff of enforcement authorities	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	MA and Customs to (Finnish) Customs  In year 2009 CITES training was held as part of the import and export restrictions' training course. In year 2010 CITES training was part of the restrictions' training when National Board of Customs trained over 200 operative key persons of the Customs districts.
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Customs and MA presenting/informing at exhibitions, fairs, etc. Written guidance on CITES (A-listed) reptiles: MA assisted the leading pet importers and the leading pet shop chain in compiling their internal guidance to shopkeepers. MA held presentation for pet shop owners/managers. MA sent written guidance to potential caviar producer and packing plants. MA (written guidance) to hunters, hunter and game organisations and organisers of hunting trips abroad (concentrating on brown bear hunted in Russia). MA to known tortoise (home)breeders.
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Customs and/or MA at exhibitions, fairs, etc.
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Please provide details of any additional measures taken					

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>					
		No	<input checked="" type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Meetings between the MAs, the SA and the Customs are arranged when regarded necessary, generally several times per year.  Board of Customs organises for Custom officers an annual workshop on import/export restrictions. Usually 1-2 days are reserved for CITES issues, and staff from the MA permit unit attend both days and gives presentations.  The key prosecutors for the environment arrange once a year training for regional prosecutors environmental crime including CITES: MA gives overview of recent developments
	Consultations	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	MA collaborates daily / several times per week with Customs and weekly or monthly with SA and State Veterinarians; several times per year with Police, Finnish Boarder Guard and Prosecution Service.
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input type="checkbox"/>						
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>		MA consults with environmental				

			prosecutors, phytosanitary and veterinary inspection and permitting authorities, national and regional environment and hunting authorities.
	Local authorities or communities	<input type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	MA with the largest pet shop chain and the largest (CITES) pet importers (there is no specific association) MA with the Finnish Zoo Association and Hunter's Central Organisation.
	NGOs	<input checked="" type="checkbox"/>	The Finnish NGO's are consulted before CITES COP meetings
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input type="checkbox"/>
	Meetings EU level meetings, meeting between the Nordic Countries		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

10	<p>If Yes, which country(ies) and what kind of assistance was provided?</p> <p>Financial assistance to facilitate the participation of developing countries in international CITES meetings. The funds were given to the secretariat to be used at their discretion.</p> <p>The (secondary) MA is involved in a capacity building project of the environment government of Zambia with a CITES component.</p>		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
14	<p>If Yes, please give a brief description.</p> <p>The work is coordinated, as the staff of both CITES MA:s work in the same administration as the national focal points for all other MEAs in the field of nature conservation. In some cases the same person is responsible for CITES related issues as well as issues related to other MEAs.</p>		
15	Please provide details of any additional measures taken:		

#### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify): Creation of a permit database	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>		
		No <input checked="" type="checkbox"/>		
		No information <input type="checkbox"/>		
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>		
		No <input checked="" type="checkbox"/>		
		No information <input type="checkbox"/>		
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>		
		No <input checked="" type="checkbox"/>		
		No information <input type="checkbox"/>		
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			



### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

## Part 2 Supplementary Questions

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text. The Finnish translation of the wording 'offer to purchase' in Regulation 338/97/EC was corrected in 2010 and, accordingly, its equivalent wording in the national Nature Conservation Act was corrected (1074/2010).						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ? Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; 2 years imprisonment per case (or maximum 4 years for several cases)						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; Fines (maximum less than 500 euro) + the specimens are always forfeited. ii) The outcomes of any prosecutions; The offenders were usually fined (maximum less than 500 euro). The confiscated specimens are always forfeited. In one case also the living value of the confiscated (native) plant specimens was forfeited: 7085 euro in total (NB: only part of the plants were listed in Regulation).	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: No export permits or EU certificates are issued for live animals listed in Annex A in the EU regulations unless the specimen is permanently marked (if the specimen is too small for marking: the animal is required to be marked as soon as possible).	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: Live Annex A specimens are relatively rarely imported: usually the place of destination is well known both to the SA and the MA. All facilities keeping (any) live animals for commercial or public purposes are inspected regularly by the Animal Health and Welfare authorities. This inspection does not cover private persons. Irregular extra inspections are done based on information that would imply there is a reason to suspect that a given facility is not run properly; this activity includes also private persons.	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details. Customs: annual training, electronic clearance systems for import, export and transit which contain restriction indicators.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: The conservation value (living value) of native species protected in the Nature Conservation Act is taken into account. The market value may be taken into account.	

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Customs' risk analyses centre is carrying out risk analyses for imports and exports for all relevant restrictions also for CITES			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments: if asked we will try our best to assist, depending on whether we have adequate facilities and expertise for the said species/species group.			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: in 2010 in relation to two (by Finnish standards) significant TCM seizures the Chinese MA was contacted via WCO both to help with identification of CITES goods and to inform the source country.			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: not usually relevant; only if a certain 'shared case' requires it, or if specifically asked for advice			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Not relevant: there has been no such investigations.			

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Indicate any difficulties that rendered attendance to the SRG difficult:	Number	8
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	<input type="checkbox"/> <input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs? 2010 data	Percentage : 81 % No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. Nature Conservation Decree, section 6, paragraph 23a. See attachment (916/1997).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. One caviar plant was registered in 2009 (this was reported already in last BR: no other registrations have taken place since).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**FRANCE**



## PART 1 - CITES QUESTIONS

### A. General information

Party	FRANCE
Period covered in this report:	1 janvier 2009 - 31 décembre 2010
Details of agency preparing this report	Ministère de l'Écologie, du développement Durable, des Transports et du Logement / Direction de l'Eau et de la Biodiversité / Bureau des échanges internationaux d'espèces menacées
Contributing agencies, organizations or individuals	Muséum National d'Histoire Naturelle (MNHN) Office National de la Chasse et de la Faune Sauvage (ONCFS) Direction Générale des Douanes et Droits Indirects (DGDDI) Office central de lutte contre les atteintes à l'environnement et à la santé publique (OCLAESP)

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) Yes (partly) No No information/unknown	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:						
	Title and date:	Status:					
	- (1) Loi du 12 juillet 2010 relative l'environnement	(1) Loi					
	- (2) Arrêté du 8 novembre 2010 relatif à la procédure de marquage des flancs entiers et des peaux de crocodiliens prévue par la convention sur le commerce international des espèces de faune et de flore sauvages menacées d'extinction (CITES)	(2) Arrêté ministériel					
	Brief description of contents:						
	- (1) Augmentation des sanctions						
	- (2) Etiquetage des peaux et flancs de crocodiliens						
3	Is enacted legislation available in one of the working languages of the Convention?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted. Textes disponibles sur Légifrance : <a href="http://www.legifrance.gouv.fr">http://www.legifrance.gouv.fr</a>	legislation attached provided previously not available, will send later	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable					
		The conditions for:	The complete prohibition of:				
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional comments	<p>La détention d'animaux vivants d'espèces non domestiques peut, en fonction de l'espèce et du nombre de spécimens :</p> <ul style="list-style-type: none"> <li>• être libre, ou</li> <li>• nécessiter un simple agrément préfectoral, ou</li> <li>• être réservée aux établissements d'élevage, de vente, de location, de transit ou de présentation au public d'espèces non domestiques disposant des autorisations préfectorales requises</li> </ul> <p>Les interdictions de prélèvement, transport, naturalisation et commerce concernent les espèces protégées du fait qu'elle sont représentées à l'état naturel en France (métropole et territoires d'Outre-mer) ou sur le territoire de l'Union européenne. Ces espèces peuvent être "CITES" (ex : loup, ours brun, perroquets guyanais, rapaces) ou "non CITES". Les spécimens nés captifs ou légalement introduits ne sont pas concernés par ces interdictions, de même que les spécimens travaillés datant d'avant juin 1947.</p> <p>La possession d'animaux vivants d'espèces rares, difficiles à élever, dangereuses ou protégées au niveau national nécessite des autorisations préfectorales préalables : validation officielle de la qualification technique du responsable et l'établissement et agrément officiel des installations.</p>																																																		
6	<p>What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? <span style="float: right;">Tick all applicable</span></p> <table border="1" data-bbox="244 745 1439 1238"> <thead> <tr> <th>Item</th> <th>Adequate</th> <th>Partially Inadequate</th> <th>Inadequate</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Powers of CITES authorities</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Clarity of legal obligations</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Control over CITES trade</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Consistency with existing policy on wildlife management and use</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of offences</td> <td><input type="checkbox"/></td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of penalties</td> <td><input type="checkbox"/></td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Implementing regulations</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Coherence within legislation</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (please specify):</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available: La réglementation CE qui met en œuvre la CITES dans les Etats membres UE a par ailleurs été évaluée en 2007 (<a href="http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf">http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf</a>)</p>	Item	Adequate	Partially Inadequate	Inadequate	No information	Powers of CITES authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clarity of legal obligations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Control over CITES trade	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consistency with existing policy on wildlife management and use	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of offences	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of penalties	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	Implementing regulations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coherence within legislation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																															
7	<p>If no review or assessment has taken place, is one planned for the next reporting period? <span style="float: right;">Yes <input type="checkbox"/></span> <span style="float: right;">No <input type="checkbox"/></span> <span style="float: right;">No information <input type="checkbox"/></span></p> <p>Please provide details if available:</p>																																																		
8	<p>Has there been any review of legislation on the following subjects in relation to implementation of the Convention? <span style="float: right;">Tick all applicable</span></p> <table border="1" data-bbox="244 1563 1439 1742"> <thead> <tr> <th>Subject</th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Access to or ownership of natural resources</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>X</td> </tr> <tr> <td>Harvesting</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>X</td> </tr> <tr> <td>Transporting of live specimens</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>X</td> </tr> <tr> <td>Handling and housing of live specimens</td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available:</p>	Subject	Yes	No	No information	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	X	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	X	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	X	Handling and housing of live specimens	X	<input type="checkbox"/>	<input type="checkbox"/>																														
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9	<p>Please provide details of any additional measures taken: Révision des arrêtés du 10 août 2004 encadrant la détention d'animaux d'espèces non domestiques : instauration notamment de l'obligation de rédiger une attestation de cession pour les ventes ou dons d'animaux d'espèces non domestiques.</p>																																																		

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	X	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	X	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	X	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) : contrôles à la circulation, contrôles des établissements hébergeant de la faune sauvage captive (laboratoires, zoos, cirques, etc .)	X	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: X Significant seizures/confiscations X Total seizures/confiscations  If possible, please specify per group of species or attach details on annex. : ▪ Anguilles (civelles) ▪ Ivoire ▪ Caviar ▪ Animaux vivants	Number Voir rapports douanes et ONCFS en annexe		
6	Have there been any criminal prosecutions of significant CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. Information non disponible			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			X
	– Public zoos or botanical gardens			X
	– Designated rescue centres			X
	– Approved, private facilities			X
	– Euthanasia			<input type="checkbox"/>
	– Other (specify) Conservation de quelques spécimens par les services de contrôle pour formation des agents			X
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: La communication se fait directement avec INTERPOL			

12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
13	If Yes, please give a brief description: <ul style="list-style-type: none"> <li>- Opération internationale "RAMP" sous l'égide d'Interpol</li> <li>- Financement de 2 missions de terrain en Afrique centrale par TRAFFIC en 2009 pour inspection des marchés domestiques d'ivoire dans différentes capitales et villes provinciales du Gabon, de la République du Congo, de la République Démocratique du Congo et de la République centrafricaine</li> <li>- Financement en 2010 d'un atelier TRAFFIC de formation à ETIS au Cameroun ayant conduit à la rédaction d'un manuel ETIS pour les organismes de contrôle camerounais</li> <li>- Financement d'un séminaire EU-TWIX à Paris en juin 2009 sur le thème du commerce international illégal d'espèces sauvages menacées en provenance d'Afrique et à destination de la France, de la Belgique et de l'Espagne, et formation pratique d'aide à l'identification de spécimens utilisés dans les Médecines Traditionnelles Asiatiques, avec des agents de contrôle français, belges et espagnols, ainsi qu'un agent d'Interpol</li> <li>- Financement d'un atelier de formation à Madagascar (CSG International) : assister les autorités locales pour développer un système de contrôle pour la gestion des crocodiles à Madagascar (mise en oeuvre des activités prioritaires fixées par le SC58 et d'autres mesures de gestion du plan d'action national MG)</li> </ul>		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken: Bilans annuels effectués par les douanes		

#### D. Administrative measures

##### D1 *Management Authority (MA)*

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.: Ministère de l'Ecologie, du développement Durable, des Transports et du Logement / Direction de l'Eau et de la Biodiversité / Bureau des échanges internationaux d'espèces menacées.		
5	How many staff work in each MA? <ul style="list-style-type: none"> <li>- 4 personnes dans l'Organe de gestion national.</li> <li>- 1 à 6 personnes dans chaque Organe de gestion local ; beaucoup d'agents travaillent à temps partiel sur les dossiers CITES</li> </ul>		

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation L'équivalent au total d'une vingtaine de personnes à temps plein	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		X
	– Biology		X
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		X
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input type="checkbox"/>
	– Academic or research institution		X
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?	20 - Appel occasionnel à des experts extérieurs.	
	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation : de 10 à 80 % du temps plein	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		X
	– Ecology		X
	– Fisheries		X
	– Forestry		X
	– Welfare		<input type="checkbox"/>
	– Zoology		X
	– Other (specify) : environmental law		X
	– No information		<input type="checkbox"/>

8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>			
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Arapaima gigas	X	X				
	Bos gaurus	X					EEP coordination
	Anguilla anguilla	X	X				Spawning areas
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
11	Please provide details of any additional measures taken:						

### 3 *Enforcement Authorities*

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>	
2	If No, please designate them here (with address, phone, fax and email).				
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Office central de lutte contre les atteintes à l'environnement et à la santé publique (OCLAESP)				
5	Please provide details of any additional measures taken:				

### D4 *Communication, information management and exchange*

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, does it provide information on:						Tick if applicable
	– Legislation (national, regional or international)?						X
	– Conservation status (national, regional, international)?						X
	– Other (please specify)?						<input type="checkbox"/>
5	Is it available through the Internet:						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Please provide URL: <a href="http://cites.ecologie.gouv.fr">http://cites.ecologie.gouv.fr</a>						
6	Do the following authorities have access to the following publications?						Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2007 Checklist of CITES Species</i> (book)	X	X	X			
	<i>2008 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	X	X	X			
	<i>Identification Manual</i>	X	X	X			
	<i>CITES Handbook</i>	X	X	X			
7	If not, what problems have been encountered to access to the mentioned information?						
8	Have enforcement authorities reported to the Management Authority on:						Tick if applicable
	– Mortality in transport?						<input type="checkbox"/>
	– Seizures and confiscations?						X
	– Discrepancy in number of items in permit and number of items actually traded?						X
	Comments:						
9	Is there a government website with information on CITES and its requirements?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://cites.ecologie.gouv.fr">http://cites.ecologie.gouv.fr</a>						

10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	<input type="checkbox"/>
	– Newspaper articles, radio/television appearances	<input type="checkbox"/>
	– Brochures, leaflets	X
	– Presentations	X
	– Displays	<input type="checkbox"/>
	– Information at border crossing points	X
	– Telephone hotline	<input type="checkbox"/>
– Other (specify)	<input type="checkbox"/>	
Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	X			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	X	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	X	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	X	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	23817	1706	34648	6627	
	How many applications were denied because of severe omissions or mis-information?	27	4	2	35	Principalement en raison d'avis scientifiques négatifs
	Year 2	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	27863	2085	43250	8335	
	How many applications were denied because of severe omissions or mis-information?	38	3	11	32	Principalement en raison d'avis scientifiques négatifs
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	X			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					



6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable	
		Reason	Yes	No	No information
		Technical violations	X	<input type="checkbox"/>	<input type="checkbox"/>
		Suspected fraud	X	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of non-detriment	X	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	X	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	X
				No	<input type="checkbox"/>
			No information	<input type="checkbox"/>	
Comments : <i>Anguilla anguilla</i> quota. Par ailleurs, les quotas d'exportation publiés par les pays tiers rendent beaucoup plus faciles les avis scientifiques lors de l'importation des spécimens					
8	How many times has the Scientific Authority been requested to provide opinions? <ul style="list-style-type: none"> <li>▪ pour l'année 2009 : 760</li> <li>▪ pour l'année 2010 : 556</li> </ul>				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable	
	– Issuance of CITES documents:			<input type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:			<input type="checkbox"/>	
	– Harvesting of CITES-listed species :			<input type="checkbox"/>	
	– Use of CITES-listed species:			<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species:			<input type="checkbox"/>	
	– Importing of CITES-listed species:			<input type="checkbox"/>	
– Other (specify):			<input type="checkbox"/>		
10	If Yes, please provide the amounts of such fees. Gratuité				
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable	
	– Entirely:			<input type="checkbox"/>	
	– Partly:			<input type="checkbox"/>	
	– Not at all:			<input type="checkbox"/>	
	– Not relevant:			X	
Comments:					
12	Please provide details of any additional measures taken:				

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	X	
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>	
	Development of implementation tools	X	Computerisation	X	
	– Other (specify)			<input type="checkbox"/>	

2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?																																																														
Please tick boxes to indicate which target group and which activity.	<table border="1"> <thead> <tr> <th data-bbox="730 224 821 474">Oral or written advice/guidance</th> <th data-bbox="821 224 912 474">Technical assistance</th> <th data-bbox="912 224 1003 474">Financial assistance</th> <th data-bbox="1003 224 1094 474">Training</th> <th data-bbox="1094 224 1185 474">Other (specify)</th> <th data-bbox="1185 224 1449 474">What were the external sources?</th> </tr> </thead> <tbody> <tr> <td data-bbox="244 474 730 521">Target group</td> <td data-bbox="730 474 821 521"></td> <td data-bbox="821 474 912 521"></td> <td data-bbox="912 474 1003 521"></td> <td data-bbox="1003 474 1094 521"></td> <td data-bbox="1094 474 1185 521"></td> <td data-bbox="1185 474 1449 521"></td> </tr> <tr> <td data-bbox="244 521 730 568">Staff of Management Authority</td> <td data-bbox="730 521 821 568"><input type="checkbox"/></td> <td data-bbox="821 521 912 568"><input type="checkbox"/></td> <td data-bbox="912 521 1003 568"><input type="checkbox"/></td> <td data-bbox="1003 521 1094 568"><input type="checkbox"/></td> <td data-bbox="1094 521 1185 568"><input type="checkbox"/></td> <td data-bbox="1185 521 1449 568"></td> </tr> <tr> <td data-bbox="244 568 730 616">Staff of Scientific Authority</td> <td data-bbox="730 568 821 616"><input type="checkbox"/></td> <td data-bbox="821 568 912 616"><input type="checkbox"/></td> <td data-bbox="912 568 1003 616"><input type="checkbox"/></td> <td data-bbox="1003 568 1094 616"><input type="checkbox"/></td> <td data-bbox="1094 568 1185 616"><input type="checkbox"/></td> <td data-bbox="1185 568 1449 616"></td> </tr> <tr> <td data-bbox="244 616 730 663">Staff of enforcement authorities</td> <td data-bbox="730 616 821 663"><input type="checkbox"/></td> <td data-bbox="821 616 912 663"><input type="checkbox"/></td> <td data-bbox="912 616 1003 663"><input type="checkbox"/></td> <td data-bbox="1003 616 1094 663">X</td> <td data-bbox="1094 616 1185 663"><input type="checkbox"/></td> <td data-bbox="1185 616 1449 663">EU-TWIX</td> </tr> <tr> <td data-bbox="244 663 730 710">Traders</td> <td data-bbox="730 663 821 710"><input type="checkbox"/></td> <td data-bbox="821 663 912 710"><input type="checkbox"/></td> <td data-bbox="912 663 1003 710"><input type="checkbox"/></td> <td data-bbox="1003 663 1094 710"><input type="checkbox"/></td> <td data-bbox="1094 663 1185 710"><input type="checkbox"/></td> <td data-bbox="1185 663 1449 710"></td> </tr> <tr> <td data-bbox="244 710 730 757">NGOs</td> <td data-bbox="730 710 821 757"><input type="checkbox"/></td> <td data-bbox="821 710 912 757"><input type="checkbox"/></td> <td data-bbox="912 710 1003 757"><input type="checkbox"/></td> <td data-bbox="1003 710 1094 757"><input type="checkbox"/></td> <td data-bbox="1094 710 1185 757"><input type="checkbox"/></td> <td data-bbox="1185 710 1449 757"></td> </tr> <tr> <td data-bbox="244 757 730 788">Public</td> <td data-bbox="730 757 821 788"><input type="checkbox"/></td> <td data-bbox="821 757 912 788"><input type="checkbox"/></td> <td data-bbox="912 757 1003 788"><input type="checkbox"/></td> <td data-bbox="1003 757 1094 788"><input type="checkbox"/></td> <td data-bbox="1094 757 1185 788"><input type="checkbox"/></td> <td data-bbox="1185 757 1449 788"></td> </tr> <tr> <td data-bbox="244 788 730 819">Other (specify)</td> <td data-bbox="730 788 821 819"><input type="checkbox"/></td> <td data-bbox="821 788 912 819"><input type="checkbox"/></td> <td data-bbox="912 788 1003 819"><input type="checkbox"/></td> <td data-bbox="1003 788 1094 819"><input type="checkbox"/></td> <td data-bbox="1094 788 1185 819"><input type="checkbox"/></td> <td data-bbox="1185 788 1449 819"></td> </tr> </tbody> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Target group							Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	EU-TWIX	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?																																																										
Target group																																																															
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																										
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																										
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	EU-TWIX																																																									
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																										
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																										
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																										
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																										

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	X	X	<input type="checkbox"/>	X	<input type="checkbox"/>
	Staff of Scientific Authority	X	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	X	X	<input type="checkbox"/>	X	<input type="checkbox"/>
						Quotidiennement  Agents ONCFS : - Formation spécifique 20 agents/an sur 8 jours - Recyclage 10 agents/an sur 4 jours - Formation initiale des nouveaux agents : environ 3 j/an  Gendarmes : formation environ 50 gendarmes/an sur 2 jours  Services vétérinaires : formation continue 20 pers./an sur 1 à 2 jours
	Traders	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NGOs	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	
					Magistrats : - Formation initiale 20 pers./an sur 1 jour - Formation continue 15 pers./an sur 1 jour	
4	Please provide details of any additional measures taken					

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	En cours <input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):						
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	X	X	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:				Tick if applicable	Details if available	
	Agencies for development and trade				<input type="checkbox"/>		
	Provincial, state or territorial authorities				X		
	Local authorities or communities				<input type="checkbox"/>		
	Indigenous peoples				<input type="checkbox"/>		
	Trade or other private sector associations				X		
	NGOs				X		
Other (specify)				<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?					Tick if applicable	
	SA	Convention Ministère Ecologie-MNHN			X		
	Customs	En cours d'élaboration			<input type="checkbox"/>		
	Police	En cours d'élaboration			<input type="checkbox"/>		
	Other border authorities (specify)				<input type="checkbox"/>		
	Other government agencies	En cours d'élaboration			<input type="checkbox"/>		
	Private sector bodies	Etiquetage peaux flancs crocodiliens			X		
	NGOs				<input type="checkbox"/>		
Other (specify)					<input type="checkbox"/>		
6	Has your country participated in any regional activities related to CITES?					Tick if applicable	
	Workshops					X	
	Meetings					X	
	Other (specify)					<input type="checkbox"/>	
7	Has your country encouraged any non-Party to accede to the Convention?			Yes	<input type="checkbox"/>		
				No	X		
				No information	<input type="checkbox"/>		
8	If Yes, which one(s) and in what way?						
9	Has your country provided technical or financial assistance to another country in relation to CITES?				Yes	X	
					No	<input type="checkbox"/>	
					No information	<input type="checkbox"/>	
10	If Yes, which country(ies) and what kind of assistance was provided?				Voir C13		
11	Has your country provided any data for inclusion in the CITES Identification Manual ?			Yes	<input type="checkbox"/>		
				No	X		
				No information	<input type="checkbox"/>		
12	If Yes, please give a brief description.						
13	Has your country taken measures to achieve co-ordination and reduce				Yes	<input type="checkbox"/>	

	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

## 8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation      Projet d'échange électronique des données des permis	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) Prise en charge des spécimens vivants saisis, compliquée par leur statut sanitaire souvent incertain	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?	Objets personnels (Résolution Conf. 13.7)		
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
7	If Yes, please give a brief description. Diminution de la charge administrative pour les petits objets en cuir de crocodiliens, qui représentent des dizaines de milliers de dossiers CITES			
8	Please provide details of any additional measures taken:			

## E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>

		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	X
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	X
		Not available	<input type="checkbox"/>
	Comments	Not relevant	<input type="checkbox"/>

## PART 2 - SUPPLEMENTARY QUESTIONS

Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																											
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:																																																											
	Title and date:			Status:																																																								
	Brief description of contents:																																																											
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?																																																											
	<p>Oui :</p> <ul style="list-style-type: none"> <li>▪ Loi n° 2010-788 du 12 juillet 2010 portant engagement national pour l'environnement.</li> <li>▪ Arrêté du 29 octobre 2009 fixant la liste des oiseaux protégés sur l'ensemble du territoire et les modalités de leur protection</li> <li>▪ Arrêté du 30 juillet 2010 modifiant l'arrêté du 10 août 2004 fixant les conditions d'autorisation de détention d'animaux de certaines espèces non domestiques dans les établissements d'élevage, de vente, de location, de transit ou de présentation au public d'animaux d'espèces non domestiques et l'arrêté du 10 août 2004 fixant les règles générales de fonctionnement des installations d'élevage d'agrément d'animaux d'espèces non domestiques</li> </ul> <p>Textes disponibles sur Légifrance : <a href="http://www.legifrance.gouv.fr">http://www.legifrance.gouv.fr</a></p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Issue</th> <th colspan="3">The conditions for:</th> <th colspan="3">The complete prohibition of:</th> </tr> <tr> <th>Yes</th> <th>No</th> <th>No information</th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td colspan="7">Additional comments</td> </tr> </tbody> </table>						Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Taking	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	Possession	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Transport	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Additional comments					
Issue	The conditions for:			The complete prohibition of:																																																								
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Taking	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>																																																						
Possession	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																						
Transport	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																						
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Additional comments																																																												

8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?			
		Yes	No	No information
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	X	<input type="checkbox"/>	<input type="checkbox"/>
Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	X	<input type="checkbox"/>	
Please provide details if available: Stratégie nationale pour la biodiversité ( <a href="http://www.developpement-durable.gouv.fr/-La-Strategie-nationale-pour-la-.html">http://www.developpement-durable.gouv.fr/-La-Strategie-nationale-pour-la-.html</a> )				

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p> <p>Les infractions peuvent être sanctionnées au titre du code des douanes et au titre du code de l'environnement.  <u>Code des douanes</u> : emprisonnement de trois ans maximum, confiscation de l'objet de fraude, confiscation des moyens de transport, confiscation des objets servant à masquer la fraude et amende comprise entre une et deux fois la valeur de l'objet de fraude  <u>Code de l'environnement</u> : maximum de un an d'emprisonnement et de 15 000 € d'amende + saisie de l'objet de l'infraction ainsi que des instruments et véhicules ayant servi à commettre l'infraction. Les frais de transport, d'entretien et de garde des objets saisis sont supportés par le prévenu.</p>
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### C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p style="text-align: right;">Yes <input type="checkbox"/>  No <input type="checkbox"/>  No information <input checked="" type="checkbox"/></p>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period</p> <p>ii) The outcomes of any prosecutions</p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p style="text-align: right;">Yes <input type="checkbox"/>  No <input type="checkbox"/>  No information <input checked="" type="checkbox"/></p> <p>Comments:</p>
18	<p>Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i>, Article 66)</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/>  No <input type="checkbox"/>  No information <input type="checkbox"/></p> <p>Comments: Tous les animaux d'espèces inscrites à l'annexe A du règlement CE n° 338/97, notamment</p>
19	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i>).</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/>  No <input type="checkbox"/>  No information <input type="checkbox"/></p> <p>Comments:  Suivi permanent assuré par les services vétérinaires et contrôles par la brigade spécialisée de ONCFS</p>



20	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
No information <input type="checkbox"/>			
Comments: Modalités de coopération inter-services en cours d'élaboration			
21	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
No information <input checked="" type="checkbox"/>			
Comments:			
22	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments: La décision du juge prend souvent en compte cette valeur, les peines prévues étant des maximum			
23	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIId.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments: au moins une fois par an			
24	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments: contrôles réguliers et ciblés			
25	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
26	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			
27	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
No information <input checked="" type="checkbox"/>			
Comments:			
28	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
No information <input type="checkbox"/>			
Comments:			

29	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: Douanes : Organisation Mondiale des Douanes, Interpol OCLAESP : organisations internationales de coopération policière (Europol, Interpol).			
30	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: - Madagascar (surveillance des activités de ranching de <i>Crocodylus niloticus</i> ) avec le <i>Crocodile Specialists Group</i> et le Secrétariat CITES - Bolivie ( <i>Caiman yakare</i> )			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
11	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	X				
		No	<input type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	Recherches sur un nombre de taxons très important					No information	<input type="checkbox"/>
11	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	7	<input type="checkbox"/>			
	Indicate any difficulties that rendered attendance to the SRG difficult: aucune						

### D3 Enforcement Authorities

6	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	
			<input checked="" type="checkbox"/>
			<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage :	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.                      Tous bureaux de douanes de plein exercice	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Voir document joint	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
16	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Conservatoire botanique Pierre Fabre	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
17	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
18	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
19	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
20	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Objets personnels, animaux de compagnie	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)). Délai parfois trop long entre la décision immédiatement applicable et la publication du compte rendu définitif du SRG	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

# GERMANY

**A. General information**

Party:	<b>Federal Republic of Germany</b>
Period covered in this report:	<b>1<sup>st</sup> January 2009 to 31<sup>st</sup> December 2010</b>
Details of agency preparing this report:	<b>Federal Agency for Nature Conservation</b> Konstantinstraße 110 D – 53179 Bonn Germany
Contributing agencies, organizations or individuals:	<p>1. <b>Federal Ministry for Environment, Nature Conservation and Nuclear Safety</b> Robert-Schuman-Platz 3 D – 53175 Bonn Germany</p> <p>2. <b>Federal States ‘Länder’</b> of the Federal Republic of Germany</p>

## B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.</p>	<p>Yes (fully) <input checked="" type="checkbox"/></p> <p>Yes (partly) <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information/unknown <input type="checkbox"/></p>
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <p><b>European Union (EU) law: EC Wildlife Trade Regulations (WTR)</b>            Since 1984, the European Union has been implementing the provisions of CITES, the Convention on International Trade in Endangered Species of Wild Fauna and Flora, through common regulations. The most recent of these are the basic Regulation, currently <i>Council Regulation (EC) No 338/97 on the Protection of the Species of Wild Fauna and Flora by Regulating Trade Therein</i> (entry into force: 1<sup>st</sup> June 1997) and its successive implementing Regulation, currently <i>Commission Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97</i> (entry into force: 9<sup>th</sup> July 2006). These two regulations must be read together to understand the European Community wildlife trade law.            Regulation No 338/97 has four Annexes of which A, B and C largely correspond to the first three appendices of the Convention. However these Annexes do also include some non-CITES listed species protected under EU internal legislation. The Annexes are revised according to the CITES appendices and were lastly amended through Commission Regulation (EC) No 709/2010 implementing the decisions at CITES CoP 15 (entry into force: 25<sup>th</sup> July 2010).            The implementing Regulation (Commission Regulation (EC) 865/2006) was amended by Commission Regulation (EC) 100/2008 (entry into force: 25<sup>th</sup> April 2008) which incorporates Resolutions and Decisions adopted at CoP 13 and CoP 14, e.g. standard nomenclature, pre-Convention status, personal effects exemptions (caviar, seahorses, giant clam shells), restrictions for trade in caviar, sample collections covered by ATA carnets, retrospective issuance of documents or the new CITES Biennial Report format.            In addition, there are EC Trade Suspension Regulations, currently Commission Regulation (EC) No 997/2010 suspending the introduction into the European Community of certain wild fauna and flora. All regulations are available through www under <a href="http://ec.europa.eu/environment/cites/home_en.htm">http://ec.europa.eu/environment/cites/home_en.htm</a>.</p> <p><b>National German Law</b>            A revised version of the Federal Nature Conservation Act &lt;BNatSchG&gt; was promulgated on 6<sup>th</sup> August 2009 (Federal Law Gazette I, p. 2542) and has been in force since 1<sup>st</sup> March 2010.. Part Five of the Federal Nature Conservation Act is dealing with the protection of wild fauna and flora. The Federal Nature Conservation Act also grants powers to impose further more detailed rules which are laid down in the Federal Ordinance on the Conservation of Species (&lt;BArtSchV&gt;, Federal Law Gazette I, 2005, p. 258). The national instruments of protection ensure effective enforcement of the international and European rules on the conservation of species. Both, directives and international conventions of relevance to species conservation are implemented. As far as transposition of the EC Birds Directive (removal, possession, keeping) is concerned, the Federal Game Conservation Ordinance (&lt;BWildSchV&gt;, Federal Law Gazette I, 1985, p. 2040) contains provisions in respect of the species governed by German hunting law (Annex 1 to the Federal Game Conservation Ordinance, &lt;BJagdG&gt;, Federal Law Gazette I, 1976, p. 2849).            Specifically, the Federal Nature Conservation Act comprises the following provisions:</p> <ul style="list-style-type: none"> <li>• The legal protection of species listed in Annex A and Annex B of the EC Regulation on species conservation as so-called specially protected species under national law;</li> <li>• Information and access rights (Art. 52 of the Federal Nature Conservation Act);</li> <li>• The legal protection of species listed in Annex IV of the Habitat Directive 92/43/EEC and those European bird species protected under the Bird Directive 79/409/EEC;</li> <li>• The legal protection of indigenous species (Annex 1 of the Federal Ordinance on the Conservation of Species);</li> </ul>	





6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify): <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>						
<p>The EU Wildlife Trade Regulations (Council Regulation (EC) 338/97 and its successive implementing Regulations, currently Commission Regulation (EC) 865/2006), have now been in place for over 10 years. The EU Commission decided to launch a study on the effectiveness of the Regulations, which was carried out by TRAFFIC and IUCN and completed in December 2007. The purpose of the study was to assess the effectiveness of the current EC Wildlife Trade Regulations in relation to the objectives of CITES to ensure that trade in species of wild fauna and flora does not threaten their survival. The study provided also options on how to address the issues and shortcomings identified. The Study can be downloaded from the following website:  <a href="http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf">http://ec.europa.eu/environment/cites/pdf/studies/effectiveness_study.pdf</a></p> <p>The Study concludes that by and large the basic Regulation (Council Regulation (EC) No. 338/97) is effective in achieving the objective of CITES to ensure that trade in species is sustainable. Hence, at this stage a full revision is not necessary. However, there is considerable scope for rendering the regulatory system more efficient and effective by revising the Implementing Regulation (Commission Regulation (EC) 865/2006) and by developing guidelines for the implementation of both Regulations.</p>						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
Please provide details if available: The follow-up process of the 'Effectiveness Study' (see B 6) will be continued and probably finalized in late 2011 or early 2012 by amending the implementing Regulation (Commission Regulation (EC) 865/2006) and/or adopting guidelines.						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Handling and housing of live specimens <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>						
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>see Attachment 2</b>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) (regular checks of trade through the world wide web)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <span style="float: right;"><b>see Attachment 3</b></span>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number <b>see Attachment 4</b>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <span style="float: right;"><b>see Attachment 5</b></span>			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. <span style="float: right;"><b>see Attachment 6</b></span>			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input checked="" type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: ECOMESSAGE only used by police to inform Interpol			

12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
13	<p>If Yes, please give a brief description:</p> <p><b>Cooperation with institutions</b></p> <p>During the reporting period significant preparatory input and cooperation was provided to the CITES Enforcement Working Group of the European Commission, the Interpol Wildlife Crime Working Group and the WCO Working Group on CITES issues.</p> <p>In addition to these regular exchanges of information a multilateral workshop regarding the illegal trade in reptiles held in Italy was attended. Nearly 40 participants from different EU member states but also from neighbouring countries discussed enforcement matters and investigations related to the illegal trade in reptiles especially tortoises.</p> <p>Furthermore numerous exchanges of intelligence with different countries occurred during the reporting period such as with</p> <ul style="list-style-type: none"> <li>- Belgium, Austria, Netherlands and UK on the illegal trade in birds of prey;</li> <li>- Czech Republic, United Kingdom and Belgium on the illegal trade in tortoises;</li> <li>- Czech Republic on illegal transactions of parrots;</li> <li>- Estonia on the illegal transport of whale meat; and</li> <li>- Hungary on the illegal transport of tortoises.</li> </ul>	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
<p>Comments:</p> <p>Regular review by the CITES Management Authority, the Customs Criminal Investigations Agency and regional CITES enforcement and management authorities</p>		

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Please provide details of any additional measures taken:

**Enhancement of enforcement in international trade in CITES listed tropical timber species**

The CITES timber enforcement subcommittee which had been initiated at the 52<sup>nd</sup> meeting of Germany's *Bund/Länder* 'Standing Committee on Species and Biotope Conservation' had met under the chairmanship of the FANC during the reporting period four times, twice in 2009 and 2010 each. The subcommittee had evaluated a market analysis and a world wide web research on Germany's trade in CITES protected timber and its derivatives as background information and preparatory research for coordinated controls of stakeholders in the trade chain. Furthermore a list and network of experts in the identification of tropical timber was created and guidelines for enforcement bodies where developed on how to undertake controls of traders, construction warehouses, wholesale shops or furniture producing companies which trade in or process CITES protected timber. The working group will continue with its work under the mandate given to it throughout 2011.

**Enhancement of enforcement in international trade in CITES protected tortoises**

In late 2009 the 'Standing Committee on Species and Biotope Conservation' of the *Bund/Länder* working group for Nature Conservation (BLANA) agreed at its 57<sup>th</sup> meeting on the establishment of a CITES turtle and tortoises enforcement subcommittee under the chairmanship of the FANC. During the reporting period the subcommittee met three times. Major objectives of its work were to identify certain species where controls of CITES protected tortoises needs to be enhanced and intensified and how to organize and handle coordinated controls of traders and private reptile keepers. The project was made up in two parts. During a first step the available data was evaluated. In a further step in depth controls and house researches were undertaken which led to a number of seizures of rare tortoises such as *Astrochelys radiata*, *Testudo kleinmanni* and *Astrochelys yniphora*. First results have been presented and published but further investigations are still ongoing.

**Implementation of EU Commission Recommendation C (2007) 2551 of 13<sup>th</sup> June 2007**

With regard to the implementation of the EU Enforcement Action Plan the 'Standing Committee on Species and Biotope Conservation' of the *Bund/Länder* working group for Nature Conservation (BLANA) agreed at its 57<sup>th</sup> meeting on a new main focus area in CITES enforcement in April 2010, i.e. the international trade in birds of prey and certain vulture species. A main driving force to initiate the new focus area in CITES enforcement were seizures of illegally sold birds of prey which in 2009 had been part of a special enforcement case in 'Rhineland Palatinate'. In addition the new focus area will initiate in depth investigations into the legal breeding, keeping and selling of birds of prey among selected caretakers on the basis of seven selected species.

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  Federal Ministry for Environment, Nature Conservation and Nuclear Safety Robert-Schumann-Platz 3 D – 53175 Bonn Germany		
5	How many staff works in each MA?     At the Federal Agency for Nature Conservation 26 persons		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
7	What are the skills/expertise of staff within the MA(s)? – Administration – Biology – Economics/trade – Law/policy – Other (specify) Veterinary & Forestry – No information	Tick if applicable  <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
9	If Yes, please give the species name and provide details of the kind of research involved.  <b>1. Determination of age and geographical origin of African elephant Ivory</b>  Exact methods for determination of age and geographical origin are essential to meet the still persisting problem of ivory smuggling and can help to avoid the intermixing of legal with illegal ivory. Long-term conservation of the constantly declining elephant populations of Western and Central Africa will only be possible with a control mechanism in place that helps identifying the age and geographical provenance of confiscated ivory. This, as a worldwide necessity, was also acknowledged by the 15 <sup>th</sup> Conference of the Parties of CITES in March 2010. The German Federal Agency for Nature Conservation responded to this need and initiated the above mentioned project in co-operation with its partners, the WWF Germany, two German universities and the 'Conseil International de la Chasse' (CIC).  One of the objectives of the project is to validate a new method for the determination of age, based on isotope analysis. In addition to the existing methods using the radiocarbon ( <sup>14</sup> C/C) testing the new method will combine the already existing <sup>14</sup> C/C test with the analysis of a variety of nuclides ( <sup>90</sup> Sr/Ca, <sup>228</sup> Th, <sup>232</sup> Th and others). With the conventional method the results can be ambiguous, with the year of death not clearly defined (e. g. 1962 and 1980 for the same sample). By combining this classical method with the determination of strontium and thorium the results will become more accurate. The reason is that due to nuclear testing a significantly increased value of <sup>90</sup> Sr/Ca is typical for a death between 1960 and 1970. Lower values indicate a death before 1960 or after 1980. A value below the		

	<p>detection limit indicates a death before 1955. <math>^{228}\text{Th}</math> and <math>^{232}\text{Th}</math> are naturally occurring radionuclides of the thorium decay series. If the time of death is dated back before 1960 the ratio of the two nuclides is 1:1; if the death was approximately around 1990 the ratio is higher than 1:1. Therefore by combining these analyses the time of death of the elephant can be determined with a high degree of certainty which makes the new method very precise and extremely reliable.</p> <p>The second objective of the project will be to develop a new method for the determination of the exact geographical origin of African elephant ivory. The focus of this part of the project is to set up a freely accessible reference database for the African continent, by testing more than 500 ivory samples and combining the results with the spatial monitoring data of the IUCN. Therefore ivory with known origin from all over Africa must be tested with a combination of various geochemical analyses (strontium, but also carbon, nitrogen, oxygen and sulphur). The different composition of these elements in a tusk is dependant on the food elephants are consuming, which differs according to their habitat (vegetation, soil and climate). I.e. young volcanic regions like the East African Rift Valley are characterized by a low <math>^{87}\text{Sr}/^{86}\text{Sr}</math> ratio, whereas geologically older parts have a high <math>^{87}\text{Sr}/^{86}\text{Sr}</math> ratio. Carbon and nitrogen isotopes serve as indicators of the nutritional composition of a specific climate zone. A very low <math>\delta^{13}\text{C}</math> ratio indicates densely forested habitats, a high ratio is indicative of savannah landscapes. After its completion the database can be used to identify the geographical provenance of confiscated ivory as a device to check the legality.</p> <p>The project and its objectives had been presented to the African elephant range states during their 3<sup>rd</sup> African elephant meeting which had been held from 1-3 November 2010 in Nairobi, Kenya</p> <p><b>2. Market survey on domestic use of manufactured products made of Ramin (<i>Gonystylus</i> spp.)</b></p> <p>In order to enhance control and enforcement of the CITES listed tropical timber Ramin the FANC commissioned in late 2009 through the 'Johann Heinrich von Thünen Institut', which for any kind of tropical timber is an approved German Federal Government CITES expert institution, a nation wide market study of products made of this tropical timber. The promising results of the study which provided a deeper understanding and insight of Germany's trade in pre- and readily processed Ramin products will form the basis of future nation wide random controls both at the industry and the wholesale trade sector level dealing professionally with Ramin products.</p>
10	Please provide details of any additional measures taken:

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? – Government institution – Academic or research institution – Permanent committee – Pool of individuals with certain expertise – Other (specify)	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	How many staff work in each SA on CITES issues?  There are totally 8 persons in both Scientific Authorities involved and working on CITES issues.	
6	Can you estimate the percentage of time they spend on CITES related matters? If yes, please give estimation	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>  The percentage of time the 8 persons mentioned under paragraph 5 invest in CITES issues would correspond to roughly 3,5 full time posts
7	What are the skills/expertise of staff within the SA(s)? – Botany – Ecology – Fisheries – Forestry – Welfare – Zoology – Other (specify) Geography – No information	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	If Yes, please give the species name and provide details of the kind of research involved.	
	No information <input type="checkbox"/>	
	<b>Market survey on succulent <i>Euphorbia</i> species protected by CITES and used as cosmetic, food and medicine, with special focus on Candelilla wax.</b>	
	This market survey review was conducted from December 2008 until February 2009 in order to resolve the increasing enforcement problems associated with the international trade in consumer products containing Candelilla wax as an ingredient. The focus of the study was on the on the use and trade volume of Candelilla wax, obtained from the succulent plant <i>Euphorbia antisiphilitica</i> from Mexico. Other <i>Euphorbia</i> species involved in trade as raw materials for medicinal, aromatic, cosmetic or other more technical uses were additionally discussed.	
	According to the data and results provided by the study, the exemption of finished products in the	

generic listing of succulent *Euphorbia* species on CITES Appendix II was not considered to be harmful to the wild population status of *Euphorbia antisiphilitica* and therefore supported. The outcomes of the study was discussed at the 18<sup>th</sup> meeting of the CITES Plants Committee (Buenos Aires, March 2009).

**Assessment of *Bulnesia sarmientoi* (Palo Santo) exploited forest stands in the Province of Formosa, Argentina**

This project was funded by Germany's CITES Scientific Authority of Germany which was conducted by Fundación Biodiversidad (FB), Argentina from October 2009 until January 2010. The objective of the project was to generate updated background information on the status of the major *Bulnesia sarmientoi* exploited forest stands in Argentina in order to provide sound and recent scientific data for the proposal of listing the species in CITES Appendix II. In this regard the project assisted (i) to assess basic population features on a sample of recently exploited *Bulnesia* forest stands in the Province of Formosa; (ii) to assess main impacts of recent logging on stand structure; and (iii) to evaluate the impact of current legal management procedures in the field.

**Review of trade in Merbau (*Intsia spp.*) from major range states**

The FANC funded study was undertaken between 2007 and 2009 by TRAFFIC Southeast Asia and published in 2009 as a TRAFFIC Southeast Asia report (Tong, P.S., H.K. Chen, J. Hewitt & A. Affre A 'Review of trade in Merbau from major range States'. 128pp. ISBN 9789833393176). The report investigates in detail the international trade in Merbau timber and provides scientific and current data on the population status, harvest management and trade in these species from most important range states and into the most important importing countries. Based on the analysis recommendations for short- and long-term strategies for Merbau conservation are provided. An executive summary was also provided as an information document (PC 18 Inf. 8) for the 18<sup>th</sup> meeting of the CITES Plants Committee.

**Trade in and conservation of two shark species, Porbeagle (*Lamna nasus*) and Spiny Dogfish (*Squalus acanthias*)**

Since the 14<sup>th</sup> CITES Conference of the Parties (CoP) Germany's Scientific Authority continuously collected new information on trade and conservation of the two shark species Porbeagle (*Lamna nasus*) and Spiny Dogfish (*Squalus acanthias*) and updated the listing proposals for these species for the 15<sup>th</sup> CoP of CITES held in 2010 in Doha, Qatar.

10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/>	<input type="checkbox"/>
		No <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
11	Please provide details of any additional measures taken:		



**D3 Enforcement Authorities**

1	To date has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:  Federal Agency for Nature Conservation (FANC) Division I.1.1 "Legal Affairs and Enforcement" Konstantinstrasse 110 D – 53179 Bonn Germany	
5	Please provide details of any additional measures taken:  The CITES Management Authority (MA) of the Federal Agency for Nature Conservation had taken note of the EU Enforcement Action Plan, published on 20 <sup>th</sup> June 2007 in the official journal of the EU No. L 159/07 (Document 2007/425/EC) and began after the 14 <sup>th</sup> CoP to CITES in close co-ordination with the Federal Ministry of Environment to nationally implement the recommendations contained in the action plan on which the Community had agreed upon unanimously.  Since September 2007 the CITES MA started several initiatives on a national level to develop a national enforcement action plan in accordance with the provisions of CITES Resolution Conf 11.3 (Rev. CoP 14).  Some of the actions recommended in the national action plan had already been implemented in Germany such as the rapid exchange of important information by electronic means through an electronic CITES newsletter, public awareness campaigns, training of enforcement officers or strong working relations among German officials in the different authorities and enforcement agencies. Such working relations are backed up both by formalized but also not formalized agreements and strong government support.  In addition to these activities Germany had nationally started a process to implement further actions recommended in the EU Enforcement Action Plan. All relevant enforcement and management authorities involved in the implementation of CITES have been invited to collaborate on the creation and implementation of a national action plan. To meet these objectives an Interagency National Action Plan Working Group (IANAP-WG) was established at the Federal Agency for Nature Conservation. The IANAP-WG includes representatives from German customs services, the police, national and local CITES Management Authorities and other enforcement bodies. The main objectives and targets of this group are:  <ul style="list-style-type: none"> <li>- to improve overall inter-agency cooperation;</li> <li>- to collect and distribute relevant information;</li> <li>- to identify enforcement priorities;</li> <li>- to coordinate public relation activities; and</li> <li>- to control and coordinate adequate training activities.</li> </ul>	

**D4 Communication, information management and exchange**

1	<p>To what extent is CITES information in your country computerized?</p> <ul style="list-style-type: none"> <li>- Monitoring and reporting of data on legal trade <input checked="" type="checkbox"/></li> <li>- Monitoring and reporting of data on illegal trade <input checked="" type="checkbox"/></li> <li>- Permit issuance <input checked="" type="checkbox"/></li> <li>- Not at all <input type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> </ul>	Tick if applicable																												
2	<p>Do the following authorities have access to the Internet?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Authority</th> <th style="width: 10%;">Yes, continuous and unrestricted access</th> <th style="width: 10%;">Yes, but only through a dial-up connection</th> <th style="width: 10%;">Yes, but only through a different office</th> <th style="width: 10%;">Some offices only</th> <th style="width: 10%;">Not at all</th> <th style="width: 30%;">Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Tick if applicable
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Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
3	<p>Do you have an electronic information system providing information on CITES species?</p>	<p>Yes <input checked="" type="checkbox"/>          No <input type="checkbox"/>          No information <input type="checkbox"/></p>																												
4	<p>If Yes, does it provide information on:</p> <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)? <input checked="" type="checkbox"/></li> <li>- Conservation status (national, regional, international)? <input checked="" type="checkbox"/></li> <li>- Other (please specify)? <input checked="" type="checkbox"/></li> </ul> <p>Import restrictions, trade statistics, permit application procedures etc.</p> <p><b>Legislation:</b>  <a href="http://www.bfn.de/0305_rechtsgrundlagen+M52087573ab0.html">http://www.bfn.de/0305_rechtsgrundlagen+M52087573ab0.html</a></p> <p><b>Trade statistics:</b>  <a href="http://www.bfn.de/0305_statistik+M5054de7a952.html">http://www.bfn.de/0305_statistik+M5054de7a952.html</a></p> <p><b>Permit application procedures:</b>  <a href="http://www.bfn.de/0305_antragstellung+M5054de7a952.html">http://www.bfn.de/0305_antragstellung+M5054de7a952.html</a></p> <p><b>Import of hunting trophies:</b>  <a href="http://www.bfn.de/0305_jagd+M5054de7a952.html">http://www.bfn.de/0305_jagd+M5054de7a952.html</a></p> <p><b>Personal effects:</b>  <a href="http://www.bfn.de/0305_pers_gebrauch+M5054de7a952.html">http://www.bfn.de/0305_pers_gebrauch+M5054de7a952.html</a></p> <p><b>Costs ordinance:</b>  <a href="http://www.bfn.de/0305_kosten_vo+M5054de7a952.html">http://www.bfn.de/0305_kosten_vo+M5054de7a952.html</a></p>	Tick if applicable																												

**Labels for scientific exchanges:**

[http://www.bfn.de/0305\\_etikett-verfahren.html#c66821](http://www.bfn.de/0305_etikett-verfahren.html#c66821)

In Germany nearly 100 scientific institutions and scientists are entitled to the exemption provided in Article VII, paragraph 6 of the Convention, most of them have been registered many years ago. The responsibility to check the applications lies first with the Länder authorities and in a second step the respective approved participants are finally notified by BfN to the CITES Secretariat. During the reporting period the respective standing committee of the LANA decided to review the situation referring to the nomination of participants and to their practice to use this exemption. To serve this purpose a questionnaire and a standardized application format on the basis of the provisions of Res. Conf. 11.15 (rev. CoP 12) was drafted and sent out to the responsible authorities of the Länder. The result of this survey was evaluated and summarized by the Management Authority of the BfN and finally discussed at a special LANA meeting. In conclusion it can be summarized that the procedures were known both to the authorities and the institutions. Finally the inclusion of special information section on BfN's CITES website which was undertaken in November 2010 was highly welcomed by the Länder. In addition the newly developed standardised application form was considered useful and recommended to be applied when new institutions want to be registered. In addition, it was decided that those Länder which consider it necessary should check the participants in their territory whether they are still up-to-date. This is foreseen for 2011 and individually listed registered scientists should be checked as a first priority.

5	<p>Is it available through the Internet:</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/>          No <input type="checkbox"/>          Not applicable <input type="checkbox"/>          No information <input type="checkbox"/></p> <p>Please provide URL:</p> <p><a href="http://www.cites.bfn.de">www.cites.bfn.de</a></p> <p>General information both in German and in English on CITES and relevant regulations including information on permit applications, associated fees and specific provisions for tourist souvenirs, personal effects or hunting trophies. The internet site had been significantly updated and amended during the reporting period. It provides now among others original text of all relevant CITES legislation on the international, EU- and national level.</p> <p><a href="http://www.bmu.de">www.bmu.de</a></p> <p>General and up-to-date political information on CITES such as Conferences of the Parties their major agenda items and outcomes as well as information on other relevant multilateral environmental agreements.</p> <p><a href="http://www.wisia.de">www.wisia.de</a></p> <p>The internet data bank WISIA-online provides information on CITES protected animals and plants not only for professional conservationists but also for the general public. Whoever intends to acquire an exotic souvenir, which often has been manufactured by means of protected species can find through WISIA-online besides information on the protection status many further useful hints, including the relevant date since when a respective species has become a CITES protected specimen.</p> <p><a href="http://www.artenschutz-online.de">www.artenschutz-online.de</a></p> <p>An internet based information forum established as a common project between German customs and the CITES Management Authority of the FANC which specifically is targeted for tourists by providing information on protected species in the relevant tourist regions of the world.</p> <p><a href="http://www.cites-online.de">www.cites-online.de</a></p> <p>Online platform for internet based applications for an electronically submission of CITES permits including qualified electronic signature.</p> <p><a href="http://www.zeet.de">www.zeet.de</a></p> <p>Information platform provided by Germany's Scientific Authorities of the FANC on all decisions taken by the European Union on import restrictions and other stricter domestic measures regarding international trade in CITES species.</p>																				
6	<table border="1"> <thead> <tr> <th data-bbox="248 1671 810 1742">Publication</th> <th data-bbox="810 1671 1027 1742">Management Authority</th> <th data-bbox="1027 1671 1198 1742">Scientific Authority</th> <th data-bbox="1198 1671 1441 1742">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td data-bbox="248 1742 810 1816"><i>2005 Checklist of CITES Species</i> (book and CD-ROM)</td> <td data-bbox="810 1742 1027 1816" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1027 1742 1198 1816" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1198 1742 1441 1816" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="248 1816 810 1917"><i>2008 Checklist of CITES Species and Annotated Appendices and reservations</i> (CD-ROM &amp; WCMC web based checklist )</td> <td data-bbox="810 1816 1027 1917" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1027 1816 1198 1917" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1198 1816 1441 1917" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="248 1917 810 1966"><i>Identification Manual</i></td> <td data-bbox="810 1917 1027 1966" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1027 1917 1198 1966" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1198 1917 1441 1966" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td data-bbox="248 1966 810 2009"><i>CITES Handbook</i></td> <td data-bbox="810 1966 1027 2009" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1027 1966 1198 2009" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1198 1966 1441 2009" style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2005 Checklist of CITES Species</i> (book and CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2008 Checklist of CITES Species and Annotated Appendices and reservations</i> (CD-ROM & WCMC web based checklist )	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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7	If not, what problems have been encountered to access to the mentioned information?	
8	Have enforcement authorities reported to the Management Authority on: <ul style="list-style-type: none"> <li>- Mortality in transport? <input checked="" type="checkbox"/></li> <li>- Seizures and confiscations? <input checked="" type="checkbox"/></li> <li>- Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/></li> </ul> Comments:	Tick if applicable
9	Is there a government website with information on CITES and its requirements?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.cites.bfn.de">www.cites.bfn.de</a>	
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	<ul style="list-style-type: none"> <li>- Press releases/conferences <input checked="" type="checkbox"/></li> <li>- Newspaper articles, radio/television appearances <input checked="" type="checkbox"/></li> <li>- Brochures, leaflets <input checked="" type="checkbox"/></li> <li>- Presentations <input checked="" type="checkbox"/></li> <li>- Displays <input checked="" type="checkbox"/></li> <li>- Information at border crossing points <input checked="" type="checkbox"/></li> <li>- Telephone hotline <input type="checkbox"/></li> <li>- Other (specify) <input checked="" type="checkbox"/></li> </ul>	
	<ul style="list-style-type: none"> <li>- On 3<sup>rd</sup> March 2009 which was the 'International Day of Species Conservation' the Federal Agency for Nature Conservation (FANC) launched a press release pointing out on certain challenges which CITES has to face in future and its continuing political importance in the international species conservation arena (<a href="http://www.bfn.de/5117.html?&amp;cHash=5754ee8606&amp;tx_ttnews[backPid]=5116&amp;tx_ttnews[tt_news]=2496">http://www.bfn.de/5117.html?&amp;cHash=5754ee8606&amp;tx_ttnews[backPid]=5116&amp;tx_ttnews[tt_news]=2496</a> ).</li> <li>- On 18<sup>th</sup> June 2009 at the airport of Duesseldorf and on 6<sup>th</sup> July 2010 at the airport in Berlin, each respectively at the beginning of the holiday and travel season, the President of the FANC, Prof. Beate Jessel together with the customs administration of both airports informed the general public during a press conference on the objectives of CITES. Both events which were predominantly targeted at tourists travelling to exotic destinations did receive considerable media presence from major newspapers as well as local and national TV- and Radio stations. During the press conference in Duesseldorf also two newly trained sniffer dogs used by customs to detect protected wildlife shipments were shown to the public and media representatives. (<a href="http://www.bfn.de/5117.html?&amp;cHash=b5f36c72a5&amp;tx_ttnews[backPid]=5116&amp;tx_ttnews[tt_news]=2528">http://www.bfn.de/5117.html?&amp;cHash=b5f36c72a5&amp;tx_ttnews[backPid]=5116&amp;tx_ttnews[tt_news]=2528</a> ) (<a href="http://www.bfn.de/6914.html?&amp;cHash=360ea14fbb&amp;tx_ttnews[backPid]=6913&amp;tx_ttnews[tt_news]=3242">http://www.bfn.de/6914.html?&amp;cHash=360ea14fbb&amp;tx_ttnews[backPid]=6913&amp;tx_ttnews[tt_news]=3242</a> )</li> <li>- The FANC and one of the leading tour operators in Germany, i.e. the TUI company started with a common press release on 9<sup>th</sup> November a nation wide information campaign on the conservation of species diversity in order to motivate both young and adult tourists to become enthusiastic about the conservation of wildlife. (<a href="http://www.bfn.de/5117.html?&amp;cHash=0d7719a980&amp;tx_ttnews[backPid]=5116&amp;tx_ttnews[tt_news]=2562">http://www.bfn.de/5117.html?&amp;cHash=0d7719a980&amp;tx_ttnews[backPid]=5116&amp;tx_ttnews[tt_news]=2562</a> ). TUI agreed to show on all his aircrafts the by the FANC commissioned CITES filmspots which are also available to the general public on the FANC's website as follows: <a href="http://www.bfn.de/0508_videos_cites.html">http://www.bfn.de/0508_videos_cites.html</a> .</li> <li>- The return of five young loggerhead sea turtles to the Seychelles for release into the wild had been used by the FANC, Frankfurt Zoo and German customs for a press conference on 16<sup>th</sup> December 2009 which received major media response. The turtles where hatched successfully from eggs at the Frankfurt Zoo after German customs trained sniffer dogs had detected the specimens at Frankfurt airport with a passenger in transit on his way back to Austria</li> </ul>	

[http://www.bfn.de/5117.html?&cHash=5826e28d98&tx\\_ttnews\[backPid\]=5116&tx\\_ttnews\[tt\\_news\]=2574](http://www.bfn.de/5117.html?&cHash=5826e28d98&tx_ttnews[backPid]=5116&tx_ttnews[tt_news]=2574) ).

- The run-up of the 15<sup>th</sup> Conference of the Parties (CoP) of CITES which was convened between the 13<sup>th</sup> – 25<sup>th</sup> March 2010 in Doha, Qatar was used by the FANC by means of a press release for raising awareness on the objectives of the Convention in general and on one of the major political issues to be discussed such as the proposed protection of certain marine species under the Convention such as several species of sharks or the blue fin tuna ([http://www.bfn.de/6914.html?&cHash=5f2912b32e&tx\\_ttnews\[backPid\]=6913&tx\\_ttnews\[tt\\_news\]=2949](http://www.bfn.de/6914.html?&cHash=5f2912b32e&tx_ttnews[backPid]=6913&tx_ttnews[tt_news]=2949) ).
- The opening of CITES exposition on 25<sup>th</sup> June 2010 organized between the zoological garden of Munich, the FANC and German customs was used again just before the holiday season to raise awareness on tourist souvenirs made from CITES protected species. The opening was accompanied by a press conference.
- A between Germany's Customs Criminal Investigation Service, Police, Federal and National CITES Authorities co-ordinated law enforcement action in late September 2010 against the illegal trade in rare CITES protected tortoises which ended with the confiscation of 98 extremely rare tortoises resulted on 3<sup>rd</sup> October 2010 in a common press release between the Customs Criminal Investigation Service and the FANC. The press release triggered a nationwide media response ([http://www.bfn.de/6914.html?&cHash=5ff0b90ad5&tx\\_ttnews\[backPid\]=6913&tx\\_ttnews\[tt\\_news\]=3297](http://www.bfn.de/6914.html?&cHash=5ff0b90ad5&tx_ttnews[backPid]=6913&tx_ttnews[tt_news]=3297) ).
- The worldwide dramatic increase in the illegal trade in rhino horns made the FANC to revise and tighten its policy for re-exports for rhino horn. The revision was following similar decisions which other CITES Management Authorities of EU Member States had already previously taken on the same subject. As publicly announced through a press release on 31<sup>st</sup> October 2010, re-exports will in future only be permitted if the individual rhino horn is of such artistic value that it exceeds its potential value on the illegal medicine market, the item is part of a genuine exchange of cultural goods between reputable institutions (i.e. museums), the item has not been sold and is an heirloom moving as part of a family relocation or the item is part of a bona fide research project ([http://www.bfn.de/6914.html?&cHash=13640ee212&tx\\_ttnews\[backPid\]=6913&tx\\_ttnews\[tt\\_news\]=3379](http://www.bfn.de/6914.html?&cHash=13640ee212&tx_ttnews[backPid]=6913&tx_ttnews[tt_news]=3379) ).
- The ZEET as well as WISIA flyers informing about the contents and use of these databases have been updated in both versions, in German and English in 2009/2010.

Please attach copies of any items as Annex.

11

Please provide details of any additional measures taken:

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
If no, please provide details of any:		No information <input type="checkbox"/>				
<b>Changes in permit format:</b>						
<b>Changes in designation or signatures of relevant officials:</b>						
Within the reporting period <b>5 changes</b> referring to persons competent to sign CITES documents for Germany were reported to the Secretariat (15.1.2009, 26.8.2009, 16.12.2009, 24.2.2010 and 11.11. 2010). Three new executive officers were authorized to sign documents. Three officers left the authority. Their authorization was therefore withdrawn and their names were deleted from the list. All changes had been notified immediately and original samples of the respective new signatures were provided to the CITES Secretariat.						
2	To date has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	<b>2009 Year 1</b>	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	7,336	1,855	9,037	1,014	<b>see Attachment 7</b>
	How many applications were denied because of severe omissions or miss-information?					no information
	<b>2010 Year 2</b>					
	How many documents were issued?	7,331	1,860	9,497	932	<b>see Attachment 7</b>
	How many applications were denied because of severe omissions or miss-information?					no information
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or miss-information?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
			No information	<input type="checkbox"/>		
5	If Yes, please give the reasons for this.					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits?			Yes	<input type="checkbox"/>	
				No	<input checked="" type="checkbox"/>	
				No information	<input type="checkbox"/>	
Comments:						
In general Germany has no or only in exceptional cases any exports of wild taken specimen. However as a major importing country Germany has a special responsibility in thoroughly checking export documents of non-EC-Parties if these refer to export quotas.						
8	How many times has the Scientific Authority been requested to provide opinions?					
Germany is mainly an importing country. Most exports which took place concerned specimen which were either bred in captivity or artificially propagated. In such cases the Scientific Authorities are requested to provide advice whether the conditions for artificial propagation or breeding in captivity have been met. Referring to imports of CITES Appendix II species import permits are necessary in accordance with the EU regulations. To optimise and harmonize the application procedure the CITES Scientific Review Group (SRG) of the EU discusses regularly special import cases and concludes on basic scientific statements which can either result in a positive opinion to allow imports or in a negative opinion which may lead to the restriction of respective imports. Since 1997 the number of single decisions taken on this level has increased considerably. By now these decisions account for already about 60 % of all import applications. Other applications are referred to Germany's Scientific Authorities for evaluation of their scientific aspects.						
9	Has the MA charged fees for permit issuance, registration or related CITES activities?			Tick if applicable		
– Issuance of CITES documents:				<input checked="" type="checkbox"/>		
– Licensing or registration of operations that produce CITES species:				<input checked="" type="checkbox"/>		
– Harvesting of CITES-listed species :				<input type="checkbox"/>		
– Use of CITES-listed species:				<input checked="" type="checkbox"/>		
– Assignment of quotas for CITES-listed species:				<input type="checkbox"/>		
– Importing of CITES-listed species:				<input checked="" type="checkbox"/>		
– Other (specify):				<b>See Attachment 8</b>	<input checked="" type="checkbox"/>	
10	If Yes, please provide the amounts of such fees.			<b>See Attachment 8</b>		
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable		
– Entirely:				<input type="checkbox"/>		
– Partly:				<input type="checkbox"/>		
– Not at all:				<input type="checkbox"/>		
– Not relevant:				<input checked="" type="checkbox"/>		
Comments:						
German Federal Budget Legislation doesn't allow revenues from CITES fees to be used for CITES conservation projects. All revenues must go to federal treasuries. In addition annual budget of Germany's Federal Government is fixed more than one year in advance based on applications as occasion demands.						
12	Please provide details of any additional measures taken:					



**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span>						
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>			
	– Other (specify) As in previous years during the reporting period in 2009 and 2010 Germany's CITES Management and Scientific Authorities participated regularly as lecturers in several advanced CITES training seminars each year for already in CITES issues specialized German customs officers.						
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
		Target group					
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
		Target group					
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

4 Please provide details of any additional measures taken:

1. The CITES Management Authority (MA) of Italy had invited for a workshop on illegal reptile trade in the EU and neighbouring countries. At the meeting which was well attended by representatives from 15 European countries and that took place from **27<sup>th</sup> – 29<sup>th</sup> January 2009** in Tarvisio, Italy a representative from Germany's CITES Management Authority gave a presentation on the current situation in Germany.

2. The fact that criminals are using increasingly the world wide web to sell illegally obtained wildlife products lead the CITES Secretariat together with the CITES Management Authority (MA) of Canada to organize a workshop from **24<sup>th</sup> – 26<sup>th</sup> February 2009** to discuss wildlife e-trade and cyber-crime. The workshop which was also attended by a representative from Germany's CITES MA produced a document with clear recommendations which lead to an amendment of CITES Resolution Conf. 11.3 on 'Compliance and Enforcement'.

3. Two representatives from the CITES Management Authority of Japan visited during a study tour on **12<sup>th</sup> of March 2009** Germany's CITES Management Authority at the FANC. The pre-dominant objective of the study tour was to collect information how CITES Article VII (6) on the exchange of scientific specimens between registered scientific institutions is implemented in Germany, both on the regional and on the federal level.

4. From **23<sup>rd</sup> - 27<sup>th</sup> March 2009** a representative from Germany's Federal Agency for Nature Conservation CITES Management Authority attended as lecturer on CITES implementation with the European Union a workshop in Prague, Czech Republic On 'Improving Policy, Institutions, Legislation and Practices for Sustainable Use and Conservation of Wildlife Resources'. The predominant objective of the workshop which had been organized by the FAO Regional Office for Europe and Central Asia was to build capacity in Armenia, Azerbaijan, Georgia, Kazakhstan, Kyrgyzstan, Mongolia, Tajikistan, Turkmenistan and Uzbekistan in applying principles for developing a sound legal basis for sustainable wildlife management and to propose activities that would strengthen policies, institutions and capacities for sustainable wildlife management.

5. From **24<sup>th</sup> November– 3<sup>rd</sup> December 2009** two experts from Germany's CITES Management Authority together with representatives from TRAFFIC's Greater Mekong Programme held two training workshops, one in Hanoi and the second in Ho Chi Minh City for Viet Nam's environmental police. One of the objectives was to train 50 selected participants in how to lead their own trainings for other officers in their unit and thereby ensuring a long-term sustainability of CITES enforcement in Viet Nam.

6. During the reporting period Germany contributed again actively to the work of the European Union's Enforcement working group to develop common training materials for customs authorities or law enforcement officials at the various levels. Germany had been asked to take the lead of a subgroup which had been charged to prepare such common training materials for the Member States of the European Union. The training material was finalized in late 2010 and tested successfully in this year during several training workshops within the Community such as in Belgium (**25<sup>th</sup> - 26<sup>th</sup> February**), Hungary (**10<sup>th</sup> – 12<sup>th</sup> May**), Portugal (**17<sup>th</sup> – 18<sup>th</sup> May & 20<sup>th</sup> – 21<sup>st</sup> May**), Slovakia (**27<sup>th</sup> - 28<sup>th</sup> September**), Czech Republic (**6<sup>th</sup> – 7<sup>th</sup> October**), Estonia (**23<sup>rd</sup> – 25<sup>th</sup> November**) and Malta (**29<sup>th</sup> November – 1<sup>st</sup> December**). Altogether 240 government officials were trained in CITES issues during these eight courses.

7. Since 2004 Germany's CITES Management Authority of the Federal Agency for Nature Conservation (FANC) issues rather regularly an electronic CITES Newsletter ('Artenschutz-Info des BfN') which (provides information on recent developments in CITES relevant legislation, on significant infraction cases and development in illegal trade, on court cases or on any other issues of relevance to CITES implementation and enforcement government agencies within Germany. The overall well received and widely acknowledges newsletter is sent by e-mail to all regional CITES management, enforcement and implementation authorities of the 'Länder', to customs and criminal investigation agencies and to the CITES Scientific Authorities. During the reporting period six newsletters were issued in 2009 and four in total in 2010.

8. In order to assist Parties in the African region to meet their obligations under the objectives of the CITES Strategic Vision the Federal German Government committed from 2008 onwards 10 million € financial support for INTERPOL's 'Operational Assistance, Services and Infrastructure Support (OASIS) Africa programme. The outcome of certain well co-ordinated enforcement actions such as operation COSTA or operation BABA which both resulted in significant confiscations of CITES protected specimens within several African parties was communicated to the participants of the 15<sup>th</sup> Conference of the Parties to CITES as Inf. Doc. 23 (<http://www.cites.org/eng/cop/15/inf/index.shtml>).

9. In 2010 Germany's Federal Ministry for Environment, Nature Conservation and Nuclear Safety provided funding to enhance implementation and enforcement of wildlife legislation in Gabon. This support allowed the Gabonese authorities to carry out several law enforcement activities, one of which led to a major ape's related arrest and brought three ape dealers behind bars. During a second action, law enforcement officials and representatives from the "Ministère des Eaux et Forêts" (MINEF) arrested five other wildlife dealers in Libreville and confiscated 13 great apes heads, 32 great apes hands as well as 12 leopard skins, parts of a lion skin and 5 elephant tails.

10. Germany's Scientific and Management Authorities are using a scientific information system for international species conservation, 'WISIA' ([www.wisia.de](http://www.wisia.de)), which has been developed by the Scientific Authority of the FANC as an important reference system for dealing with scientific and management related aspects of species conservation. The Oracle based system which is accessible online both in German and in English contains comprehensive information such as on taxonomy, legal protection status and its history as well as on the distribution of the protected plant or animal species. Currently the internet database contains 30.000 scientific names, about 50.000 synonyms and 13.000 common names of protected animal and plant species. Furthermore the system provides searchable data sets on the conservation status and the distribution of its taxa. About 400 users per day, mostly from customs and regional CITES authorities, Ministries, Nature Conservation Organisations, traders or private individuals, contact free of costs WISIA-online. Any user can either choose between a synoptic overview and an individual species specific information set. Since 2006 WISIA-online can also be searched for strictly protected native non-CITES species. The legal relevance of the online information system is assured through continuous up-dates by Germany's Scientific and Management Authorities which were particularly labour-intensive in 2010 after the 15<sup>th</sup> Conference of the Parties due to the many adopted nomenclatural changes of the species listed in the CITES Appendices.

11. Germany's Scientific Authority has developed the database 'ZEET' ([www.zeet.de](http://www.zeet.de)), with a complete collection of all individual decisions adopted at EU level on the import of protected species of fauna (under Annex A and B of the EU Wildlife Trade Regulation) from certain countries of origin, for internal and external use. Due to the complexity of EU legislation there is a considerable need of information in the public regarding the provisions on the import of protected animal species such as parrots, chameleons or brown bear hunting trophies. Although import permits are issued on a national basis a large number of decisions taken at EU level must also be taken into account. ZEET offers a direct overview of those protected species that may or may not be imported into the EU member states. ZEET contains 1,157 import decisions for 600 animal species (data as of 25<sup>th</sup> January 2011), supplemented by export quotas as officially reported by countries of origin for some 666 species/country of origin combinations. The data base of ZEET provides for a synopsis of all individual decisions and annual export quotas currently in force for respective species which are listed in alphabetical order by their scientific name. The German version is available via internet as a PDF-file ([www.zeet.de](http://www.zeet.de)). The ZEET information system targets at animal traders and importers, nature and species conservation authorities, breeders, journalists or media and private individuals interested in nature conservation. The legal relevance of the online information is assured by continuous up-dates by Germany's Scientific Authority.

12. Germany's Scientific Authority for plants co-organized from 29<sup>th</sup> September – 2<sup>nd</sup> October 2010 in Limbe, Cameroon together with ITTO a 2<sup>nd</sup> CITES/ITTO Africa Regional Workshop which major objective was to ensure that international trade in the African CITES listed tree species *Pericopsis elata* and *Prunus africana* is consistent with sustainability. A further objective of the meeting was to assist national CITES authorities in Africa to allow these to meet the scientific, administrative and legal requirements for managing and regulating trade in *P. elata* from Cameroon, Congo, and the Democratic Republic of Congo (DRC). Recently the program scope has been expanded to include a project to ensure that management of *P. africana* in Cameroon is consistent with CITES provisions and recommendations. In addition the regional workshop further allowed other countries to review their management of this species in relation to the requirements of CITES. Finally activities were discussed how to generate Non-Detriment Finding (NDF) reports for *Pericopsis elata* for the Congo basin as well as for *Prunus africana* for Cameroon.

13. In June 2009, the FANC, together with the Institute of Wood Technology and Wood Biology at the Johann Heinrich von Thünen Institute Hamburg (vTI) organized and conducted the second international training workshop on "Identification of CITES timber species". The workshop which took place in Hamburg/Germany was attended by 25 participants of 10 countries as well as a representative from the Food and Agriculture Organization of the United Nations (FAO). Lectures and practical instructions were given by the international reputable wood anatomist Prof. Dr. G. Koch from the vTI. During the workshop, participants from a wide range of backgrounds, were trained on how to use CD-Rom "**CITESwoodID**" as an aid for the identification of CITES timbers or how to exclude timbers that are not regulated under CITES. In addition several presentations which were given by staff of the University of Hamburg provided the attendants with an overview on different measures how to address illegal logging and associated trade as well as on progress in identifying species and origin of timber by means of DNA analyses and chemical fingerprint methods.

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>			
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p><b>Species Conservation Advisory Committee for the implementation of CITES</b></p> <p>A new advisory committee on "Conservation of Species in International Trade" was set up at the Federal Agency for Nature Conservation (FANC) in May 1995 (Federal Gazette No. 94, amended on 29<sup>th</sup> March 1999, Federal Gazette No. 67). Its task is to advise the FANC on the implementation of species conservation provisions, and also to advise the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety &lt;BMU&gt; on the development of species conservation legislation relating to imports and exports. The advisory committee is composed of a total of 14 representatives drawn from the scientific community, nature conservation organisations and representatives from the industry, trade or consumer associations. The committee's day-to-day business and its working groups are managed by the Federal Agency for Nature Conservation. The working period for the committee is limited to four years and its 3<sup>rd</sup> working period ended in 2007. Since that time the Ministry of Environment, Nature Conservation and Nuclear Safety did not request for a reinstallation of the Species Conservation Advisory Committee for a 4<sup>th</sup> working period.</p>						
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):						
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available			
	Agencies for development and trade	<input checked="" type="checkbox"/>					
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>					
	Local authorities or communities	<input checked="" type="checkbox"/>					
	Indigenous peoples	<input type="checkbox"/>					
	Trade or other private sector associations	<input checked="" type="checkbox"/>					
	NGOs	<input checked="" type="checkbox"/>					
	Other (specify)	<input type="checkbox"/>					
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable					
	SA	<input type="checkbox"/>					
	Customs	<input checked="" type="checkbox"/>					
	Police	<input type="checkbox"/>					
	Other border authorities (specify)	<input type="checkbox"/>					
	Other government agencies	<input type="checkbox"/>					
	Private sector bodies	<input type="checkbox"/>					
	NGOs	<input type="checkbox"/>					
	Other (specify)	<input type="checkbox"/>					

6	<p>Has your country participated in any regional activities related to CITES? <span style="float: right;">Tick if applicable</span></p> <p>Workshops <span style="float: right;"><input checked="" type="checkbox"/></span></p> <p>Meetings <span style="float: right;"><input checked="" type="checkbox"/></span></p> <p>Other (specify) <span style="float: right;"><input checked="" type="checkbox"/></span></p> <p>1. The Head of Germany's CITES Management Authority participated actively with a lecture at the 'International Workshop on Red Coral Science, Management and Trade – Lessons learned from the Mediterranean' held from 23<sup>rd</sup> – 26<sup>th</sup> October 2009 in Naples, Italy. Following the commitment given at the 14<sup>th</sup> Conference of the Parties of CITES, the Italian Government had organized this International Workshop on the Mediterranean Red Coral (<i>Corallium rubrum</i>) jointly with the U.S. Government. Aim of this event, which followed that held in Hong Kong from 16<sup>th</sup> - 20<sup>th</sup> March 2009, was to define a management strategy for the Mediterranean Red Coral analyzing the various problems of conservation and the most suitable methods of sustainable harvest (<a href="http://coris.noaa.gov/activities/lessons_mediterranean">http://coris.noaa.gov/activities/lessons_mediterranean</a>).</p>
7	<p>Has your country encouraged any non-Party to accede to the Convention? <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
8	<p>If Yes, which one(s) and in what way?</p> <p>During the reporting period delegations from the Committee of Environmental Protection of the Republic of Tajikistan which has been notified as the competent authority to issue comparable CITES documentation visited twice on 9<sup>th</sup> July 2009 and on 26<sup>th</sup> November 2010 Germany's CITES Management Authority at the FANC. Delegates were informed on the basic requirements to implement CITES and on the CITES legal implementation framework for the Member States of the European Union. In addition advantages of accession to CITES were discussed in particular with regard to international trade in high prized hunting trophies derived from CITES protected ungulates such as Argali (<i>Ovis ammon</i>), Urial (<i>Ovis vignei</i>), Markhor (<i>Capra falconeri</i>) originating from Tajikistan.</p>
9	<p>Has your country provided technical or financial assistance to another country in relation to CITES? <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
10	<p>If Yes, which country (-ies) and what kind of assistance was provided?</p> <p style="text-align: center;"><b>See answers given under question D 6 (4)</b></p>
11	<p>Has your country provided any data for inclusion in the CITES Identification Manual? <span style="float: right;">Yes <input type="checkbox"/></span></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
12	<p>If Yes, please give a brief description.</p>
13	<p>Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)? <span style="float: right;">Yes <input type="checkbox"/></span></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
14	<p>If Yes, please give a brief description.</p>
15	<p>Please provide details of any additional measures taken:</p>

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
In particular for both <b>Scientific Authorities</b> hiring of more staff and the increase in financial resources remains an issue. These two constraints have impeded the performance of both authorities in the past few years.				
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation <b>see ATTACHMENT 1</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C1	Inspections of traders, producers, markets <b>see ATTACHMENT 2</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed <b>see ATTACHMENT 3</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited <b>see ATTACHMENT 4</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions <b>see ATTACHMENT 5</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions <b>see ATTACHMENT 6</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D5 (3)	CITES documents issued <b>see ATTACHMENT 7</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D5 (10)	Charges for the issuance of CITES documents <b>see ATTACHMENT 8</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, <b>see ATTACHMENT 9</b>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

### Comments on the reporting format

In principle the biennial report format as adopted at CITES CoP 13 has proven to be adequate and relatively easy to complete. Amendments, if necessary, shall be considered restrictively on a case by case basis and only in the scope of new decisions or resolutions adopted at a Conference of the Parties.

However the information value in relation to questions D1-5&6 and D2-5&6 referring to staff numbers and time spent on work in CITES Management Authorities and Scientific Authorities remains highly questionable as there are instances where such staff is not only working on CITES matters but likely also on other conservation or non-conservation issues. Hence it is extremely difficult and time consuming to evaluate precisely the time and work input on CITES issues only.



## EU – Regulation (EC) No. 338/97 Biennial Report Format PART 2 ,Supplementary Questions’

The numbering of this section reflects that in Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	see answers to question B.2 and B.5 of Part 1 as well as <b>Attachment 10</b>					
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available: revised version of the Federal Nature Conservation Act see <b>Attachment 1</b>						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9): <b>see Attachment 10</b>						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; see <b>Attachment 6</b> .	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: see national marking provisions under <b>Attachment 10</b> .	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: The German Scientific Authority evaluated the conditions of Art. 4(1) c) whenever a respective import application had been submitted.	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

Comments:

Germany had taken note of the EU Enforcement Action Plan, published in the official journal of the EU No. L 159/07 (Document 2007/425/EC) and started after the 14<sup>th</sup> CoP to CITES to nationally implement the recommendations contained in the action plan.

However since September 2007 the CITES Management Authority of the Federal Agency for Nature Conservation (FANC) had started on a national level with several national enforcement initiatives in line with the action planning provisions embedded in CITES Resolution Conf. 11.3 (Rev. CoP 15).

Some of the actions recommended in the above mentioned resolution have already been implemented in Germany such as the rapid exchange of important information by electronic means through an electronic CITES newsletter (6 issues in 2009 and 4 issues in 2010), public awareness campaigns, training of enforcement officers or strong new working relations among German officials in the different authorities and enforcement agencies. The working relations are backed up either by formalized but also not formalized agreements and strong government support.

In addition to these activities Germany had nationally started a process to implement further actions recommended in the EU Enforcement Action Plan. All relevant enforcement and management authorities involved in the implementation of CITES in Germany have been invited to collaborate on the creation and implementation of a national action plan. To meet these objectives in 2007 an Interagency National Action Plan Working Group (IANAP-WG) was established at the Federal Agency for Nature Conservation. The IANAP-WG includes representatives from German customs services, the police, national and local CITES Management Authorities and other enforcement bodies. The main objectives and targets of this new working group are:

- to improve overall inter-agency cooperation;
- to collect and distribute relevant information;
- to identify enforcement priorities;
- to coordinate public relation activities; and
- to control and coordinate adequate training activities.

Germany is a federal state consisting of 16 so called 'Länder'. 'Länder' authorities have powers for issuing intra-Community certificates, to carry out investigations and for controlling trade within the Community. An important body to coordinate activities and to ensure the uniform application of regulations within Germany is the Standing Committee on 'Species and Biotope Conservation' of the "Bund/Länder" Association for Nature Conservation" (BLANA). In order to implement the EU Enforcement Action Plan a subcommittee 'Improvement of Enforcement' was established. Endorsed by that committee main focus areas in enforcement were in 2009 and 2010 tropical timber, rare species of tortoises and certain birds of prey (see details, already reported under C 17 of part I).

21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
<p>Comments:</p> <p>A list of experts for species identification is published in the Federal Gazette. A revised list of experts had been published in the Federal Gazette (No. 105: 2458-2469) on 21. July 2009. In addition, lists of laboratories undertaking DNA analysis or other forensic analyses (i.e. isotope-analysis) are available at the CITES Management Authority of the FANC.</p>		

22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
<p>Comments:</p> <p>In Germany there are both on the federal and the 'Länder'-level directives which lay down that the market value of the specimens shall be considered when administrative offences are delivered. Furthermore according to Germany's criminal law different criteria have to be considered, inter alia the severity of the offence which may also allow taking into account the conservation value of the respective species involved. In practice, a conservation value will not be known usually. Especially with regard to non-native species in many instances it seems to be impossible to ascertain the real conservation value.</p> <p>Germany has enacted legislative provisions that require the offender (i.e. importer in case of unlawful importation of protected specimens) and/or the carrier to meet the costs of confiscation, custody and storage.</p>		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
<p>Comments:</p> <p>- Since a few years regular training of Germany's federal police have been proven to be quite useful. During the reporting period two such seminars were held at the Federal Criminal Police Agency in Wiesbaden from <b>25<sup>th</sup> – 28<sup>th</sup> May 2009</b> and <b>30<sup>th</sup> August – 3<sup>rd</sup> September 2010</b> respectively.</p> <p>- During the reporting period 2 seminars for local management and enforcement authorities (<b>15<sup>th</sup>-17<sup>th</sup> September 2009</b> and <b>17<sup>th</sup> – 19<sup>th</sup> November 2010</b>) were held by the CITES Management Authority of the Federal Agency for Nature Conservation at the Species Conservation Centre of North-Rhine/Westphalia at Metelen. The objectives of both seminars were mainly to understand the systematic of basic legal provisions of international species conservation legislation, i.e. Reg. (EC) No. 338/97, the EC Birds Directive, the EC Habitats Directive and of Germany's Federal Ordinance on the Conservation of Species. Furthermore the procedure on how to enforce and implement seizures and confiscations was discussed. Finally follow up procedures after confiscations such as hearings, writing of penalty notice, objection and court proceedings were practiced on simulated case studies.</p> <p>- Again as during the previous reporting period a special training focus had been laid on teaching various regional CITES Management and Enforcement Authorities of the 'Länder' in Germany. Altogether 7 such seminars were held in 2009 and 2010, i.e. in Northrhine-Westphalia (2), Rhineland-Palatinate (2), Saxony (2) and one in Bavaria. All of which were focusing on the basic legal provisions of international species conservation legislation, on trends of confiscations and specific responsibilities of Germany's regional CITES Management Authorities.</p> <p>- German customs authorities have conducted several training seminars on legal matters but also on identification issues. Such seminars are regularly held several times during the year (up to 10 seminars on legal matters and up to 8 identification seminars). As in the past both members of Germany's Scientific and Management Authority contributed as lecturers actively to the identification seminars during the reporting period.</p>		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

	<p>Comments:</p> <p>The domestic implementing legislation provides the local authorities with the power to carry out regular checks and to visit any facilities used for commercial purposes. These checks are regularly carried out by local authorities, in special cases also supported by police or customs authorities. In addition in 2010 the CITES enforcement agencies put a special focus on the check of reptile breeders specialized in tortoises. The successful special investigation which resulted in the seizure of several extremely rare tortoises (see also Part 1 question D 4(10)) was carried out by local authorities in close cooperation with the German customs authority and the CITES Management Authority of the FANC.</p> <p>Furthermore, an overview about details on inspections by Germany's 'Länder' Authorities has been provided in <b>Attachment 2</b> referring to question C 1 of Part 1.</p>		
25 new	<p>Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIh.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p> <p>The German customs has established a special unit which specifically deals with risk assessments only and which provides the relevant customs offices with substantial information. This unit is closely linked to and co-operating with similar units in other EU-Member States.</p>		
26 new	<p>Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph Iii.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p> <p>The wildlife conservation administrations in at least two Federal States in Germany (<i>Länder</i>) have established facilities for the temporary keeping of confiscated live specimens. Being in charge of the public administration these facilities remain state-subsidised. If required both facilities are also able to quarantine specimens.</p> <p>In addition, some German NGO's have established similar facilities which are also subsidised by those. As above these facilities are readily prepared to take temporarily care for protected wildlife specimens. In addition some of these NGO facilities are even able to quarantine specimens.</p> <p>Furthermore most 'bona fide' Zoological Gardens in Germany will offer their support if live specimens will be seized by government enforcement bodies.</p> <p>The long-term repatriation of confiscated CITES-listed animals will be handled on a case-by-case basis. Such a repatriation case of five live loggerhead turtles to the Seychelles which had hatched from eggs confiscated in transit at Frankfurt-Airport was undertaken in December 2009 with close collaboration with the CITES Authorities of the government of the Seychelles (see also Part 1 question D 4(10)).</p> <p>A number of public facilities like Zoos or botanical gardens are able to keep such specimens. The administrative handling is also regulated. Generally a public contract will be signed between the keeping facility and the responsible government authority which on a case-by-case basis can comprise additional special provisions.</p>		
27 new	<p>Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIIe.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p> <p>Such cooperation takes place on a case-by-case basis. Additionally Germany participates actively in meetings of the EU Enforcement Working Group.</p>		
28 new	<p>Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIIj.)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	

	Comments: Competent contact agencies were notified accordingly to other Member States.
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29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: Liaison is taking place on a case by case basis	
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: Such support is provided on a case-by-case basis but also by organizing and convening specific training seminars or visitor programs for foreign delegations of CITES Parties visiting Germany (see details reported under question D 6(4) of Part 1).	

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to none CITES listed species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	No information <input type="checkbox"/>	

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	<b>8</b>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	<input checked="" type="checkbox"/> <input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage:100% No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>See answer in Part 1 question B 2.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. With regard to certain trade in biological samples simplified procedures (Art. 18 ComR 865/2006) have not been used in Germany. However, Art. 19 ComR 865/2006 pre-issued documents have been used as simplified procedures for (re-)exports of snake venom from <i>Daboia russeli</i> (Annex C of CR 337/97, App. III CITES) originated from captive bred specimens mainly from US and Sweden as well as for re-exports of hair brushes made of <i>Mustela sibirica</i> (Annex D of CR 337/97, App. III CITES).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details: The application of Art. 60 rests with the 'Länder' authorities. Germany does not use that regulation for allowing certain 'scientific institutions' commercial activities like the exchange or transfer of Annex A specimens. However, it may be used for the (commercial) display of Annex A specimens in zoos. Irrespective of that regulation certain 'scientific institutions' have been registered using labels for the movement between registered institutions in line with Art. 7 (4) CR (EC) No. 338/97, Art. 52 Commission Regulation (EC) No. 865/2006, see following: <a href="http://www.cites.org/common/reg/e_si.html">http://www.cites.org/common/reg/e_si.html</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details: During the reporting period the list of German caviar processing and (re-) packaging plants had been revised. See following: <a href="http://www.cites.org/common/resources/reg_caviar.pdf">http://www.cites.org/common/resources/reg_caviar.pdf</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Germany's CITES Management Authority has notified to the CITES Secretariat the responsible authorities which are entitled in Germany to issue for artificially propagated plants listed in CITES Appendix II phytosanitary certificates as export permits. The list of these authorities can be accessed under following website: <a href="http://www.cites.org/common/directy/e_directy.html">http://www.cites.org/common/directy/e_directy.html</a>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details: There was one case with regard to a retrospective issued export permit (A-304/09) to Switzerland for smoked eels which were acquired as pre-Convention specimens.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>



# GREECE

### A. General information

Party	GREECE
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	CENTRAL CITES MANAGEMENT AUTHORITY OF GREECE
Contributing agencies, organizations or individuals	<ol style="list-style-type: none"> <li>1. 33<sup>RD</sup> DIVISION OF CUSTOMS LAW ENFORCEMENT</li> <li>2. REGIONAL MANAGEMENT AUTHORITIES <ul style="list-style-type: none"> <li>• REGIONAL DIRECTORATE OF FORESTS OF WEST MACEDONIA, DIRECTORATE OF FORESTS OF PREFECTURE OF KASTORIA</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF CENTRAL MACEDONIA</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF ATTICA</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF STEREA HELLAS</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF THESSALIA</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF WESTERN GREECE</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF EPIRUS</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF EASTERN MACEDONIA &amp; THRACE</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF PELOPONNESUS</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF CRETE</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF IONIAN ISLANDS</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF NORTHERN AEGEAN</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF SOUTH AEGEAN, DIRECTORATE OF FORESTS OF PREFECTURE OF DODECANESE</li> </ul> </li> </ol>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:

Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments						

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes	<input type="checkbox"/>		
		No	<input type="checkbox"/>		
		No information	<input checked="" type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

	Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?		
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number <b>1</b> <b>5</b>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	Comments: The way of disposal is decided on a case by case basis. The one tanned skin of <i>Lynx lynx</i> and the two tanned skins of <i>Ursus arctos</i> and <i>Canis lupus</i> were donated to the Aristotle University of Thessaloniki and to the University of Patras respectively for educational purposes. Also a stuffed leopard from an old confiscation was donated to the Museum of Natural History Goulandri for also educational purposes.			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description: The 33 <sup>rd</sup> Division of Customs Law Enforcement has access to EU-TWIX. Daily, there is exchange of intelligence in CITES matters.			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	

	Comments:
17	<p>Please provide details of any additional measures taken:</p> <ul style="list-style-type: none"> <li>• Informing Customs Officers for "Alerts" on illegal trade</li> <li>• The 33<sup>rd</sup> Division issues periodically circulars to all customs authorities of our country, notifying them about international tendencies and mundus operandi in illegal trafficking of wild species of fauna and flora.</li> </ul>

#### D. Administrative measures

##### D1 Management Authority (MA)

1	<p>Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
2	If Yes, please use the opportunity to provide those changes here.
3	<p>If there is more than one MA in your country, has a lead MA been designated?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
4	<p>If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.</p> <p><b>LEAD MANAGEMENT AUTHORITY:</b>  <b>Ministry of the Environment, Energy &amp; Climate Change</b>  <b>Special Secretariat for Forests</b>  <b>General Directorate for the Development and Protection of Forests &amp; Natural Environment</b>  <b>Directorate of Aesthetic Forests, National Parks &amp; Game Management</b>  <b>Department of International Conventions</b>  <b>31 Halkokondili str., GR-101 64 ATHENS- GREECE</b></p>
5	<p>How many staff works in each MA?</p> <p><b>Lead Management Authority:</b> Four (4) permanent employees. Often temporary staff is hired for facilitating the operation of the Department , employees three (3).</p> <p><b>Regional Management Authorities:</b></p> <ul style="list-style-type: none"> <li>• REGIONAL DIRECTORATE OF FORESTS OF WEST MACEDONIA, DIRECTORATE OF FORESTS OF PREFECTURE OF KASTORIA : 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF CENTRAL MACEDONIA : 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF ATTICA: 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF STEREA HELLAS : 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF THESSALIA: 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF WESTERN GREECE : 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF EPIRUS: 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF EASTERN MACEDONIA &amp; THRACE: 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF PELOPONNESUS : 3</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF CRETE : 1</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF IONIAN ISLANDS: 2</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF NORTHERN AEGEAN: 1</li> <li>• REGIONAL DIRECTORATE OF FORESTS OF SOUTH AEGEAN, DIRECTORATE OF FORESTS OF PREFECTURE OF DODECANESE: 1</li> </ul>

	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: Region of South Aegean: 15%, Region of Crete: 60%, Regional Directorate of Forests of Central Macedonia: 70%, Region of Eastern Macedonia & Thrace: 15%, Region of Sterea Hellas: 25%, Region of Thessalia: 30%, Region of Epirus: 40%, Region of Attica: 100%, Prefecture of Kastoria: 70%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?		Tick if applicable
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input type="checkbox"/>
	– Other (specify)		<input checked="" type="checkbox"/>
	– No information		<input type="checkbox"/>
	– <u>Other</u> : Forest Engineers, Veterinarian		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?		Tick if applicable
	– Government institution		<input type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>10</b> It is noted that there is the option of the use id additional specialists (Consultants) when it is needed.		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: The time allocated to matters directed to the SA depends on their nature and complexity.	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?		Tick if applicable
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input type="checkbox"/>
	– Fisheries		<input checked="" type="checkbox"/>
	– Forestry		<input checked="" type="checkbox"/>

	<ul style="list-style-type: none"> <li>- Welfare <input type="checkbox"/></li> <li>- Zoology <input checked="" type="checkbox"/></li> <li>Other (specify) : Biology, Herpetology, Ichthyology, Veterinary, Ichthyopathology, Botanic-Forest Pathology <input checked="" type="checkbox"/></li> <li>- No information <input type="checkbox"/></li> </ul>	
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	No information <input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify)	<input checked="" type="checkbox"/>
	The TARIC is computerized and for customs tariffs, regarding CITES species, there is a warning that CITES permits are necessary to be submitted.	

2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Tick if applicable</span>						
	Yes					<input checked="" type="checkbox"/>	
	No					<input type="checkbox"/>	
	No information					<input type="checkbox"/>	
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>						
	– Legislation (national, regional or international)?					<input checked="" type="checkbox"/>	
	– Conservation status (national, regional, international)?					<input checked="" type="checkbox"/>	
	– Other (please specify)? : Species identification					<input checked="" type="checkbox"/>	
5	Is it available through the Internet: <span style="float: right;">Tick if applicable</span>						
	Yes					<input checked="" type="checkbox"/>	
	No					<input type="checkbox"/>	
	Not applicable					<input type="checkbox"/>	
	No information					<input type="checkbox"/>	
	Please provide URL: <a href="http://www.ypeka.gr">http://www.ypeka.gr</a>						
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>						
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book) (year 2008)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
7	If not, what problems have been encountered to access to the mentioned information?						
8	Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span>						
	– Mortality in transport?					<input type="checkbox"/>	
	– Seizures and confiscations?					<input checked="" type="checkbox"/>	
	– Discrepancy in number of items in permit and number of items actually traded?					<input type="checkbox"/>	
	Comments:						
9	Is there a government website with information on CITES and its requirements? <span style="float: right;">Tick if applicable</span>						
	Yes					<input checked="" type="checkbox"/>	
	No					<input type="checkbox"/>	
	No information					<input type="checkbox"/>	
	If Yes, please give the URL:						
	<ul style="list-style-type: none"> <li>• Until the end of the year of 2009: <a href="http://www.minagric.gr/greek/2.5.10.CITES.html">http://www.minagric.gr/greek/2.5.10.CITES.html</a></li> <li>• Since the year of 2010: <a href="http://www.ypeka.gr">http://www.ypeka.gr</a></li> </ul>						



10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input type="checkbox"/>
	– Information at border crossing points		<input checked="" type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
– Other (specify):		<input checked="" type="checkbox"/>	
	<ul style="list-style-type: none"> <li>The Central (lead) Management Authority has produced a website for the enforcement of the CITES Convention in Greece [until the end of the year 2009: <a href="http://www.minagric.gr/greek/2.5.10.CITES.html">http://www.minagric.gr/greek/2.5.10.CITES.html</a>, and since the year 2010: <a href="http://www.ypeka.gr">http://www.ypeka.gr</a>], which both are updated on a regular basis.</li> </ul>		
Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken: <ul style="list-style-type: none"> <li>The Regional Management Authority of Peloponnesus informs all legal entities of Peloponnesus about the CITES Convention, by calling or sending e-mails.</li> <li>The regional Management Authority of Central Macedonia organised speeches and presentations regarding the CITES Convention. 1) At schools addressed to teachers and pupils of the first and second degree of our educational system, in the context of the schools educational problems, 2) At the municipal police stations during the compulsory training of the new officers. The newcomers attended lectures concerning the CITES Convention and the EU Regulations accordingly with their basic training course, 3) To the Chamber of Commerce. Each year a forester from the regional CITES Management Authority visits the Chamber of Commerce, after being invited, in order to speak and inform the members at the Annual meeting of the traders of pet animals, importers, exporters and pet shop owners, and 4) Composition and distribution of a questionnaire to the merchants of wild fauna and flora and pet shop owners concerning CITES permits issuance.</li> <li>Leaflets have been produced and disseminated from the Regional Management Authority of Eastern Macedonia &amp; Thrace to the regional forest services and customs authorities for informing the public about the CITES Convention (copy of the leaflet is attached). Also this material has been disseminated to schools for environmental awareness purposes and to the public.</li> <li>Leaflets and Posters that have been produced from the Lead Central CITES Management Authority in the past years, still continue to be distributed to and from the regional Management Authorities to all other regional enforcement authorities and to the public. (Copies of these have been provided in the past).</li> </ul>		

#### D5 *Permitting and registration procedures*

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>		
		No	<input type="checkbox"/>		
		Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>		
If no, please provide details of any:					
Changes in permit format:					
Changes in designation or signatures of relevant officials:					
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable			
			Yes	No	No information
		Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	826	17	887	30*	*Certificates of E.U. distribution only
	How many applications were denied because of severe omissions or mis-information?					
	Year 2					
	How many documents were issued?	808	28	1110	(23/ 3)*	* Certificates of E.U. distribution and Certificates of Personal Ownership respectively
	How many applications were denied because of severe omissions or mis-information?					
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
5	If Yes, please give the reasons for this: Omissions that concerned mistakes inadvertently.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason			Yes	No	No information
	Technical violations			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<ul style="list-style-type: none"> <li>Rejection due to suspension of imports in the community (according to EC Regulation)</li> <li>Rejection of certificates of EU distribution as invalid due to the fact that there were issued not according to the conditions of the EU Regulations.</li> </ul>						
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
Comments: Checking the validity of export quotas before of the issuance of an import permit.						
8	How many times has the Scientific Authority been requested to provide opinions?					24
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>
	– Harvesting of CITES-listed species :					<input type="checkbox"/>
	– Use of CITES-listed species:					<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>
	– Importing of CITES-listed species:					<input type="checkbox"/>
– Other (specify):					<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees.					

	<ul style="list-style-type: none"> <li>• <b>40 euros</b> for CITES permits and certificates</li> <li>• <b>15 euros</b> for CITES permits and certificates issued with <u>Purpose Code "P"</u> (Personal)</li> </ul>	
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation? Tick if applicable</p> <p>– Entirely: <input type="checkbox"/></p> <p>– Partly: <input type="checkbox"/></p> <p>– Not at all: <input checked="" type="checkbox"/></p> <p>– Not relevant: <input type="checkbox"/></p> <p>Comments:</p>	
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? Tick if applicable</p> <p>Increased budget for activities <input type="checkbox"/> Improvement of national networks <input type="checkbox"/></p> <p>Hiring of more staff <input checked="" type="checkbox"/> Purchase of technical equipment for monitoring/enforcement <input checked="" type="checkbox"/></p> <p>Development of implementation tools <input checked="" type="checkbox"/> Computerisation <input checked="" type="checkbox"/></p> <p>– Other (specify): <input checked="" type="checkbox"/></p> <ul style="list-style-type: none"> <li>• It has been relevantly attempted an effort to be increased the staff of the Service.</li> <li>• Leaflets and Posters that have been produced from the Lead Central CITES Management Authority in the past years, still continue to be distributed from the regional Management Authorities to all other regional enforcement authorities, schools, universities and to the public.</li> </ul>																																																												
2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1"> <thead> <tr> <th>Target group</th> <th>Oral or written advice/guidance</th> <th>Technical assistance</th> <th>Financial assistance</th> <th>Training</th> <th>Other (specify)</th> <th>What were the external sources?</th> </tr> </thead> <tbody> <tr> <td>Staff of Management Authority</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of Scientific Authority</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of enforcement authorities</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Traders</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Public</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>					Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?																																																							
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																								
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																								

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Training seminars to the regional Management Authorities about CITES Regulations and Permitting system.  Issuance of Circulars on legislative status of CITES species and photos
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Oral information when asked
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Circulars on legislative status of CITES species and photos, oral guidance when asked
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Oral and written guidance when asked
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Distribution of leaflets and posters, oral and written guidance when asked, presentations to schools
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Meetings are arranged when there are issues to be discussed
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Oral and written consultations when there is a need
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		

	Local authorities or communities	<input checked="" type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	
	Other (specify):	<input checked="" type="checkbox"/>	
	<ul style="list-style-type: none"> <li>• Chamber of Commerce</li> <li>• Promotion Association of small animals of Macedonia and Thrace</li> <li>• Directorate of Veterinary Services</li> <li>• WWF Greece citizens legal support group</li> <li>• Ornithologiki NGO</li> <li>• Erpetologiki (ELEPRE) NGO</li> </ul>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input checked="" type="checkbox"/>
	Customs		<input checked="" type="checkbox"/>
	Police		<input checked="" type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input checked="" type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify):		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14	If Yes, please give a brief description.		

15	Please provide details of any additional measures taken:
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## 8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

## E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
	Comments	Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	<ul style="list-style-type: none"> <li>Issuance of permits of Greek endangered species of indigenous flora and endemic, migratory and sedentary wild fauna, as specified in the relevant acts of the Administration of "non-CITES species" (species that are not included in the Appendices of the Convention or the EU Annexes),</li> <li>The Greek Forestry legislation provides separate provisions for the protection of endemic wild fauna and flora (species which are grown in areas governed by specific measures of protection: absolute areas of nature conservation areas, national parks, preserved natural monuments, wildlife refuges, e.t.c.). In such areas is prohibited the collection, eradication, removal or destruction of wild flora and fauna species. The offenders are punished with fines and imprisonment.</li> <li>Import, export, possession and keeping of live animal species listed in Annex A and Appendix I are prohibited when the purpose of the aforementioned actions is either the trade or distribution in the Greek market for personal purposes, or the possession and keeping for personal purposes.</li> </ul>					
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



## Law 2637/1998 - Provisions for Sanctions, Seizures and Confiscation

The most significant articles of Law 2637/1998 are the following Article 57 of Law 2637/1998 By decision of the Secretary General of each Prefecture, published in the Government Gazette, bans, limits or conditions may be placed on fishing, farming, pasture, woodcutting and the use of protected plant products as well as the collection and cutting for commercial purposes of aromatics, dyestuffs, spices, honey and plants for flowers and decoration.

Article 253 of Legislative Decree 86/1969 is amended as follows:

Case .g. of par. 3 of article 258 is repealed once this law enters into force after par. 5 of Article 258, with the addition of par. 3 of Article 7 of Law 177/1975 (Government Gazette 205/A/1975) a new paragraph 6 is added as follows:

6.a) the following are prohibited without a license:

The exportation, importation, re-exportation, re-importation, transportation, sale, purchase, advertising, rental, commercial exploitation, competition and generally the holding, marketing keeping, exhibiting, transporting, dispatching and transfer of:

i) species of wild flora and fauna and samples thereof, whether living or dead and whether processed or not.  
ii) species of wild flora and fauna and samples thereof born and are being raised and still raised in captivity or artificially produced, processed or not, and species or samples which constitute part of personal or domestic articles.

iii) species of wild flora and fauna and samples thereof, whether processed or not, which are loaned or exchanged for commercial reasons between recognized scientists and scientific research institutes.

b) Decisions by the Minister of Agriculture, published in the Government Gazette, shall specify those species of wild flora and fauna covered by the above points, the limits and conditions for granting licenses pursuant to the above point and the type of these licenses as well as any other details necessary for the implementation of the previous point.

c) In order for the above license to be granted, a fee must be paid which will be considered as State Budget Revenue. The amount of this fee shall be determined and readjusted by decision of the Ministers of Finance and Agriculture published in the Government Gazette.

d) Importers, exporters and associations thereof as well as companies marketing and transporting the species specified in the above subparagraph b, shall be entered in a special register for the issue of the above-mentioned license. The Minister of Agriculture shall publish a decision in the Government Gazette specifying the conditions for entry in this register and any other details required for implementation of this point.

.....f) The Minister shall make a decision, published in the Government Gazette, specifying the criteria and procedure for the recognition and supervision of legal persons who receive, keep and look after species of wild fauna in Protection centres for species of wild fauna and any other details for the application of this point. The above legal entities are recognized as Protection Centres for species of wild fauna, provided that their operation is not contravening the provisions in effect for public health.

Article 265 of Legislative Decree No 86/1969 is amended as follows:

At the end of point .a. of paragraph 4 of Article 265 the following phrase is being added: and the works carried out and implemented by the Forest Service, in order to maintain, rehabilitate and manage the species of wild fauna and flora and their biotopes. At the end of point .g. of paragraph 4 of Article 265, points .h. until .id. were added as follows:

The preparation of studies and the execution of specially formed programmes of research and management of species of wild flora and fauna and their biotopes The implementation of public awareness campaigns on matters of protection of species of wild fauna and flora and their biotopes

ia) The implementation of programmes for reception, preservation and care of species of wild fauna

ib) The repatriation, re-dispatching, re-exporting expenses in the country of species of wild fauna

ic) The transportation, reception and hospitalisation expenses of the impounded species of wild fauna and flora and their samples (living or dead, processed or not, as well as the feeding of the live samples of wild fauna).

id) The Minister of Agriculture shall issue a decision, published in the Government Gazette, for the implementation of this paragraph to lay down rules and conditions on signing contracts between the Minister and other persons, who provide guarantee and adequate scientific experience in all relevant matters under the co-ordination of the central forest service of the Ministry of Agriculture

### III Penalties

In Article 287 of Legislative Decree 86/1969, amended and completed subsequently, paragraphs 22, 23 and 24 were added as follows concerning the imposed penalties:

22. Whoever keeps, imports, transports and trades in any way, species of Article 258 paragraph 6 point .b. without license or with a falsified license is sent to prison from two (2) months up to one (1) year and if relapsed, for two (2) years.

23. Whoever denies or obstructs or holds the inspection by the Auditing Authorities of species of Article 258 paragraph 6, point .b. or denies information or gives false information, is sent to prison from one (1) month up to six (6) months.

24. The sentences of paragraph 22 and 23 are charged against of all who are responsible, such as businessmen in personal companies, partners in general partnership, administrators in limited liability companies and whoever is in charge of cooperatives and Anonymous Companies or in lack or the above the members of the board of Directors.

After article 288 a new article 288a is added as follows:

This article deals with the administrative sanctions as follows:

Persons infringing paragraph 6 (a), (b), (c), (d) and (f) of Article 258 shall be fined with an amount between 200,000 and 5,000,000 Greek Drachmas. The species of wild flora and fauna and the samples of them, whether alive or dead, processed or not, shall be seized by the forest or customs authorities and they shall be given to the nearest Forest Service for further procedures with responsibilities of which shall be:

Returned or re-exported to their country of origin or disposed of or kept, taken care of and looked after. When the forestry authority seizes them, the provisions of paragraphs 2, 3 and 4 of Article 288 of legislative decree 86/1969 shall apply.

If it is discovered that there has been a forgery in license accompanying the species or has been falsified, the sanctions in the previous paragraph shall apply. For subsequent offences the fine shall be doubled.

When determining the level of the administrative sanctions in this article, account shall be taken to the severity of the infringement and whether it is a second offence.

The fine, which is referred to in this article, shall be imposed by decision of the head of the regional administration responsible in accordance with the supporting information submitted. A decision shall be made according to the provisions of Articles 56 and 57 of the Criminal Procedure Code.

The person affected by the decision to impose a fine shall have the right to appeal to the three-member administrative court within thirty (30) days. This period is commencing on the day following the issuing of the decision. The appeal shall not suspend the application of the decision. However, the chairman of the court could make a decision to suspend the application of the decision, in accordance to the provisions of Article 2, Law No 820/1978 [(Government Gazette 174/A/1978) as replaced by Article 27 of Law 1406/83 (Government Gazette 182/ A/1983)].

The suspension of the decision can be accepted in part or in full if the judge ascertains from specific information that the claimant is unable to pay or considering that errors have been committed.

Against the decisions of the administrative courts, it is possible to raise legal means according to the provisions of the Legal Taxation Procedure Code. The amount of the fine specified in this article may be adjusted by decision of the Minister for Agriculture, which shall be published in the Government Gazette. The fines collected under this article shall be considered as state revenue and collected under the State Revenue Collection Code. The Forest Service Regional Directorates shall undertake this procedure.

Article 58 of Law 2637/1998 Article 36 of Law No 1845/1989 is amended as follows:

Point .b. of paragraph 1 is amended as follows:

The protection of wild fauna and their biotopes, the inspection on maintaining game rules, the guarding and inspection in game areas, the protection of wild life shelters and game breeding stations, as well as the protection of fisheries resources of mountain streams and the carrying out of inspection while fishing into them.

b) In paragraph 1, after point .f., a new point .g. is added as follows:

The carrying out of inspections into the import, movement, placing on the market and holding of all general protected species of wild fauna and flora and samples thereof, whether living or dead, processed or not and the application of any measures required to prevent and suppress offences Paragraphs 1 and 2 of article 39, Law 1845/1989 are replaced as follows:

Under article 36 of this law, the protection of forests is carried out by the office, that work in the Forest Service, with the exception of case .f. where only forest engineers, foresters and forest guards can proceed with the inspections.

In the framework of forest protection, forest officers and officers of categories a, b, c, e, f of paragraph 1 of article 39 of this law, are obligated and responsible to draw up charging documents against any offence to the forestry laws, to impound forest products and generally all the protected species of wild fauna and flora, the samples thereof, whether living or dead, processed or not, collected, caught, traded or placed on the market or kept illegally. In addition, they are obligated to impound all games and fishes caught

in offence against the provisions on game and mountain fishery. Finally they are responsible for impounding every device used in offences and to arrest, transfer and surrender any offender to the nearest police station.

The cost of transportation and feeding, along with every necessary cost until the transportation of the offenders is stated in the budget of the Ministry of Agriculture.

The Ministers of Finance and Agriculture shall decide regarding the daily feeding expenses of all the above - mentioned surrenders.

Paragraph 9 of article 38 of law 1845/1989 is replaced as follows:

9. Whoever passes through forests or forest grasslands, biotopes and particularly wetlands neighbouring with forests and partially forested lands, either as a stroller or a camper or professional forest profiteer or shepherd and anyone who hunts or collects or catches or transfers in any direction, is obliged to show the relevant hunting license or CITES license or license for transport of forest goods and so on. Any suspicious vehicle could possibly be under inspection for possession of illegal forest goods, games or species of wild flora and fauna, samples thereof, whether living or dead, processed or not, as well as hazardous materials that may cause damage or pollution to the wild flora and fauna. Proper display of the identity of the forest officer should take place prior to any inspection. The inspection is carried out in any place where species of wild flora and fauna and samples thereof, whether living or dead, processed or not are being kept, transferred, looked after, exhibited or traded, if necessary in cooperation with officials of other departments.

Infringements shall be notified by the departments carrying out these inspections in writing to the person who committed the violation, who then has 15 days after notification to appeal at the inspection authority, giving their opinion or objection. This document is returned to the person committing the infringement with proof of receipt.

After the expiration of the deadline and irrespective of whether or not the person concerned has submitted comments, the inspection authority shall notify the forest directorate, which is responsible for all the information related to the infringement.

Article 59 of Law 2637/1998 In Article 15 of law 998/1979, a new paragraph 7 is added as follows:

7. By decision of the Secretary General of each Region, all Forest Service Regional Directorates can prohibit the access of cars through forest roads so as to protect wild fauna and flora and their biotopes. By this decision, time and place of the prohibition are specified as well as every exception with relation to farmers, shepards and so on.

In article 68 of law 998/1979, a new paragraph 3 is added as follows:

3. All persons who have committed the infringements of the provisions of decision 7 of article 15 are fined from 50.000 GRD. up to 150.000 GRD. and sent to prison from two (2) up to six (6) months.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments: Birds of prey.		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

	Comments: For each application the advice of the SA is requested which is doing, before the approval, all the necessary control of the intended accommodation.		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: During the year 2009 training was given to customs officers on controls and <i>modus operandi</i> of illegal transfer of wild life products.		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: Yes, customs risk analysis and intelligence assessment is taking place at border controls.		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIi.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: There are three (3) rescue centres which have been certified for birds, small mammals, bears and wolves. For any other kind of specimens, temporary care is assigned to other facilities, after receiving the opinion of the Scientific Authority in accordance with the Regulations.		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

## D. Administrative measures

### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number: 7	<input checked="" type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

#### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	<input checked="" type="checkbox"/> <input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

#### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage <b>93 %</b> No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. (See attached Annex III)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.: <b>Name of the Company:</b> <b>Laky S.A.</b> , 16 Philellinon str., GR-47100 ARTA Tel.+30 26810 26145/ Fax +30 26810 27442/ e-mail: <a href="mailto:a.mintsios@viki.gr">a.mintsios@viki.gr</a> <b>Official Registration Code:</b> GR RH EX 00001/ GR RH B 00001 ( <u>Exporter and Processing/ Repackaging</u> )	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

#### D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under	Yes	<input type="checkbox"/>

	question D8 (4) , arisen in your country requiring attention or assistance?	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# HUNGARY



## A. General information

Party	
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	Ministry of Rural Development Department of Rural Development Strategy Biodiversity and Gene Conservation Unit CITES Management Authority Address: H-1055 BUDAPEST, Kossuth tér 11. Phone: +361 795 3816 Fax: +361 795 00069 Email: <a href="mailto:cites@vm.gov.hu">cites@vm.gov.hu</a>
Contributing agencies, organizations or individuals	

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: <span style="float: right;">Status: <b>enacted</b></span> <b>Government Decree No. 292/2008 (XII. 10.) on the specific rules of the enforcement of international and European Community legal acts regulating the international trade in endangered species of wild fauna and flora</b> Brief description of contents: <b>The regulation designates the Management Authority, Scientific Authority as well as the Enforcement Authorities responsible for CITES related enforcement. It provides detailed rules for seizure, confiscation and for sanctions and designates rescue centres for confiscated specimens. The decree (as the previous ones) requires obligatory marking, registration and documentation of all CITES App. I. (EU Annex A) vertebrate specimens and of live specimens of mammals, birds (with exceptions) and tortoises listed in App. II. of the Convention (EU Annex B). It contains detailed rules for registration of any aquaculture operation dealing with Acipenseriformes and licensing of caviar producers, processing and (re-)packaging plants as well as for labelling. The decree prohibits the keeping of apes for private persons and obliges those who keep other primates to fulfil the minimum keeping standards set by the relevant regulation on zoos and keeping zoo animals. It also prohibits keeping of seal products of CITES listed species.</b>	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input checked="" type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
Issue	Yes    No    No information	Yes    No    No information

Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p><b>Taking from the wild, possession of, and trade in specimens of native protected species is prohibited by the Act on nature conservation.</b></p> <p><b>National CITES legislation requires the declaration of all specimens of App. I. (EU Annex A) vertebrate species and all live specimens of App. II. (EU Annex B) listed mammals, birds (with certain exemptions) and tortoises. These specimens must be individually marked and accompanied by a breeding certificate if the specimen was bred in captivity in Hungary or a document that verifies the origin for animals that were introduced from outside of Hungary. Keeping of specimens is prohibited without these documents, See attachment for details.</b></p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
<p><b>Please note that the EU has reviewed its directly applicable regulations on wildlife trade and as a result</b></p> <p><b>a) is working on the revision of Regulation 865/2006 and</b></p> <p><b>b) is working on guidance documents that will be elaborated in order to clarify some interpretation of the provisions in Regulation 338/97 or 865/2006.</b></p> <p><b>Therefore regarding EU Member States please note that on EU level there is a great deal of items in our common legislation under assessment and revision regarding the compliance with CITES and the effectiveness of the implementation in regulating and controlling both international trade and trade within the EU territory.</b></p>					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input checked="" type="checkbox"/>
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable	
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken: <b>See Box 6.</b>				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>Annex will be attached at a later date.</b>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>Annex will be attached at a later date.</b>	Number <input type="checkbox"/> <input type="checkbox"/>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <b>Annex will be attached at a later date.</b>			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	<p><b>Comments: Confiscated live specimens are usually disposed in rescue centers. There are two main rescue centers, one in the Budapest Zoo and Botanical Garden and another one at Szeged Zoo near the Serbian border. Both facilities are approved quarantine stations, completely separated from the zoos. Other municipal zoos also function as rescue centers if necessary.</b></p> <p><b>Confiscated dead specimens are stored in the collection of the Hungarian Natural History Museum, and/or used for training or for public awareness purposes, such as exhibition at Liszt Ferenc International Airport, in national parks and zoos.</b></p> <p><b>Euthanasia of live specimens is provided in the legislation as a last option, that has been used only in cases, where there were health implications with regard to the specimen.</b></p> <p><b>Sale of confiscated specimens is not allowed according to the law.</b></p>			

11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
Comments: <b>not in the reporting period</b>		
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
13	If Yes, please give a brief description: <b>We exchanged intelligence in several cases with EU Member States and third countries .</b>	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
Comments: <b>Please note that on EU level on 13 June 2007, during the CITES CoP14, the European Commission adopted the European Community Action Plan on CITES Enforcement. The Action Plan identifies a set of actions for the enforcement of the EU Wildlife Trade Regulation. These actions are aimed at enhancing the effectiveness of wildlife trade control in the EU by improving coordination, cooperation and information exchange between the Member States.</b> <b>The EU on its regular Enforcement Group meetings from time-to time takes on board the assessment of MS-s' implementation of the EU Action Plan on enforcement.</b>		
17	Please provide details of any additional measures taken:	

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <b>Address: 1055 Budapest, Kossuth tér 11.</b> <b>Telephone: +36/1-7953816</b> <b>Fax: +36/1-7950069</b>	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? <b>4</b>	

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>4 persons deal with CITES issues, 1 in 90 %, 2 in 40 % 1 in 25 % (altogether 195 %)</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify) : <b>veterinary, agriculture</b>		<input checked="" type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <b>Address: 1055 Budapest, Kossuth tér 11.</b> <b>Telephone: +36 (1) 7952399</b> <b>Fax: +36 (1) 7950080</b>		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>1 staff.</b> <b>Beside the SA, individual experts give scientific support occasionally, particularly in identification (eg.: timber, caviar, DNA tests)</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>30%</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		<input type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input type="checkbox"/>
	– Forestry		<input type="checkbox"/>
	– Welfare		<input checked="" type="checkbox"/>

	<ul style="list-style-type: none"> <li>- Zoology <input checked="" type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> <li>- No information <input type="checkbox"/></li> </ul>	
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
	Species name	Populations
	Distribution	Off take
	Legal trade	Illegal trade
	Other (specify)	
	1	
	2	
	3	
	etc.	
	No information <input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:	

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <b>1 individual at each environmental inspectorate is responsible for CITES enforcement and special units have been designated within the police and public prosecutor's offices that are responsible for environmental issues, such as CITES enforcement. Environmental focal points have been designated at the central police headquarters and at the county headquarters.</b>	
5	Please provide details of any additional measures taken:	

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify): <b>registration of CITES specimens - the database is placed on the server of the Ministry, and all inspectorates have access through intranet to it. This means, that Internet connection is needed and that it is secure due to being an internal system. The Management Authority is the supervisor of the system and has the right to determine the level of access to</b>	<input checked="" type="checkbox"/>

the database. Designated inspectors are entitled to add data on the specimens, keepers etc., to the system, but certain data can only be included or amended by the central MA.

2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>								
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate		
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Inspectorates and customs officers have unrestricted access to the Internet. Local police officers have access only to the Intranet service of the Police. Therefore the Management Authority compiled CITES relevant information (regulations, general information, information on species, sanctions, contact details to CITES MA and other enforcement authorities) that was published on the police Intranet site and accessible to all officers.</b>		
3	Do you have an electronic information system providing information on CITES species?						Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>								
	– Legislation (national, regional or international)? <input type="checkbox"/>								
	– Conservation status (national, regional, international)? <input type="checkbox"/>								
	– Other (please specify)? <input type="checkbox"/>								
5	Is it available through the Internet: <span style="float: right;">Yes <input type="checkbox"/></span>								
	<span style="float: right;">No <input type="checkbox"/></span>								
	<span style="float: right;">Not applicable <input type="checkbox"/></span>								
	<span style="float: right;">No information <input type="checkbox"/></span>								
	Please provide URL:								
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>								
	Publication	Management Authority	Scientific Authority	Enforcement Authority					
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>					
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>					



7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.cites.hu">www.cites.hu</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input checked="" type="checkbox"/>
	– Information at border crossing points		<input checked="" type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
	– Other (specify): <b>CITES public awareness campaigns</b>		<input checked="" type="checkbox"/>
	Please attach copies of any items as Annex. <b>See Annex about campaigns</b>		
11	Please provide details of any additional measures taken:		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes No Not applicable No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
	If no, please provide details of any:			
	Changes in permit format:			
	Changes in designation or signatures of relevant officials:			
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	220	23	4		
	How many applications were denied because of severe omissions or mis-information?					
	Year 2	187	44	5		
	How many documents were issued?					
	How many applications were denied because of severe omissions or mis-information?					
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? <b>CITES species native to Hungary are not allowed to be exported. The MA occasionally requests opinion from the SA in case of imports and determining keeping conditions or approving housing facilities of live specimens before import.</b>					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>
	– Harvesting of CITES-listed species :					<input type="checkbox"/>
	– Use of CITES-listed species:					<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>
	– Importing of CITES-listed species:					<input type="checkbox"/>
	– Other (specify): <b>issuance of internal documents (breeding certificates, cert. of origin and EC certificates)</b>					<input checked="" type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <b>The administrative fee for export, import permits and re-export certificates is 10600 HUF, and 2000 HUF for EC certificates and internal documents.</b>					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?					Tick if applicable
	– Entirely:					<input type="checkbox"/>
– Partly:					<input checked="" type="checkbox"/>	

	– Not at all: <input type="checkbox"/> – Not relevant: <input type="checkbox"/> Comments: <b>The revenues go to the Ministry's and the inspectorates' budget and indirectly used also for the implementation of CITES</b>	
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?						Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks			<input checked="" type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement			<input type="checkbox"/>	
	Development of implementation tools	<input type="checkbox"/>	Computerisation			<input type="checkbox"/>	
	– Other (specify): <b>Training of enforcement officers</b>					<input type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Other MAs and SAs, CITES Secretariat, EU Twix mailing list</b>
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>In 2010 there was a 3-days CITES and EU wildlife trade regulations workshop held by the 'EU Trainers Working Group' in the frame of the DG Justice project.</b>
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken <b>See Annex on Capacity Building activities.</b>					

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? <b>MA, representative of the environmental inspectorates, police, customs, veterinary authority, TRAFFIC. The committee meets twice a year.</b>	

3	If No, please indicate the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>annual meeting with inspectors responsible for CITES issues; annual meeting with police environmental focal points</b>
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>daily coordination with inspectorates through a CITES mailing list, personal consultations with SA on a daily basis, frequent contact with customs, police and veterinary authority</b>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input type="checkbox"/>		<b>Inspectorates, customs and police authorities</b>
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		<b>TRAFFIC Central and Eastern European Office, WWF Hungary, Birdlife Hungary, REX Foundation</b>
Trade or other private sector associations					<input type="checkbox"/>			
NGOs					<input checked="" type="checkbox"/>			
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?							Tick if applicable
	SA							<input type="checkbox"/>
	Customs							<input checked="" type="checkbox"/>
	Police							<input checked="" type="checkbox"/>
	Other border authorities (specify)							<input type="checkbox"/>
	Other government agencies							<input type="checkbox"/>
	Private sector bodies							<input type="checkbox"/>
	NGOs							<input type="checkbox"/>
Other (specify)							<input type="checkbox"/>	
6	Has your country participated in any regional activities related to CITES?							Tick if applicable
	Workshops							<input type="checkbox"/>
	Meetings							<input type="checkbox"/>

	Other (specify) ( <b>EU Training Group and Training Seminar</b> )		<input checked="" type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. <b>As the Biodiversity and Gene Conservation Unit of the Ministry of Rural Development is responsible for all major multilateral agreements on nature conservation but the Ramsar Convention, the duplication of activities is excluded.</b>		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?				
		Activity	High	Medium	Low
		Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		Computerisation Other (specify)	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>		
3	If Yes, which one(s) and what is the main difficulty?				
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>		
5	If Yes, please describe the constraint and the type of attention or assistance that is required.				
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7	If Yes, please give a brief description.: <b>certain derogations, especially those for plants are very complicated and difficult to implement in practice</b>				
8	Please provide details of any additional measures taken:				

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
	<b>Will be compiled and sent at a later date.</b>	Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
	<b>Will be compiled and sent at a later date.</b>	Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
	Comments	Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
	Issue	The conditions for:			The complete prohibition of:		
		Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p> <p><b>According to the Criminal Code, illegal purchase, possession, sale, import, (re-)export, transport through the territory of Hungary, trade in or killing of specimens of species listed in Annex A and B is a criminal offence and must be punished by up to 3 years imprisonment.</b></p> <p><b>According to the national CITES regulation, the inspectorate shall impose a nature protection fine amounting to minimum 10.000 and maximum 100.000 HUF depending on the severity and repetition of the offence on anyone not meeting or not properly meeting his/her obligations covered by the Convention, the Council Regulation, the Commission Regulation or the national CITES regulation in respect of a specimen of a species – if not nationally protected. The fine may be imposed repeatedly in case of non-payment. This fine shall be imposed by the no. of specimen in case of specimens that are subject to the duty of registration, and by each started 250 grams in the case of caviar, products containing caviar, ivory or rhinoceros horn, and by each started cubic metre in the case of timber. If the species is nationally protected, the amount of the fine is based on the “conservation value” of the species - which is determined by a ministerial decree - and must be imposed per specimen.</b></p> <p><b>Anyone not meeting or not properly meeting his/her obligations covered by the Convention, the Council Regulation, the Commission Regulation or the national CITES regulation may be obliged by the management authority to pay the costs of confiscation and seizure, including also the costs of keeping (storing) and transport.</b></p>
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### C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p>ii) The outcomes of any prosecutions;</p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Comments:</p>
18 new	<p>Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i>, Article 66)</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Comments: <b>marking of Annex A and certain Annex B listed specimens is obligatory, in accordance with Commission Regulation; photo identification is used in case of juvenile tortoises less than 10 cm carapax lengths</b></p>
19 new	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i>).</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Comments: <b>inspectorates regularly check housing conditions</b></p>
20 new	<p>Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIa.)</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Comments:</p>
21 new	<p>Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIb.)</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If yes, please provide details. <b>Through the MA, SA and the inspectorates</b></p>

	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>the criminal code punishes the illegal activities in relation to Annex A and B species and according to the national CITES regulation, the fines are higher in case of high value commodities and specimens that are subject to the duty of registration. In case of nationally protected species, the fine is based on the nature conservation value of the species.</b>		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>See attachment on capacity building and public awareness</b>		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		

## D. Administrative measures

### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	<b>8</b>	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:			

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	– Annex D listed species		<input type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees. <b>EC certificates – fee is 2000 HUF</b>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
13	Can you indicate the percentage of permits/certificates issued that are returned to	Percentage :		

new	the MA after endorsement by customs?	<b>70,8 % in 2009</b> <b>53,8 % in 2010</b> No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>7 processing and/or (re-)packaging plants are licensed and registered</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?  <b>As it has been raised several occasions by Hungary and other Member States, controlling the movement and determine the legality of Annex B specimens is problematic</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

# **IRELAND**

### A. General information

Party	IRELAND
Period covered in this report:	1 Jan 2009 to 31 Dec 2010
Details of agency preparing this report	CITES Management Authority - Ireland Species Protection Unit Science & Biodiversity Section National Parks & Wildlife Service Department of Arts, Heritage and the Gaeltacht
Contributing agencies, organizations or individuals	Management Authority, Scientific Authority and Enforcement Authorities (Customs)

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: <span style="float: right;">Status: <b>Both Enacted</b></span>  <b>Wildlife (Amendment) Act 2010</b>  <b>Wildlife (Import and Export of Fauna and Flora) (Designation of Ports and Airports) (Amendment) Regulations 2011</b>  Brief description of contents: The Act above increases the penalties (Fines) for CITES related offences. The Regulations provide an <b>updated listing of Designated Customs Offices under Article 12 of Council Regulation 338/97. The Regulations were planned in 2010 but were only finalised and signed by the Minister and thus became law in 2011.</b>					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.  <b>Web Link to Legislation provided hereunder:</b> <b>Act:</b> <a href="http://www.irishstatutebook.ie/2010/en/act/pub/0019/index.html">http://www.irishstatutebook.ie/2010/en/act/pub/0019/index.html</a> <b>Regulation:</b> <a href="http://www.irishstatutebook.ie/2011/en/si/0377.html">http://www.irishstatutebook.ie/2011/en/si/0377.html</a>	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Additional comments						

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/>					
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input checked="" type="checkbox"/>
			No information	<input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable	
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Handling and housing of live specimens <input type="checkbox"/>					
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex. <b>Data supplied in Annexes.</b></p>	<p>Number</p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><b>2009 – 64 seizures</b></p> <p><b>2010 – 18 seizures</b></p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	<p>If Yes, what were the violations involved and what were the results? Please attach details as Annex.</p> <p><b>4 criminal prosecutions were brought to Court. 2 cases were successful (One of these cases involved the illegal purchase of a Saker Flacon CITES Listed Annex A the other involved illegal trading of a Military Macaw CITES Listed Annex A) 1 case was lost in Court and 1 case is still ongoing.</b></p>			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify) <b>(Destruction of Hoodia)</b>			<input checked="" type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	Not applicable
			No information	
	Comments: <b>Specific intelligence on Rhino Horn Trade was provided.</b>			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	No	No information
13	If Yes, please give a brief description: <b>Intelligence has been exchanged with Interpol, Europol and the USA Wildlife Enforcement Authorities specifically on Rhino Horn. Intelligence exchange and investigative assistance has taken place also via other EU CITES Management Authorities.</b>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	No	No information
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	No	Not applicable
			No information	
	Comments:			
17	Please provide details of any additional measures taken: <b>A CITES awareness campaign was completed in 2009. This consisted of a display of CITES informational material at ENFO (Ireland's public environmental and sustainable development information service. A service open to the Public) and other locations and some media and radio coverage, with the assistance of Customs. CITES information posters used in the campaign were provided under contract by UNEP.</b>			



## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? <b>Since June 2009 3 people work in the MA, but not on a full-time basis. Approximately 40% of their work is CITES related.</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation. <b>Approximately 2 persons spends 50% of their time on CITES related work and 1 person spends 20%. Or collectively 40% approximately.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>

5	How many staff work in each SA on CITES issues? <b>There is one SA with one staff member.</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation. <b>Approximately 20-30%.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input type="checkbox"/>
	– Forestry		<input type="checkbox"/>
	– Welfare		<input type="checkbox"/>
	– Zoology		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
	Species name	Populations	Distribution
	Off take	Legal trade	Illegal trade
	Other (specify)		
	1		
	2		
	3		
	etc.		
			No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken:		

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken:		

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>

	<ul style="list-style-type: none"> <li>- Monitoring and reporting of data on illegal trade <input checked="" type="checkbox"/></li> <li>- Permit issuance <input checked="" type="checkbox"/></li> <li>- Not at all <input type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> </ul>																												
2	<p>Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Authority</th> <th style="width: 15%;">Yes, continuous and unrestricted access</th> <th style="width: 15%;">Yes, but only through a dial-up connection</th> <th style="width: 15%;">Yes, but only through a different office</th> <th style="width: 10%;">Some offices only</th> <th style="width: 10%;">Not at all</th> <th style="width: 25%;">Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate																							
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Enforcement Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																								
3	<p>Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/></span>  <span style="float: right;">No <input type="checkbox"/></span>  <span style="float: right;">No information <input type="checkbox"/></span></p> <p><b>By answering yes to this question we mean that we have access to the UNEP-WCMC - Website – Species Database or <a href="http://www.unep-wcmc.org/isdb/Taxonomy/">http://www.unep-wcmc.org/isdb/Taxonomy/</a></b></p>																												
4	<p>If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)? <input checked="" type="checkbox"/></li> <li>- Conservation status (national, regional, international)? <input type="checkbox"/></li> <li>- Other (please specify)? <input type="checkbox"/></li> </ul>																												
5	<p>Is it available through the Internet: <span style="float: right;">Yes <input checked="" type="checkbox"/></span>  <span style="float: right;">No <input type="checkbox"/></span>  <span style="float: right;">Not applicable <input type="checkbox"/></span>  <span style="float: right;">No information <input type="checkbox"/></span></p> <p>Please provide URL: <a href="http://www.unep-wcmc.org/isdb/Taxonomy/">http://www.unep-wcmc.org/isdb/Taxonomy/</a></p>																												
6	<p>Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 15%;">Management Authority</th> <th style="width: 15%;">Scientific Authority</th> <th style="width: 20%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>								
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																										

7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments: <b>Customs have submitted details on seizures and confiscations and do return Page 3 of CITES Import Permits to the MA.</b>		
9	Is there a government website with information on CITES and its requirements?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.npws.ie/legislationandconventions/cites/">http://www.npws.ie/legislationandconventions/cites/</a> <b>The above web pages were updated in 2009.</b>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input checked="" type="checkbox"/>
	– Information at border crossing points		<input type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken: <b>A CITES awareness campaign was completed in 2009. This consisted of a display of CITES informational material at ENFO (Ireland's public environmental and sustainable development information service. A service open to the Public) and other locations and some media and radio coverage, with the assistance of Customs. CITES information posters used in the campaign were provided under contract by UNEP.</b>		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes No Not applicable No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	If no, please provide details of any:			
	Changes in permit format: <b>No Changes</b>			
	Changes in designation or signatures of relevant officials: <b>No Changes.</b>			
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).						
	Year 1 (2009)	Import or introduction from the sea	Export	Re-export	Other	Comments	
	How many documents were issued?	36	2	0	173	Other = CITES Certificates exclusively	
	How many applications were denied because of severe omissions or mis-information?					No record has been kept of CITES Applications denied or rejected.	
	Year 2 (2010)						
	How many documents were issued?	49	2	1	165	Other = CITES Certificates exclusively	
	How many applications were denied because of severe omissions or mis-information?					No record has been kept of CITES Applications denied or rejected.	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.						
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable		
	Reason			Yes	No	No information	
	Technical violations			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments (When processing CITES Import Permit applications, export quotas for the species concerned are checked where applicable)						
8	How many times has the Scientific Authority been requested to provide opinions? <b>Import/Export Permit Applications are submitted to the SA for its opinion. Certificate Applications are usually not submitted to the SA, unless they are considered contentious or involve requests for Certificates to allow movement from "Authorised locations" in accordance with Article 9 of Council Regulation (EC) 338/97.</b>						
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?				Tick if applicable		
	– Issuance of CITES documents:				<input type="checkbox"/>		
	– Licensing or registration of operations that produce CITES species:				<input type="checkbox"/>		
	– Harvesting of CITES-listed species :				<input type="checkbox"/>		
	– Use of CITES-listed species:				<input type="checkbox"/>		
	– Assignment of quotas for CITES-listed species:				<input type="checkbox"/>		
	– Importing of CITES-listed species:				<input type="checkbox"/>		
– Other (specify):				<input type="checkbox"/>			
10	If Yes, please provide the amounts of such fees. (No fees are charged by the Irish MA or SA)						
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?				Tick if applicable		
	– Entirely:				<input type="checkbox"/>		

	– Partly: <input type="checkbox"/> – Not at all: <input type="checkbox"/> – Not relevant: <input checked="" type="checkbox"/> Comments:
12	Please provide details of any additional measures taken:

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>		
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>		
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>		
	– Other (specify)					<input type="checkbox"/>
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						2 MA staff members visited the UK CITES MA in March 2009 for training.
						Other SA's from EU Member States.

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken: <b>(The CITES part of the NPWS website was updated in 2009. Link to that: <a href="http://www.npws.ie/legislationandconventions/cites/">http://www.npws.ie/legislationandconventions/cites/</a> )</b>					

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input type="checkbox"/>
		No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?	

3	If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>The MA and SA meet on an ad hoc basis approximately once a month. The MA, SA and Customs and National Parks and Wildlife Services Enforcement Staff meet about 3-4 times per annum.</b>
Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>The MA consults with the SA on an ad hoc basis on various issues, probably a couple of times a week. The MA consults with the Enforcement Authorities also on an ad hoc basis, less frequently than with the SA but still approximately a few times a month.</b>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input type="checkbox"/>		
	NGOs					<input type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?							Tick if applicable
	SA							<input type="checkbox"/>
	<b>Customs (National Wildlife Trade Enforcement Plan 2010-2015, was completed in 2010)</b>							<input checked="" type="checkbox"/>
	Police							<input type="checkbox"/>
	Other border authorities (specify)							<input type="checkbox"/>
	Other government agencies							<input type="checkbox"/>
	Private sector bodies							<input type="checkbox"/>
	NGOs							<input type="checkbox"/>
	Other (specify)							<input type="checkbox"/>



6	Has your country participated in any regional activities related to CITES? Workshops Meetings Other (specify)		Tick if applicable <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
		High	Medium	Low
	Activity			
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required. <b>A shortage of staff in the MA &amp; SA available to devote time on CITES issues.</b>			

6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
7	If Yes, please give a brief description.	
8	Please provide details of any additional measures taken:	

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed <input type="checkbox"/>	
		Not available <input type="checkbox"/>	
		Not relevant <input checked="" type="checkbox"/>	
C3	Details of violations and administrative measures imposed	Enclosed <input type="checkbox"/>	
		Not available <input checked="" type="checkbox"/>	
		Not relevant <input type="checkbox"/>	
C5	Details of specimens seized, confiscated or forfeited	Enclosed <input checked="" type="checkbox"/>	
		Not available <input type="checkbox"/>	
		Not relevant <input type="checkbox"/>	
C7	Details of violations and results of prosecutions	Enclosed <input type="checkbox"/>	
		Not available <input checked="" type="checkbox"/>	
		Not relevant <input type="checkbox"/>	
C9	Details of violations and results of court actions	Enclosed <input type="checkbox"/>	
		Not available <input checked="" type="checkbox"/>	
		Not relevant <input type="checkbox"/>	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed <input type="checkbox"/>	
		Not available <input type="checkbox"/>	
		Not relevant <input checked="" type="checkbox"/>	
	<b>Comments: (A CITES awareness campaign was completed in 2009. This consisted of a display of CITES informational material at ENFO (Ireland's public environmental and sustainable development information service. A service open to the Public) and other locations and some media and radio coverage, with the assistance of Customs. CITES information posters used in the campaign were provided under contract by UNEP.)</b>		

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
	Issue	The conditions for:			The complete prohibition of:		
		Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	<b>(The maximum penalty would be following a conviction on indictment, which has a maximum fine of €100,000 or imprisonment for a term not exceeding 2 years or to both. This maximum fine was increased from €63,487 by the Wildlife (Amendment) Act 2010. That legislation is referenced in Question B.4 above.)</b>						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

		No information	<input checked="" type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>(National Wildlife Trade Enforcement Plan 2010-2015, was completed in 2010)</b>		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments: <b>Any penalties (imprisonments or fines) following conviction are at the discretion of the Judge subject to the maximum allowable penalties allowed for under the legislation.</b>		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing,	Yes No	<input checked="" type="checkbox"/> <input type="checkbox"/>

	where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	No information	<input type="checkbox"/>
	Comments:		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		

## D. Administrative measures

### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
9b	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
	No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Indicate any difficulties that rendered attendance to the SRG difficult: <b>Bad weather December 2010.</b>	Number (7)	<input type="checkbox"/>
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### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	<input type="checkbox"/> <input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species? (See Part I D4 Question 3.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ...% No information	<input checked="" type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**ITALY**



### A. General information

Party	Italy
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	<b>Ministry of Environment, Land and Sea Protection</b> <b>- Main Cites Management Authority</b> <b>Via Capitan Bavastro 174</b> <b>I-00154 ROMA</b> <b>Tel: +39 (06) 57 22 84 20 /83 82/83 75/83 40;</b> <b>Fax: +39 (06) 57 22 82 77</b> <b>Email: <a href="mailto:dpn-cites@minambiente.it">dpn-cites@minambiente.it</a></b> <b>Web: <a href="http://www.minambiente.it/">http://www.minambiente.it/</a></b>
Contributing agencies, organizations or individuals	<b>Ministry of Economic Development</b> <b>State Forestry Corps – Enforcement Authority</b> <b>CITES Scientific Authority</b>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
Trade	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Taking	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Possession	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Transport	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Additional comments		

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify): <input type="checkbox"/>						
Please provide details if available: <b>Control over CITES trade: e.g. control over timber shipments if responding precisely to CITES requirements would bring in high numbers to seizures and penalties.</b> <b>Implementing regulations: delays in the issuance of amended regulations lead to uncertainty in the application of the law.</b> <b>Coherence within legislation: at national level may arise a conflict of competence for some species (e.g. marine turtles) protected also by other conventions and this may lead, in some cases, to misunderstanding on the procedures to be followed in case the specimens are included in CITES and need to be handled. Guidelines on this issue are being drafted.</b>						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
Please provide details if available:						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <ul style="list-style-type: none"> <li>- <b>Fines for failure to seal or incorrect filling of the register of detention (this kind of duty is for a stricter domestic measure carried out in Italy);</b></li> <li>- <b>Penalties for non-correlation between stated quantity of specimens in the certificates and actual quantity</b></li> <li>- <b>Penalties for import and re-export and selling in EU CITES specimens without proper documentations</b></li> <li>- <b>No compliance for the statements of art. 54) Reg. (CE) 865/06.</b></li> </ul>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p> <p><i>In the year 2009 had been confiscated 1,932 (number) live specimen, parts or derivates of CITES species of flora (375nr) and Fauna and 27.51Kg of fauna specimens: Mammalian 839(nr); Reptilian 630 (nr) and 0.10Kg; Flora 375(nr); Invertebrates 106(nr) and 11.96, Amphibian 9(nr) and 25.45 Kg</i></p> <p><i>In the year 2010 had been confiscated 35,520 (number) live specimen, parts or derivates of CITES species of flora (263) and Fauna and 130.60Kg of fauna specimens: Mammalian 2,884(nr); Reptilian 1,445(nr); Aves 29,608 (nr) and 78 kg; Flora 263(nr); Invertebrates 1,302 (nr) and 52,60; Pisces 30kg (Caviar).</i></p>	<p>Number</p> <p><input type="checkbox"/></p> <p>2009: 1,932 specimens and 27.51 Kg confiscated</p> <p>2010: 35,520 specimens and 130.60 Kg confiscated</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	- Return to country of export			<input type="checkbox"/>
	- Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	- Designated rescue centres			<input checked="" type="checkbox"/>
	- Approved, private facilities			<input type="checkbox"/>
	- Euthanasia			<input type="checkbox"/>
	- Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	

	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information	<input type="checkbox"/>
13	If Yes, please give a brief description: Cooperation with other EU CITES authorities for illegal trade of bird of prey Cooperation with other enforcement Authorities and Interpol in specific operations coordinated by Interpol (TRAM and RAMP)		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <b>Ministry of Environment, Land and Sea Protection</b> <b>Protection of Nature and Sea Directorate</b> <b>Division II - CITES Management Authority</b> <b>Via Capitan Bavastro 174</b> <b>I-00154 ROMA</b> <b>Tel: +39 (06) 57 22 84 20 /83 82/83 75/83 40;</b> <b>Fax: +39 (06) 57 22 82 77</b> <b>Email: <a href="mailto:dpn-cites@minambiente.it">dpn-cites@minambiente.it</a></b> <b>Web: <a href="http://www.minambiente.it/">http://www.minambiente.it/</a></b>		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. <b>MINISTRY OF ENVIRONMENT, LAND AND SEA PROTECTION</b> <b>Direction for Nature and Sea Protection</b> <b>Main CITES MANAGEMENT AUTHORITY</b> <b>Via Capitan Bavastro 174</b> <b>I-00154 ROMA</b> <b>Tel: +39 (06) 57 22 8420/8382/8375/8340;</b> <b>Fax: +39 (06) 57 22 82 77</b> <b>Email: <a href="mailto:dpn-cites@minambiente.it">dpn-cites@minambiente.it</a></b> <b>Web: <a href="http://www.minambiente.it/">http://www.minambiente.it/</a></b>		

5	<p>How many staff work in each MA?</p> <p><b>Main MA, Ministry of Environment: 1 Head of Unit; 1 officer , 3 full time external advise, 2 part time external adviser.</b></p> <p><b>State forestry Corps – Enforcement Authority (custom and territory) and responsible for issuing of re-export certificates and internal trade certificates : 250 full time units</b></p> <p><b>Ministry of Economic Development – responsible for issuing of import and export permits: 1 Head of Unit, 1 officer and 3 full time units plus 1 part time unit;</b></p>
6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If yes, please give estimation</p> <p><b>100% for Ministry of Economic Development and State forestry corps,</b></p> <p><b>80% for Ministry of Environment</b></p>
7	<p>What are the skills/expertise of staff within the MA(s)? <span style="float: right;">Tick if applicable</span></p> <p>– Administration <input checked="" type="checkbox"/></p> <p>– Biology <input checked="" type="checkbox"/></p> <p>– Economics/trade <input checked="" type="checkbox"/></p> <p>– Law/policy <input checked="" type="checkbox"/></p> <p>– Other (specify): <b>Nature and Wildlife Management</b> <input checked="" type="checkbox"/></p> <p>– No information <input type="checkbox"/></p>
8	<p>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p>
10	<p>Please provide details of any additional measures taken</p>

D2 *Scientific Authority (SA)*

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	<p>If Yes, please use the opportunity to provide those changes here.</p> <p><b>Mr. Antonio Maturani</b>          Chairman of the Italian Scientific Authority (Commissione Scientifica CITES)          Ministero dell'Ambiente e della Tutela del Territorio e del Mare          Direzione per la Protezione della Natura          Commissione Scientifica per l'esecuzione della Convenzione di Washington          Via Capitan Bavastro, 174          I-00154 ROMA</p> <p>Tel: +39 (06) 57 22 53 03          Fax: +39 (06) 57 22 82 70          Email: <a href="mailto:dpn-comcites@minambiente.it">dpn-comcites@minambiente.it</a>; <a href="mailto:maturani.antonio@minambiente.it">maturani.antonio@minambiente.it</a></p> <p>2</p> <p><b>Dr. Maurizio Sajeve</b>          Member of Scientific Authority and CITES Plants Committee Member          Università di Palermo          Dipartimento di Scienze Botaniche          Via Archirafi 38          I-90123 PALERMO</p> <p>Tel: +39 (091) 623 82 47          Fax: +39 (091) 623 82 03          Email: <a href="mailto:sajeve@unipa.it">sajeve@unipa.it</a></p>	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	<p>What is the structure of the SA(s) in your country? <span style="float: right;">Tick if applicable</span></p> <p>– Government institution <input checked="" type="checkbox"/></p> <p>– Academic or research institution <input type="checkbox"/></p> <p>– Permanent committee <input type="checkbox"/></p> <p>– Pool of individuals with certain expertise <input type="checkbox"/></p> <p>– Other (specify) <input type="checkbox"/></p>	
5	<p>How many staff work in each SA on CITES issues?</p> <p><b>“Commissione Scientifica” performs the duties of CITES Scientific Authority for Italy and was appointed with Ministry Decree of Ministry of Environment. It is composed of 18 members, including zoologists, botanists and experts in fauna and flora, and a Chairman appointed by Minister. Remain in charge for three years and is supported by a Secretariat composed of 3 consultants.</b></p>	

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>3 Staff unit supporting 100%, 19 SA members not assessable</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	- Botany		<input checked="" type="checkbox"/>				
	- Ecology		<input checked="" type="checkbox"/>				
	- Fisheries		<input type="checkbox"/>				
	- Forestry		<input checked="" type="checkbox"/>				
	- Welfare		<input checked="" type="checkbox"/>				
	- Zoology		<input checked="" type="checkbox"/>				
	- Other (specify)		<input type="checkbox"/>				
	- No information		<input type="checkbox"/>				
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). Just in case the designate Enforcement Authority is the State Forestry Corps – CITES Service, via G. Carducci, no. 5 – 00187 Rome , phone +39 06 4665 7222 and Fax: +39 06 48905507, e-mail <a href="mailto:cites@corpoforestale.it">cites@corpoforestale.it</a>	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <b>CITES Service of Italian State Forestry Corps that provide enforcement activities on territory and in custom in cooperation with Custom Agency.</b>	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?	Tick if applicable					
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>					
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>					
	– Permit issuance	<input checked="" type="checkbox"/>					
	– Not at all	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
2	Do the following authorities have access to the Internet?	Tick if applicable					
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					



4	<p>If Yes, does it provide information on:</p> <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)? <input type="checkbox"/></li> <li>- Conservation status (national, regional, international)? <input type="checkbox"/></li> <li>- Other (please specify)? <input type="checkbox"/></li> </ul>	Tick if applicable																				
5	<p>Is it available through the Internet:</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">Not applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Please provide URL:</p>																					
6	<p>Do the following authorities have access to the following publications?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 16.6%;">Management Authority</th> <th style="width: 16.6%;">Scientific Authority</th> <th style="width: 16.6%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Tick if applicable
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
7	If not, what problems have been encountered to access to the mentioned information?																					
8	<p>Have enforcement authorities reported to the Management Authority on:</p> <ul style="list-style-type: none"> <li>- Mortality in transport? <input checked="" type="checkbox"/></li> <li>- Seizures and confiscations? <input type="checkbox"/></li> <li>- Discrepancy in number of items in permit and number of items actually traded? <input type="checkbox"/></li> </ul> <p>Comments:</p>	Tick if applicable																				
9	<p>Is there a government website with information on CITES and its requirements?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If Yes, please give the URL:</p> <p><a href="http://www.minambiente.it/home_it/menu.html?mp=/menu/menu_attivita/&amp;m=CITES_Convenzione_di_Washington_sul_co.html&amp;lang=it">http://www.minambiente.it/home_it/menu.html?mp=/menu/menu_attivita/&amp;m=CITES_Convenzione_di_Washington_sul_co.html&amp;lang=it;</a></p> <p><a href="http://www.mincomes.it/cites/indice_cites.htm">http://www.mincomes.it/cites/indice_cites.htm;</a></p> <p><a href="http://www3.corpoforestale.it/flex/cm/pages/ServeBLOB.php/L/IT/IDPagina/41">http://www3.corpoforestale.it/flex/cm/pages/ServeBLOB.php/L/IT/IDPagina/41;</a></p> <p><a href="http://www.agenziadogane.gov.it/wps/wcm/connect/Internet/ed/Agenzia/Attivita/Convenzione/">http://www.agenziadogane.gov.it/wps/wcm/connect/Internet/ed/Agenzia/Attivita/Convenzione/.</a></p>																					
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?</p> <ul style="list-style-type: none"> <li>- Press releases/conferences <input checked="" type="checkbox"/></li> <li>- Newspaper articles, radio/television appearances <input checked="" type="checkbox"/></li> <li>- Brochures, leaflets <input checked="" type="checkbox"/></li> <li>- Presentations <input checked="" type="checkbox"/></li> <li>- Displays <input checked="" type="checkbox"/></li> <li>- Information at border crossing points <input checked="" type="checkbox"/></li> <li>- Telephone hotline <input type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> </ul> <p>Please attach copies of any items as Annex.</p>	Tick if applicable																				
11	Please provide details of any additional measures taken:																					

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	6.620	148	42424	1758	<b>Other, includes the following applications:</b> - (EU) Certificate: 1524; - Import notification: 234
How many applications were denied because of severe omissions or mis-information?	0	0		1	<b>Other” refers to (EU) Certificate number:</b> IT/CE/2009/PG/00006	
	Year 2	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	6.300	157	41931	2156	<b>Other, includes the following applications:</b> - Personal ownership certificate : 11 - Sample collection: 45 - (EU) Certificate: 1797 - Import notification: 301 - Travelling-Exhibition Certificate: 2
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			No information	<input type="checkbox"/>		
5	If Yes, please give the reasons for this.					
	Some re-export certificates were cancelled and replaced for technical reasons (mistakes in compilation ) or because lost during trip Year 1: 4 import - 13 re-export Year 2: 3 import - 25 re-export – 4 (EU) Certificate <b>See file annexed.</b>					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable	
		Reason	Yes	No	No information
		Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of legal acquisition	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input type="checkbox"/>
				No	<input checked="" type="checkbox"/>
	Comments			No information	<input type="checkbox"/>
8	How many times has the Scientific Authority been requested to provide opinions? <b>Every time for export permits and for first time imports.</b>				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable	
	-	Issuance of CITES documents:			<input checked="" type="checkbox"/>
	-	Licensing or registration of operations that produce CITES species:			<input checked="" type="checkbox"/>
	-	Harvesting of CITES-listed species :			<input type="checkbox"/>
	-	Use of CITES-listed species:			<input type="checkbox"/>
	-	Assignment of quotas for CITES-listed species:			<input type="checkbox"/>
	-	Importing of CITES-listed species:			<input checked="" type="checkbox"/>
-	Other (specify): Register Scientific Institute to CITES Register			<input checked="" type="checkbox"/>	
10	If Yes, please provide the amounts of such fees.				
	-	<b>Issuance of CITES import and (re)-export license €15,49</b>			
	-	<b>Issuance of CITES documents for commercial use of specimens of Annex A of Reg CE 338/97 species € 15.49</b>			
	-	<b>Request for the inclusion in the Register of scientific institutions €38,73</b>			
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable	
	-	Entirely:			<input type="checkbox"/>
	-	Partly:			<input checked="" type="checkbox"/>
	-	Not at all:			<input type="checkbox"/>
	-	Not relevant:			<input type="checkbox"/>
	Comments:				
12	Please provide details of any additional measures taken:				

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks		<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement		<input checked="" type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation		<input checked="" type="checkbox"/>
	-	Other (specify)			<input type="checkbox"/>

2	<p>Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1"> <thead> <tr> <th data-bbox="244 226 730 472">Target group</th> <th data-bbox="730 226 818 472">Oral or written advice/guidance</th> <th data-bbox="818 226 911 472">Technical assistance</th> <th data-bbox="911 226 1003 472">Financial assistance</th> <th data-bbox="1003 226 1096 472">Training</th> <th data-bbox="1096 226 1134 472">Other (specify)</th> <th data-bbox="1134 226 1445 472">What were the external sources?</th> </tr> </thead> <tbody> <tr> <td data-bbox="244 472 730 624">Staff of Management Authority</td> <td data-bbox="730 472 818 624"><input checked="" type="checkbox"/></td> <td data-bbox="818 472 911 624"><input checked="" type="checkbox"/></td> <td data-bbox="911 472 1003 624"><input type="checkbox"/></td> <td data-bbox="1003 472 1096 624"><input checked="" type="checkbox"/></td> <td data-bbox="1096 472 1134 624"><input type="checkbox"/></td> <td data-bbox="1134 472 1445 624"><b>University of Perugia</b> <b>University of Parthenope of Napoli</b> <b>Almaviva SA</b></td> </tr> <tr> <td data-bbox="244 624 730 719">Staff of Scientific Authority</td> <td data-bbox="730 624 818 719"><input checked="" type="checkbox"/></td> <td data-bbox="818 624 911 719"><input checked="" type="checkbox"/></td> <td data-bbox="911 624 1003 719"><input type="checkbox"/></td> <td data-bbox="1003 624 1096 719"><input checked="" type="checkbox"/></td> <td data-bbox="1096 624 1134 719"><input type="checkbox"/></td> <td data-bbox="1134 624 1445 719"><b>TRAFFIC Italy</b> <b>Almaviva SA</b></td> </tr> <tr> <td data-bbox="244 719 730 761">Staff of enforcement authorities</td> <td data-bbox="730 719 818 761"><input checked="" type="checkbox"/></td> <td data-bbox="818 719 911 761"><input checked="" type="checkbox"/></td> <td data-bbox="911 719 1003 761"><input type="checkbox"/></td> <td data-bbox="1003 719 1096 761"><input checked="" type="checkbox"/></td> <td data-bbox="1096 719 1134 761"><input type="checkbox"/></td> <td data-bbox="1134 719 1445 761"><b>Almaviva SA</b></td> </tr> <tr> <td data-bbox="244 761 730 804">Traders</td> <td data-bbox="730 761 818 804"><input checked="" type="checkbox"/></td> <td data-bbox="818 761 911 804"><input checked="" type="checkbox"/></td> <td data-bbox="911 761 1003 804"><input type="checkbox"/></td> <td data-bbox="1003 761 1096 804"><input checked="" type="checkbox"/></td> <td data-bbox="1096 761 1134 804"><input type="checkbox"/></td> <td data-bbox="1134 761 1445 804"><b>Almaviva SA</b></td> </tr> <tr> <td data-bbox="244 804 730 846">NGOs</td> <td data-bbox="730 804 818 846"><input type="checkbox"/></td> <td data-bbox="818 804 911 846"><input type="checkbox"/></td> <td data-bbox="911 804 1003 846"><input type="checkbox"/></td> <td data-bbox="1003 804 1096 846"><input type="checkbox"/></td> <td data-bbox="1096 804 1134 846"><input type="checkbox"/></td> <td data-bbox="1134 804 1445 846"></td> </tr> <tr> <td data-bbox="244 846 730 889">Public</td> <td data-bbox="730 846 818 889"><input checked="" type="checkbox"/></td> <td data-bbox="818 846 911 889"><input checked="" type="checkbox"/></td> <td data-bbox="911 846 1003 889"><input type="checkbox"/></td> <td data-bbox="1003 846 1096 889"><input checked="" type="checkbox"/></td> <td data-bbox="1096 846 1134 889"><input type="checkbox"/></td> <td data-bbox="1134 846 1445 889">Almaviva SA</td> </tr> <tr> <td data-bbox="244 889 730 936">Other (specify)</td> <td data-bbox="730 889 818 936"><input type="checkbox"/></td> <td data-bbox="818 889 911 936"><input type="checkbox"/></td> <td data-bbox="911 889 1003 936"><input type="checkbox"/></td> <td data-bbox="1003 889 1096 936"><input type="checkbox"/></td> <td data-bbox="1096 889 1134 936"><input type="checkbox"/></td> <td data-bbox="1134 889 1445 936"></td> </tr> </tbody> </table>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	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</tr> <tr> <td data-bbox="244 1384 730 1426">Traders</td> <td data-bbox="730 1384 818 1426"><input type="checkbox"/></td> <td data-bbox="818 1384 911 1426"><input type="checkbox"/></td> <td data-bbox="911 1384 1003 1426"><input type="checkbox"/></td> <td data-bbox="1003 1384 1096 1426"><input type="checkbox"/></td> <td data-bbox="1096 1384 1134 1426"><input type="checkbox"/></td> <td data-bbox="1134 1384 1445 1426"></td> </tr> <tr> <td data-bbox="244 1426 730 1469">NGOs</td> <td data-bbox="730 1426 818 1469"><input type="checkbox"/></td> <td data-bbox="818 1426 911 1469"><input type="checkbox"/></td> <td data-bbox="911 1426 1003 1469"><input type="checkbox"/></td> <td data-bbox="1003 1426 1096 1469"><input type="checkbox"/></td> <td data-bbox="1096 1426 1134 1469"><input type="checkbox"/></td> <td data-bbox="1134 1426 1445 1469"></td> </tr> <tr> <td data-bbox="244 1469 730 1512">Public</td> <td data-bbox="730 1469 818 1512"><input type="checkbox"/></td> <td data-bbox="818 1469 911 1512"><input type="checkbox"/></td> <td data-bbox="911 1469 1003 1512"><input type="checkbox"/></td> <td data-bbox="1003 1469 1096 1512"><input type="checkbox"/></td> <td data-bbox="1096 1469 1134 1512"><input type="checkbox"/></td> <td data-bbox="1134 1469 1445 1512"></td> </tr> <tr> <td data-bbox="244 1512 730 1861">Other parties/International meetings</td> <td data-bbox="730 1512 818 1861"><input checked="" type="checkbox"/></td> <td data-bbox="818 1512 911 1861"><input type="checkbox"/></td> <td data-bbox="911 1512 1003 1861"><input type="checkbox"/></td> <td data-bbox="1003 1512 1096 1861"><input checked="" type="checkbox"/></td> <td data-bbox="1096 1512 1134 1861"><input type="checkbox"/></td> <td data-bbox="1134 1512 1445 1861"><b>Assistance by Italian SA consultant to the CITES Team of Serbia for the implementing of CITES</b>  <b>Assistance By Italian MA, SA and Enforcement to the CITES Team of Albany for the implementing of CITES legislation</b></td> </tr> <tr> <td data-bbox="244 1861 730 1906">Other (specify)</td> <td data-bbox="730 1861 818 1906"><input type="checkbox"/></td> <td data-bbox="818 1861 911 1906"><input type="checkbox"/></td> <td data-bbox="911 1861 1003 1906"><input type="checkbox"/></td> <td data-bbox="1003 1861 1096 1906"><input type="checkbox"/></td> <td data-bbox="1096 1861 1134 1906"><input type="checkbox"/></td> <td data-bbox="1134 1861 1445 1906"></td> </tr> </tbody> </table>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Assistance by Italian SA consultant to the CITES Team of Serbia for the implementing of CITES</b>  <b>Assistance By Italian MA, SA and Enforcement to the CITES Team of Albany for the implementing of CITES legislation</b>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details																																																										
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
Other parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Assistance by Italian SA consultant to the CITES Team of Serbia for the implementing of CITES</b>  <b>Assistance By Italian MA, SA and Enforcement to the CITES Team of Albany for the implementing of CITES legislation</b>																																																										
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
4	Please provide details of any additional measures taken																																																															

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
2	If Yes, which agencies are represented and how often does it meet?						
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):						
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available			
	Agencies for development and trade	<input checked="" type="checkbox"/>					
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>					
	Local authorities or communities	<input checked="" type="checkbox"/>					
	Indigenous peoples	<input type="checkbox"/>					
	Trade or other private sector associations	<input checked="" type="checkbox"/>					
	NGOs	<input checked="" type="checkbox"/>					
	Other (specify)	<input type="checkbox"/>					
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable					
	SA	<input checked="" type="checkbox"/>					
	Customs	<input checked="" type="checkbox"/>					
	Police	<input checked="" type="checkbox"/>					
	Other border authorities (specify)	<input checked="" type="checkbox"/>					
	Other government agencies	<input type="checkbox"/>					
	Private sector bodies	<input type="checkbox"/>					
	NGOs (WWF-Italia)	<input checked="" type="checkbox"/>					
	Other (specify)	<input type="checkbox"/>					
6	Has your country participated in any regional activities related to CITES?	Tick if applicable					
	Workshops	<input checked="" type="checkbox"/>					
	Meetings	<input checked="" type="checkbox"/>					
	Other (specify)	<input type="checkbox"/>					
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
8	If Yes, which one(s) and in what way?						
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>			
10	If Yes, which country(ies) and what kind of assistance was provided? <b>Albany</b>						

11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? <b>Res. Conf. 13.7 on PHE, unclear interpretation of the Resolution, definitions of PHE and tourist souvenirs, ongoing discussion at the European level.</b> <b>Difficulties within updating and managing the CITES species</b>			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

**In attachment the list of cancelled or replaced CITES certificates**

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
	Issue	The conditions for:			The complete prohibition of:		
		Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; 9,296 € for the contravention						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
9b	Please provide the following details about Regulations-related violations:			
	i) Maximum sanctions which have been imposed over this reporting period;			
	ii) The outcomes of any prosecutions;			
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: In Italy animal specimens of Annex A species must be marked.	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: The SA verifies the facilities of new breeders and for the movement of Annex A specimens	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
	Comments:	
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

	IIIj.)	No information	<input type="checkbox"/>
	Comments:		
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
9b	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
							No information	<input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number 8		<input checked="" type="checkbox"/>				
	Indicate any difficulties that rendered attendance to the SRG difficult:							

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	- Annex D listed species		<input type="checkbox"/>
	- Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees. - Issuance of EU 8.3 certificates €15,49 - Issuance of EU 9.2 certificates €15,49	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : at least 50.%	
		No information	<input type="checkbox"/>
			<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

17 new	<p>Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p> <p>1. Agroittica Lombarda Via Kennedy, 25012 Calvisano (BS) 384 (registration code)</p> <p>2. Azienda Agricola Pisani Dossi Cascina Oldani 5, 20080 Cisliano (MI) K328M(registration code)</p> <p>3. Caviar Import Via Matterella n. 20B Gardigiano di Scorzé (VE) P4R6Y (registration code)</p> <p>4. Giaveri Via Villanova 7 31030 S. Bartolomeo di Breda di Piave (Treviso) E786A(registration code)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
18 new	<p>Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p> <p>1. Longino &amp; Cardenal Via Ambrogio Moroni, 8 20010 Pogliano Milanese (MI) IT0001(registration code)</p> <p>2. Caviar Caspian Via Verona, 1/a Cernusco sul Naviglio (MI) IT0002(registration code)</p> <p>3. Caviar Import Via Matterella n. 20B Gardigiano di Scorzé (VE) IT0003(registration code)</p> <p>4. Agroittica Lombarda Via Kennedy, 25012 Calvisano (BS) IT0004(registration code)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
19 new	<p>Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p> <p>The competent Authority for issuing phytosanitary certificates is the Regional Phytosanitary Service</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
20 new	<p>Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p> <p>Only in few case, when there was a clear mistake by the competent authority for issuing import and export permits</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific	Yes <input type="checkbox"/>
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	suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**LATVIA**

### A. General information

Party	Latvia
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2009 to 31 December 2010
Details of agency preparing this report	Nature Conservation Agency Baznicas Str.7, Sigulda, LV-2150, Latvia
Contributing agencies, organizations or individuals	State Revenue Service, Natural History Museum

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Trade	Trade
	Taking	Taking
	Possession	Possession
	Transport	Transport
	Other (specify)	Other (specify)
	Additional comments <b>Latvia as one of the Member States of the European Community implements CITES through Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 both of which are directly applicable within the European Community.</b>	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable
	Item	Adequate      Partially Inadequate      Inadequate      No information
	Powers of CITES authorities	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Please provide details if available:				
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
	Please provide details if available:				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Please provide details if available:				
9	Please provide details of any additional measures taken: <b>See Annex 1</b>				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>See Annex 2.</b>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number 4 29		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			



10	How were the confiscated specimens generally disposed of?	Tick if applicable	
	– Return to country of export		<input type="checkbox"/>
	– Public zoos or botanical gardens		<input type="checkbox"/>
	– Designated rescue centres		<input type="checkbox"/>
	– Approved, private facilities		<input type="checkbox"/>
	– Euthanasia		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
Comments: <b>Dead specimens and products are displayed in permanent CITES exhibitions for educative and public awareness, tubes with cosmetic products have been destroyed.</b>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	If Yes, please give a brief description: <b>CITES MA of Latvia and State Environmental Service provided information and investigative assistance for EU Member states in trade with <i>Himalaya</i> cosmetic products exported from India.</b>		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: <b>Mainly reviews carried out by TRAFFIC and EU.</b>			
17	Please provide details of any additional measures taken:		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA?	<b>4 civil servants partly work with CITES</b>	

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)? – Administration – Biology – Economics/trade – Law/policy – Other (specify) - Geography – No information	Tick if applicable	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. <b>CITES MA of Latvia supported development of species conservation plan for <i>Hirudo medicinalis</i> and revised species conservation plan for <i>Ursus arctos</i> in territory of Latvia. CITES MA of Latvia did investigation on European eel trade on internal market.</b>		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
2	If Yes, please use the opportunity to provide those changes here.						
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
4	What is the structure of the SA(s) in your country?	Tick if applicable					
	– Government institution	<input checked="" type="checkbox"/>					
	– Academic or research institution	<input checked="" type="checkbox"/>					
	– Permanent committee	<input type="checkbox"/>					
	– Pool of individuals with certain expertise	<input type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
5	How many staff work in each SA on CITES issues? <b>Natural History Museum of Latvia – 3 part time officials</b> <b>University of Latvia Institute of Biology – 1 part time official</b> <b>University of Latvia Faculty of Biology – 1 part time official</b>						
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	– Botany	<input checked="" type="checkbox"/>					
	– Ecology	<input checked="" type="checkbox"/>					
	– Fisheries	<input type="checkbox"/>					
	– Forestry	<input type="checkbox"/>					
	– Welfare	<input type="checkbox"/>					
	– Zoology	<input checked="" type="checkbox"/>					
	– Other (specify)	<input type="checkbox"/>					
	– No information	<input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					

11	Please provide details of any additional measures taken: <b>Natural History Museum together with other specialists created scientific names in Latvian for all primate species.</b>
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**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken: <b>According to national legislation from 01.01.2011. Nature Conservation Agency (CITES MA) will be enforcement authority for internal trade, too.</b>			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	– Monitoring and reporting of data on legal trade <input checked="" type="checkbox"/>						
	– Monitoring and reporting of data on illegal trade <input checked="" type="checkbox"/>						
	– Permit issuance <input checked="" type="checkbox"/>						
	– Not at all <input type="checkbox"/>						
	– Other (specify) – <b>registered and marked CITES animals according to national legislation</b> <input checked="" type="checkbox"/>						
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/></span>						
	<span style="float: right;">No <input type="checkbox"/></span>						
	<span style="float: right;">No information <input type="checkbox"/></span>						

4	<p>If Yes, does it provide information on:</p> <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)? <input checked="" type="checkbox"/></li> <li>- Conservation status (national, regional, international)? <input checked="" type="checkbox"/></li> <li>- Other (please specify)? <input type="checkbox"/></li> </ul>	Tick if applicable																				
5	<p>Is it available through the Internet:</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">Not applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Please provide URL: <a href="http://www.daba.gov.lv">www.daba.gov.lv</a>; <a href="http://www.dabasmuzejs.gov.lv">www.dabasmuzejs.gov.lv</a>; <a href="http://www.vid.gov.lv">www.vid.gov.lv</a></p>																					
6	<p>Do the following authorities have access to the following publications?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 16.6%;">Management Authority</th> <th style="width: 16.6%;">Scientific Authority</th> <th style="width: 16.6%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Tick if applicable
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
7	If not, what problems have been encountered to access to the mentioned information?																					
8	<p>Have enforcement authorities reported to the Management Authority on:</p> <ul style="list-style-type: none"> <li>- Mortality in transport? <input type="checkbox"/></li> <li>- Seizures and confiscations? <input checked="" type="checkbox"/></li> <li>- Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/></li> </ul> <p>Comments: <b>There have no been mortality in transport</b></p>	Tick if applicable																				
9	<p>Is there a government website with information on CITES and its requirements?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If Yes, please give the URL: <a href="http://www.daba.gov.lv">www.daba.gov.lv</a>; <a href="http://www.dabasmuzejs.gov.lv">www.dabasmuzejs.gov.lv</a>; <a href="http://www.vid.gov.lv">www.vid.gov.lv</a></p>																					
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?</p> <ul style="list-style-type: none"> <li>- Press releases/conferences <input checked="" type="checkbox"/></li> <li>- Newspaper articles, radio/television appearances <input checked="" type="checkbox"/></li> <li>- Brochures, leaflets <input checked="" type="checkbox"/></li> <li>- Presentations <input checked="" type="checkbox"/></li> <li>- Displays <input checked="" type="checkbox"/></li> <li>- Information at border crossing points <input checked="" type="checkbox"/></li> <li>- Telephone hotline <input checked="" type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> </ul> <p>Please attach copies of any items as Annex. <b>New leaflets and representative materials have not been made.</b></p>	Tick if applicable																				
11	Please provide details of any additional measures taken:																					

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
If no, please provide details of any:			No information	<input type="checkbox"/>		
Changes in permit format: <b>See Covering letter.</b>						
Changes in designation or signatures of relevant officials: <b>See covering letter and attachments.</b>						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other – EU CITES certificates	Comments
	How many documents were issued?	131	38	4	14	
	How many applications were denied because of severe omissions or mis-information?	0	1	0	0	
	Year 2					
	How many documents were issued?	124	31	12	21	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?			Yes	<input type="checkbox"/>	
				No	<input checked="" type="checkbox"/>	
				No information	<input type="checkbox"/>	
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input checked="" type="checkbox"/>	
				No	<input type="checkbox"/>	
				No information	<input type="checkbox"/>	
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? <b>Approximately once per month.</b>					

9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: <input checked="" type="checkbox"/> – Licensing or registration of operations that produce CITES species: <input type="checkbox"/> – Harvesting of CITES-listed species: <input type="checkbox"/> – Use of CITES-listed species: <input checked="" type="checkbox"/> – Assignment of quotas for CITES-listed species: <input type="checkbox"/> – Importing of CITES-listed species: <input checked="" type="checkbox"/> – Other (specify): <input type="checkbox"/>	Tick if applicable
10	If Yes, please provide the amounts of such fees. <b>See Annex I</b>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: <input type="checkbox"/> – Partly: <input type="checkbox"/> – Not at all: <input type="checkbox"/> – Not relevant: <input checked="" type="checkbox"/> Comments:	Tick if applicable
12	Please provide details of any additional measures taken: <b>One import permit on 2010 was cancelled and imported goods destroyed.</b>	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? Increased budget for activities <input type="checkbox"/> Improvement of national networks <input type="checkbox"/> Hiring of more staff <input type="checkbox"/> Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/> Development of implementation tools <input checked="" type="checkbox"/> Computerisation <input type="checkbox"/> – Other (specify) <input type="checkbox"/>	Tick if applicable																																																						
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources? Please tick boxes to indicate which target group and which activity. <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Target group</th> <th style="width: 10%;">Oral or written advice/guidance</th> <th style="width: 10%;">Technical assistance</th> <th style="width: 10%;">Financial assistance</th> <th style="width: 10%;">Training</th> <th style="width: 10%;">Other (specify)</th> <th style="width: 10%;">What were the external sources?</th> </tr> </thead> <tbody> <tr> <td>Staff of Management Authority</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td rowspan="3">Latvian Environmental Protection Fund</td> </tr> <tr> <td>Staff of Scientific Authority</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Staff of enforcement authorities</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Traders</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Public</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Latvian Environmental Protection Fund	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?																																																		
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Latvian Environmental Protection Fund																																																		
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																			
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																			
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Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																			
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																			

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken: <b>Nature Conservation Agency (CITES MA of Latvia) in cooperation with CITES Scientific Authorities (Natural History Museum and University of Latvia Faculty of Biology) during reporting period (January 2009 – December 2010) organized three training seminars and workshops on CITES for enforcement authorities. The objectives of the trainings were to inform enforcement authorities about actual CITES legislation, look at actual illegal trade trends and risk control, along with knowledge how to recognize different groups of CITES specimens (plant species, TCM, furs, reptiles etc.) In total, 68 customs officers and 14 environmental inspectors from regional departments of Nature Conservation Agency have been trained and supplied with education material.</b>						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>					
		No	<input type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input checked="" type="checkbox"/>						
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>						
	Local authorities or communities	<input checked="" type="checkbox"/>						
	Indigenous peoples	<input checked="" type="checkbox"/>						
	Trade or other private sector associations	<input checked="" type="checkbox"/>						
	NGOs	<input checked="" type="checkbox"/>						
	Other (specify)	<input type="checkbox"/>						



5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable	
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
NGOs		<input type="checkbox"/>	
<b>Other (specify) During reporting period new arrangements for institutional cooperation related CITES are not signed, arrangement between customs and Nature Conservation Agency are on preparation.</b>			<input checked="" type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable	
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
10	<b>If Yes, which country(ies) and what kind of assistance was provided? One civil servant from CITES MA participated on CITES related seminar on Georgia from 13.-17.December, 2010. Seminar was organized by IFAW and Environmental Ministry of Georgia. Our official informed about CITES implementation tools and practice on Latvia and EU.</b>		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
		High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available: <b>Animal Protection Law prohibits keeping and selling certain species of animals included in EC No.338/97. According to national legislation CITES animals must be registered and marked. See more detailed information on Annex 1.</b>						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed – <b>See Annex 1</b>						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations:		
	i) Maximum sanctions which have been imposed over this reporting period - <b>fine 650 Ls (930 Eur) + confiscation</b>		
	ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

		No information	<input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>Nature Conservation Agency works as coordinator between enforcement authorities and SAs if expertise is needed or live specimens are seized. Besides CITES MA can be contacted by enforcement authorities any time.</b>		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>Custom officers and wildlife inspectors have been trained and informed about illegal trade trends.</b>		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
27	Is cooperation taking place with relevant enforcement agencies in other	Yes	<input checked="" type="checkbox"/>

new	Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	No <input type="checkbox"/>	No information <input type="checkbox"/>
	<b>Comments: Police together with Nature Conservation Agency due to request of INTERPOL did investigation on trade of <i>Gallus sonnerati</i> and 8 breast feathers were seized. State Environmental Service informed EU member states about illegal trade of cosmetic products from “Himalaya” throe eu-twix and several large seizures and confiscation were made.</b>		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes <input type="checkbox"/>	<input type="checkbox"/>
		No <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?						Yes <input type="checkbox"/>	<input type="checkbox"/>
							No <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
							No information <input type="checkbox"/>	<input type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	1							
	2							
	3							
	etc.							
No information <input type="checkbox"/>							<input type="checkbox"/>	

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	0
	Indicate any difficulties that rendered attendance to the SRG difficult: <b>Representatives from SAs for various reasons (other meetings, exchange visits, etc.) were not able to attend SRG meetings. Due to this representative from CITES MA attended 2 meetings instead of SAs.</b>		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 90%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. <b>Information has not changed during reporting period.</b>	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# LITHUANIA



### A. General information

Party	<b>LITHUANIA</b>
Period covered in this report:	<b>From 1 January 2009 to 31 December 2010</b>
Details of agency preparing this report	<b>Ministry of Environment of the Republic of Lithuania, Jakšto str. 4/9, LT-01105 Vilnius</b>
Contributing agencies, organizations or individuals	<b>Environmental Protection Agency, Customs Department under the Ministry of Finance of the Republic of Lithuania</b>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input checked="" type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: <span style="float: right;">Status: <b>enacted</b></span> Brief description of contents: Governmental Resolution No 261 of 20 February 2002 on implementation of CITES convention and Council Regulation No 338/97 was amended (Governmental Resolution No 68 of 20 January 2010).  In accordance with this Governmental Resolution, Environmental Protection Inspectorate and Environmental Protection Agency were merged after institutional reform in Lithuania. Environmental Protection Agency was designated as the Management Authority issuing CITES permits by amended Governmental Resolution. The corresponding amendments were made in the Rules on Trade in Wild Animals (see below).  Rules on Trade in Wild Animals, adopted by the Order of the Minister of Environment, the Director of Customs Department and the Director of State Food and Veterinary Service No 658/831/743 of 31 December, 2002 were amended (Order o No D1-28/1B-10/B1-22 of 12 January, 2010). Environment Protection Agency was designated as the Management Authority issuing CITES permits.					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p>According to the Rules on Trade in Wild Animals and Rules on Trade in Protected Wild Flora Species it is prohibited to use species listed in Appendix A for commercial purposes. Since 1 September, 2009 it is prohibited to keep in captivity number of species (with the exception for zoos and scientific institutions which have licence).</p> <p>It is prohibited to trade in wild animal and plant species, their parts or derivatives listed in Lithuanian Red Data Book, CITES Annexes and in Appendices of the Commission Regulation No 338/1997 without permit. These permits are issued by the Regional Environmental Protection Departments.</p> <p>Permits are needed for all imported and exported wild animals (for non CITES species - simplified permit forms)</p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes <input type="checkbox"/>			No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable				
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
	Please provide details if available:					
9	Please provide details of any additional measures taken:					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. Attached.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number <input type="checkbox"/> 69		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. -			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify) <b>Universities, Custom Training Center, Scientific authorities.</b>			<input checked="" type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	No	<input type="checkbox"/>
		Not applicable	No information	<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	No	<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
				<input type="checkbox"/>

13	If Yes, please give a brief description: -		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments: -		
17	Please provide details of any additional measures taken: -		

### D. Administrative measures

#### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
2	<p>If Yes, please use the opportunity to provide those changes here.</p> <p>Environmental Protection Agency was designated as permits issuing MA</p> <p>Address: Juozapavičiaus str. 9 LT-09311 VILNIUS Tel: +3705 266 2801 Fax: +3705 266 2800 Email: aaa @aaa.am.lt</p> <p>Contact person Mr Darius Mikelaitis Chief Desk Officer Fauna Use Control Division Environmental Protection Agency</p> <p>Contact information Address: A. Juozapavičiaus 9 LT-09311 VILNIUS Tel: +3705 266 3259 Fax: +3705 266 2800 Email: d.mikelaitis@aaa.am.lt</p>		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
4	<p>If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.</p> <p>Ministry of Environment is the lead MA.</p> <p>Address:</p>		

	<p>Jakšto str.4/ 9          LT-01105 VILNIUS          Tel: +3705 266 3661          Fax: +3705 266 3663          Email: info@.am.lt</p> <p>Contact person          Ms Lina Caplikaite          Head of Biodiversity Division          Nature Protection Department          Ministry of Environment of the Republic of Lithuania</p> <p>Contact information          Address:          A. Juozapavičiaus 9          LT-09311 VILNIUS          Tel: +3705 266 3491          Fax: +3705 266 3663          Email: l.caplikaite@am.lt</p>														
5	How many staff work in each MA? <b>One in each.</b>														
6	<table border="0"> <tr> <td>Can you estimate the percentage of time they spend on CITES related matters?</td> <td>Yes</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td>No</td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>No information</td> <td><input type="checkbox"/></td> </tr> </table> <p>If yes, please give estimation <b>About 50 % of work time.</b></p>	Can you estimate the percentage of time they spend on CITES related matters?	Yes	<input checked="" type="checkbox"/>		No	<input type="checkbox"/>		No information	<input type="checkbox"/>					
Can you estimate the percentage of time they spend on CITES related matters?	Yes	<input checked="" type="checkbox"/>													
	No	<input type="checkbox"/>													
	No information	<input type="checkbox"/>													
7	<table border="0"> <tr> <td>What are the skills/expertise of staff within the MA(s)?</td> <td style="text-align: right;">Tick if applicable</td> </tr> <tr> <td>– Administration</td> <td style="text-align: right;"><input type="checkbox"/></td> </tr> <tr> <td>– Biology</td> <td style="text-align: right;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>– Economics/trade</td> <td style="text-align: right;"><input type="checkbox"/></td> </tr> <tr> <td>– Law/policy</td> <td style="text-align: right;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>– Other (specify)</td> <td style="text-align: right;"><input type="checkbox"/></td> </tr> <tr> <td>– No information</td> <td style="text-align: right;"><input type="checkbox"/></td> </tr> </table>	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	– Administration	<input type="checkbox"/>	– Biology	<input checked="" type="checkbox"/>	– Economics/trade	<input type="checkbox"/>	– Law/policy	<input checked="" type="checkbox"/>	– Other (specify)	<input type="checkbox"/>	– No information	<input type="checkbox"/>
What are the skills/expertise of staff within the MA(s)?	Tick if applicable														
– Administration	<input type="checkbox"/>														
– Biology	<input checked="" type="checkbox"/>														
– Economics/trade	<input type="checkbox"/>														
– Law/policy	<input checked="" type="checkbox"/>														
– Other (specify)	<input type="checkbox"/>														
– No information	<input type="checkbox"/>														
8	<table border="0"> <tr> <td>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</td> <td>Yes</td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>No</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td>No information</td> <td><input type="checkbox"/></td> </tr> </table>	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes	<input type="checkbox"/>		No	<input checked="" type="checkbox"/>		No information	<input type="checkbox"/>					
Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes	<input type="checkbox"/>													
	No	<input checked="" type="checkbox"/>													
	No information	<input type="checkbox"/>													
9	If Yes, please give the species name and provide details of the kind of research involved. -														
10	Please provide details of any additional measures taken -														

**D2 Scientific Authority (SA)**

1	<table border="0"> <tr> <td>Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?</td> <td>Yes</td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>No</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td>No information</td> <td><input type="checkbox"/></td> </tr> </table>	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>		No	<input checked="" type="checkbox"/>		No information	<input type="checkbox"/>
Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>								
	No	<input checked="" type="checkbox"/>								
	No information	<input type="checkbox"/>								
2	If Yes, please use the opportunity to provide those changes here. -									
3	<table border="0"> <tr> <td>Has your country designated a Scientific Authority independent from the Management Authority?</td> <td>Yes</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td>No</td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>No information</td> <td><input type="checkbox"/></td> </tr> </table>	Has your country designated a Scientific Authority independent from the Management Authority?	Yes	<input checked="" type="checkbox"/>		No	<input type="checkbox"/>		No information	<input type="checkbox"/>
Has your country designated a Scientific Authority independent from the Management Authority?	Yes	<input checked="" type="checkbox"/>								
	No	<input type="checkbox"/>								
	No information	<input type="checkbox"/>								
4	<table border="0"> <tr> <td>What is the structure of the SA(s) in your country?</td> <td style="text-align: right;">Tick if applicable</td> </tr> <tr> <td>– Government institution</td> <td style="text-align: right;"><input type="checkbox"/></td> </tr> </table>	What is the structure of the SA(s) in your country?	Tick if applicable	– Government institution	<input type="checkbox"/>					
What is the structure of the SA(s) in your country?	Tick if applicable									
– Government institution	<input type="checkbox"/>									

	<ul style="list-style-type: none"> <li>- Academic or research institution <input checked="" type="checkbox"/></li> <li>- Permanent committee <input type="checkbox"/></li> <li>- Pool of individuals with certain expertise <input type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> </ul>																																				
5	How many staff work in each SA on CITES issues? <b>One in each.</b>																																				
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>																																			
	If yes, please give estimation																																				
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable																																			
	<ul style="list-style-type: none"> <li>- Botany <input checked="" type="checkbox"/></li> <li>- Ecology <input checked="" type="checkbox"/></li> <li>- Fisheries <input checked="" type="checkbox"/></li> <li>- Forestry <input type="checkbox"/></li> <li>- Welfare <input type="checkbox"/></li> <li>- Zoology <input checked="" type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> <li>- No information <input type="checkbox"/></li> </ul>																																				
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>																																			
9	If Yes, please give the species name and provide details of the kind of research involved. -																																				
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Species name</th> <th style="width: 15%;">Populations</th> <th style="width: 15%;">Distribution</th> <th style="width: 10%;">Off take</th> <th style="width: 15%;">Legal trade</th> <th style="width: 15%;">Illegal trade</th> <th style="width: 15%;">Other (specify)</th> </tr> </thead> <tbody> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>etc.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	1							2							3							etc.							
Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)																															
1																																					
2																																					
3																																					
etc.																																					
	No information <input type="checkbox"/>																																				
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>																																			
11	Please provide details of any additional measures taken: -																																				

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). <b>Environmental Protection Agency, A. Juozapavičiaus g., LT-09311 Vilnius, LITHUANIA. Phone +37052723422; fax +370 70663240; d.mikelaitis @ aaa.am.lt; aaa@aaa.am.lt</b>		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: -		
5	Please provide details of any additional measures taken: -		

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	– Monitoring and reporting of data on legal trade					<input type="checkbox"/>	
	– Monitoring and reporting of data on illegal trade					<input type="checkbox"/>	
	– Permit issuance					<input checked="" type="checkbox"/>	
	– Not at all					<input type="checkbox"/>	
	– Other (specify)					<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? Yes No No information						<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

4	If Yes, does it provide information on:	Tick if applicable	
	– Legislation (national, regional or international)?	<input checked="" type="checkbox"/>	
	– Conservation status (national, regional, international)?	<input type="checkbox"/>	
	– Other (please specify)?	<input type="checkbox"/>	
5	Is it available through the Internet:	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Please provide URL: <a href="http://www.am.lt/VI/rubric.php3?rubric_id=675">http://www.am.lt/VI/rubric.php3?rubric_id=675</a>		
6	Do the following authorities have access to the following publications?	Tick if applicable	
	Publication	Management Authority	Scientific Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?	<input type="checkbox"/>	
	– Seizures and confiscations?	<input checked="" type="checkbox"/>	
	– Discrepancy in number of items in permit and number of items actually traded?	<input type="checkbox"/>	
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.am.lt">www.am.lt</a> ; <a href="http://www.cust.lt">www.cust.lt</a> ; <a href="http://www.aaa.am.lt">www.aaa.am.lt</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences	<input type="checkbox"/>	
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>	
	– Brochures, leaflets	<input checked="" type="checkbox"/>	
	– Presentations	<input checked="" type="checkbox"/>	
	– Displays	<input type="checkbox"/>	
	– Information at border crossing points	<input type="checkbox"/>	
	– Telephone hotline	<input type="checkbox"/>	
	– Other (specify)	<input type="checkbox"/>	
	Please attach copies of any items as Annex.		
11	Please provide details of any additional measures taken:-		



**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format: -						
Changes in designation or signatures of relevant officials: -						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 2009	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	94	18	0	20 (EU certificates)	
	How many applications were denied because of severe omissions or mis-information?	1	0	0	0	
	Year 2010					
	How many documents were issued?	86	13	0	21 (EU certificates)	
	How many applications were denied because of severe omissions or mis-information?	1	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
		No information	<input type="checkbox"/>			
Comments						
8	How many times has the Scientific Authority been requested to provide opinions? <b>No information</b>					

9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: – Licensing or registration of operations that produce CITES species: – Harvesting of CITES-listed species : – Use of CITES-listed species: – Assignment of quotas for CITES-listed species: – Importing of CITES-listed species: – Other (specify):	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <b>50 LTL ~ 15 EUR.</b>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments: -	Tick if applicable <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken: -	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <b>No.</b>					Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks			<input type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement			<input type="checkbox"/>	
	Development of implementation tools	<input type="checkbox"/>	Computerisation			<input type="checkbox"/>	
	– Other (specify)					<input type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):		
	Daily	Weekly	Monthly
	Annually	None	No information
	Other (specify)		
Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	
	Local authorities or communities	<input type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input checked="" type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input checked="" type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way? -		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. -		
15	Please provide details of any additional measures taken: -		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description. -			
8	Please provide details of any additional measures taken: -			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>

		Not relevant	<input checked="" type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments)	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date: Amendment of Criminal Code    Status: Adopted						
	Brief description of contents: According to Lithuanian Criminal Code liability for illegal use of wild animals or plants and their derivatives was established. Offender is penalized by fine or imprisonment up to four years						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i)        Maximum penalties that may be imposed; 1000 LTL + confiscation						
	ii)       Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; 1000 LTL + confiscation ii) The outcomes of any prosecutions;	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/> <input type="checkbox"/>
	Comments:	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments: <b>According to the Criminal code and the Administrative code there is only maximum limit of fines, in every case a decision on size of a fine is taken with respect to circumstances. For protected species fines are higher.</b>	



23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Trainings were organised for enforcement agencies.</b>			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments: <b>Legal regulation exists only partially, every case is treated separately, animals are usually carried to Kaunas Zoo.</b>			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III k.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III l.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

	covered in D2 (8) and D2 (9)?	No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9b	If Yes, please give the species name and provide details of the kind of research involved.		
	Species name	Populations	Distribution
	Off take	Legal trade	Illegal trade
	Other (specify)		
	1		
	2		
	3		
	etc.		
	No information		
			<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number 0	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult: <b>Lack of financial and human resources.</b>		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes No Under consideration No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? <b>5 LTL charge for all permits for internal trade off all species of wild animals.</b> If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
13	Can you indicate the percentage of permits/certificates issued that are returned to	Percentage:	

new	the MA after endorsement by customs?	No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# LUXEMBOURG

### A. General information

Party	LUXEMBOURG
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	Ministère du Développement durable et des Infrastructures – Département de l'Environnement
Contributing agencies, organizations or individuals	Douane ASV ASTA MNHN

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable	
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Trade	Trade
	Taking	Taking
	Possession	Possession
	Transport	Transport
	Other (specify)	Other (specify)
	Additional comments	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable	
	Adequate      Partially Inadequate      Inadequate      No information	
	Powers of CITES authorities	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>

	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Please provide details if available:				
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Please provide details if available:				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Please provide details if available:				
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations 4 in 2009 4 in 2010  If possible, please specify per group of species or attach details on annex.	Number <input type="checkbox"/> <input type="checkbox"/>		
6	Have there been any criminal prosecutions of significant CITES-related violations? <b>NO</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.		
10	How were the confiscated specimens generally disposed of?	Tick if applicable	
	– Return to country of export		<input type="checkbox"/>
	– Public zoos or botanical gardens		<input type="checkbox"/>
	– Designated rescue centres		<input type="checkbox"/>
	– Approved, private facilities		<input type="checkbox"/>
	– Euthanasia		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Comments:		
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)? OMD → Journée de La CITES	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	If Yes, please give a brief description:		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken:		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA?		

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 15-20 % of 1 officer	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input checked="" type="checkbox"/>
	– Forestry		<input checked="" type="checkbox"/>
	– Welfare		<input checked="" type="checkbox"/>
	– Zoology		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No	<input type="checkbox"/> <input checked="" type="checkbox"/>



	No information <input type="checkbox"/>						
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information <input type="checkbox"/>						
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken:		

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, does it provide information on:						Tick if applicable
	– Legislation (national, regional or international)?						<input type="checkbox"/>
	– Conservation status (national, regional, international)?						<input type="checkbox"/>
	– Other (please specify)?						<input type="checkbox"/>
5	Is it available through the Internet:						Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Please provide URL:						
6	Do the following authorities have access to the following publications?						Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	<i>CITES Handbook</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
7	If not, what problems have been encountered to access to the mentioned information?						
8	Have enforcement authorities reported to the Management Authority on:						Tick if applicable
	– Mortality in transport?						<input type="checkbox"/>
	– Seizures						<input type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?						<input type="checkbox"/>
	Comments:						
9	Is there a government website with information on CITES and its requirements?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, please give the URL:						
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?						Tick if applicable

	– Press releases/conferences	<input type="checkbox"/>
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>
	– Brochures, leaflets	<input checked="" type="checkbox"/>
	– Presentations	<input type="checkbox"/>
	– Displays	<input checked="" type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	Please attach copies of any items as Annex.	
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
	If no, please provide details of any:					
	Changes in permit format:					
	Changes in designation or signatures of relevant officials:					
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?					
	How many applications were denied because of severe omissions or mis-information?					
	Year 2					
	How many documents were issued?					
	How many applications were denied because of severe omissions or mis-information?					
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable	
		Reason	Yes	No	No information
		Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input checked="" type="checkbox"/>
				No	<input type="checkbox"/>
				No information	<input type="checkbox"/>
	Comments				
8	How many times has the Scientific Authority been requested to provide opinions?				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable	
	–	Issuance of CITES documents:			<input type="checkbox"/>
	–	Licensing or registration of operations that produce CITES species:			<input type="checkbox"/>
	–	Harvesting of CITES-listed species :			<input type="checkbox"/>
	–	Use of CITES-listed species:			<input type="checkbox"/>
	–	Assignment of quotas for CITES-listed species:			<input type="checkbox"/>
	–	Importing of CITES-listed species:			<input type="checkbox"/>
	–	Other (specify):			<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees.				
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable	
	–	Entirely:			<input type="checkbox"/>
	–	Partly:			<input type="checkbox"/>
	–	Not at all:			<input type="checkbox"/>
	–	Not relevant:			<input checked="" type="checkbox"/>
	Comments:				
12	Please provide details of any additional measures taken:				

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable		
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks		<input type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement		<input type="checkbox"/>	
	Development of implementation tools	<input type="checkbox"/>	Computerisation		<input type="checkbox"/>	
	–	Other (specify)			<input type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
		Target group				
					What were the external sources?	

	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	German Customs Luxembourg Customs
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>					
		No	<input checked="" type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:	Tick if applicable		Details if available				
	Agencies for development and trade	<input type="checkbox"/>						
	Provincial, state or territorial authorities	<input type="checkbox"/>						
	Local authorities or communities	<input type="checkbox"/>						
	Indigenous peoples	<input type="checkbox"/>						
	Trade or other private sector associations	<input type="checkbox"/>						

	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation =Same as former biannual report	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
	<b>No substantial revelations have been noted</b>	No information	<input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations:		
	i) Maximum sanctions which have been imposed over this reporting period; <b>no info available</b>		
	ii) The outcomes of any prosecutions; <b>no info available</b>		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) <b>enforcement agencies</b> , b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number 4	<input checked="" type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species? Access to German system	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs? <b>2009 : 76 % (import) 22% (re-export)</b> <b>2010 : 65 % (import) 36 % (re-export)</b>	Percentage : ....%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
			<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. See annual reports	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>One plant licensed in 2010</b>	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

# MALTA

### A. General information

Party	Malta
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2009 to 31 December 2010
Details of agency preparing this report	CITES Management Authority Ecosystems Management Unit Environment Protection Directorate Malta Environment and Planning Authority
Contributing agencies, organizations or individuals	CITES Management Authority Customs Department Malta Police

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable					
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional comments	<p>The Trade in Species of Fauna and Flora Regulations, 2004 provide that the Scientific Authority and the Management Authority shall advise the Minister for the Environment to prohibit the trade (import, export and re-export) and the possession of any species of flora and fauna if in their opinion, or in the opinion of any of them, such trade or possession would endanger the biological identity or any ecosystem or any species of flora and fauna.</p> <p>It also provides that it is the responsibility of the person who is seeking to import or is in possession of any live specimen to obtain the necessary information from the Management Authority.</p> <p>Any person who would like to import live specimens of fauna (of CITES and non-CITES species) from outside the European Community requires the prior grant of an import licence that is issued by the Trade Services Directorate. This import licence is granted if the Management Authority, following the consultation with the Scientific Authority, does not have any objection for the importation.</p>
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6	<p>What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? <span style="float: right;">Tick all applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Item</th> <th style="width: 15%;">Adequate</th> <th style="width: 15%;">Partially Inadequate</th> <th style="width: 15%;">Inadequate</th> <th style="width: 15%;">No information</th> </tr> </thead> <tbody> <tr> <td>Powers of CITES authorities</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Clarity of legal obligations</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Control over CITES trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Consistency with existing policy on wildlife management and use</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of offences</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of penalties</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Implementing regulations</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coherence within legislation</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (please specify):</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available:</p>	Item	Adequate	Partially Inadequate	Inadequate	No information	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																															
7	<p>If no review or assessment has taken place, is one planned for the next reporting period?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Please provide details if available:</p>																																																		
8	<p>Has there been any review of legislation on the following subjects in relation to implementation of the Convention? <span style="float: right;">Tick all applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;">Subject</th> <th style="width: 15%;">Yes</th> <th style="width: 15%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Access to or ownership of natural resources</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Harvesting</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transporting of live specimens</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Handling and housing of live specimens</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available:</p>	Subject	Yes	No	No information	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																														
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9	<p>Please provide details of any additional measures taken:</p>																																																		

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p>	<p style="text-align: center;">Number</p> <p style="text-align: center;"><input checked="" type="checkbox"/></p> <p>- 3 confiscations of dead specimens which were seized in 2007</p> <p>- 2 seizures followed by the confiscation of specimens in 2010</p> <p>- 5 seizures which occurred in 2010 which are still pending prosecution</p> <p>Thus in all there were 5 confiscations and 7 seizures.</p> <p>(Please refer to Annex 1a and Annex 1b)</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	- Return to country of export			<input type="checkbox"/>
	- Public zoos or botanical gardens			<input type="checkbox"/>
	- Designated rescue centres			<input type="checkbox"/>
	- Approved, private facilities			<input type="checkbox"/>
	- Euthanasia			<input type="checkbox"/>
	- Other (specify)			<input checked="" type="checkbox"/>
	Comments: During this reporting period 5 confiscations were made in all. Where the confiscation consisted of dead specimens (e.g. skins), these were transferred to the National Museum of Natural History. Confiscated live specimens were taken to the local quarantine.			



11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
13	If Yes, please give a brief description:	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: To improve CITES-related enforcement, Malta set up a National Enforcement Committee, which is composed of Veterinary Services, Customs and Police officials together with officials from the CITES Management Authority. First meeting was set between 29 November - 1 December 2010, whereby a training seminar was provided to all the respective representatives through a European Commission Funded Project in collaboration with WWF and TRAFFIC.	
17	Please provide details of any additional measures taken:	

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Malta has only one CITES Management Authority.	
5	How many staff work in each MA? 3 people	

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 1 person 80%; 1 person 60%; 1 person 50%  6 additional persons also contributed to work on CITES related matters at the Management Authority, but spent less than 10% of their work time on such issues.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.  Further to Prof. P.J. Schembri the following should be added:  Mr Edwin Lanfranco Department of Biology University of Malta MSIDA MSD 06 Tel: +356 23 40 23 79 Email: <a href="mailto:edwin.lanfranco@um.edu.mt">edwin.lanfranco@um.edu.mt</a>  Dr David Mifsud G..F. Abela Junior College Guzè Debono Square MSIDA MSD 1252 Tel: 25907388 Email: <a href="mailto:david.a.mifsud@um.edu.mt">david.a.mifsud@um.edu.mt</a>	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>

	<ul style="list-style-type: none"> <li>- Pool of individuals with certain expertise <input checked="" type="checkbox"/></li> <li>- Other (specify) <input type="checkbox"/></li> </ul>																																				
5	How many staff work in each SA on CITES issues? Three persons in one SA.																																				
6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p>Each member of the SA works approximately 30 hours/year on CITES related matters. When estimated over the annual total hours of work this amounts to 1.35% of their total work load.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																			
7	<p>What are the skills/expertise of staff within the SA(s)?</p> <ul style="list-style-type: none"> <li>- Botany <input checked="" type="checkbox"/></li> <li>- Ecology <input checked="" type="checkbox"/></li> <li>- Fisheries <input type="checkbox"/></li> <li>- Forestry <input type="checkbox"/></li> <li>- Welfare <input type="checkbox"/></li> <li>- Zoology <input checked="" type="checkbox"/></li> <li>- Other (specify) Entomology <input checked="" type="checkbox"/></li> <li>- No information <input type="checkbox"/></li> </ul>	Tick if applicable																																			
8	<p>Have any research activities been undertaken by the SA(s) in relation to CITES species?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																			
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Species name</th> <th style="width: 15%;">Populations</th> <th style="width: 15%;">Distribution</th> <th style="width: 10%;">Off take</th> <th style="width: 10%;">Legal trade</th> <th style="width: 10%;">Illegal trade</th> <th style="width: 15%;">Other (specify)</th> </tr> </thead> <tbody> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>etc.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p style="text-align: right;">No information <input type="checkbox"/></p>		Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	1							2							3							etc.						
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1																																					
2																																					
3																																					
etc.																																					
10	<p>Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																			
11	Please provide details of any additional measures taken:																																				

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).  Please remove Mr Lawrence Montesine who has now retired and instead add:  Mr Carmel Attard Enforcement Unit Customs Department Custom House Lascaris Wharf Valletta VLT1920 Tel: +356 21250044 Fax: +356 25685230 Email: <a href="mailto:carmel.c.attard@gov.mt">carmel.c.attard@gov.mt</a>  Mr Joseph Gatt Head of Investigations Office Customs Department Customs House Lascaris Wharf VALLETTA VLT1920 Tel: +356 25 68 5160; +35621250044 Fax: +356 25 68 5243 Email: <a href="mailto:joseph.b.gatt@gov.mt">joseph.b.gatt@gov.mt</a>	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: CITES Management Authority within the Malta Environment & Planning Authority (MEPA) Enforcement Unit within the Customs Department Administrative Law Enforcement Section within the Malta Police	
5	Please provide details of any additional measures taken: Not applicable	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Tick if applicable</span>						
Yes						<input checked="" type="checkbox"/>	
No						<input type="checkbox"/>	
No information						<input type="checkbox"/>	
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>						
	– Legislation (national, regional or international)? EU Regulations and national legislation are available						<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?						<input type="checkbox"/>
	– Other (please specify)? Website has been revamped and further information on trade in species and CITES shall be made available soon.						<input checked="" type="checkbox"/>
5	Is it available through the Internet: <span style="float: right;">Tick if applicable</span>						
	Yes						<input checked="" type="checkbox"/>
No						<input type="checkbox"/>	
Not applicable						<input type="checkbox"/>	
No information						<input type="checkbox"/>	
Please provide URL: <a href="http://www.mepa.org.mt/lplegislationpolicymain">http://www.mepa.org.mt/lplegislationpolicymain</a> <a href="http://www.mepa.org.mt/biodiversity-trade">http://www.mepa.org.mt/biodiversity-trade</a>							
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>						
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
7	If not, what problems have been encountered to access to the mentioned information?						
8	Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span>						
	– Mortality in transport?						<input type="checkbox"/>
	– Seizures and confiscations?						<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?						<input checked="" type="checkbox"/>
Comments: Reports would have been made on mortality in transport should there have been such cases.							
9	Is there a government website with information on CITES and its requirements? <span style="float: right;">Tick if applicable</span>						
Yes						<input checked="" type="checkbox"/>	

		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	<p>If Yes, please give the URL: <a href="http://www.mepa.org.mt">www.mepa.org.mt</a>          (MEPA is the authority designated by the government as the CITES Management Authority. Website has been revamped and further information on trade in species and CITES shall be made available soon.)</p>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences	<input checked="" type="checkbox"/>	
	– Newspaper articles, radio/television appearances	<input type="checkbox"/>	
	– Brochures, leaflets	<input type="checkbox"/>	
	– Presentations	<input checked="" type="checkbox"/>	
	– Displays	<input type="checkbox"/>	
	– Information at border crossing points	<input type="checkbox"/>	
	– Telephone hotline	<input checked="" type="checkbox"/>	
	– Other (specify)	<input checked="" type="checkbox"/>	
	<p>The CITES Management Authority Office is open to provide information to the public on CITES; the Management Authority also replies to several requests for information (which are received by email and by telephone) on a weekly basis.</p>		
	Please attach copies of any items as Annex.	N/A	
11	Please provide details of any additional measures taken: Not applicable		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Not applicable <input type="checkbox"/>	No information <input type="checkbox"/>
	If no, please provide details of any:				
	Changes in permit format: N/A				
	Changes in designation or signatures of relevant officials: N/A				
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable			
		Yes	No	No information	
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	48	31	1	40	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
	Year 2					
	How many documents were issued?	64	6	3	50	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries. There were no instances in which the CITES Management Authority rejected CITES documents from other countries.					Tick if applicable
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? 18 times					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>
	– Harvesting of CITES-listed species :					<input type="checkbox"/>
	– Use of CITES-listed species:					<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>
	– Importing of CITES-listed species:					<input type="checkbox"/>
– Other (specify):					<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees. €11.65 per import / export / re-export permit					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?					Tick if applicable
	– Entirely:					<input type="checkbox"/>
	– Partly:					<input type="checkbox"/>
	– Not at all:					<input type="checkbox"/>
– Not relevant:					<input checked="" type="checkbox"/>	

	Comments:
12	Please provide details of any additional measures taken: Not applicable

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?						Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks				<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement				<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	Computerisation				<input type="checkbox"/>
	– Other (specify)						<input type="checkbox"/>
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	EU Commission Funded Project in collaboration with WWF and TRAFFIC
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	EU Commission Funded Project in collaboration with WWF and TRAFFIC
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	



3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>			
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p>Most dealings were done by email and telephone, but recently a National Enforcement Committee has been set up, composed of:</p> <p>Veterinary Services officials;</p> <p>Customs officials;</p> <p>Police officials;</p> <p>CITES Management Authority officials.</p> <p>Frequency of meetings shall initially be on an annual basis, but extraordinary meetings can be called in order to tackle urgent cases.</p> <p>Regular consultations are held between the Scientific Authority and the Management Authority.</p>						
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):						
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:			Tick if applicable	Details if available		
	Agencies for development and trade			<input checked="" type="checkbox"/>	The MA was consulted on development issues related to the housing of CITES species.		

	Provincial, state or territorial authorities	<input type="checkbox"/>	
	Local authorities or communities	<input checked="" type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA	<input type="checkbox"/>	
	Customs	<input type="checkbox"/>	
	Police	<input type="checkbox"/>	
	Other border authorities (specify)	<input type="checkbox"/>	
	Other government agencies	<input type="checkbox"/>	
	Private sector bodies	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops	<input type="checkbox"/>	
	Meetings	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
14	If Yes, please give a brief description. The CITES Management Authority is part of the Ecosystems Management Unit. Coordination of work is achieved among different focal points in the Unit specialised on marine and terrestrial ecosystems management.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	No	<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required: Limited enforcement personnel.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

**E. General feedback**

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited Please refer to: CITES-BiennialReport-MALTA-Annex1a-250711 CITES-BiennialReport-MALTA-Annex1b-250711	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions Please refer to: CITES-BiennialReport-MALTA-Annex1a-250711 CITES-BiennialReport-MALTA-Annex1b-250711	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
	Comments	Not relevant	<input checked="" type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																							
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details: <div style="border: 1px dotted black; padding: 2px; margin-top: 5px;">Title and date: _____ Status: _____</div> <div style="border: 1px dotted black; padding: 2px; margin-top: 5px;">Brief description of contents: _____</div>																																																							
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species<sup>1</sup>?</p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="text-align: center;">The conditions for:</th> <th colspan="3" style="text-align: center;">The complete prohibition of:</th> </tr> <tr> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Additional comments</td> <td colspan="6" style="height: 20px;"></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Additional comments						
Issue	The conditions for:			The complete prohibition of:																																																				
	Yes	No	No information	Yes	No	No information																																																		
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																		
Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
Additional comments																																																								
8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available: _____</p>		Yes	No	No information	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																											
	Yes	No	No information																																																					
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Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																					
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;  Any person who commits an offence against the Regulations shall, on conviction, be liable to a fine of not less than Lm 200 (€465.87) but not exceeding Lm2000 (€4658.75) or to imprisonment for a period of not less than one month but not exceeding two years, or to both such fine and imprisonment.</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).  Not applicable.</p>																																																							

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; Maximum sanction was a Euro200 fine and confiscation of the CITES specimens ii) The outcomes of any prosecutions; Please refer to Annexes 1a and 1b to this document	
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments: Even though this has not yet been adopted, the newly set National Enforcement Committee is planning to draft a national action plan for the co-ordination of enforcement in the coming years.	
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	If yes, please provide details.	
	Comments: Customs mostly make use of X-ray machines to aid in detecting concealed specimens.	
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: Penalties from Customs are worked out on the estimated market value of the specimen. However, within the parameters of Council Regulation (EC) No. 338/97 as implemented locally through Legal Notice 236 of 2008, penalties are at the discretion of the presiding magistrate.	

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: In view that members of the CITES Management Authority have changed as from 2008, training and awareness raising activities were achieved through a European Commission Funded Project in collaboration with WWF and TRAFFIC; these were directed to Veterinary Services, Customs and Police officials together with officials from the CITES Management Authority.	
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments: Occasional checks were done but not on regular basis.	
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: Facilities for the temporary keeping of seized or confiscated live specimens are available; however, these can cater for animals of limited size and in small quantities. No official mechanisms are yet in place for the long-term re-homing of these specimens.	
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: Not relevant.	
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III k.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III l.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments:	

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to	Yes <input type="checkbox"/>
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	non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	No NOT RELEVANT No information	<input checked="" type="checkbox"/>  <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes No NOT RELEVANT No information	<input type="checkbox"/> <input checked="" type="checkbox"/>  <input type="checkbox"/>

### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information						<input checked="" type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number: None	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult: Members of the SA are employed on a full-time basis with the University of Malta and thus, time constraints make it difficult for them to attend.		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes No Under consideration No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>



**D5 Permitting and registration procedures**

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 100% No information	<input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. Please refer to Annex 2 – Places of introduction and export	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No NOT RELEVANT No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Permit number - 2010MT0041; Reason - Import Application was forwarded to Courier services to take care of the paper work and this was not forwarded to the CITES office for processing.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# NETHERLANDS

## A. General information

Party	The Netherlands
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	CITES Management Authority of the National Service for the Implementation of Regulations P.O. Box 19530 2500 CM The Hague The Netherlands
Contributing agencies, organizations or individuals	<ul style="list-style-type: none"> <li>• Department of Nature of the Ministry of Economic Affairs, Agriculture and Innovation.</li> <li>• CITES Scientific Authority</li> <li>• Food and Consumer Product Safety Authority of the Ministry of Economic Affairs, Agriculture and Innovation: <ul style="list-style-type: none"> <li>• Plant Health Service</li> <li>• General Inspection Service</li> </ul> </li> <li>• Customs Service of the Ministry of Finance</li> <li>• Platform on Environmental Crime (DNR) of the National Police Agency</li> <li>• Office of the Public Prosecutor of the Ministry of Justice</li> <li>• Administrative Law Enforcement, the National Service for the Implementation of Regulations</li> <li>• Team IBG (Seizure of CITES-goods) of the National Service for the Implementation of Regulations</li> </ul>

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input checked="" type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: <b>Changes in Flora and Fauna Act in 2009 and 2010, see Annex 1.</b> <b>Complete overview of national legislation in force, see Annex 2.</b>					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p><b>In general there are stricter measures for the taking and possession of Annex A specimens (source W or F), primates, large felidae, hawks, rhino horns, tiger bones. Through European Regulations and Directives these restrictions apply to more species, such as The European Bird and Habitat Directive.</b></p> <p><b>It is only possible to keep hawks with source C if accompanied by DNA fingerprints and an exemption for prohibition of possession.</b></p> <p><b>By national law for Annex A specimens of all sources it is obligated to keep a register, this also applies to birds of Annex B without a seamless closed foot ring.</b></p> <p><b>Birds of Annex A need to be marked conform the national law on foot rings.</b></p> <p><b>Other vertebrates of Annex A need to be marked conform EU Regulations.</b></p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Please provide details if available:				
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes <input checked="" type="checkbox"/>			No <input type="checkbox"/>
		No information <input type="checkbox"/>			
	Please provide details if available:				
	<b>As mentioned in the previous Biennial Report 2007-2008, an intervention strategy CITES has been launched for the period 2008-2011. The goal of this intervention strategy is to stimulate information exchange between enforcement agencies and uniform risk/priority assessment. In 2011 there will be an evaluation of this intervention strategy.</b>				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Please provide details if available: <b>Review of national legislation because of listing of European eel (see Annex 1) and European legislation after CoP15.</b>				
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers: <b>Regulation Registration of Possession and Trade obligates traders and producers such as breeders, zoos and scientific institutions to provide information about their activities.</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>See Annex 3, 4 and 6. Annex 6 gives information on all species (also domestic) as mentioned in article 13 of the Flora and Fauna Act. For this biennial report it wasn't possible make a selection of only CITES species.</b>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>See Annex 3, 4 and 6</b>	Number <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <b>See Annex 6</b>			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. <b>See Annex 6</b>			
10	How were the confiscated specimens generally disposed of? <span style="float: right;">Tick if applicable</span>			
	– Return to country of export			<input checked="" type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	<b>Comments: Other: medicines and plants have been destructed</b>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with	Yes		<input checked="" type="checkbox"/>

	other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No <input type="checkbox"/> No information <input type="checkbox"/>
13	<p>If Yes, please give a brief description: <b>In criminal cases there is collaboration mostly with neighbouring countries. With other countries sometimes detailed information is exchanged through legal channels.</b></p> <p><b>Administrative law enforcement: Exchange of information with other countries to determine the legal origin of specimens and investigative assistance. Especially Belgium, Germany, UK, and Czech Republic.</b></p> <p><b>Within Interpol project RAMP and some individual cases.</b></p>	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	<p><b>Comments: As mentioned in the previous Biennial Report 2007-2008, an intervention strategy CITES has been launched for the period 2008-2011. The goal of this intervention strategy is to stimulate information exchange between enforcement agencies and uniform risk/priority assessment. In 2011 there will be an evaluation of this intervention strategy.</b></p>	
17	Please provide details of any additional measures taken:	

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <b>E-mail with changes in contact information has been sent in June 2011. Changes concern change in name of Ministry and constitutional changes (Caribbean Netherlands)</b>	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	<p>If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.</p> <p><b>Ministry of Economic Affairs, Agriculture and Innovation.</b>  <b>Department of Nature</b>  <b>Attn: Mr Marcel van Nijnatten</b>  <b>National Coordinator CITES</b>  <b>P.O. Box 20401</b>  <b>NL-2500 EK DEN HAAG</b></p> <p>Tel: +31 (70) 378 40 93  Fax: +31 (70) 378 61 46  Email: <a href="mailto:m.j.h.van.nijnatten@minlnv.nl">m.j.h.van.nijnatten@minlnv.nl</a>  Web: 1. <a href="#">General information / Información general / Informations générales</a>  2. <a href="#">Policy matters / Cuestiones normativas / Politique générale</a></p>	

5	How many staff work in each MA? <b>One person at the policy section, 14 at the permit and administrative law enforcement section, 350 via the Services under supervision of the Plant Health Service.</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation <b>100 % at the policy section, 80% at the permit section, 0,3 % at the Services under supervision of the Plant Health Service.</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?		Tick if applicable
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input checked="" type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?		Tick if applicable
	– Government institution		<input type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input checked="" type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>One staff member for 36 hrs/week and one assistant for 20 hrs/week.</b>		

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation  <b>The 1, 5 coordinator (secretary) spend 100% of their time on CITES matters; 8 members of the Committee and 2 additional experts (two in 2009 and one in 2010) have spent between 5% and 20% of their time on CITES matters.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>					
7	<p>What are the skills/expertise of staff within the SA(s)?</p> <p>– Botany <input checked="" type="checkbox"/></p> <p>– Ecology <input checked="" type="checkbox"/></p> <p>– Fisheries <input checked="" type="checkbox"/></p> <p>– Forestry <input type="checkbox"/></p> <p>– Welfare <input checked="" type="checkbox"/></p> <p>– Zoology <input checked="" type="checkbox"/></p> <p>– Other (specify) <b>Breeding programmes, animal health</b> <input checked="" type="checkbox"/></p> <p>– No information <input type="checkbox"/></p>	<p>Tick if applicable</p>					
8	<p>Have any research activities been undertaken by the SA(s) in relation to CITES species?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>					
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p>						
Species name		Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
1							
2							
3							
etc.							
						No information	<input type="checkbox"/>
10	<p>Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>					
11	<p>Please provide details of any additional measures taken:</p>						



**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	<p>If Yes, please state which is the lead agency for enforcement:</p> <p><b>Food and Consumer Safety Authority of the Ministry of Economic Affairs, Agriculture and Innovation.</b></p> <p><i>Other enforcement authorities</i></p> <ul style="list-style-type: none"> <li>• <b>Customs Service of the Ministry of Finance</b></li> <li>• <b>Within the National police: in each police region there are special teams (regional and interregional teams) and on country level one intelligence team. Beside the Food and Consumer Safety Authority the police has around 500 officers who act on offence.</b></li> <li>• <b>Office of the Public Prosecutor of the Ministry of Safety and Justice (within the public prosecutor's office the agency for enforcement is the National Public Prosecutor's Office for Serious Fraud and Environmental Crime (NPPOSFEC) in The Hague, with 4 regional units in Rotterdam, Amsterdam, Zwolle and Den Bosch. The NPPOSFEC is specialised in prosecuting environmental crime (including CITES crime).</b></li> <li>• <b>Administrative Law Enforcement, part of the National Service for the Implementation of Regulations, part of the Ministry of Economics Affairs, Agriculture and Innovation.</b></li> <li>• <b>Team IBG (Seizure of CITES-goods) of the National Service for the Implementation of Regulations</b></li> </ul>	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Tick if applicable</span>						
	Yes <input checked="" type="checkbox"/>						
	No <input type="checkbox"/>						
	No information <input type="checkbox"/>						
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>						
	– Legislation (national, regional or international)? <input checked="" type="checkbox"/>						
	– Conservation status (national, regional, international)? <input checked="" type="checkbox"/>						
	– Other (please specify)? <b>Identification of species (intranet)</b> <input checked="" type="checkbox"/>						
5	Is it available through the Internet: <span style="float: right;">Tick if applicable</span>						
	Yes <input checked="" type="checkbox"/>						
	No <input type="checkbox"/>						
	Not applicable <input type="checkbox"/>						
	No information <input type="checkbox"/>						
	Please provide URL:						
	<b>Some electronic systems (like species identification) via Intranet, others via internet:</b>						
	<a href="http://www.cites.nl">www.cites.nl</a> or <a href="http://www.minlnv.nl/loket">www.minlnv.nl/loket</a> ('Vergunningen en Ontheffingen' > 'CITES')						
	<a href="http://www.minlnv.nederlandsesoorten.nl/lnv.db/lnv.db/i000252.html">http://www.minlnv.nederlandsesoorten.nl/lnv.db/lnv.db/i000252.html</a> (Dutch species only)						
	<a href="http://www.vwa.nl/onderwerpen/werkwijze-plant/dossier/export-plantmateriaal/exportinspecties/cites-uitvoervergunning">www.vwa.nl/onderwerpen/werkwijze-plant/dossier/export-plantmateriaal/exportinspecties/cites-uitvoervergunning</a> (phytosanitary certificates)						
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>						
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				

7	If not, what problems have been encountered to access to the mentioned information?	
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable
	– Mortality in transport?	<input checked="" type="checkbox"/>
	– Seizures and confiscations?	<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?	<input checked="" type="checkbox"/>
	Comments:	
9	Is there a government website with information on CITES and its requirements?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, please give the URL:	
	- <a href="http://www.cites.nl">www.cites.nl</a> or <a href="http://www.minInv.nl/loket">www.minInv.nl/loket</a> ('Vergunningen en Ontheffingen' > 'CITES')	
	- <a href="http://www.minInv.nederlandsesoorten.nl/Inv.db/Inv.db/i000252.html">http://www.minInv.nederlandsesoorten.nl/Inv.db/Inv.db/i000252.html</a> (Dutch species only)	
	- <a href="http://www.vwa.nl/onderwerpen/werkwijze-plant/dossier/export-plantmateriaal/exportinspecties/cites-uitvoervergunning">www.vwa.nl/onderwerpen/werkwijze-plant/dossier/export-plantmateriaal/exportinspecties/cites-uitvoervergunning</a> (phytosanitary certificates)	
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>
	– Brochures, leaflets	<input checked="" type="checkbox"/>
	– Presentations	<input checked="" type="checkbox"/>
	– Displays	<input checked="" type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>
	– Telephone hotline	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	Please attach copies of any items as Annex. <b>See Annex 5.</b>	
11	Please provide details of any additional measures taken:	
	<p><b>In 2010 a large amount of leaflets and newsletters have been published. This together with an improved service level of the CITES permit section, led to a higher level of 'customer satisfaction' amongst CITES applicants.</b></p> <p><b>In 2010 a project called CITES EDV was launched. An electronic application form gives applicants the opportunity to apply (through an online application) for permits and certificates electronically.</b></p> <p><b>Each year the MA visits some trade organisations (e.g. flowerbulb traders, birding associations, turtle association) to discuss questions and problems regarding CITES.</b></p>	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					Not applicable	<input type="checkbox"/>
If no, please provide details of any:				No information	<input type="checkbox"/>	
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> not applicable		
Registration of producers	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> not applicable			
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	1956	543	543	1	Excl. phytosanitary exports and permit's annexes
How many applications were denied because of severe omissions or mis-information?	20	4	2	1		
	Year 2					
	How many documents were issued?	2144	543	644	2	Excl. phytosanitary exports and permit's annexes
	How many applications were denied because of severe omissions or mis-information?	39	10	2	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this. <b>A few permits/certificates have been replaced due to omissions or administrative errors.</b>					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify): <b>administrative errors</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
Comments				No information	<input type="checkbox"/>	

8	How many times has the Scientific Authority been requested to provide opinions? <b>2009: 247</b> <b>2010: 260</b> <b>This includes some thirty advices for other EU Member States, customs, police, lawyers and institutes.</b>	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: – Licensing or registration of operations that produce CITES species: – Harvesting of CITES-listed species : – Use of CITES-listed species: – Assignment of quotas for CITES-listed species: – Importing of CITES-listed species: – Other (specify): <b>EC-certificates, phytosanitary certificates,</b>	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <b>60 euros for a CITES-permit, 60 euros for an annex from CITES-permits, 15 euros for an EC-certificate, approximately 30 euros for a CITES-phytosanitary certificate, 100 euros for the exemptions of the national prohibition on possession.</b>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments:	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable
	Increased budget for activities <input type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>
	Hiring of more staff <input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools <input checked="" type="checkbox"/>	Computerisation <input checked="" type="checkbox"/>
	– Other (specify) <input checked="" type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?	
	Please tick boxes to indicate which target group and which activity.	
	Target group	What were the external sources?
	Oral or written advice/guidance	
	Technical assistance	
	Financial assistance	
	Training	
	Other (specify)	

Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Visit from CITES MA Germany and CITES MA Belgium. CITES course for MA staff. Technical assistance from UNEP_WCMC for the electronic application form.</b>
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Within PPO's own training centre</b>
Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
						<b>Visit to CITES MA Belgium</b>  <b>CITES presentation for customs, general inspection service and police</b>  <b>Flowerbulb traders, birding associations, turtle association, zoo association.</b>  <b>Development of a EU programme for CITES and pilots for new member states (Hungary)</b>  <b>SA provides CITES two introductory courses and two case studies at University Leiden and provides two courses at the Master Course in Beza, Spain.</b>
4	Please provide details of any additional measures taken:					

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? <b>Represented in this working group are the CITES MA (policy section, permit section, administrative law enforcement, scientific authority), Legal office of the Ministry of Economic affairs, Agriculture and Innovation, Office of the Public Prosecutor, Police, Customs Service, Food and Consumer Product Safety Authority (General Inspection Service, Plant Health Service and veterinary import inspection) Frequency 6 x per year.</b>		

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Additional: The SA invites the staff of MA policy section, MA permit section, Wildlife Inspection and Coordinator seized goods in their plenary meeting 4 times a year.</b>
	Consultations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>With the SA</b>
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		<b>Combined inspections are organised with enforcers of provinces and local police agencies.</b>
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input checked="" type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
NGOs					<input checked="" type="checkbox"/>			
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?							Tick if applicable
	SA							<input checked="" type="checkbox"/>
	Customs							<input checked="" type="checkbox"/>
	Police							<input checked="" type="checkbox"/>
	Other border authorities (specify)							<input type="checkbox"/>
	Other government agencies							<input checked="" type="checkbox"/>
	Private sector bodies							<input type="checkbox"/>
	NGOs							<input type="checkbox"/>
Other (specify)							<input type="checkbox"/>	
6	Has your country participated in any regional activities related to CITES?							Tick if applicable
	Workshops							<input checked="" type="checkbox"/>
	Meetings							<input checked="" type="checkbox"/>
	Other (specify)							<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?					Yes	<input checked="" type="checkbox"/>	
						No	<input type="checkbox"/>	
						No information	<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?							



9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? <b>Enforcement training in Germany and Croatia by Customs Service.</b>			
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. <b>Policy officers on mea's work closely together to ensure a coordinated Dutch position in international meetings. This coordination takes place both within the Ministry of Economic affairs, Agriculture and Innovation and with other ministries and agencies.</b>			
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
		High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
		If Yes, which one(s) and what is the main difficulty?		
		3		
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
		If Yes, please describe the constraint and the type of attention or assistance that is required.		
		5		
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
		If Yes, please give a brief description.		
		7		
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	<p>Comments: <b>See Annex 5 and additionally</b>  <b>Website Public Prosecutor's office:</b>  <a href="http://www.vetverkeerd.nl/natuur/gifbelten_en/#top">http://www.vetverkeerd.nl/natuur/gifbelten_en/#top</a></p>		

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	<b>e.g. Habitats Directive and Birds Directive have been implemented in national regulations.</b>					
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations: Maximum penalties that may be imposed; <b>i) A maximum of 6 years of imprisonment for violation of the Flora &amp; Fauna act article 13a (implemented CITES Regulation), or a maximum fine of 76,000 Euro for individuals and 760,000 Euro for corporations;</b> ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9). <b>- Confiscation</b> <b>- To revoke permits</b>						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	<input type="checkbox"/>
		No <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: <b>i) Maximum sanctions which have been imposed over this reporting period; See Annex 6</b> <b>ii) The outcomes of any prosecutions; See Annex 6</b>		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	<input type="checkbox"/>
		No <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments: <b>See Regulation on the issue and characteristics of closed leg rings and other marks, Annex 2</b>		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments: <b>There is a cooperative strategy (Intervention Strategy) for CITES enforcement.</b>		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	If yes, please provide details. <b>Scientific expertise from the Leiden Museum Naturalis is used to determine species that are confiscated.</b>		
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments:		

25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>

## D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	8				
	Indicate any difficulties that rendered attendance to the SRG difficult:						

## D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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## D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	– Annex D listed species	<input checked="" type="checkbox"/>		
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>

## D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.			
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 82%		No information <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please attach. See Annex 2			

15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>According to art. 19 a candalilla wax producer has been registered in 2010 but now there is a exemption for this species so registration is not necessary anymore.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <b>See website <a href="http://www.cites.org">www.cites.org</a></b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.: <b>One retrospective re-export certificate has been issued for 12 specimens of the species <i>Zamia integrifolia</i>.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

**POLAND**



### A. General information

Party	Poland
Period covered in this report:	<i>1 January 2009 to 31 December 2010</i>
Details of agency preparing this report	<i>Ministry of the Environment Department of Nature Conservation (CITES MA of Poland)</i>
Contributing agencies, organizations or individuals	<i>Ministry of Finance, General Police Headquarters, State Council for Nature Conservation (CITES SA of Poland), The Polish Society for Nature Protection „Salamandra”, WWF Poland.</i>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input checked="" type="checkbox"/> *	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional comments	<p>* According to the national law (Nature Conservation Act of 16th April 2004, with further amendments) owners of live Annex A and B animals including amphibians, reptiles, birds and mammals of species covered by EU regulations on wildlife trade (CITES species) are obliged to submit a written declaration of possession to the appropriate district authority, in order to register specimens they keep.</p> <p>Obligation of registration does not apply to zoological gardens and persons involved in animal trade, carrying on an economic activity on regular basis (e.g. owners of pet shops) as well as persons keeping CITES animals temporarily for the medical treatment and rehabilitation purposes. Mentioned sellers are obliged however to possess documents stating legal origin of an animal they sell and to pass them to the buyer (e.g. copy of CITES import permit, permit for acquisition from nature, and in case of birth in captivity within Polish territory – a document issued by a district veterinary service, confirming birth in captivity, or other document stating legality of origin).</p> <p>* Prohibitions referring e.g. to harvesting, possessing, transport, sale and purchase, donations apply to all native protected species (including native CITES species). Exemptions from mentioned prohibitions can be granted only by the General Directorate for Environmental Protection, which issues a permission.</p>
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6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable																																																		
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Item</th> <th style="width: 15%;">Adequate</th> <th style="width: 15%;">Partially Inadequate</th> <th style="width: 15%;">Inadequate</th> <th style="width: 15%;">No information</th> </tr> </thead> <tbody> <tr> <td>Powers of CITES authorities</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Clarity of legal obligations</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Control over CITES trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Consistency with existing policy on wildlife management and use</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of offences</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coverage of law for all types of penalties</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Implementing regulations</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Coherence within legislation</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (please specify):</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Item	Adequate	Partially Inadequate	Inadequate	No information	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clarity of legal obligations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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	Please provide details if available:																																																			
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																		
	Please provide details if available: <i>Review of the Nature Conservation Act in respect of CITES provisions has started under last reporting period and is continued.</i>																																																			
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable																																																		
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9	<p>Please provide details of any additional measures taken:</p> <p><i>One of the meetings of the national CITES Working Group was devoted to analysis of the penal provisions related to CITES infringements. The outcome of this discussion is considered as a good basis for modification of certain provisions, leading to more effective enforcement measures.</i></p>
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### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify): <i>Control of CITES sale offers in the Internet</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number  <i>2009 – 253 seizures; 2010 – 193 seizures</i> <i>Detailed information in Annex I - C5</i>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <i>Detailed information in Annex II - C6, C7, C8, C9.</i>			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. <i>Detailed information in Annex II - C6, C7, C8, C9.</i>			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input checked="" type="checkbox"/>
	Comments: <i>Live CITES specimens are mainly directed to public zoological or botanical gardens, while dead ones are distributed for training purposes to Customs, Police and for educational purposes to universities, schools or museums.</i>			

11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Comments: <i>National Police HQs uses eco-messages on a regular basis to inform Interpol on the cases of violations of CITES law.</i>			
12	Has your country been involved in cooperative enforcement activities with other countries  (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	If Yes, please give a brief description: <i>National Police HQs took part in international operation RAMP focused at illegal trade in endangered reptiles.</i>		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe: <i>Thanks to numerous information campaigns and public awareness building projects on the issue of illegal trade in endangered species of wild fauna and flora (organised by Polish NGOs and Custom Service), our society can become familiar with the current CITES provisions and learn more how to counteract illegal trade in wild fauna and flora.</i>		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: <i>Assessment and compilation of CITES seizures is being done by the Customs Service and Police. Such compilations are forwarded to the CITES MA on a regular basis. General assessment of enforcement in Poland is planned.</i>			
17	Please provide details of any additional measures taken:		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	<p>If Yes, please use the opportunity to provide those changes here.</p> <p><i>Current contact details of the CITES MA of Poland are the following:</i></p> <p><i>Ministry of the Environment</i> <i>Department of Nature Conservation</i> <i>Wawelska st. 52/54</i> <i>00-922 Warsaw</i></p> <p><i>Email: <a href="mailto:cites-ma@mos.gov.pl">cites-ma@mos.gov.pl</a></i></p> <p><i>Director of the Department: Agnieszka Dalbiak <a href="mailto:agnieszka.dalbiak@mos.gov.pl">agnieszka.dalbiak@mos.gov.pl</a></i></p> <p><i>CITES team: Barbara Zbiegieni <a href="mailto:barbara.zbiegieni@mos.gov.pl">barbara.zbiegieni@mos.gov.pl</a>; Karol Wolnicki <a href="mailto:karol.wolnicki@mos.gov.pl">karol.wolnicki@mos.gov.pl</a>; Wojciech Piwowarski <a href="mailto:wojciech.piwowarski@mos.gov.pl">wojciech.piwowarski@mos.gov.pl</a>; Magdalena Mikitiuk-Ziółek <a href="mailto:magdalena.mikitiuk-ziolek@mos.gov.pl">magdalena.mikitiuk-ziolek@mos.gov.pl</a></i></p>		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	<p>How many staff work in each MA?</p> <p><i>Poland has designated one CITES Management Authority based on the CITES team which consists of three fulltime and one halftime employees. CITES MA is represented by the Minister of the Environment and the Director responsible for the nature conservation issues.</i></p>		
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If yes, please give estimation: <i>100%</i>		
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify) – environmental protection		<input checked="" type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.
10	<p>Please provide details of any additional measures taken:</p> <ul style="list-style-type: none"> <li>- <i>project on active protection of harbour porpoise (Phocoena phocoena) against catch in the Pucka Bay has been launched;</i></li> <li>- <i>participation in project SAMBAH on the Monitoring of the Baltic Sea Harbour Porpoise;</i></li> <li>- <i>Under the project on "Support for Restoration and Protection of Baltic Mammals" the WWF Poland and the Marine Station IOUG have been patrolling the whole Polish Baltic coast on a temporary basis and gathering the reports, since 2010;</i></li> <li>- <i>Polish-German project on restitution of Baltic Sturgeon in Odra river is being carried out;</i></li> <li>- <i>Polish-German WG on protection of trans-boundary population of wolf has been established in 2010;</i></li> <li>- <i>project on conservation of big predators in Poland (Lynx lynx, Canis lupus and Ursus arctos) is run by WWF Poland;</i></li> <li>- <i>project on creation of national strategies and management plans concerning inter alia Wolf, Brown Bear, Lynx;</i></li> <li>- <i>actions aiming at the restitution of selected native birds of prey (e.g. Falco peregrinus, Falco tinnunculus) are being carried out;</i></li> </ul>

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.			
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable		
	- Government institution	<input type="checkbox"/>		
	- Academic or research institution	<input type="checkbox"/>		
	- Permanent committee	<input checked="" type="checkbox"/>		
	- Pool of individuals with certain expertise	<input type="checkbox"/>		
	- Other (specify)	<input type="checkbox"/>		
	<i>The CITES SA of Poland is represented by the CITES Commission within The State Council for Nature Conservation (body providing independent opinion and counseling for the Minister of the Environment).</i>			
5	How many staff work in each SA on CITES issues? <i>The CITES Commission consists of 5 persons working on a voluntary basis.</i>			
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	If yes, please give estimation			
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable		
	- Botany	<input checked="" type="checkbox"/>		
	- Ecology	<input checked="" type="checkbox"/>		
	- Fisheries	<input type="checkbox"/>		
	- Forestry	<input type="checkbox"/>		
	- Welfare	<input checked="" type="checkbox"/>		
	- Zoology	<input checked="" type="checkbox"/>		
	- Other (specify)	<input type="checkbox"/>		

	- No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
	Species name	Populations	Distribution
	Off take	Legal trade	Illegal trade
	Other (specify)		
	1		
	2		
	3		
	etc.		
			No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken:		

### D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes * No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). <i>As an update we would like to provide contact details to the CITES Coordinator within the National Police HQs: Mr Mariusz Dziewulski, tel.: +48 22 60 129-86, email: <a href="mailto:m.dziewulski@policja.gov.pl">m.dziewulski@policja.gov.pl</a></i>		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?  <i>*Within the Police forces (General and Regional Headquarters), Ministry of Finance, Regional Customs Chambers and General Veterinary Inspectorate, CITES coordinators have been designated. Their main role is to coordinate CITES related efforts and monitor activities of local and regional units concerning crimes against wildlife.</i>	Yes* No Under consideration No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <i>Among Enforcement Authorities there is no leading and superior institution.</i>		
5	Please provide details of any additional measures taken: <i>Enforcement Authorities monitor and control wildlife trade on internal markets (e.g. by carrying out inspections of pet-shops, animal fairs, monitoring of sales of CITES specimens in the Internet).</i>		

### D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	+	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, does it provide information on: <ul style="list-style-type: none"> <li>- Legislation (national, regional or international)? <input checked="" type="checkbox"/></li> <li>- Conservation status (national, regional, international)? <input checked="" type="checkbox"/></li> <li>- Other (please specify)? <input checked="" type="checkbox"/></li> </ul> <p><i>CITES Management Authority of Poland runs the Internet Website devoted to CITES issues, which includes information on existing CITES and related EU provisions, additional information materials and explanations.</i></p> <p><i>Moreover, on the Internet websites of Customs chambers and Police units one can find basic information on CITES and relevant seizures and confiscations.</i></p> <p><i>Polish NGOs, such as WWF Poland, Polish Society for Nature Protection "Salamandra" also provide important information on CITES matters and current projects concerning the Washington Convention, as well as booklets, guides and manuals for identification.</i></p>						Tick if applicable
5	Is it available through the Internet: <p style="text-align: right;">Yes <input checked="" type="checkbox"/>          No <input type="checkbox"/>          Not applicable <input type="checkbox"/>          No information <input type="checkbox"/></p> Please provide URL: <p><i>CITES MA website:</i>  <a href="http://www.mos.gov.pl/cites-ma">www.mos.gov.pl/cites-ma</a></p> <p><i>Customs website:</i>  <a href="http://www.mf.gov.pl/dokument.php?const=2&amp;dzial=525&amp;id=47104">http://www.mf.gov.pl/dokument.php?const=2&amp;dzial=525&amp;id=47104</a></p> <p><i>Websites of NGOs dealing with CITES issues:</i>  <a href="http://www.wwfpl.panda.org/co_robimy/gatunki_glowna/handel_zagrozonymi_gatunkami/">http://www.wwfpl.panda.org/co_robimy/gatunki_glowna/handel_zagrozonymi_gatunkami/</a>  <a href="http://www.salamandra.org.pl/ginace-gatunki">http://www.salamandra.org.pl/ginace-gatunki</a>  <a href="http://www.rec.org.pl/cites/index.htm">http://www.rec.org.pl/cites/index.htm</a></p>						
6	Do the following authorities have access to the following publications?				Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority			



	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?	<input checked="" type="checkbox"/>		
	– Seizures and confiscations?	<input checked="" type="checkbox"/>		
	– Discrepancy in number of items in permit and number of items actually traded?	<input checked="" type="checkbox"/>		
	Comments: <i>In the period of 2009-2010 there were no reports on cases of animal deaths during import or (re)export.</i>			
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
	<i>The government website was described above under point 4.</i>	No information	<input type="checkbox"/>	
	If Yes, please give the URL: <a href="http://www.mos.gov.pl/cites-ma">www.mos.gov.pl/cites-ma</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences	<input checked="" type="checkbox"/>		
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>		
	– Brochures, leaflets	<input checked="" type="checkbox"/>		
	– Presentations	<input checked="" type="checkbox"/>		
	– Displays	<input checked="" type="checkbox"/>		
	– Information at border crossing points	<input checked="" type="checkbox"/>		
	– Telephone hotline	<input checked="" type="checkbox"/>		
	– Other (specify)	<input type="checkbox"/>		
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken: <i>Essential contribution to the capacity building and propagation of the CITES in Poland (information campaigns, workshops, brochures, posters, exhibitions) was made by NGOs such as: WWF Poland and Polish Society for Nature Conservation "Salamandra" and Polish Customs.</i>			

#### D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If no, please provide details of any:			
	Changes in permit format:			
	Changes in designation or signatures of relevant officials:			
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 2009	Import or introduction from the sea	Export	Re-export	Other*	Comments
	How many documents were issued?	399	5	-	89	* EU Certificates issued acc. to the Council Reg. (EC) No. 338/97
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
	Year 2010					
	How many documents were issued?	417	21	11	139	
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?					Yes <input type="checkbox"/>
						No <input checked="" type="checkbox"/>
						No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes <input type="checkbox"/>	
					No <input checked="" type="checkbox"/>	
					No information <input type="checkbox"/>	
	Comments: <i>Wild specimens of native CITES species are not a subject of exploitation for the purposes of commercial international trade.</i>					
8	How many times has the Scientific Authority been requested to provide opinions? <i>2009 – at least 150 times</i> <i>2010 – at least 170 times</i>					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?				Tick if applicable	
	– Issuance of CITES documents:				<input checked="" type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species:				<input type="checkbox"/>	
	– Harvesting of CITES-listed species :				<input type="checkbox"/>	
	– Use of CITES-listed species:				<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species:				<input type="checkbox"/>	
	– Importing of CITES-listed species:				<input type="checkbox"/>	
	– Other (specify):				<input checked="" type="checkbox"/>	
	<i>EU Certificates issued acc. to the Council Regulation (EC) No. 338/97.</i>					
10	If Yes, please provide the amounts of such fees. <i>Fee for the issuance of the CITES import, (re-)export permit was: 107 PLN (25 EUR).</i>					

	<i>Fee for the issuance of the EU Certificate acc. to the Council Regulation (EC) No. 338/97 was: 17 PLN (4 EUR).</i> <i>Budgetary and governmental units, including zoological, botanical gardens and scientific institutions are exempted from mentioned fees.</i>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments: <i>Money obtained from the above mentioned fees is not directed for the CITES implementation purposes.</i>	Tick if applicable  <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?		Tick if applicable			
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>	Computerisation <input type="checkbox"/>			
	– Other (specify)		<input checked="" type="checkbox"/>			
	<i>In the period covered with this report, many trainings and workshops, especially for enforcement authorities were carried out. See Annex III - D6.</i>					
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.		What were the external sources?			
	Target group	Oral or written advice/guidance	Technical assistance			
		Financial assistance	Training			
			Other (specify)			
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<i>* Public was the recipient of awareness campaigns and lectures.</i>

	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> *	* <i>Employees of local authorities responsible for registration of CITES animals took part in special trainings on the registration procedures organised by one of the Polish NGOs (“Salamandra”).</i>
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Telephone hotline</i>
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>See Annex III - D6</i>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

#### **D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p><i>The CITES Working Group consists of representatives of Management Authority, Scientific Authority, Ministry of Finance, Customs, Police, Veterinary Inspection and NGOs: WWF Poland, “Salamandra”, Polish Society for the Protection of Animals (TOZ) and representatives of Zoological Gardens. Closer co-operation with the representatives from judiciary and prosecutors has been initiated.</i></p> <p><i>Group meetings are organized 1-2 times a year.</i></p>			

3	If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):								
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available	
	Agencies for development and trade					<input type="checkbox"/>		<i>Cooperation with Regional Authorities in the field of registration of CITES animals.</i>	
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		<i>Cooperation with Customs, Police and Prosecutors in relation to investigations which are carried out.</i>	
	Local authorities or communities					<input checked="" type="checkbox"/>			
	Indigenous peoples					<input type="checkbox"/>			
	Trade or other private sector associations					<input type="checkbox"/>			
	NGOs					<input checked="" type="checkbox"/>		<i>Information exchange</i>	
Other (specify)					<input type="checkbox"/>				
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?							Tick if applicable	
	SA							<input type="checkbox"/>	
	Customs							<input type="checkbox"/>	
	Police							<input type="checkbox"/>	
	Other border authorities (specify)							<input type="checkbox"/>	
	Other government agencies							<input type="checkbox"/>	
	Private sector bodies							<input type="checkbox"/>	
	NGOs							<input type="checkbox"/>	
Other (specify)							<input type="checkbox"/>		
6	Has your country participated in any regional activities related to CITES?							Tick if applicable	
	Workshops							<input type="checkbox"/>	
	Meetings							<input checked="" type="checkbox"/>	
	Other (specify): <i>Participation in regular meetings of the Committee on Trade In Wild Fauna and Flora, Scientific Review Group and Enforcement Group within the EU.</i>							<input checked="" type="checkbox"/>	
7	Has your country encouraged any non-Party to accede to the Convention?					Yes		<input type="checkbox"/>	
						No		<input checked="" type="checkbox"/>	
						No information		<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?								

9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?			
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. <i>Issues regarding CITES and other multilateral environmental agreements are dealt by the same institution (Ministry of the Environment). It ensures coordination of actions and excludes the possibility of their unnecessary duplication.</i>			
15	Please provide details of any additional measures taken:			

#### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
		High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
7	If Yes, please give a brief description. <i>Taking into consideration the effective implementation of CITES provisions and verification of documents it would be advisable to create confidential database gathering examples and patterns of permits and certificates being used by the Parties.</i>			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																												
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:																																																												
	Title and date: -			Status: -																																																									
	Brief description of contents: -																																																												
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species<sup>1</sup>?</p> <p><i>Information on the stricter domestic measures have been described in previous section.</i></p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="text-align: center;">The conditions for:</th> <th colspan="3" style="text-align: center;">The complete prohibition of:</th> </tr> <tr> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td colspan="7">Additional comments</td> </tr> </tbody> </table>						Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Additional comments						
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9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p> <p><i>The highest penalty which may be imposed for infringements, regarded as crimes, against EU provisions concerning CITES, is imprisonment from 3 months up to 5 years (acc. to the art. 128 of the Nature Conservation Act of 16 April 2004).</i></p>																																																												

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p><i>The highest possible penalty (described in section B 9b) was not imposed during the reporting period. In the majority of cases courts imposed financial sanctions and forfeiture of confiscated specimens.</i></p> <p>ii) The outcomes of any prosecutions;</p> <p><i>We have no detailed information on this issue. Unfortunately, there are cases where prosecutors discontinue cases due to insufficient evidence or low social noxiousness.</i></p>		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	<p>Comments:</p> <p><i>Polish law does not impose obligation of marking of all CITES animals bred in captivity. However, specimens have been marked according to mentioned art. 66 for the purposes laid down in the EU wildlife trade regulations.</i></p>		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	<p>Comments:</p> <p><i>According to the art. 61 p. 3 of the Nature Conservation Act of 16 April 2004, the issuance of import permit is dependant on a positive veterinary opinion stating that animals which are going to be imported will be kept in appropriate conditions, as far as their physiological and behavioral needs are concerned. Mentioned opinion should be attached to the application for issuance of an import permit.</i></p>		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	
	Comments: <i>CITES MA of Poland intends to commission an expertise on assumptions for national enforcement action plan.</i>		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	If yes, please provide details.		

	Comments: <i>Needs still far exceed the resources.</i>		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <i>See Annex III - D6</i>		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <i>Enforcement Authorities monitor and control wildlife trade on internal markets (e.g. by carrying out inspections of pet-shops, animal fairs, monitoring of sales of CITES specimens in the Internet).</i>		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIi.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments: <i>So far, seized CITES animals have been located in zoological gardens (only if there was such a possibility). Creation of a CITES rescue centre still remains a high priority.</i>		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: <i>National Police HQs took part in international operation RAMP focused at illegal trade in endangered reptiles.</i>		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments: <i>Poland has no sufficient capacity in this respect.</i>		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIII.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number: 8
	Indicate any difficulties that rendered attendance to the SRG difficult:	

##### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

#### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify) <i>Database gathering information on the permits and certificates issued accordingly to the Council Regulation (EC) No. 338/97</i>	Tick if applicable <input type="checkbox"/> <input checked="" type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species? <i>CITES Management of Poland provides access to the CITES related information in the electronic format by running special internet website on CITES issues (CITES and EU provisions, guidelines and other materials).</i> <a href="http://www.mos.gov.pl/cites-ma">www.mos.gov.pl/cites-ma</a>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

#### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 95 % No information <input type="checkbox"/> <input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.  <i>The list of designated inspection border points is available in the following Commission Decision of 30 April 2008:</i> <a href="http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:136:0018:0042:EN:PDF">http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:136:0018:0042:EN:PDF</a>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. <i>See Annex IV.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance? <i>Problem of proving legal origin of captive bred specimens originating from breeding operations across the EU, in the context of documents which should be regarded as sufficient proof of legality. Lack of standardised approach in this area. Issue often reported by the enforcement officers.</i>	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

# PORTUGAL

### A. General information

Party	<b>PORTUGAL</b>
Period covered in this report:	<b>1 January 2009 to 31 December 2010</b>
Details of agency preparing this report	<b>Instituto da Conservação da Natureza e da Biodiversidade (Institute of Nature Conservation and Biodiversity) CITES Management Authority Rua de Santa Marta, 55 1169-230 Lisboa Tel. +351.213507900 Fax. +351.213507986 E-mail: <a href="mailto:loureiroj@icnb.pt">loureiroj@icnb.pt</a>; <a href="mailto:zuquetea@icnb.pt">zuquetea@icnb.pt</a></b>
Contributing agencies, organizations or individuals	<b>Customs and other Enforcement authorities</b>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4. <b>(*) New legislation, only in Portuguese (see Annex I)</b>	Yes (fully)	<input type="checkbox"/>				
		Yes (partly) (*)	<input checked="" type="checkbox"/>				
		No	<input type="checkbox"/>				
		No information/unknown	<input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:						
	Title and date:	Status:					
	Brief description of contents:						
3	Is enacted legislation available in one of the working languages of the Convention?	Yes	<input type="checkbox"/>				
		No	<input type="checkbox"/>				
		No information	<input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached	<input type="checkbox"/>				
		provided previously	<input type="checkbox"/>				
		not available, will send later	<input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Additional comments	<b>See Annex I, new legislation</b>						

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Coverage of law for all types of offences	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please provide details if available: <b>New legislation referred in Annex I followed the quoted assessment</b>					
7	If no review or assessment has taken place, is one planned for the next reporting period?		Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	X	<input type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	X	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken: <b>See Annex I, new legislation</b>				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	X	<input type="checkbox"/>
	Inspections of traders, producers, markets	X	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	X	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. <b>See Annex II</b>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: X Significant seizures/confiscations X Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>See Annex II</b>		Number <input type="checkbox"/> <input type="checkbox"/>	
6	Have there been any criminal prosecutions of significant CITES-related violations?	X	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <b>See Annex II</b>			



8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	X	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			X
	– Designated rescue centres			X
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify) (+)			<input type="checkbox"/>
	Comments: (+) <b>Stored by MA (non live specimens)</b>			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		X
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		X
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description: <b>Cooperation with other Enforcement Authorities, in particular, with Spain (Iberian Seminar about CITES enforcement issues, 26-27/10/2010, with the CITES Management Authority of Portugal and Spain, and Environment Polices of Spain, SEPRONA, and Portugal, SEPNA), and cooperation with IBAMA, related with the illegal trade of Psittacidae eggs.</b>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		X
		No information		<input type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		X
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
17	Please provide details of any additional measures taken: <b>See Annex I, new legislation (article 8.º, Decree-law n.º 211/2009, 3<sup>rd</sup> September)</b>			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		

3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. <b>Instituto da Conservação da Natureza e da Biodiversidade (ICNB), as identified in the CITES Directory</b>		
5	How many staff work in each MA? <b>2 persons in Madeira and 1 in Azores, 8 persons, not in full time, in ICNB (3 persons for administrative issues, 4 technicians for licence schemes and enforcement issues and the Head of Unit which deals with all those issues)</b>		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: <b>Madeira and Azores: 10%</b> <b>Mainland:</b> <b>- Head of Unit – 45%,</b> <b>- Administrative persons – 90%,</b> <b>- Technicians - 70%</b>	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)? – Administration – Biology – Economics/trade – Law/policy – Other (specify) (*) – No information <b>(*)Forest Engineer, Communication Science</b>		Tick if applicable X X <input type="checkbox"/> <input type="checkbox"/> X <input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. <b>Master thesis in CITES e-permitting for Portugal which is already in the CITES website</b>		
10	Please provide details of any additional measures taken		

## D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? – Government institution – Academic or research institution – Permanent committee – Pool of individuals with certain expertise – Other (specify)		Tick if applicable X <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

5	How many staff work in each SA on CITES issues? <b>In Government institution, 2 persons.</b>						
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: <b>2 government expertises: 20% (Fauna issues) and 5% (Flora issues)</b>						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?						Tick if applicable
	–	Botany					<input checked="" type="checkbox"/>
	–	Ecology					<input type="checkbox"/>
	–	Fisheries					<input type="checkbox"/>
	–	Forestry					<input checked="" type="checkbox"/>
	–	Welfare					<input type="checkbox"/>
	–	Zoology					<input checked="" type="checkbox"/>
	–	Other (specify)					<input type="checkbox"/>
	–	No information					<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Anguilla anguilla			X	X	X	
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?						Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No (**) <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
			No information <input type="checkbox"/>	
4	If Yes, please state which is the lead agency for enforcement: <b>(**) Though there isn't a specialized unit responsible for CITES-related enforcement, Portuguese management authority has two technicians with full expertise in CITES enforcement issues and SEPNA/GNR is a branch of police specialized in environmental matters including CITES</b>			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	–	Monitoring and reporting of data on legal trade				<input checked="" type="checkbox"/>	
	–	Monitoring and reporting of data on illegal trade				<input type="checkbox"/>	
	–	Permit issuance				<input checked="" type="checkbox"/>	
	–	Not at all				<input type="checkbox"/>	
	–	Other (specify)				<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/></span>						
	<span style="float: right;">No <input type="checkbox"/></span>						
	<span style="float: right;">No information <input type="checkbox"/></span>						

4	If Yes, does it provide information on:		Tick if applicable	
	–	Legislation (national, regional or international)?	X	
	–	Conservation status (national, regional, international)?	X	
	–	Other (please specify)?	<input type="checkbox"/>	
5	Is it available through the Internet:		Yes X	
			No <input type="checkbox"/>	
			Not applicable <input type="checkbox"/>	
			No information <input type="checkbox"/>	
Please provide URL: <a href="http://www.icnb.pt">www.icnb.pt</a> ;				
6	Do the following authorities have access to the following publications?			Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	X	X	X
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	X	X	X
	<i>Identification Manual</i>	X	X	X
	<i>CITES Handbook</i>	X	X	X
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:		Tick if applicable	
	–	Mortality in transport? (***)	<input type="checkbox"/>	
	–	Seizures and confiscations?	X	
	–	Discrepancy in number of items in permit and number of items actually traded? (***)	<input type="checkbox"/>	
Comments: (***) <b>Not in a regular way</b>				
9	Is there a government website with information on CITES and its requirements?		Yes X	
			No <input type="checkbox"/>	
			No information <input type="checkbox"/>	
If Yes, please give the URL: <a href="http://www.icnb.pt">www.icnb.pt</a>				
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?		Tick if applicable	
	–	Press releases/conferences	X	
	–	Newspaper articles, radio/television appearances	X	
	–	Brochures, leaflets	X	
	–	Presentations	X	
	–	Displays	<input type="checkbox"/>	
	–	Information at border crossing points	X	
	–	Telephone hotline	<input type="checkbox"/>	
	–	Other (specify): <b>Training courses</b>	X	
Please attach copies of any items as Annex. <b>Annex III</b>				
11	Please provide details of any additional measures taken:			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?				Yes	X	
					No	<input type="checkbox"/>	
					Not applicable	<input type="checkbox"/>	
If no, please provide details of any:				No information		<input type="checkbox"/>	
Changes in permit format:							
Changes in designation or signatures of relevant officials:							
2	To date, has your country developed written permit procedures for any of the following?				Tick if applicable		
					Yes	No	No information
	Permit issuance/acceptance				X	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders				X	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of producers				X	<input type="checkbox"/>	<input type="checkbox"/>
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).						
	Year 1( 2009)	Import or introduction from the sea	Export	Re-export	Other (1)(2)	Comments	
	How many documents were issued?	1108 + 20 (3)	103	393	(1) 1201 (2) 60 (4) 3107	(1)Import non CITES declarations (2)Export non CITES declarations (3)Import notifications (4) EU certificates for detention of specimens	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0		
	Year 2 (2010)	1087 + 25 (3)	125	766	(1)837 (2) 67 (4)3143		
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input type="checkbox"/>	
				No	X		
				No information	<input type="checkbox"/>		
5	If Yes, please give the reasons for this.						
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable		
	Reason				Yes	No	No information
	Technical violations				X	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition				X	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	X	
					No	<input type="checkbox"/>	
Comments				No information		<input type="checkbox"/>	

8	How many times has the Scientific Authority been requested to provide opinions?	70
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	– Issuance of CITES documents:	X
	– Licensing or registration of operations that produce CITES species:	X
	– Harvesting of CITES-listed species :	X
	– Use of CITES-listed species:	<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	– Importing of CITES-listed species:	<input type="checkbox"/>
	– Other (specify):	<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <b>See Annex IV</b>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely:	<input type="checkbox"/>
	– Partly:	X
	– Not at all:	<input type="checkbox"/>
	– Not relevant:	<input type="checkbox"/>
	Comments:	
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?		Tick if applicable				
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	X			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	X	Computerisation	X			
	– Other (specify)			<input type="checkbox"/>			
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	X	<b>Training course given by EU experts, related with a EU project</b>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details	
	Please tick boxes to indicate which target group and which activity.							
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)		
	Staff of Management Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>		See Annex V
	Staff of Scientific Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>		
	Staff of enforcement authorities	X	X	<input type="checkbox"/>	X	<input type="checkbox"/>		
	Traders	X	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		See Annex V
	NGOs	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Public	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(x) Lecturers in BAESA Master Course		
Other (specify) (x)	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
4	Please provide details of any additional measures taken							

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	X
							No	<input type="checkbox"/>
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? <b>Portuguese CITES Enforcement Group, including MAs, Customs, Police, Prosecutor Department, Veterinary Department, which meet twice a year.</b> <b>But Portuguese MA also work as pointed in next point 3</b>							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>2 – 3 times a year on a bilateral way</b>
Consultations	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>On a bilateral way</b>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					X		
	Provincial, state or territorial authorities					<input type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					X		
	NGOs					<input type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			



5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		X
	Police		X
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies Veterinary Department		X
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
Other (specify); Prosecutor department		X	
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops (See point 13, part C)		X
	Meetings		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way? <b>CITES Workshop for Portuguese Speaking Countries (East Timor and Angola) 13-17 September 2010, with the presence of the CITES Secretariat</b>		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? <b>CITES Workshop for Portuguese Speaking Countries (Guinea-Bissau, Sao Tome and Principe, Mozambique and Cape Verde) 13-17 September 2010, with the presence of the CITES Secretariat</b>		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description. <b>CITES, CMS, Bern Convention and CBD issues as Bird and Habitat Directives are competences of the same Unit in the Environment Ministry</b>		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?				
		Activity	High	Medium	Low
		Increased budget for activities	X	<input type="checkbox"/>	<input type="checkbox"/>
		Hiring of more staff	<input type="checkbox"/>	X	<input type="checkbox"/>
		Development of implementation tools	<input type="checkbox"/>	X	<input type="checkbox"/>
		Improvement of national networks	<input type="checkbox"/>	X	<input type="checkbox"/>
		Purchase of new technical equipment for monitoring and enforcement	X	<input type="checkbox"/>	<input type="checkbox"/>
		Computerisation	<input type="checkbox"/>	X	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>	
		No		X	
		No information		<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?				
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>	
		No		X	
		No information		<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.				
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>	
		No		X	
		No information		<input type="checkbox"/>	
7	If Yes, please give a brief description.				
8	Please provide details of any additional measures taken:				

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	X
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	X	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	X	<input type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	X	<input type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available: <b>See Annex I</b>						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed;						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations <b>See Annex II</b>		
	i) Maximum sanctions which have been imposed over this reporting period;		
	ii) The outcomes of any prosecutions;		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	X
		No information	<input type="checkbox"/>

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: <b>See Annex VI</b>		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes (++) No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments: (++) For temporary.....		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: <b>Portuguese legalized Zoological Parks received seized specimens from Spain and Italy</b>			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Comments:

30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Comments:

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	IAS	X	X		X	X	
	2						
	3						
	etc.						
No information							<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	3
	Indicate any difficulties that rendered attendance to the SRG difficult: <b>national financial resources</b>		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on? – Annex D listed species – Other matters not reported on in question D4 (1) (please specify)	Tick if applicable	
			<input checked="" type="checkbox"/>
			<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : <b>90%</b>	<input type="checkbox"/>
		No information	<input type="checkbox"/>
			<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? <b>Not Yet</b> If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? <b>No requests</b> If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

# ROMANIA



### A. General information

Party	Romania
Period covered in this report: 1 January 2009 to 31 December 2010	2009-2010
Details of agency preparing this report	Ministry of Environment (CITES MA of Romania)
Contributing agencies, organizations or individuals	National Customs Authority, National Environmental Guard, Romanian Academy, Forest Research and Management Planning Institute, Danube Delta National Institute for Research and Development

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:	
	Title and date:	Status:
	1. Order of the Ministry of Environment no. 890/2009 for amending legislation which establish environmental tariffs	Enacted
	2. Law no. 317/2009 for approving with amendments the Governmental Ordinance no. 23/2008 regarding fishery and aquaculture	Enacted
	3. Joint Order of the Ministry of Environment and Ministry of Agriculture no. 203/2009 regarding the procedure for establishing the derogations from the measures of protection of wild flora and fauna	Enacted
	4. Governmental Decision no. 1191/2010 regarding the register system of sturgeons stocks from aquaculture facilities and of the caviar produced from aquaculture operations, and marking by labelling of the caviar	Enacted
	5. Law on prevention and sanctioning of certain offences regarding the deterioration of the environment	Drafted

Brief description of contents:							
<p>1. Order of the Ministry of Environment no. 890/2009 is modifying the tariffs for issuance of CITES permits/certificate established by Order of the Ministry of Environment and Sustainable Development no. 255/2007, the tariffs for licensing the harvesting or capture activities, or import/export activity of other native wild flora and fauna species established by Order of the Ministry of Environment and Sustainable Development no. 410/2008, and tariffs for authorization of zoos, public aquarium and rehabilitation centres established by Order of the Ministry of Environment no. 1798/2007 .</p> <p>2. Law no. 317/2009 modify the provisions of Governmental Ordinance no. 23/2008 regarding the management authorities of aquatic resources in Romania and their responsibilities, enforcement authorities, and sanctions (including the sanctions regarding the illegal fishing and trade of sturgeons species);</p> <p>3. Joint Order of the Ministry of Environment and Ministry of Agriculture no. 203/2009 approves the procedure for establishing the derogations for strictly protected species. The Orders for approving the number of specimens of strictly protected species that can be harvested/captured are issued annually accordingly with the provision of this procedure;</p> <p>4. The Governmental Decision no. 1191/2010 establish the register, forms of the register of the sturgeons specimens from the aquaculture (parental stocks, young specimens and caviar produced), obligations for licensing of the processing and (re)-packaging plants, the authority that control the register, the enforcement authorities of the provision, requirements for labelling of the caviar (including for internal market).</p> <p>5. The law will transpose the Directive 2008/99/EC on the protection of the environment through criminal law. The trading in specimens of protected wild fauna and flora (species listed on Annexes A and B of the Regulation (EC) no. 338/97) of parts and derivatives thereof, performed in breaching of the legal provisions in force (Regulation (EC) no. 338/97) is punished with the imprisonment between three months and one year or with fine.</p>							
3	Is enacted legislation available in one of the working languages of the Convention?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached	<input type="checkbox"/>	provided previously	<input type="checkbox"/>	not available, will send later	<input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable					
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	<p><i>Order No. 262/330/2006 on conservation of wild sturgeon populations and development of sturgeon aquaculture in Romania</i> ban the capture and killing of wild specimens of sturgeons' species for commercial purpose for a period of 10 years starting with 2006.</p> <p><i>Order of the Ministry of Environment no. 1798/2007 for approving the Procedure for issuing the environment authorization</i> is prohibiting possession by physical persons of strictly protected species and other species listed in CITES Appendices.</p> <p><i>Order of the Ministry of Environment and Sustainable Development no. 410/2008 for approving the authorization procedure of the harvesting, capture and/or acquisition activities and commercialization on internal market and export of mineral samples, of plants, vertebrates and invertebrates fossils, and of wild specimens of flora and fauna, and also their import</i> establish domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in CITES Appendix I, II or III</p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Handling and housing of live specimens <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>					
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5	<p>If information available:</p> <p><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p>	<p>Number</p> <p>5</p> <p>16</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input checked="" type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
	Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	<p>If Yes, please give a brief description:</p> <p>Information exchange with neighbouring countries or EU Member States regarding the national legislation regarding wildlife trade in order to establish the legal acquisition of specimens, and also checking of validity/issuance of CITES documents. National Customs Authority and National Environmental Guard have been participated to the operation organised by WCO on 15 January 2009, and RAMP operation organised by INTERPOL on the period 1 September – 30 October 2010.</p>			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
17	Please provide details of any additional measures taken:			

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? 3 persons	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 1 person 80%, 1 person 20 %, 1 person less than 10%	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

5	How many staff work in each SA on CITES issues? Within the Romanian Academy (CITES SA): Commission for Nature Monuments Protection - 9 members. Within the Forest Research and Management Planning Institute (CITES SA): Department of Wildlife - 20 persons. Within the Danube Delta National Institute for Research and Development (CITES SA for Acipenseriformes) (Institute coordinated by MA): Sturgeon Research Group - 4 persons.						
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation  Forest Research and Management Planning Institute (CITES SA): 10%	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable					
	- Botany		<input checked="" type="checkbox"/>				
	- Ecology		<input checked="" type="checkbox"/>				
	- Fisheries		<input checked="" type="checkbox"/>				
	- Forestry		<input checked="" type="checkbox"/>				
	- Welfare		<input type="checkbox"/>				
	- Zoology		<input checked="" type="checkbox"/>				
	- Other (specify)		<input type="checkbox"/>				
	- No information		<input type="checkbox"/>				
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	<i>Acipenser stellatus</i>	X	X				Recruitment from de wild (2000 - 2011)
	<i>Acipenser gueldenstaedti</i>	X	X				Recruitment from de wild (2000 - 2011)
	<i>Acipenser ruthenus</i>	X	X				Recruitment from de wild (2000 - 2011)
	<i>Huso huso</i>	X	X				Recruitment from de wild (2000 - 2011)
	<i>Ursus arctos</i>	X	X	X	X	X	Eco-ethology
	<i>Canis lupus</i>	X	X	X	X	X	Eco-ethology
	<i>Lynx lynx</i>	X	X	X	X	X	Management Plan, Eco-ethology
	<i>Felis silvestris</i>	X	X	X	X	X	Eco-ethology
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				

11	Please provide details of any additional measures taken: During 9 – 10 February 2011 CITES MA and SA for Acipenseriformes of Romania organised at Sarulesti the 4 <sup>th</sup> Regional Meeting on the status, progress and future needs of sturgeon conservation efforts in countries of the N-W Black Sea and Lower Danube River, in accordance with CITES, with participation of CITES Management and Scientific Authorities, and Fisheries Management Authorities of Bulgaria, Romania, Serbia and Ukraine and the World Sturgeon Conservation Society (WSCS).
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**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement:			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>						
	–	Monitoring and reporting of data on legal trade				<input checked="" type="checkbox"/>	
	–	Monitoring and reporting of data on illegal trade				<input type="checkbox"/>	
	–	Permit issuance				<input type="checkbox"/>	
	–	Not at all				<input type="checkbox"/>	
	–	Other (specify)				<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/></span>						
	<span style="float: right;">No <input type="checkbox"/></span>						
	<span style="float: right;">No information <input type="checkbox"/></span>						

4	<p>If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Legislation (national, regional or international)? <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Conservation status (national, regional, international)? <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Other (please specify)? <span style="float: right;"><input checked="" type="checkbox"/></span></li> </ul> <p style="text-align: center;">Supportive Stocking Programme for endangered species of sturgeons</p>																				
5	<p>Is it available through the Internet: <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">Not applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>Please provide URL: <a href="http://www.indd.tim.ro/rosturgeons">www.indd.tim.ro/rosturgeons</a>; <a href="http://www.mmediu.ro">www.mmediu.ro</a></p>																				
6	<p>Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 16.6%;">Management Authority</th> <th style="width: 16.6%;">Scientific Authority</th> <th style="width: 16.6%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2005 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td><i>2005 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2005 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>2005 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																		
7	<p>If not, what problems have been encountered to access to the mentioned information?</p>																				
8	<p>Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Mortality in transport? <span style="float: right;"><input type="checkbox"/></span></li> <li>– Seizures and confiscations? <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Discrepancy in number of items in permit and number of items actually traded? <span style="float: right;"><input checked="" type="checkbox"/></span></li> </ul> <p>Comments:</p>																				
9	<p>Is there a government website with information on CITES and its requirements? <span style="float: right;">Yes <input checked="" type="checkbox"/></span></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If Yes, please give the URL: <a href="http://www.mmediu.ro/protectia_naturii/protectia_naturii.htm">http://www.mmediu.ro/protectia_naturii/protectia_naturii.htm</a></p>																				
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Press releases/conferences <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Newspaper articles, radio/television appearances <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Brochures, leaflets <span style="float: right;"><input type="checkbox"/></span></li> <li>– Presentations <span style="float: right;"><input type="checkbox"/></span></li> <li>– Displays <span style="float: right;"><input type="checkbox"/></span></li> <li>– Information at border crossing points <span style="float: right;"><input checked="" type="checkbox"/></span></li> <li>– Telephone hotline <span style="float: right;"><input type="checkbox"/></span></li> <li>– Other (specify) <span style="float: right;"><input type="checkbox"/></span></li> </ul> <p>Please attach copies of any items as Annex.</p>																				
11	<p>Please provide details of any additional measures taken:</p>																				



**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
Within the reporting period were made 2 changes referring to persons competent to sign CITES documents for Romania.						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	181	33	4		204 Certificate not for use outside the European Community
	How many applications were denied because of severe omissions or mis-information?					No information
	Year 2					
	How many documents were issued?	167	37	2		230 Certificate not for use outside the European Community
	How many applications were denied because of severe omissions or mis-information?					No information
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
Comments						

8	How many times has the Scientific Authority been requested to provide opinions? every time is needed	
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: – Licensing or registration of operations that produce CITES species: – Harvesting of CITES-listed species : – Use of CITES-listed species: – Assignment of quotas for CITES-listed species: – Importing of CITES-listed species: – Other (specify):	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, please provide the amounts of such fees.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments:	Tick if applicable <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable					
	Increased budget for activities <input checked="" type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>					
	Hiring of more staff <input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input checked="" type="checkbox"/>					
	Development of implementation tools <input type="checkbox"/>	Computerisation <input checked="" type="checkbox"/>					
	– Other (specify) <input type="checkbox"/>						
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	What were the external sources?					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	European Commission, TAIEX
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						Details
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	every time is needed
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	every time is needed	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input type="checkbox"/>
	Customs		<input checked="" type="checkbox"/>
	Police		<input checked="" type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input checked="" type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify) study visit		<input checked="" type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify) Establishing of Permanent National CITES S.A. Committee	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																							
2b	<p>If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">Title and date:</td> <td style="width: 50%; border: none;">Status:</td> </tr> <tr> <td style="border: none;">1. Orders of the Ministry of Environment and Sustainable Development no. 1223/2009 and 1423/2010 for approving the derogations for the brown bear, wolf, lynx and wild cat species in 2009 and 2010</td> <td style="border: none;">Enacted          Enacted</td> </tr> </table> <p>Brief description of contents:</p> <p>1. These two orders have been issued accordingly with the procedure approved by the Order no. 203/2009. The Orders approve derogation from protection measures (establish, with the advise of Romanian Academy, the number of specimens that can be captured from the wild,), taking in consideration the study developed by Forest Research and Management Planning Institute (CITES SA).</p>	Title and date:	Status:	1. Orders of the Ministry of Environment and Sustainable Development no. 1223/2009 and 1423/2010 for approving the derogations for the brown bear, wolf, lynx and wild cat species in 2009 and 2010	Enacted          Enacted																																																			
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5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B (5), specifically for non CITES-listed species<sup>1</sup>?</p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="width: 30%;">The conditions for:</th> <th colspan="3" style="width: 30%;">The complete prohibition of:</th> </tr> <tr> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td colspan="7">Additional comments</td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Additional comments						
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8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available:</p> <p>The Governmental Decision no. 1191/2010 establish the compulsory marking of female specimens of sturgeon from the aquaculture facilities at the reproductive stage, the obligation of aquaculture facilities to register these specimens and to keep records of the quantity of caviar obtained in aquaculture from each marked specimen.</p>		Yes	No	No information	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
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<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed; The maximum fines for EU wildlife trade regulation offences for are EUR25000 legal persons and EUR3700 for private persons.</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p>
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### C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p>ii) The outcomes of any prosecutions;</p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments:</p>
18 new	<p>Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i>, Article 66)</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments:</p>
19 new	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i>).</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments:</p>
20 new	<p>Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIa.)</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments: Each enforcement authority has the own Action Plan</p>
21 new	<p>Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIb.)</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If yes, please provide details.</p> <p>Comments:</p>
22 new	<p>Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIc.)</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input checked="" type="checkbox"/></p> <p>Comments: The national legislation establishes the maximum and minimum level of fines for infringements of Reg. (CE 338/97) and the National Environmental Guard assess and applies the fines.</p>
23 new	<p>Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph II d.)</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments: 8 training seminars for enforcement agencies have been carried out in the reporting period.</p>

24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIi.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: There are few facilities and can ensure care for certain species.			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>



## D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	5				
	Indicate any difficulties that rendered attendance to the SRG difficult: Financial support in order to attend one representative from each SA (Romania has designated 3 SAs).						

## D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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## D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	– Annex D listed species	<input type="checkbox"/>		
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

## D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.			
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage: 80%		No information <input type="checkbox"/>
				<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please attach.			

15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Only 2 processing companies are authorized at this moment by CITES MA: SC AQUAROM ELITE DISTRIBUTIONS SRL and SC DELTA FISH DISTRIBUTION 2003 SRL	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

# **SLOVAKIA**

## A. General information

Party	Slovak Republic
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	Ministry of Environment of the Slovak Republic, CITES Management Authority
Contributing agencies, organizations or individuals	CITES Scientific Authority, Slovak Environmental Inspection, Presidium of the Police Force, Customs Directorate of the Slovak Republic, Customs Criminal Office

## B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.  Decree No. 449/2009 Coll. of the Ministry of Environment of the Slovak Republic amending and supplementing Decree No 110/2005 was sent to the Secretariat in June 2010.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>																																																
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____																																																	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>																																																
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? (in accordance with Article XIV of the Convention)?	Tick all applicable																																																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="width: 30%;">The conditions for:</th> <th colspan="3" style="width: 30%;">The complete prohibition of:</th> </tr> <tr> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																												
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																												
	Additional comments	DNA tests are obligatory in the case of possession for 6 native endangered species: <i>Falco peregrinus</i> , <i>F. cherrug</i> , <i>Aquila heliaca</i> , <i>A. chrysaetos</i> , <i>A. pomarina</i> and <i>Accipiter gentilis</i> .																																																
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable																																																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Item</th> <th style="width: 15%;">Adequate</th> <th style="width: 15%;">Partially Inadequate</th> <th style="width: 15%;">Inadequate</th> <th style="width: 15%;">No information</th> </tr> </thead> <tbody> <tr> <td>Powers of CITES authorities</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Clarity of legal obligations</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Control over CITES trade</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Consistency with existing policy on wildlife management and use</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Item	Adequate	Partially Inadequate	Inadequate	No information	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																								
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	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Please provide details if available:				
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Please provide details if available:				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Please provide details if available:				
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. 15 € – for import 1 specimen of Graphium sandawanum and 1 specimen of Papilio neumoegeni (BOD). The fine was imposed together with confiscation. (see ANNEX 1)			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. See ANNEX 1	Number 1 12		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.		
10	How were the confiscated specimens generally disposed of?	Tick if applicable	
	– Return to country of export		<input type="checkbox"/>
	– Public zoos or botanical gardens		<input type="checkbox"/>
	– Designated rescue centres		<input checked="" type="checkbox"/>
	– Approved, private facilities		<input type="checkbox"/>
	– Euthanasia		<input type="checkbox"/>
	– Other (specify): Dead specimens are used for training of customs		<input checked="" type="checkbox"/>
Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments: According to the national legislation personal data (which ECOMESSAGE contains), are protected.			
12	Has your country been involved in cooperative enforcement activities with other countries  (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	<p>If Yes, please give a brief description:</p> <p><u>Police:</u> France – Araucaria case; INTERPOL operation TRAM; cooperation with Czech enforcement authorities</p> <p><u>Customs:</u> Cooperation within customs EU member states - exchange of intelligence e.g. CITES Alert; INTERPOL operation;</p> <p><u>Inspection:</u> Germany -checking the legality of import of TCM product by German companies; cooperation with Czech Environmental Inspection in enforcement activities, especially in illegal trade in parrots and birds of prey; expert assistance via EU-TWIX;</p>		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
17	Please provide details of any additional measures taken:		

## D. Administrative measures

### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated? Only 1 MA.	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? <span style="float: right;">2 – 3 persons</span>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 90%	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution	<input checked="" type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?	3 persons in 1 SA

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 100%	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>					
7	What are the skills/expertise of staff within the SA(s)? – Botany – Ecology – Fisheries – Forestry – Welfare – Zoology – Other (specify) – No information	Tick if applicable <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>					
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: The lead agency in this unit is Police.	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>



	– Not at all <input type="checkbox"/>																												
	– Other (specify) <input type="checkbox"/>																												
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>																												
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Authority</th> <th style="width: 10%;">Yes, continuous and unrestricted access</th> <th style="width: 10%;">Yes, but only through a dial-up connection</th> <th style="width: 10%;">Yes, but only through a different office</th> <th style="width: 10%;">Some offices only</th> <th style="width: 10%;">Not at all</th> <th style="width: 30%;">Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td style="text-align: center;">*<input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;">**<input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td>*customs, inspection **police</td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	* <input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	** <input checked="" type="checkbox"/>	<input type="checkbox"/>	*customs, inspection **police
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Enforcement Authority	* <input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	** <input checked="" type="checkbox"/>	<input type="checkbox"/>	*customs, inspection **police																							
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/></span>																												
4	If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span>																												
	– Legislation (national, regional or international)? <input checked="" type="checkbox"/>																												
	– Conservation status (national, regional, <u>international</u> )? <input checked="" type="checkbox"/>																												
	– Other (please specify)? <input type="checkbox"/>																												
5	Is it available through the Internet: <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/></span>																												
	Please provide URL: <a href="http://www.cites.sk">www.cites.sk</a>																												
6	Do the following authorities have access to the following publications? <span style="float: right;">Tick if applicable</span>																												
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																										
7	If not, what problems have been encountered to access to the mentioned information?																												
8	Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span>																												
	– Mortality in transport? <input checked="" type="checkbox"/>																												
	– Seizures and confiscations? <input checked="" type="checkbox"/>																												
	– Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/>																												
	Comments:																												
9	Is there a government website with information on CITES and its requirements? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/></span>																												
	If Yes, please give the URL: <a href="http://www.enviro.gov.sk">www.enviro.gov.sk</a>																												

10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable
	– Press releases/conferences	<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>
	– Brochures, leaflets	<input checked="" type="checkbox"/>
	– Presentations	<input checked="" type="checkbox"/>
	– Displays	<input type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>
– Other (specify) web sites: <a href="http://www.cites.sk">www.cites.sk</a> (Slovak and English); <a href="http://www.enviro.gov.sk">www.enviro.gov.sk</a> (Slovak and English);	<input checked="" type="checkbox"/>	
Please attach copies of any items as Annex. See leaflets in ANNEX 2/1 and 2/2		
11	Please provide details of any additional measures taken:	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and <u>signatures of officials empowered to sign CITES permits/certificates</u> been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>			
		No	<input type="checkbox"/>			
		Not applicable	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable				
		Yes	No	No information		
	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	240	28	29	417	
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
	Year 2					
	How many documents were issued?	140	21	6	167	
How many applications were denied because of severe omissions or mis-information?	1	-	-	9	Reason for rejection was, that criteria for issue of documents were not met.	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?	Yes	<input type="checkbox"/>			
		No	<input checked="" type="checkbox"/>			
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this.					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable	
	Reason	Yes	No	No information	
	Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input type="checkbox"/>
				No	<input checked="" type="checkbox"/>
				No information	<input type="checkbox"/>
	Comments				
8	How many times has the Scientific Authority been requested to provide opinions? During the year 2009 there was half year period when SA hasn't been requested by MA to provide opinions. During the rest time of the 2-years period SA has been requested always in accordance with the Convention and Com. Reg. No. 338/97.				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable	
	–	Issuance of CITES documents:		<input checked="" type="checkbox"/>	
	–	Licensing or registration of operations that produce CITES species:		<input type="checkbox"/>	
	–	Harvesting of CITES-listed species :		<input checked="" type="checkbox"/>	
	–	Use of CITES-listed species:		<input type="checkbox"/>	
	–	Assignment of quotas for CITES-listed species:		<input type="checkbox"/>	
	–	Importing of CITES-listed species:		<input type="checkbox"/>	
	–	Other (specify):		<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees. The fee for <b>application</b> for CITES permits and certificates as well as for harvesting of CITES-listed species in Slovakia is 66 € for entrepreneur and legal entity and 6,50 € for natural persons. The fee had to be paid also in case, when the permit or certificate is not issued. Museums of natural sciences need not pay any fees as well as zoological gardens.				
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable	
	–	Entirely:		<input type="checkbox"/>	
	–	Partly:		<input checked="" type="checkbox"/>	
	–	Not at all:		<input type="checkbox"/>	
	–	Not relevant:		<input type="checkbox"/>	
	Comments:				
12	Please provide details of any additional measures taken:				

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input checked="" type="checkbox"/>	
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>	
	–	Other (specify)		<input type="checkbox"/>	

2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						What were the external sources?
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training *	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	*EK; trainers from DE, UK, 2010	
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify): prosecutors and judges	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	*EK; trainers from DE, UK, 2010	
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						Details
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	District Environmental Offices	
Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? Presidium of the Police, CITES MA, Inspection, Customs, General Public Prosecutor's Office, Veterinary Authority, Slovak Information Service, Tax Directorate, 1x per year.		

3	<p>If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <p><u>All CITES authorities except of SA are members of inter-sectoral committee on CITES (point 1, 2)</u></p>							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> SA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	MA meets with enforcement authorities at least 3x per year
Consultations	<input checked="" type="checkbox"/> SA	<input checked="" type="checkbox"/> police	<input checked="" type="checkbox"/> customs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	At the national level have there been any efforts to collaborate with:				Tick if applicable		Details if available	
	Agencies for development and trade				<input type="checkbox"/>			
	Provincial, state or territorial authorities				<input checked="" type="checkbox"/>		customs (eg. with State Veterinary and Food Offices), inspection, SA, MA, police (with district environmental offices)	
	Local authorities or communities				<input checked="" type="checkbox"/>		customs, police	
	Indigenous peoples				<input checked="" type="checkbox"/>		customs, police	
	Trade or other private sector associations				<input checked="" type="checkbox"/>		customs (eg. with DHL Slovakia, Travel Agencies), inspection, police	
NGOs				<input checked="" type="checkbox"/>		SA, MA, customs, police		
Other (specify)				<input checked="" type="checkbox"/>		customs, SA (University)		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?						Tick if applicable	
	SA						<input type="checkbox"/>	
	Customs						<input type="checkbox"/>	
	Police						<input type="checkbox"/>	
	Other border authorities (specify)						<input type="checkbox"/>	
	Other government agencies						<input type="checkbox"/>	
	Private sector bodies						<input type="checkbox"/>	
	NGOs						<input type="checkbox"/>	
Other (specify)						<input type="checkbox"/>		
6	Has your country participated in any regional activities related to CITES?						Tick if applicable	
	Workshops						<input checked="" type="checkbox"/>	
	Meetings						<input checked="" type="checkbox"/>	
	Other (specify)						<input type="checkbox"/>	

7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?			
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?			
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
12	If Yes, please give a brief description.			
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			

#### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
		High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerisation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format. Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited ANNEX 1	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, ANNEX 2 Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	<p>If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.</p> <p>Decree No. 449/2009 Coll. Of the Ministry of Environment of the Slovak Republic amending and supplementing Decree No 110/2005 was sent to the Commission in June 2010.</p>																																																
2b	<p>If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:</p> <p>MA is preparing amendment of the existing "CITES Act" in order inspection can impose fines for violations of EU regulations.</p> <p>Title and date: _____ Status: _____</p> <p>Brief description of contents: _____</p>																																																
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species<sup>1</sup>?</p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="text-align: center;">The conditions for:</th> <th colspan="3" style="text-align: center;">The complete prohibition of:</th> </tr> <tr> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Additional comments: Complete prohibition of possession of <i>Trachemys scripta elegans</i>.</p>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Issue	The conditions for:			The complete prohibition of:																																													
	Yes	No	No information	Yes	No	No information																																											
Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
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Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																											
8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> <th style="text-align: center;">No information</th> </tr> </thead> <tbody> <tr> <td>Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available: _____</p>		Yes	No	No information	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																				
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Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																														
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;          Penalties according to the Customs Act:          up to 99.581,75 € - for legal persons (companies, businessmen)          up to 3.319,39 € - for natural persons          The specimen can be seized and confiscated.          Imprisonment – up to 8 years.</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p>																																																

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations? In country seizures. See ANNEX 3	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; Inspection and district offices could not impose any fines for any violations of EU Regulations, since last amendment of the national legislation in 2008 by the Slovak Parliament. Sanction imposed by customs see Part 1, C (3) ii) The outcomes of any prosecutions. Prosecution is still ongoing.		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?  Comments:	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)  Comments: Some specimens born in captivity (especially birds) are marked, but <u>marking does not establish</u> that the specimen was born and bred in captivity e.g. native birds can be taken from the nests as very young (or eggs) and marked, or specimen was really born in captivity but it does not meet the criteria in Art 54 of Reg. 865/2006.	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).  Comments:	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)  Comments: Action plan is under preparation.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.  Comments: *Customs: yes, appropriate financial and human resources; access to specialized equipment (e.g. technical equipment, snuffer dog etc.). */** Police: yes, but only persons on Police Presidency. **Inspection: lack of financial and personal resources	Yes No No information	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)  Comments: Customs and inspection use different acts for imposing penalties.	Yes No No information	customs <input checked="" type="checkbox"/> inspection <input checked="" type="checkbox"/> <input type="checkbox"/>

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments: customs as well as police.			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments: [see C(13)]			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
Comments:			
29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III k.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III l.)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council</i>	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>

**D2 Scientific Authority (SA)**

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	6				
	Indicate any difficulties that rendered attendance to the SRG difficult:						

**D3 Enforcement Authorities**

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>	No information <input type="checkbox"/>
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**D4 Communication, information management and exchange**

1b	Is Regulation-related information in your country computerized on?	Tick if applicable		
	– Annex D listed species	<input checked="" type="checkbox"/>		
	– Other matters not reported on in question D4 (1) (please specify) Registration of breeders, species and specimens held in captivity – SA central database	<input checked="" type="checkbox"/>		
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

**D5 Permitting and registration procedures**

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees. Exemption of the prohibition of commercial activities (Art.8) and authorization of the movement of live specimens (Art. 9) Annex A. The fee for <b>application</b> for certificates in Slovakia is 66 € for entrepreneur and legal entity and 6,50 € for natural persons. The fee had to be paid also in case, when the certificate is not issued. Museums of natural sciences need not pay any fees as well as zoological gardens.			
13	Can you indicate the percentage of permits/certificates issued that are returned to	Percentage : 80 %		

new	the MA after endorsement by customs?	No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. See ANNEX 4	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Only import permits were issued retrospectively.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance? Control of legal origin of Annex B species specimens is problematic. They are not marked (with some exceptions) and without any documents set by regulations which prove their legal origin and source.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

# SLOVENIA

### A. General information

Party	SLOVENIA
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2009 to 31 December 2010
Details of agency preparing this report	Ministry of the Environment and Spatial Planning Dunajska 48, SI-1000 Ljubljana
Contributing agencies, organizations or individuals	<p>Slovenian Environment Agency Vojkova 1b, SI-1000 Ljubljana</p> <p>Institute of the Republic of Slovenia for Nature Conservation Tobačna 5, SI-1000 Ljubljana</p> <p>Customs Administration of the Republic of Slovenia General Customs Directorate Šmartinska 55, SI-1523 Ljubljana</p> <p>Inspectorate of the Republic of Slovenia for the Environment and Spatial Planning Dunajska 47, SI-1000 Ljubljana</p> <p>General Police Directorate Criminal Police Directorate Štefanova 2, SI-1501 Ljubljana</p>

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	Explanation on stricter domestic measures is provided in ANNEX I.					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p>Detailed information attached in Annex II.</p>			
4	<p>Have any significant seizures, confiscations and forfeitures of CITES specimens been made?</p> <p>Detailed information attached in Annex III.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input type="checkbox"/> Significant seizures/confiscations</p> <p><input type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p> <p>Detailed information attached in Annexes II and III.</p>	<p>Number</p> <p>2009: 18 total (11 significant cases)</p> <p>2010: 27 (10 significant cases)</p>		
6	<p>Have there been any criminal prosecutions of significant CITES-related violations?</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	<p>If Yes, how many and for what types of violations? If available, please attach details as Annex.</p> <p>Detailed information attached in Annex IV.</p>			
8	<p>Have there been any other court actions of CITES-related violations?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9	<p>If Yes, what were the violations involved and what were the results? Please attach details as Annex.</p>			
10	<p>How were the confiscated specimens generally disposed of? <span style="float: right;">Tick if applicable</span></p> <p>– Return to country of export <span style="float: right;"><input type="checkbox"/></span></p> <p>– Public zoos or botanical gardens <span style="float: right;"><input checked="" type="checkbox"/></span></p> <p>– Designated rescue centres <span style="float: right;"><input type="checkbox"/></span></p> <p>– Approved, private facilities <span style="float: right;"><input type="checkbox"/></span></p> <p>– Euthanasia <span style="float: right;"><input type="checkbox"/></span></p> <p>– Other (specify) <span style="float: right;"><input checked="" type="checkbox"/></span></p> <p style="margin-left: 20px;">a) destruction due to human health hazards (mussels)</p> <p style="margin-left: 20px;">b) parts and derivatives:</p> <p style="margin-left: 40px;">- by keeping them by MA for educational or research purposes,</p> <p style="margin-left: 40px;">- by giving them to other enforcement authorities for educational or public awareness purposes.</p> <p style="margin-left: 20px;">c) live specimens of tortoises – given by contract in life long care to private persons.</p> <p>Comments: Live animals are placed in rescue centre for temporary care and treatment for a period between seizure and final confiscation. Where live tortoises have been seized, they have been successfully transferred to a captive facility (private person) for non-commercial purposes.</p> <p>In most cases dead specimens (parts or derivatives) were seized. Such specimens were temporarily stored by Customs. After the case is concluded, the goods can be used by the Management, Customs or other authorities for raising general awareness purposes (exhibitions etc.) or educational purposes (training of enforcement officials).</p>			
11	<p>Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?</p> <p>Comments:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>		
12	<p>Has your country been involved in cooperative enforcement activities with other countries</p> <p>(e.g. exchange of intelligence, technical support, investigative assistance,</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>		



	joint operation, etc.)?		
13	<p>If Yes, please give a brief description:</p> <p>Slovenian and Croatian Police, in cooperation revealed a well organized international criminal network that smuggled Date mussels from Croatia to Slovenia. During the investigation, the Slovenian Police also cooperated with the Customs Administration of the RS, Veterinary Inspectorate and Hunting and Fisheries Inspectorate. Larger amounts of Date mussels (<i>Lithophaga lithophaga</i>) have been seized. The recent seizures indicated that smuggling routes have been changed from the road border crossing points to the sea.</p> <p>INTERPOL's operation RAMP: Slovenia has been one of the 51 countries involved in cross-border operation targeting the illegal trade in reptiles that ran from September to October 2010.</p> <p>Enforcement authorities followed alerts posted at the EU-TWIX network and have intensified their surveillance when needed.</p>		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input checked="" type="checkbox"/>	
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
4	<p>If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.</p> <p>Ministry of the Environment and Spatial Planning          Dunajska cesta 48          P.O. Box 653          SI-1000 LJUBLJANA          Tel: +386 (1) 478 74 00          Fax: +386 (1) 478 74 22          Email: gp.mop@gov.si          Lead MA – does not issue CITES documents.</p>		
5	<p>How many staff work in each MA?</p> <p>1 person at the Ministry of the Environment and Spatial Planning          3 persons at the Slovenian Environment Agency</p>		

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p>1 person at the Ministry of the Environment and Physical Planning: app. 50%</p> <p>3 persons at the Environmental Agency of the Republic of Slovenia: app. 65%</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
7	<p>What are the skills/expertise of staff within the MA(s)?</p> <ul style="list-style-type: none"> <li>- Administration <input checked="" type="checkbox"/></li> <li>- Biology <input checked="" type="checkbox"/></li> <li>- Economics/trade <input type="checkbox"/></li> <li>- Law/policy <input type="checkbox"/></li> <li>- Other (specify) <input checked="" type="checkbox"/> <ul style="list-style-type: none"> <li>1. PhD in Nature Heritage Protection</li> <li>2. Biology - Ecology and Systematics (Master Degree)</li> </ul> </li> <li>- No information <input type="checkbox"/></li> </ul>	<p style="text-align: right;">Tick if applicable</p>
8	<p>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

If Yes, please give the species name and provide details of the kind of research involved.

**Species: *Lynx lynx***

**Project title:** Monitoring of the lynx population in Slovenia using GPS telemetry

**Project duration:** 23. 4. 2009 – 15. 3. 2011

**Objectives:** Determine the lynx population in Slovenia, the impact of fragmentation of the environment on the lynx, and factors that affect reproduction. (Ugotoviti stanje populacije risa v Sloveniji, vpliv fragmentacije okolja na risa, prehrana risa in dejavnike, ki vplivajo na reprodukcijo.)

**Species: *Ursus arctos***

**Project title:** Analysis of the causal factors of brown bear habituation to humans using GPS telemetry

**Project duration:** 17. 7. 2008 – 30. 9. 2011

**Objectives:** The goals of the study are to examine brown bear habitat selection and anthropogenic factors that influence their spatial distribution, to determine the factors that influence brown bear habituation to Humans, and to evaluate how these factors differ among regions in Slovenia. (Analiza »medvedove rabe prostora« in vplivih antropogenih dejavnikov na prostorsko razporeditev medvedov. Analiza dejavnikov, ki vplivajo na habituacijo medveda na človeka in razlike med posameznimi območji v Sloveniji.)

**Species: *Lutra lutra***

**Project title:** VIDRA (*Lutra lutra*)

**Project duration:** 22. 6. 2010 – 31. 10. 2010

**Objectives:** Estimation of the size and distribution of the otter population, description of damaging events that can cause the otter, preparation of guidelines for effective prevention of damage.

**Species: CITES listed animals**

**Project title:** Rescue centre for wild animals

**Project duration:** 5-year contract, currently 2006 - 2011

**Objectives:** Rescue centre for temporary care of seized and confiscated animals due to illegal keeping in captivity, illegal trade, export or import or other reasons specified by law is provided by a contract with the Mangement Authority.

**Species: *Bubo bubo*, *Otus scops*, *Strix uralensis*, *Gyps fulvus*, *Falco peregrinus***

**Project title:** Monitoring of selected bird species populations

**Project duration:** Ongoing, different species are selected each year to be included in the monitoring. Selected species were monitored in 2009 or/and 2010.

**Objectives:** Monitoring of selected species, identification of main threats for birds, identification of species for which conservation management measures should be established.

[http://www.natura2000.gov.si/fileadmin/user\\_upload/Knjiznjica/Studije/monitoring\\_2010\\_gnezditvena\\_sez\\_ona\\_celotno\\_porocilo\\_R.pdf](http://www.natura2000.gov.si/fileadmin/user_upload/Knjiznjica/Studije/monitoring_2010_gnezditvena_sez_ona_celotno_porocilo_R.pdf)

[http://www.natura2000.gov.si/uploads/tx\\_library/Monitoring\\_ptic\\_09-gnezdilke.pdf](http://www.natura2000.gov.si/uploads/tx_library/Monitoring_ptic_09-gnezdilke.pdf)

**Species: Eurasian otter (*Lutra lutra*)**

**Project title:** Conservation of otter population (*Lutra lutra*) in Goricko - phase 1 (AQUALUTRA)

(LIFE04NAT/SI/000234)

**Project duration:** 2004-2008

**Objectives:**

- To assure the viable population of *Lutra lutra* by maintaining and restoring its habitats in a favourable conservation status in the area of future Nature Park Goričko.
- Estimation of actual population size of *Lutra lutra* in the Slovenian part of Trilateral Joint Nature Park - Goričko in course of establishing.
- To preserve, improve and safeguard the connectivity of main habitats of *Lutra lutra* population and its movement corridors over common territory in Austria, Slovenia and Hungary.
- To maintain and enhance the biological diversity of freshwater habitats in target area.
- To urge local and regional authorities to implement the conservation measures for the otter in all necessary levels of regional development plans.
- To use *Lutra lutra* as a flagship species for raising public awareness on the importance and ecological role of the otter for the conservation of freshwater ecosystems and to contribute to the environmental education on a wider scale.
- To present the implementation of the EU conservation and environment legislation, including FFH

	<p>Directive, Water Framework Directive, the network of Natura 2000 sites and project OHNE (Otter Habitat Network Europe) in everyday praxis.</p> <p><a href="http://aqualutra.si/index.php?option=com_content&amp;task=view&amp;id=1&amp;Itemid=3">http://aqualutra.si/index.php?option=com_content&amp;task=view&amp;id=1&amp;Itemid=3</a></p> <p><b>Species:</b> <b>Wolf (<i>Canis lupus</i>)</b></p> <p><b>Project title:</b> Conservation and surveillance of conservation status of wolf (<i>Canis lupus</i>) in Slovenia (SloWolf) (LIFE NAT/SLO/000244)</p> <p><b>Project duration:</b> 2010-2013</p> <p><b>Objectives:</b> The goal of the project is a long-term conservation of the wolf population, its main prey and habitats in Slovenia, and improvement of their coexistence with humans.</p> <p><a href="http://www.volkovi.si/en">http://www.volkovi.si/en</a></p>
10	Please provide details of any additional measures taken

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. Institute of the Republic of Slovenia for Nature Conservation Tobacna 5, SI-1000 Ljubljana Tel: +386 1 230 95 00 Fax: +386 1 230 95 40 Email: zrsvn.oe@zrsvn.si		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?		Tick if applicable
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? 1		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation: 50%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?		Tick if applicable
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input type="checkbox"/>
	– Forestry		<input type="checkbox"/>
	– Welfare		<input type="checkbox"/>
	– Zoology		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	<i>Lithophaga lithophaga</i>						Analysis of consumption of date mussels has been done for the purposes of the awareness raising campaign.
	<i>Aegolius funereus</i>	Monitoring of the artificial breeding boxes established on the Jelovica plateau to improve breeding success.					
	<i>Strix uralensis</i>	Monitoring of the artificial breeding boxes established on the Jelovica plateau to improve breeding success.					
	<i>Cypripedium calceolus</i>		Analysis of distribution of the species in the Karavanken Mountains.				
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>		
4	If Yes, please state which is the lead agency for enforcement: Regarding CITES, the Management Authority is lead co-ordinating agency. It leads the activities of the "Inter-sectoral Committee for the Prevention of Illegal Wildlife Trade" (see D7/2).			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	-	Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>				
	-	Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>				
	-	Permit issuance	<input checked="" type="checkbox"/>				
	-	Not at all	<input type="checkbox"/>				
	-	Other (specify)	<input checked="" type="checkbox"/>				
	<p>Registration of marking of wild animals kept in captivity is fully computerised. The application on marking also allows control of supply of marks and suppliers of marks and issuance of certificates on marking.</p> <p>The information system IS SIRENA (Slovenian Registers of Nature) was developed by the Slovenian Environment Agency for proper and efficient management of official records relating to the implementation of regulations on protection of wild fauna and flora. The system also ensures proper dissemination of information to the public. All databases within the IS SIRENA, with the CITES database as an exemption, are web based applications. The most recent is Register on trade in animals (ANTE). This database enable traders of protected wild animals the keeping of records of the date of purchase and sale, the origin of an animal, marks, and the full name and address of a legal person from which an animal has been obtained. Only authorize users may use the database.</p>						
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Do you have an electronic information system providing information on CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																				
4	If Yes, does it provide information on: – Legislation (national, regional or international)? – Conservation status (national, regional, international)? – Other (please specify)? Application forms for CITES documents, fees, registration of CITES specimens etc.	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>																				
5	Is it available through the Internet:  Please provide URL: <a href="http://zakonodaja.gov.si/">http://zakonodaja.gov.si/</a> <a href="https://sirena.arso.gov.si/">https://sirena.arso.gov.si/</a> <a href="http://www.arso.gov.si/">http://www.arso.gov.si/</a> <a href="http://www.mop.gov.si/en/">http://www.mop.gov.si/en/</a>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>																				
6	Do the following authorities have access to the following publications?	Tick if applicable																				
	<table border="1"> <thead> <tr> <th>Publication</th> <th>Management Authority</th> <th>Scientific Authority</th> <th>Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td>2003 Checklist of CITES Species (book)</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Identification Manual</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>CITES Handbook</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	2003 Checklist of CITES Species (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Identification Manual	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	CITES Handbook	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
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CITES Handbook	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
7	If not, what problems have been encountered to access to the mentioned information?																					
8	Have enforcement authorities reported to the Management Authority on: – Mortality in transport? – Seizures and confiscations? – Discrepancy in number of items in permit and number of items actually traded?  Comments:	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>																				
9	Is there a government website with information on CITES and its requirements?  If Yes, please give the URL: <a href="http://www.arso.gov.si/narava/konvencija%20CITES/">http://www.arso.gov.si/narava/konvencija%20CITES/</a> <a href="http://www.arso.gov.si/narava/poro%c4%8dila%20in%20publikacije/">http://www.arso.gov.si/narava/poro%c4%8dila%20in%20publikacije/</a> <a href="http://www.arso.gov.si/narava/vpra%c5%a1anja%20in%20odgovori/">http://www.arso.gov.si/narava/vpra%c5%a1anja%20in%20odgovori/</a> <a href="http://www.arso.gov.si/en/nature/reports%20and%20publications/">http://www.arso.gov.si/en/nature/reports%20and%20publications/</a> <a href="http://www.mop.gov.si/si/delovna_podrocja/direktorat_za_okolje/sektor_za_politiko_ohranjanja_narave/#c16787">http://www.mop.gov.si/si/delovna_podrocja/direktorat_za_okolje/sektor_za_politiko_ohranjanja_narave/#c16787</a>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																				



10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences	<input checked="" type="checkbox"/>	
	– Newspaper articles, radio/television appearances	<input checked="" type="checkbox"/>	
	– Brochures, leaflets	<input checked="" type="checkbox"/>	
	– Presentations	<input checked="" type="checkbox"/>	
	– Displays	<input checked="" type="checkbox"/>	
	– Information at border crossing points	<input checked="" type="checkbox"/>	
	– Telephone hotline	<input checked="" type="checkbox"/>	
– Other (specify)	<input type="checkbox"/>		
Please attach copies of any items as Annex.			
11	<p>Please provide details of any additional measures taken:</p> <p>In 2009 and 2010 the Management Authority carried out a number of presentations for different target groups (school children, students, associations etc.). Representatives of the Management authorities gave lectures at the Museum of Natural History and University Botanic gardens Ljubljana on the international trade in endangered animal and plant species, and have had a presentation at the Slovenian Chamber of Commerce for the pet shops and wildlife traders.</p> <p>During the reporting period, Slovenia celebrated 10 anniversary of implementation of CITES in Slovenia. On this occasion Management Authorities and Customs carried out considerable public awareness events including issuing a Picture postal card with graphic representations of CITES specimens, lectures on CITES for interested public, temporally exhibitions of seized and confiscated specimens and placing a permanent show windows with seized specimens at the airport Ljubljana, press releases, interviewing, press conference and the reporting of all the main national and local newspapers, national and commercial TV stations and national Radio reported, including the internet.</p> <p>Information of seizures was published in all main national newspapers, articles and TV and radio broadcasts were performed.</p> <p>Management Authority in cooperation with the General Customs Directorate of RS and the Scientific Authority provided training seminars on CITES regulations and enforcement for Slovene customs, police officers, criminal investigators and state prosecutors.</p> <p>Slovenian Management Authority participate at the CITES workshop »Developing Regional Collaboration« for the Management, Scientific and Enforcemen Authorities of South East Europe (Donja Stubica, Croatia, 19. - 21. 5. 2009)</p> <p>A CD-ROM that includes information on all public awareness material issued in Slovenia, relevant EU and national legislation was upgraded.</p>		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
If no, please provide details of any:				
Changes in permit format:				
Changes in designation or signatures of relevant officials:				
2	To date has your country developed written permit procedures for any of the following?	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	2009	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	132	37	32	1	Other refers to the personal ownership certificate
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
	2010					
	How many documents were issued?	122	17	33	2	Other refers to the personal ownership certificate
	How many applications were denied because of severe omissions or mis-information?	-	-	-	-	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	Comments Hunting quotas for certain species (e.g. <i>Ursus arctos</i> , <i>Canis lupus</i> ) are considered in the procedure for issuance of an export permits. For <i>Anguilla anguilla</i> there is an export quota agreed on the EU level.					
8	How many times has the Scientific Authority been requested to provide opinions? Management Authority asks for scientific opinion for all applications of import of Annexes A and B species or export of Annexes A, B and C species, which counts up to 40 opinions per year. In case of the imports of skins (SKI) and small leather products (LPS) of some App II/Annex B listed reptiles ( <i>Alligator mississippiensis</i> , <i>Caiman crocodylus fuscus</i> , <i>Varanus niloticus</i> , <i>V. salvator</i> ) from Switzerland, a general opinion has been provided in accordance with Article 4 par. 2 (a) of the Council Regulation (EC) No 338/97. Every year, the Scientific Authority also provides the opinion for the export of live tortoises ( <i>Testudo hermanni</i> , <i>Testudo graeca</i> , <i>Malacochersus tornieri</i> , <i>Geochelone elegans</i> ) born and bred in captivity in Slovenia by registered breeding operations which are regularly checked by the Scientific Authority and competent inspectors. The opinion is based on the breeding success and it defines the maximum number of animals allowed to be used for commercial purposes for the current year. On the basis of any additional information, the opinion can be changed anytime and it is fully respected by the Management Authority when issuing the CITES documents. In case of seizures Scientific Authority can give opinion on species determination, which counts up to 10 opinions per year.					

9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? <ul style="list-style-type: none"> <li>– Issuance of CITES documents: <input checked="" type="checkbox"/></li> <li>– Licensing or registration of operations that produce CITES species: <input checked="" type="checkbox"/></li> <li>– Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>– Use of CITES-listed species: <input checked="" type="checkbox"/></li> <li>– Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>– Importing of CITES-listed species: <input checked="" type="checkbox"/></li> <li>– Other (specify): <input type="checkbox"/></li> </ul>	Tick if applicable
10	If Yes, please provide the amounts of such fees. Fees for permits, registration or related CITES activities amount to 20 EURO per application.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? <ul style="list-style-type: none"> <li>– Entirely: <input type="checkbox"/></li> <li>– Partly: <input checked="" type="checkbox"/></li> <li>– Not at all: <input type="checkbox"/></li> <li>– Not relevant: <input type="checkbox"/></li> </ul> Comments: The revenues from fees are transmitted to core State budget. Wildlife conservation is mainly financed through core State budget.	Tick if applicable
12	Please provide details of any additional measures taken:	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable																																																															
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Increased budget for activities</td> <td style="width: 10%; text-align: center;"><input type="checkbox"/></td> <td style="width: 40%;">Improvement of national networks</td> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Hiring of more staff</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Purchase of technical equipment for monitoring/enforcement</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Development of implementation tools</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Computerisation</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>– Other (specify)</td> <td></td> <td></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>	– Other (specify)			<input type="checkbox"/>																																																
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– Other (specify)			<input type="checkbox"/>																																																														
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?																																																																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Please tick boxes to indicate which target group and which activity.</th> <th style="width: 10%;">Oral or written advice/guidance</th> <th style="width: 10%;">Technical assistance</th> <th style="width: 10%;">Financial assistance</th> <th style="width: 10%;">Training</th> <th style="width: 10%;">Other (specify)</th> <th style="width: 10%;">What were the external sources?</th> </tr> <tr> <th>Target group</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>Staff of Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of Scientific Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of enforcement authorities</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Traders</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Public</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Target group							Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												

3	<p>Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?</p> <p>Please tick boxes to indicate which target group and which activity.</p>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Target group						
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Slovenia was a member of a Training Working Group established by the EU Enforcement Working Group under Art. 14 (3a) of the Reg. EC No. 338/97. Uniform training material which can be used by all relevant authorities has been developed.
	Staff of Scientific Authority Staff of enforcement authorities	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	Slovene Management Authority, in cooperation with the General Customs Directorate of RS, the Scientific Authority and the Ministry of Interior Police provided 2 days training seminar on CITES regulations and enforcement for Slovene customs; one day seminar for Slovene state prosecutors; and two days seminar for police officers and criminal investigators
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Management Authority regularly gives, in accordance with the Rules on protection of pet animals (Official gazette of the RS No 51/2009), lectures to legal or natural persons managing hotels for pets, pet shops, organizers of exhibitions, as well as wildlife traders. At the end of the course the participants must also pass the relevant test of knowledge foreseen in the regulation.
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Public awareness events including issuing publications, lectures on CITES, exhibitions of seized and confiscated specimens, press releases, interviewing, press conference, internet.
	Other parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	CITES workshop »Developing Regional Collaboration« for the Management, Scientific and Enforcement Authorities of South East Europe in Croatia. The workshop was attended by 60 representatives from Romania, Bulgaria, Croatia, Serbia, Macedonia and Montenegro.
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

#### **D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p>Since 2002, a special inter-sectoral Committee for the Prevention of Illegal Wildlife Trade has been operating in Slovenia. The Committee consists of permanent members from Criminal Police Directorate, General Customs Directorate, Inspectorate of the Republic of Slovenia for the Environment and Spatial Planning, Environmental Agency of the Republic of Slovenia and Ministry of the Environment and spatial planning. Objective of the committee is concerted action in the prevention and control of illegal trade in endangered animal and plant species. The duties of the Committee are to collect data and exchange information on illegal activities related to trade in endangered species, to prepare administrative and other measures, to organise joint actions aimed at the detection of illegal activities and to offer technical assistance in investigations. The chair of the Committee is responsible for coordinating its work. For the realization of certain tasks other officials from relevant ministries may be appointed. The Committee may ask relevant divisions of ministries for assistance in particular task. For better cooperation between relevant authorities regular meetings of the inter-sectoral Committee take place at least once every six months. As results of these meetings cooperate actions takes place.</p> <p>Regular meetings between the Management and the Scientific Authorities also take place several times per year.</p>		

3	If No, please indicated the frequency of meetings or consultations used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):						
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:				Tick if applicable		Details if available
	Agencies for development and trade				<input type="checkbox"/>		
	Provincial, state or territorial authorities				<input type="checkbox"/>		
	Local authorities or communities				<input type="checkbox"/>		
	Indigenous peoples				<input type="checkbox"/>		
	Trade or other private sector associations				<input checked="" type="checkbox"/>		
	NGOs				<input checked="" type="checkbox"/>		
	Other (specify)				<input type="checkbox"/>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?						Tick if applicable
	SA						<input type="checkbox"/>
	Customs						<input type="checkbox"/>
	Police						<input type="checkbox"/>
	Other border authorities (specify)						<input type="checkbox"/>
	Other government agencies						<input type="checkbox"/>
	Private sector bodies						<input type="checkbox"/>
	NGOs						<input type="checkbox"/>
	Other (specify)						<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?					Tick if applicable	
	Workshops					<input checked="" type="checkbox"/>	
	Meetings					<input checked="" type="checkbox"/>	
	Other (specify)					<input type="checkbox"/>	
7	Has your country encouraged any non-Party to accede to the Convention?				Yes	<input type="checkbox"/>	
					No	<input checked="" type="checkbox"/>	
					No information	<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?						
9	Has your country provided technical or financial assistance to another country in relation to CITES?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?						
11	Has your country provided any data for inclusion in the CITES Identification Manual?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
12	If Yes, please give a brief description.						
13	Has your country taken measures to achieve co-ordination and reduce					Yes	<input checked="" type="checkbox"/>

	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. Regular meetings are held between MA, SA and enforcement authorities regarding CITES activities. Focal points responsible for multilateral environmental agreements working at the Ministry of the environment and spatial planning are cooperating and information on ongoing activities within each agreement is shared at regular meetings.		
15	Please provide details of any additional measures taken:		

#### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
			No information <input type="checkbox"/>	
	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
			No information <input type="checkbox"/>	
	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
			No information <input type="checkbox"/>	
	If Yes, please give a brief description.			
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed ANNEXES II, III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited ANNEXES II, III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions ANNEXES II, III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions ANNEXES II, III, IV	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments  <a href="http://www.arso.gov.si/en/nature/reports%20and%20publications/">http://www.arso.gov.si/en/nature/reports%20and%20publications/</a> <a href="http://www.mop.gov.si/si/delovna_podrocja/narava/">http://www.mop.gov.si/si/delovna_podrocja/narava/</a>	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
			<input type="checkbox"/>



## PART 2 - SUPPLEMENTARY QUESTIONS<sup>1</sup>

### PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

#### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>2</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Additional comments							
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
Please provide details if available:							

<sup>1</sup> Part 2 agreed at COM 45

<sup>2</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

**9b Please provide the following details about Regulations-related violations:**

**i) Maximum penalties that may be imposed;**

**THE CRIMINAL CODE (UR. L. RS, NO. 55/2008)**

The criminal code stipulates an imprisonment of up to five years for illegal possession, taking, harming, killing, export, import or trade of endangered plant or animal species, specimens or their parts or derivatives.

A fine and an imprisonment from six months up to ten years is stipulated if the item is of great or exceptional importance for nature protection or if this act is performed within a criminal organisation.

Table 1: Penalties for violations related to wildlife trade and related activities according to The criminal code.

<b>Criminal offence</b>	<b>Sanction</b>	<b>Article</b>
Illegal possession, taking, harming, killing, export, import or trade of endangered plant or animal species, specimens or their parts or derivatives	An imprisonment up to 5 years (6 month to 10 years in exceptional cases)	344
Whoever is engaged in the transportation of goods that are valuable natural features across the customs line without a permit from relevant authority	An imprisonment for not more than 3 years	218
Torture of animals	A fine or imprisonment of up to 6 months (up to 1 year in exceptional cases)	341
Whoever without permission or other authorisation hunts or kills a wild animal or traps it alive (Game Poaching)	A fine or imprisonment of 6 months up to 2 years	342

**NATURE CONSERVATION ACT (NCA) (UR. L. RS, NO. 96/2004)**

Violations of provisions of the NCA related to trade in protected species of wild fauna and flora, breeding, acquisition of specimens, keeping in captivity and introduction or repopulation of animals or plants into the natural environment are treated as offences. The NCA prescribes the amounts of fines for such violations.

A fine in accordance with Art 160 is imposed on a legal person or individual who:

- exterminates a plant or animal species;
- reduces the number of plants or animals of individual populations, reduces their habitats or worsens their living conditions to such an extent that the species becomes endangered;
- intentionally, without a justifiable cause, destroys or damages habitats of plant or animal species populations;
- does not use plants or animals in compliance with the prescribed conditions;
- uses plants or animals whose use is prohibited or uses them contrary to the prescribed restriction of use;
- introduces plants or animals of non-indigenous species without permission;
- breeds animals of indigenous or non-indigenous species without a permit;
- acts contrary to the protection regime prescribed by the Government for plant or animal species protected pursuant to the ratified international treaties;
- acts contrary to the prescribed guidelines for maintaining a species at a favourable status.

Table 2. The fines for minor offences according to Article 160 of the Nature Conservation Act

Fine (in EURO)	Imposed on
4173 – 41729	legal person
1043 – 20856	sole trader
209 – 2086	responsible person of a legal entity
125 – 626	individual

A fine in accordance of Art 161 is imposed on a legal person or individual who:

- does not notify the ministry of the intended repopulation of plants or animals of non-indigenous species;
- keeps animals of indigenous or non-indigenous species in captivity in inadequate living conditions and without proper care;
- does not notify the ministry of obtaining an animal in the prescribed period;
- keeps animals of indigenous or non-indigenous species in captivity with the purpose of public exhibition without a permit;
- trades in animals and does not provide prescribed living conditions and proper care and does not keep prescribed records;
- exports, imports or tries to import or export plants or animals or parts or products thereof without the minister's permit;
- carries out or tries to carry out transit of plants or animals or parts or products thereof without the minister's permit when the permit is prescribed

Table 3. The fines for minor offences according to Article 161 of the Nature Conservation Act

Fine (in EURO)	Imposed on
2504– 33383	legal person
626 – 16629	sole trader
167 – 1669	responsible person of a legal entity
83 – 584	individual

**DECREE ON THE COURSE OF CONDUCT AND PROTECTION MEASURES IN THE TRADE IN ANIMAL AND PLANT SPECIES (Ur. l. RS, No. 39/2008)**

This Decree in its Art. 45 fully transpose the provisions of Art. 16 of Council Regulation (EC) No. 338/97 on the protection of wild fauna and flora by regulating trade therein.

A fine is imposed on a legal person, independent business person, responsible person of the legal person or individual who:

1. fails to fulfil the conditions laid down in the permit, certificate or other document issued in accordance with Regulation 338/97/EC, Regulation 3254/91/EEC or this Decree;
2. uses a document for any specimens other than those for which the permit, certificate or document has been issued;
3. imports or attempts to import specimens of species whose import is prohibited or imports or attempts to import specimens without an import permit;
4. imports or attempts to import specimens of species listed in Annex A to Regulation 338/97/EC without an import permit;
5. uses specimens of species listed in Annex A to Regulation 338/97/EC contrary to the conditions laid down in the import permit;
6. imports or attempts to import specimens of species listed in Annex B to Regulation 338/97/EC without an import permit;
7. fails to submit an import notification for specimens of species listed in Annex C to Regulation 338/97/EC and an export permit, re-export certificate or certificate of origin;
8. fails to submit an import notification for specimens of species listed in Annex D to Regulation 338/97/EC;

9. exports, re-exports or attempts to export or re-export specimens of species listed in Annex A to Regulation 338/97/EC without an export permit or re-export certificate;
10. submits false evidence on the legal acquisition of specimens in the procedure for the issuance of export permit for specimens of species listed in Annex A to Regulation 338/97/EC;
11. submits false evidence on the legal introduction of specimens into the territory of European Community in the procedure for the issuance of re-export certificate for specimens of species listed in Annex A to Regulation 338/97/EC;
12. exports, re-exports or attempts to export or re-export specimens of species listed in Annex B or C to Regulation 338/97/EC without an export permit or re-export certificate;
13. submits false evidence on the legal introduction of specimens into the territory of European Community in the procedure for the issuance of re-export certificate for specimens of species listed in Annex B and C to Regulation 338/97/EC;
14. omits to inform the Management Authority of the previous rejection of an application for the same specimens during the procedure for the issuance of a permit or certificate allowing activities involving the specimens;
15. carries out transit of specimens of species listed in Annexes to Regulation 338/97/EC without prior declaration to the border customs office and submission of appropriate (re)export documents;
16. purchases, offers to purchase, acquires for commercial purposes, uses for commercial gain, displays to the public for commercial purposes, sells, holds for sale, offers for sale, transports for sale, lends, rents, gives, keeps or stores for sale specimens of species listed in Annex A to Regulation 338/97/EC or carries out these activities without a certificate of the Management Authority;
17. violates the provisions regarding the registration of specimens which form part of travelling exhibition;
18. purchases, offers to purchase, acquires for commercial purposes, uses for commercial gain, displays to the public for commercial purposes, sells, holds for sale, offers for sale, transports for sale, lends, rents, gives, keeps or stores for sale specimens of species listed in Annex B to Regulation 338/97/EC, except when they have been acquired legally or, if they do not originate in European Community, have been imported into European Community in accordance with regulations governing the protection of wild animal and plant species, or carries out these activities without a certificate of the Management Authority;
19. moves live specimens of species listed in Annex A to Regulation 338/97/EC without a certificate;
20. fails to ensure that the recipient of live specimens of species listed in Annex B to Regulation 338/97/EC is adequately informed of the proper care for them;
21. transports live specimens or holds them during any period of transit or transshipment contrary to the regulations governing transport and transshipment of animals;
22. provides incorrect information or false statement or intentionally provides false information in order to obtain a document; uses false, forged or invalid permit or certificate or other document; or uses a permit, certificate or document modified without the approval of the issuing authority in order to obtain a permit, certificate or other document of the Management Authority;
23. fails to mark the specimens intended for commercial activities;
24. submits false documents in the procedure for the issuance of import permit for specimens of species listed in Annexes A and B to Regulation 338/97/EC;
25. submits false evidence on adequate equipment and accommodation for specimens in the procedure for the issuance of import permit for specimens of species listed in Annex B to Regulation 338/97/EC;
26. deletes, changes or amends documents laid down by Regulations 338/97 or 1808/01 or this Decree, unless such modifications are authenticated by the stamp and signature of the issuing Management Authority or, in the case of import notifications, by the stamp and signature of the customs authority at the point of import;
27. fails to return to the Management Authority the expired or unused import or export permit or re-export certificate issued in accordance with Regulation 338/97/EC;
28. fails to return to the Management Authority expired certificates and copies of used import permits issued in accordance with Regulation 338/97/EC;
29. in the case of commercial producer, uses phytosanitary certificate as an export permit for specimens of species for which it is not registered with the Management Authority;
30. in the case of scientists and scientific institutions, fails to return to the Management Authority the part of the label provided for that purpose after using the label for non-commercial exchange of scientific material;
31. fails to submit upon the first introduction of specimens of species listed in Annex A to Regulation 338/97/EC which are personal or household effects a valid import permit and export permit or re-export certificate at the border customs office;
32. fails to submit upon the first introduction of specimens of species listed in Annex B to Regulation 338/97/EC which are personal or household effects a valid import permit and export permit or re-export certificate at the border customs office;
33. violates the provisions regarding the registration of plants producing or processing of caviar;
34. violates the provisions regarding the trade in seal species.

In the case of any of these violations the specimens should be seized and confiscated.

Table 3: The fines for offences according to Article 45 of the *Decree on the course of conduct and protection measures in the trade in animal and plant species*

Fine (in EURO)	Imposed on
2600 – 33000	legal person
630 – 16690	sole trader
170 - 1660	responsible person of a legal entity
85 - 580	individual

- ii) **Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).**

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p>ii) The outcomes of any prosecutions;</p> <p>In years 2009 and 2010 Customs submitted to district state prosecutors 14 criminal complaints against 34 persons, owing to an act of unlawful handling of protected animals and plants under the Article 344 of the Penal Code. Five of those criminal complaints relate to CITES specimens: Hermann's tortoise (<i>Testudo hermanni</i>), date mussel (<i>Lithophaga lithophaga</i>) and caviar (<i>Huso huso</i>). In three cases the offenders were foreign citizen, and the Slovene court assigned those cases in further consideration to the countries from where the accused persons come from (Italy, Moldavia, and Ukraine).</p> <p>Police submitted to the competent district state prosecutor 3 criminal complaints in year 2009 and 8 criminal complaints in 2010. Five criminal complaints refer to date mussel (<i>Lithophaga lithophaga</i>).</p> <p>For detailed information see ANNEX IV.</p>			
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: In accordance with the national legislation breeding stocks of species of mammals, birds and reptiles listed in Annex B to the Council Regulation (EC) No 338/97 must also be marked.			
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	

	and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	No information	<input type="checkbox"/>
	<p>Comments:</p> <p>Since 2002 a special inter-sectoral Committee for the prevention of illegal wildlife trade has been operating in Slovenia. Its objective is concerted action in the prevention and control of illegal trade in endangered animal and plant species. (see D7(2))</p> <p>In this reporting period enforcement was focused on activities relating to the trade in caviar. The basic aim of the inspection was to obtain data on internal trade and to the assessment of the volume of caviar trade in Slovenia. The coordinated investigations were carried out, and also companies offering for sale caviar via internet were investigated.</p>		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	<p>If yes, please provide details.</p> <p>Comments:</p> <p>Some specific tools (e.g. microchip readers, deep freezers, species ID manuals) have been provided to the border inspection points by the Management Authority (MA). Frontline officers can also send an e-mail with digital photograph of specimens to the MA for prompt confirmation.</p>		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Training seminars on CITES for customs personnel, police and environmental inspectors are provided on regular basis. In this reporting period a seminar was also provided for public prosecutors.			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: In 2010 the accommodation for wild animal species kept in captivity in zoos and zoos similar facilities for public exhibition have been inspected. The review was also conducted for some breeders, nurseries, botanical gardens and traveling exhibition. The aim of the inspection was to provide a systematic review of these entities and to obtain information on how they comply with the provisions on the protection of wild animal and plant species.			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: For confiscated live animals, a Rescue centre for wild animals is established. For confiscated plants case by case agreements are arranged with different primarily non-commercial institutions (e.g. University Botanic gardens Ljubljana). Decree on the course of conduct and protection measures in trade in animal and plant species defines solutions for long term rehoming of confiscated specimens following recommendations of CITES Resolution 10.7.			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
No information							<input type="checkbox"/>



11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	7
	Indicate any difficulties that rendered attendance to the SRG difficult: The expert could not attend all the meetings as he had to attend other meetings at the same time as CITES is not the only topic he follows.		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input checked="" type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : ....%	
	2009 – 71 %		<input type="checkbox"/>
	2010 – 79 %		<input type="checkbox"/>

14 new	<p>Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i>? If yes, please attach.</p> <p>(1) – Places of import (2) – Places of export LA - Live animals LP – Live plants PD – Parts and derivatives ** - Not for import of parts and derivatives of animals and plants that need veterinary and phytosanitary border control according to annex of the Commission Decision 2007/275/EC (without veterinary and phytosanitary checks)</p> <p>Border crossing point:</p> <p>Brnik (airport) (1) (2) LA, LP, PD Gruškovje (1) (2) LA, LP, PD Jelšane (1) (2) LA, LP, PD Obrežje (1) (2) LA, LP, PD Dobova (rail port) (1) (2) LA, LP, PD Koper (ship port) (1) (2) LA, LP, PD Bistrica ob Sotli (1) (2) - - PD ** Rogatec – Dobovec (1) (2) - - PD ** Dragonja (1) (2) - - PD ** Sečovelje (1) (2) - - PD ** Sočerga (1) (2) - - PD ** Metlika (1) (2) - - PD ** Pošta Ljubljana (1) (2) - - PD ** Petrina (1) (2) - - PD ** Letališče Maribor (1) (2) - - PD ** Zavrč (1) (2) - - PD ** Petišovci (1) (2) - - PD ** Središče ob Dravi (1) (2) - - PD ** Starod (1) (2) - - PD ** Ilirska Bistrica - Babno polje (1) (2) - - PD **</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
15 new	<p>Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
16 new	<p>Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
17 new	<p>Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
18 new	<p>Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
19 new	<p>Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.</p> <p>In the case of artificially propagated plants of the species listed in Annexes B and C to Regulation (EC) No 338/97 and of artificially propagated hybrids produced from the unannotated species listed in Annex A, Slovenia accept phytosanitary certificates issued by third countries instead of an export permit, but do not issue phytosanitary certificate instead of an export permit.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4), arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

# SPAIN

### A. Información general

Parte	ESPAÑA
Periodo comprendido en este informe:	<b>1 de enero de 2009 al 31 de diciembre de 2010</b>
Información del organismo encargado de preparar este informe	<p><i>Ministerio de Industria, Turismo y Comercio (en la actualidad Ministerio de Economía y Competitividad)</i></p> <p><b>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</b></p> <p><i>Órgano de Gestión Principal con arreglo a la letra a) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i></p> <p><i>Secretaría de Estado de Comercio</i></p> <p><i>Subdirección General de Inspección, Certificación y Asistencia Técnica de Comercio Exterior</i></p> <p><i>Paseo de la Castellana, nº 162, 6ª planta</i></p> <p><i>28071 Madrid</i></p> <p><i>España</i></p> <p><i>Teléfono: + 34 91 349 37 71</i></p> <p><i>Telefax: + 34 91 349 37 77</i></p> <p><i>Correo electrónico: <a href="mailto:cites.sccc@comercio.mityc.es">cites.sccc@comercio.mityc.es</a></i></p>
Organismos, organizaciones o individuos que aportan contribuciones	<p>1. <i>Ministerio de Economía y Hacienda (en la actualidad Mº de Hacienda)</i></p> <p><i>Departamento de Aduanas e Impuestos Especiales</i></p> <p><b>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</b></p> <p><i>Órgano de Gestión adicional con arreglo a la letra b) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i></p> <p><i>Subdirección General de Gestión Aduanera</i></p> <p><i>Avda. de Llano Castellano, nº 17</i></p> <p><i>28071 Madrid</i></p> <p><i>Teléfono: + 34 91 728 94 50</i></p> <p><i>Telefax: + 34 91 358 47 21</i></p> <p><i>Correo electrónico: <a href="mailto:gesadu@aeat.es">gesadu@aeat.es</a> y <a href="mailto:aduanas-ie@aeat.es">aduanas-ie@aeat.es</a></i></p> <p>2. <i>Ministerio de Medio Ambiente y Medio Rural y Marino.</i></p> <p><i>(En la actualidad Mº de Agricultura, Alimentación y Medio Ambiente)</i></p>

	<p><i>Dirección General de Medio Natural y Política Forestal.</i></p> <p><b>AUTORIDAD CIENTIFICA CITES – ESPAÑA</b></p> <p><i>Subdirección General de Biodiversidad</i></p> <p><i>C/ Rios Rosas, 24</i></p> <p><i>28071 Madrid</i></p> <p><i>España</i></p> <p><i>Teléfono: + 34 91 749 36 14</i></p> <p><i>Telefax: + 34 91 749 38 73</i></p> <p><i>Web: <a href="http://www.marm.es">http://www.marm.es</a></i></p> <p><b>3. Jefatura del Servicio de Protección de la Naturaleza (SEPRONA)</b></p> <p><b>AUTORIDAD DE OBSERVANCIA</b></p> <p><i>Dirección General de la Guardia Civil</i></p> <p><i>C/ Batalla del Salado nº 32</i></p> <p><i>28071 Madrid</i></p> <p><i>España</i></p> <p><i>Teléfono: + 34 91 514 69 00</i></p> <p><i>Telefax: + 34 91 514 24 26</i></p> <p><i>Correo electrónico: <a href="mailto:dq-seprona-jefatura@guardiacivil.org">dq-seprona-jefatura@guardiacivil.org</a></i></p>

### B. Medidas legislativas y de fiscalización

1	<p>¿Se ha proporcionado ya información sobre la legislación CITES en el marco del Proyecto de legislación nacional CITES?</p> <p>En caso afirmativo, ignore las preguntas 2, 3 y 4.</p>	<p>Sí (completamente) <input checked="" type="checkbox"/></p> <p>Sí (parcialmente) <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Sin información/se desconoce</p>
2	<p>Si se ha previsto, redactado o sancionado alguna legislación relevante para la CITES, proporcione la siguiente información:</p> <p>Título: <b>Reglamento (CE) nº 398/2009 del Parlamento Europeo y del Consejo de 23 de abril de 2009 que modifica el Reglamento (CE) nº 338/97 relativo a la protección de especies de la fauna y flora silvestres mediante el control de su comercio en lo relativo a las competencias de ejecución atribuidas a la Comisión (publicado en el Diario Oficial de la Unión Europea serie L nº 126 de 21/05/2009)</b></p> <p>Descripción somera del contenido: <i>Modifica el Reglamento (CE) nº 338/97 introduciendo las reformas</i></p>	<p>Estado: <i>Aprobada y publicada</i></p>

<i>derivadas de la atribución de ciertas competencias a la Comisión de la Unión Europea</i>	
Título: <b>Reglamento (UE) nº 709/2010</b> de la Comisión, de 22 de julio de 2010 que modifica el Reglamento (CE) nº 338/97 del Consejo, relativo a la protección de especies de la fauna y flora silvestres ,mediante el control de su comercio (publicado en el DOUE serie L nº 212 el 12 de agosto de 2010)	Estado: <i>Aprobada y publicada</i>
Descripción somera del contenido: <i>Publica los anexos A, B, C y D en los que se clasifican las especies según su grado de protección, tras la incorporación de las enmiendas a los apéndices CITES aprobados en la 15ª reunión de la Conferencia de las Partes CITES.</i>	
Título: <b>Reglamento (UE) nº 997/2010</b> de la Comisión, de 5 de noviembre de 2010, por el que se suspende la introducción en la Unión de especímenes de determinadas especies de fauna y flora silvestres (publicado en el DOUE el 06/11/2010, serie L nº 290).	Estado: <i>Aprobada y publicada</i>
Descripción somera del contenido: <i>Hace públicas una serie de restricciones por las cuales se limita la concesión de permisos de importación para ciertas especies.</i>	
Título: <b>Reglamento (CE) nº 407/2009</b> de la Comisión, de 14 de mayo de 2009 que modifica el Reglamento (CE) nº 338/97 del Consejo relativo a la protección de especies de la fauna y flora silvestres mediante el control de su comercio. (publicado en el Diario Oficial de la Unión Europea serie L nº 123 el 19/05/2009). Corrección de errores del Reglamento (CE) nº 407/2009 de la Comisión, de 14 de mayo de 2009, que modifica el Reglamento (CE) nº 338/97 del Consejo, relativo a la protección de especies de la fauna y flora silvestres mediante el control de su comercio (Diario Oficial de la Unión Europea serie L nº 176 de 7 de julio de 2009)	Estado: <i>Derogado y reemplazado por el Reglamento (CE) nº 709/2010</i>
Descripción somera del contenido: <i>Publica los anexos A, B, C y D en los que se clasifican las especies según su grado de protección.</i>	
Título: <b>Reglamento (CE) nº 359/2009</b> de la Comisión, de 30 de abril de 2009, por el que se suspende la introducción en la Comunidad de especímenes de determinadas especies de fauna y flora silvestres (publicado en el DOUE el 01/05/2009, serie L nº 110)	Estado: <i>Derogado y reemplazado por el Reglamento (UE) nº 997/2010</i>
Descripción somera del contenido: <i>Hace públicas una serie de restricciones por las cuales se limita la concesión de permisos de importación para ciertas especies.</i>	
Título: <b>Resolución de 17 de junio de 2009</b> de la Subsecretaría del Ministerio de Industria, Turismo y Comercio, por la que se establece la aplicación del procedimiento para la presentación de la autoliquidación y las condiciones para el pago por vía telemática de la tasa por la prestación de servicios y gestión de permisos y	Estado: <i>Aprobada y publicada</i>

<p><i>certificados CITES establecida en la Ley 32/2007, de 7 de noviembre, para el cuidado de los animales, en su explotación, transporte, experimentación y sacrificio (publicado en el BOE nº 23/06/2009).</i></p>																																																	
<p>Descripción somera del contenido: <i>Incorpora el procedimiento de pago telemático de la tasa CITES, asociado a la solicitud telemática</i></p>																																																	
3	<p>¿Está la legislación promulgada disponible en uno de los idiomas de trabajo de la Convención?</p> <p>Sí <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>																																																
4	<p>En caso afirmativo, adjunte una copia del texto completo de la legislación o de las principales disposiciones legislativas que hayan sido publicadas en el boletín oficial.</p> <p>Legislación adjunta <input checked="" type="checkbox"/></p> <p>Presentada previamente <input type="checkbox"/></p> <p>No disponible, se remitirá ulteriormente <input type="checkbox"/></p>																																																
5	<p>¿Cuáles de las siguientes cuestiones se abordan mediante medidas internas más estrictas adoptadas para las especies incluidas en la CITES (en virtud del Artículo XIV de la Convención)?</p> <p>Marque todas las que correspondan</p> <table border="1"> <thead> <tr> <th rowspan="2">Cuestión</th> <th colspan="3">Las condiciones para:</th> <th colspan="3">La completa prohibición de:</th> </tr> <tr> <th>Sí</th> <th>No</th> <th>Sin información</th> <th>Sí</th> <th>No</th> <th>Sin información</th> </tr> </thead> <tbody> <tr> <td>Comercio</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Captura</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Posesión</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Transporte</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Otro (especifique)</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table> <p>Comentarios adicionales</p> <p><i>El comercio (importación y exportación) y transporte se regula de manera más estricta en el ámbito de la Unión Europea mediante lo dispuesto en el Reglamento (CE) nº 338/97. Para algunas especies autóctonas, las Comunidades Autónomas poseen regulaciones específicas más estrictas en cuanto a su captura y posesión.</i></p>	Cuestión	Las condiciones para:			La completa prohibición de:			Sí	No	Sin información	Sí	No	Sin información	Comercio	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Captura	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Posesión	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transporte	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Otro (especifique)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Cuestión	Las condiciones para:			La completa prohibición de:																																													
	Sí	No	Sin información	Sí	No	Sin información																																											
Comercio	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																											
Captura	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																											
Posesión	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																											
Transporte	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																											
Otro (especifique)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																											



6	¿Cuáles han sido los resultados de cualquier examen o evaluación de la eficacia de la legislación CITES, en relación con lo siguiente?				Marque todas las que correspondan
	Punto	Adecuado	Parcialmente inadecuado	Inadecuado	Sin información
	Poderes de las autoridades CITES	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Claridad de las obligaciones legales	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control del comercio CITES	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Compatibilidad con la política existente sobre la gestión y el uso de la vida silvestre	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Todos los tipos de delitos están previstos en la ley	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Todos los tipos de sanciones están previstas en la ley	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Reglamentaciones de ejecución	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherencia en la legislación	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Otro (especifique):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Proporcione información, según proceda:					
7	Si no se ha efectuado un examen o evaluación, ¿se ha previsto para el próximo periodo de presentación de informes?				Sí <input type="checkbox"/> No <input type="checkbox"/> Sin información <input checked="" type="checkbox"/>
Proporcione información, según proceda:					
8	¿Se ha realizado un examen de algunos aspectos de la legislación en relación con la aplicación de la Convención?			Marque todas las que correspondan	
	Aspecto	Sí	No	Sin información	
	Acceso a los recursos naturales o posesión de ellos	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Recolección	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporte de especímenes vivos	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Acondicionamiento y alojamiento de especímenes vivos	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Proporcione información, según proceda:					
9	Proporcione información sobre cualquier medida adicional que se haya adoptado				

### C. Medidas de cumplimiento y observancia

	Sí	No	Sin información
1	¿Se ha efectuado alguna de las siguientes operaciones de control del cumplimiento?		
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Examen de los informes u otra información proporcionada por los comerciantes y productores			
Inspecciones de los comerciantes, productores, mercados	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Controles fronterizos	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Otro (especifique):	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p><i>En el año 2009 se llevaron a cabo las siguientes operaciones:</i></p> <ul style="list-style-type: none"> <li>- <i>“RAPIÑA” en la cual se procedió a la incautación de 70 aves rapaces, se practicaron detenciones y se intervino abundante documentación presuntamente falsificada de CITES en diferentes puntos de la geografía española</i></li> <li>- <i>“LOBEZNO” en la cual se practicaron detenciones e imputaciones por delitos contra la fauna y falsedad documental, con intervención de 18 especímenes.</i></li> </ul> <p><i>En el año 2010 se llevaron a cabo, entre otras, las siguientes operaciones:</i></p> <ul style="list-style-type: none"> <li>- <i>“SILVESTRE” en la cual se realizaron detenciones por supuesto delito de contrabando y tráfico de especies amenazadas con intervención de 2.636 especímenes.</i></li> <li>- <i>Cabe destacar la operación llevada a cabo entre los días 20 y 23 de diciembre de 2010 sobre el control del comercio ilegal de especies amenazadas amparadas por el Convenio CITES, en diversas ciudades españolas procediéndose al control de 2.330 vehículos y 10.302 ciudadanos, interviniéndose 4 especímenes y levantándose 2 actas de descubrimiento.</i></li> </ul>			
2	¿Se ha impuesto alguna medida administrativa (p.ej., multas, prohibiciones, suspensiones) para las violaciones relacionadas con la CITES?		
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	<p>En caso afirmativo, ¿Cuántas y para qué tipo de violaciones? Si es posible, adjunte pormenores.</p> <p><i>Se han producido varias sanciones, la mayoría infracciones administrativas de contrabando, algunas tramitadas como delito de contrabando, cuyos detalles se facilitan en anexo adjunto.</i></p> <p><i>El número de expedientes abiertos por infracción administrativa de contrabando en el año 2009 fue de 252 y, en el año 2010, de 262. De ellos 142 expedientes en el año 2009 y 136 en el año 2010, fueron abiertos por pretender introducir especímenes CITES en España sin la debida documentación CITES. El resto fueron expedientes abiertos por infracciones observadas en el comercio interior, tal como la puesta a la venta de especímenes sin acreditar debidamente su origen legal.</i></p> <p><i>El número de actuaciones judiciales en el 2009 fue de 10, y el mismo número en el año 2010; unas por supuesta infracción al Código Penal, y otras, como supuesto delito de contrabando.</i></p>			
4	<p>¿Se ha efectuado alguna incautación, confiscación o decomiso importante de especímenes CITES?</p> <p><i>Se han realizado incautaciones múltiples de tortugas del género Testudo graeca originarias del norte de Africa fundamentalmente por ser introducidas de forma oculta por particulares en los medios de transporte, así como en recintos de criadores. (29, 41 y 94 unidades en los tres casos más relevantes).</i></p> <p><i>En el mercado interior, la operación de mayor relevancia conllevó la intervención de 2.636 especímenes muertos de aves, mamíferos, peces, reptiles, insectos, así como nidos, pieles y cráneos, como consecuencia de una intervención previa de ejemplares no declarados en la Aduana de introducción.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>Si se dispone de información:</p> <p><input type="checkbox"/> Decomisos/confiscaciones importantes</p> <p><input checked="" type="checkbox"/> Total de decomisos/confiscaciones</p> <p>En la medida de lo posible, especifique por grupo de especies o adjunte pormenores.</p>	<p>Número</p> <p><i>El nº de EAC abiertos en 2009 fue de 335 y en 2010 de 265</i></p> <p><i>En el año 2009 se formularon 262 denuncias penales/ administrativas, interviniéndose un total de 2.227 especímenes.</i></p> <p><i>En el año 2010 se formularon 272 denuncias penales/ administrativas interviniéndose un total de 815 especímenes</i></p>		
6	<p>¿Se ha entablado alguna acción penal por violaciones importantes relacionadas con la CITES?</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

7	En caso afirmativo, ¿Cuántas y por qué tipo de violaciones? Si es posible, adjunte pormenores en Anexo.  <i>En anexo adjunto se detallan las actuaciones en materia penal en relación con la aplicación del Convenio CITES en los años 2009 y 2010.</i>			
8	¿Se ha tomado alguna otra medida judicial por violaciones relacionadas con la CITES?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	En caso afirmativo, ¿Qué tipo de violaciones y cuáles fueron los resultados? Si es posible, adjunte pormenores en Anexo.  <i>Se han realizado un total de 20 acciones judiciales, unas por supuesta infracción al Código Penal y otras por supuesto delito de contrabando, cuyos resultados al día de hoy no se conocen.</i>  <i>- Como consecuencia de un anuncio de venta en el 2007, y en el 2008 de restos animales, a través de Teletexto y en prensa, y tras un registro domiciliario, se aprehendieron restos animales de 22 especies distintas (colmillos de morsa, colmillos de elefante, colmillos de hipopótamo, cabeza de tortuga marina, caparazones de tortuga marina, cráneos de oso, lobo, jaguar, tigre, león, concha marina, piel de serpiente, diente de cocodrilo, garra de buitre). Quedó probada la intención de ofrecer a la venta estos artículos careciendo de la debida documentación de autorización o del origen legal de los mismos. La sentencia, consistió en sanción económica, prohibición del ejercicio de la caza durante 3 años y medio, además del decomiso de los especímenes intervenidos.</i>  <i>-Intervención de colmillos de marfil transportados en un vehiculo particular, actuación que ha llevado a una sentencia de prisión, multa y al comiso de los colmillos.</i>			
10	¿De qué modo se dispuso normalmente de los especímenes confiscados?	Marque si se aplica		
	- Devolución al país de exportación		<input type="checkbox"/>	
	- Zoos públicos o jardines botánicos		<input checked="" type="checkbox"/>	
	- Centros de rescate elegidos		<input type="checkbox"/>	
	- Instalaciones privadas aprobadas		<input checked="" type="checkbox"/>	
	- Eutanasia		<input type="checkbox"/>	
	- Otro (especifique)		<input type="checkbox"/>	
	Comentarios:			
11	¿Se ha proporcionado información detallada a la Secretaría sobre casos significativos de comercio ilegal (p.ej., mediante un ECOMENSAJE u otros medios), o información sobre comerciantes ilegales condenados o delincuentes reincidentes?	Sí	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No se aplica	<input checked="" type="checkbox"/>	
		Sin información	<input type="checkbox"/>	
	Comentarios:			
12	¿Se han realizado actividades conjuntas de observancia con otros países (p.ej., intercambio de información confidencial, apoyo técnico, asistencia en la investigación, operación mixta, etc.)?	Sí	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Sin información	<input type="checkbox"/>	

13	En caso afirmativo, describa someramente: <i>Información entre Autoridades Administrativas CITES sobre incautaciones a ciudadanos o empresas de otros países.</i> <i>En octubre de 2010 se ha realizado un taller sobre el Comercio Internacional de Especies Amenazadas de la Fauna y Flora Silvestre (CITES) con la Gendarmería Nacional Republicana de Portugal.</i>		
14	¿Se ha ofrecido algún incentivo a las comunidades locales para que participen en la observancia de la legislación CITES y que haya resultado en la detención y condena de los culpables?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
15	En caso afirmativo, describa:		
16	¿Se ha realizado algún examen o evaluación de la observancia relacionada con la CITES?  Comentarios:	Sí No No se aplica Sin información	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
17	Proporcione información sobre cualquier medida adicional que se haya adoptado		

#### D. Medidas administrativas

##### D1 Autoridad Administrativa (AA)

1	¿Ha habido algún cambio en la designación o información relativa a la AA que aún no se ha reflejado en la Guía CITES?	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	En caso afirmativo, comunique esos cambios en este apartado. <i>Los datos del órgano de gestión principal han sido modificados recientemente</i> <i>Mº de Economía y Competitividad</i> <i>Secretaría de Estado de Comercio</i> <i>Subdirección General de Inspección, Certificación y Asistencia</i> <i>Técnica del Comercio Exterior</i> <i>Paseo de la Castellana, 162 - 6ª planta</i> <i>E-28071 MADRID</i>		
3	¿Hay más de una AA en su país y se ha designado una AA principal?	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

4	<p>En caso afirmativo, indique el nombre de esa AA y si se deja constancia de ello en la Guía CITES.</p> <p><u>La Autoridad Administrativa CITES principal es:</u></p> <p><i>Mº de Industria, Turismo y Comercio (modificada recientemente) .</i>  <b>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</b>  <i>órgano de Gestión Principal con arreglo a la letra a) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i>  <i>Secretaría de Estado de Comercio</i>  <i>Subdirección General de Inspección, Certificación y Asistencia Técnica de Comercio Exterior</i>  <i>Paseo de la Castellana, nº 162, 6ª planta</i>  <i>28071 Madrid</i>  <i>España</i>  <i>Teléfono: + 34 91 349 37 71</i>  <i>Telefax: + 34 91 349 37 77/40</i>  <i>Correo electrónico: <a href="mailto:cites.sccc@comercio.mityc.es">cites.sccc@comercio.mityc.es</a></i></p> <p><u>La Autoridad Administrativa CITES adicional es:</u></p> <p><i>Ministerio de Economía y Hacienda (Modificada recientemente)</i>  <i>Departamento de Aduanas e Impuestos Especiales</i>  <b>AUTORIDAD ADMINISTRATIVA CITES – ESPAÑA</b>  <i>Órgano de Gestión adicional con arreglo a la letra b) del apartado 1 del artículo 13 del Reglamento (CE) nº 338/97</i>  <i>Subdirección General de Gestión Aduanera</i>  <i>Avda de Llano Castellano, nº 17</i>  <i>28071 Madrid</i>  <i>Teléfono: + 34 91 728 94 50</i>  <i>Telefax: + 34 91 358 47 21</i>  <i>Correo electrónico: <a href="mailto:gesadu@aeat.es">gesadu@aeat.es</a> y <a href="mailto:aduanas-ie@aeat.es">aduanas-ie@aeat.es</a></i></p>
5	<p>¿Cuántas personas trabajan en la AA?</p> <p><i>24 personas, de ellas 7 trabajan en los servicios centrales de la Autoridad Administrativa CITES principal (Subdirección General de Inspección, Certificación y Asistencia Técnica del Comercio Exterior) y 17 trabajan en las oficinas periféricas (otras autoridades competentes para emitir permisos).</i></p>

6	¿Puede estimar el porcentaje de tiempo que han dedicado a cuestiones relacionadas con la CITES?  En caso afirmativo, haga una estimación. <i>En los servicios centrales de la Autoridad Administrativa CITES principal, se dedica el 100% del tiempo. En los servicios periféricos el porcentaje es variable.</i>	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
7	¿Cuáles son los conocimientos técnicos del personal de la AA? – Administración <input checked="" type="checkbox"/> – Biología <input checked="" type="checkbox"/> – Economía/comercio <input checked="" type="checkbox"/> – Legislación/política <input checked="" type="checkbox"/> – Otro (especifique) <i>Ingeniería Agrónoma, Ingeniería Técnica Agrícola, Química y Veterinaria.</i> <input checked="" type="checkbox"/> – Sin información <input type="checkbox"/>	Marque si se aplica
8	¿Las AA(s) han emprendido o apoyado actividades de investigación relacionadas con especies CITES o cuestiones técnicas (p.ej. etiquetas, precintos, identificación de especies) distintas a las enunciadas en D2(8) y D2(9)?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
9	En caso afirmativo, indique el nombre de las especies y proporcione pormenores sobre el tipo de investigación. Distintas especies de rapaces y especies pesqueras	
10	Proporcione información sobre cualquier medida adicional que se haya adoptado Identificación molecular	

## D2 Autoridad Científica (AC)

1	¿Ha habido algún cambio en la información relativa a la AC que aún no se ha reflejado en la Guía CITES?	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>
2	En caso afirmativo, comuníquese esos cambios en este apartado.	
3	¿Se ha designado una AC independiente de la AA?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
4	¿Cuál es la estructura de la AC? – Institución gubernamental <input checked="" type="checkbox"/> – Institución académica o de investigación <input type="checkbox"/> – Comité permanente <input type="checkbox"/> – Grupo de individuos con cierta experiencia <input type="checkbox"/> – Otro (especifique) <input type="checkbox"/>	Marque si se aplica
5	¿Cuántas personas en la AC trabajan en cuestiones relacionadas con la CITES?	4

6	¿Puede estimar el porcentaje de tiempo que ha dedicado a cuestiones relacionadas con la CITES?	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	<i>Un 75% del tiempo</i>		
7	¿Cuáles son los conocimientos técnicos del personal de la AC?	Marque si se aplica	
	– Botánica		<input checked="" type="checkbox"/>
	– Ecología		<input checked="" type="checkbox"/>
	– Pesca		<input type="checkbox"/>
	– Bosques		<input checked="" type="checkbox"/>
	– Protección		<input type="checkbox"/>
	– Zoología		<input checked="" type="checkbox"/>
	– Otro (especifique)		<input type="checkbox"/>
	– Sin información		<input type="checkbox"/>
8	¿Ha emprendido su AC alguna actividad de investigación en relación con las especies CITES?	Sí No Sin información	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
9	En caso afirmativo, indique el nombre de la especie y el tipo de investigación.		
	Nombre de la especie	Poblaciones	Distribución
	Extracción	Comercio legal	Comercio ilegal
	Otro (especifique)		
	1		
	2		
	3		
	etc.		
			Sin información <input type="checkbox"/>
10	¿Se ha presentado algún proyecto de propuesta de investigación científica a la Secretaría con arreglo a la Resolución Conf. 12.2?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Proporcione información sobre cualquier medida adicional que se haya adoptado		



### D3 Autoridades de observancia

1	¿Se ha informado a la Secretaría acerca de autoridades de observancia que hayan sido designadas para recibir información confidencial sobre observancia relacionada con la CITES?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>
2	En caso negativo, désígnelas en este apartado (con la dirección, teléfono, fax y correo electrónico).	
3	¿Hay una unidad especializada responsable de la observancia relacionada con la CITES (p.ej. en el departamento de vida silvestre, aduanas, policía, oficina del fiscal)?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> En estudio <input type="checkbox"/> Sin información <input type="checkbox"/>
4	En caso afirmativo, indique el nombre del organismo principal de observancia: <i>Servicio de Protección de la Naturaleza (SEPRONA) de la Guardia Civil.</i>	
5	Proporcione información sobre cualquier medida adicional que se haya adoptado	

### D4 Comunicación, información, gestión e intercambio

1	¿En qué medida está informatizada la información CITES?	Marque si se aplica
	– Control y presentación de datos sobre el comercio legal	<input checked="" type="checkbox"/>
	– Control y presentación de datos sobre el comercio ilegal	<input checked="" type="checkbox"/>
	– Expedición de permisos	<input checked="" type="checkbox"/>
	– En modo alguno	<input type="checkbox"/>
	– Otro (especifique): <i>Bases de datos sobre especies, sus grados de protección, sus áreas de distribución, etc.</i>	<input checked="" type="checkbox"/>
2	¿Tienen las autoridades siguientes acceso a Internet?	Marque si se aplica
	Autoridad	Sí, acceso continuo y sin restricciones Sí, pero solo mediante una conexión conmutada Sí, pero solo a través de una oficina diferente Solo algunas oficinas En modo alguno Proporcione información, según proceda
	Autoridad Administrativa	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Autoridad Científica	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Autoridad de observancia	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

3	¿Hay un sistema de información electrónico que le proporciona información sobre las especies CITES?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/> Sin información <input type="checkbox"/>																				
4	En caso afirmativo, proporciona información sobre: – Legislación (nacional, regional o internacional) <input checked="" type="checkbox"/> – Situación de la conservación (nacional, regional, internacional) <input type="checkbox"/> – Otro (especifique): <i>áreas de distribución, nivel de protección, restricciones de importación adoptadas por la Unión Europea (apartado 6 del artículo 4 del Reglamento (CE) nº 338/97), informes negativos o positivos del Grupo de Revisión Científica y de la Autoridad Científica CITES española (artículos 4.1.a)i) y 4.2.a) del Reglamento (CE) nº 338/97, etc.</i> <input checked="" type="checkbox"/>	Marque si se aplica																				
5	¿Está disponible a través de Internet?  Proporcione el URL:	Sí <input type="checkbox"/> No <input checked="" type="checkbox"/> No se aplica <input type="checkbox"/> Sin información <input type="checkbox"/>																				
6	¿Tienen las autoridades mencionadas a continuación acceso a las siguientes publicaciones?	Marque si se aplica																				
	<table border="1"> <thead> <tr> <th>Publicación</th> <th>Autoridad Administrativa</th> <th>Autoridad Científica</th> <th>Autoridad de observancia</th> </tr> </thead> <tbody> <tr> <td><i>Lista de Especies CITES 2003 (libro) y edición de 2009</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Lista de Especies CITES y Apéndices Anotados 2003 (CD-ROM) y edición de 2009</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Manual de Identificación</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Manual CITES</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publicación	Autoridad Administrativa	Autoridad Científica	Autoridad de observancia	<i>Lista de Especies CITES 2003 (libro) y edición de 2009</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Lista de Especies CITES y Apéndices Anotados 2003 (CD-ROM) y edición de 2009</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Manual de Identificación</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Manual CITES</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Publicación	Autoridad Administrativa	Autoridad Científica	Autoridad de observancia																			
<i>Lista de Especies CITES 2003 (libro) y edición de 2009</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
<i>Lista de Especies CITES y Apéndices Anotados 2003 (CD-ROM) y edición de 2009</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
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<i>Manual CITES</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
7	En caso negativo, ¿qué problemas se han encontrado para acceder a esta información?																					
8	¿Las autoridades de observancia han comunicado a la Autoridad Administrativa información sobre? – Mortalidad en el transporte <input type="checkbox"/> – Decomisos y confiscaciones <input checked="" type="checkbox"/> – Discrepancias entre el número de artículos consignados en los permisos y los realmente comercializados <input type="checkbox"/>  <i>Comentarios: la información sobre la mortalidad en el transporte en los intercambios con países terceros es proporcionada por la Autoridad Administrativa CITES en sus informes Anuales, así como las cantidades realmente comercializadas. Este dato es facilitado por las Autoridades CITES que controlan los envíos y/o son consignados por las Autoridades Aduaneras fronterizas en los permisos CITES.</i>	Marque si se aplica																				
9	¿Dispone el Gobierno de un Sitio web con información sobre la CITES y sus requisitos?	Sí <input checked="" type="checkbox"/> No <input type="checkbox"/>																				

		Sin información	<input type="checkbox"/>
	En caso afirmativo, indique el URL: <a href="http://www.cites.es">http://www.cites.es</a>		
10	¿Han participado las autoridades CITES en alguna de las siguientes actividades para lograr que el público en general tenga un acceso más fácil y una mayor comprensión de los requisitos de la Convención?	Marque si se aplica	
	– Comunicados de prensa/conferencias		<input checked="" type="checkbox"/>
	– Artículos en periódicos, entrevistas de radio/televisión		<input checked="" type="checkbox"/>
	– Folletos, prospectos		<input type="checkbox"/>
	- Presentaciones Se han realizado dos presentaciones (una en 2009 y otra en 2010) destinadas a los gestores de parques zoológicos en relación con la aplicación de CITES en este campo particular		<input checked="" type="checkbox"/>
	– Exhibiciones		<input type="checkbox"/>
	– Información en los puestos fronterizos		<input checked="" type="checkbox"/>
	– Teléfono rojo		<input type="checkbox"/>
	– Otro (especifique)		<input type="checkbox"/>
	Adjunte copias sobre cualquier punto.		
11	Proporcione información sobre cualquier medida adicional que se haya adoptado		

#### D5 Procedimientos en materia de permisos y registro

1	¿Ha comunicado previamente a la Secretaría algún cambio en el formato de los permisos o la designación o la firma de los oficiales autorizados para firmar los permisos/certificados CITES?	Sí	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No se aplica	<input type="checkbox"/>	
		Sin información	<input type="checkbox"/>	
	En caso negativo, proporcione información:			
	Cambios en el formato del permiso:			
	Cambios en la designación o firmas de los oficiales relevantes:			
2	¿Ha desarrollado su país procedimientos por escrito para lo siguiente?	Marque si se aplica		
		Sí	No	Sin información
	Expedición/aceptación de permisos	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registro de comerciantes	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registro de procedimientos	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Nota aclaratoria: en el Reglamento (CE) nº 338/97 del Consejo, de 9 de diciembre de 1996, relativo a la protección de especies de la fauna y flora silvestres mediante el control de su comercio, y en el Reglamento (CE) nº 865/2006 de la Comisión, de 4 de mayo de 2006, por el que se establecen</i>			

	<i>disposiciones de aplicación del Reglamento anterior, están recogidos los procedimientos para la expedición y aceptación de permisos y registro de determinados establecimientos. Además, hemos desarrollado varios procedimientos escritos internos como el control de la cría en cautividad y la reproducción artificial.</i>					
3	Indique cuantos documentos CITES se expidieron y denegaron en el periodo de dos años (Observe que algunas Partes comunican su comercio efectivo en el informe anual. Esta cuestión se refiere a los documentos expedidos).					
	Año 1	Importación o introducción procedente del mar	Exportación	Reexportación	Otro	Comentarios
	¿Cuántos documentos se expidieron?	2.531	918	1.334	219	
	¿Cuántas solicitudes se denegaron debido a omisiones graves o información errónea?	0	0	0	0	<i>Nº de denegaciones debidas a otras causas: Importación: 61</i>
	Año 2					
	¿Cuántos documentos se expidieron?	2.538	1.220	1.422	243	
	¿Cuántas solicitudes se denegaron debido a omisiones graves o información errónea?	0	0	0	0	<i>Nº de denegaciones debidas a otras causas: Importación: 57 Exportación: 5</i>
4	¿Se canceló o reemplazó algún documento CITES que había sido previamente expedido debido a omisiones graves o información errónea?				Sí	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					Sin información	<input type="checkbox"/>
5	En caso afirmativo, indique las razones. <i>Se acordó con la Autoridad Administrativa del país de destino la emisión de un certificado de reexportación retroactivo debido a un error en la información reflejada no atribuible al operador.</i>					

6	Indique las razones por las que rechazó documentos CITES de otros países.	Marque si se aplica		
Razón		Sí	No	Sin información
Violaciones técnicas		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sospecha de fraude		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Base insuficiente para justificar que las extracciones no eran perjudiciales		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Base insuficiente para dictaminar la adquisición legal		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Otro (especifique)		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b><u>1.- POR RAZÓN DE LA LEGISLACION MÁS</u></b>				
<b><u>ESTRICTA DE LA UE</u></b>				
<ul style="list-style-type: none"> <li>- <i>Restricciones de importación adoptadas por la Unión Europea, como dictámenes desfavorables emitidos en relación con el artículo 4.2.a) del Reglamento (CE) nº 338/97 o restricciones de importación adoptados en aplicación de lo dispuesto en el apartado 6 del artículo 4 del Reglamento citado.</i></li> <li>- <i>No haber solicitado antes de la llegada de la mercancía el permiso de importación que exige la normativa comunitaria para importar especímenes de especies incluidas en los anexos A o B del Reglamento (CE) nº 338/97</i></li> <li>- <i>No ser posible autorizar el permiso de importación para un espécimen silvestre o nacido en cautividad (fuentes W o F) de una especie incluida en el anexo A del Reglamento (CE) nº 338/97.</i></li> </ul>				
<b><u>2.-POR NO VALIDEZ DEL DOCUMENTO</u></b>				
<b><u>EXTRANJERO</u></b>				
<ul style="list-style-type: none"> <li>- <i>Es confirmado que el permiso CITES de origen aportado para una solicitud, es falso</i></li> <li>- <i>El permiso de origen contiene enmiendas sin validar con sello y firma de la Autoridad emisora</i></li> <li>- <i>Faltan datos esenciales en el permiso, como información sobre el consumo de la cuota de exportación, etc</i></li> </ul>				
<b><u>3. POR INFRACCIONES A LA IMPORTACIÓN</u></b>				
<ul style="list-style-type: none"> <li>- <i>No aceptación de un permiso de exportación que ha sido emitido después de que se exportara la mercancía, no tratándose de un permiso de exportación retroactivo.</i></li> </ul>				
<b><u>4.- POR MARCADO</u></b>				
<ul style="list-style-type: none"> <li>- <i>Los especímenes carecen de marcas cuando la marca es exigible por una Resolución de la Conferencia de las Partes</i></li> <li>- <i>La marca del espécimen no coincide con las normas de marcado que exige la Resolución de la</i></li> </ul>				

<p style="text-align: center;"><i>Conferencia de las Partes correspondiente</i></p> <p><b><u>5.- EN RAZON AL PAIS INVOLUCRADO EN EL COMERCIO</u></b></p> <ul style="list-style-type: none"> <li>- <i>El país de destino que figura en el permiso de exportación no es España</i></li> </ul> <p><b><u>6.- POR MOTIVO DE LOS CUPOS DE EXPORTACIÓN</u></b></p> <ul style="list-style-type: none"> <li>- <i>Empleo de cupos de exportación del año anterior, sin que el país de origen haya comunicado a Secretaría CITES que en ese año natural van a emplear remanentes del cupo del año anterior</i></li> <li>- <i>No aceptación del permiso de exportación por no reflejarse en él los datos relativos al uso del cupo de exportación</i></li> <li>- <i>El consumo del cupo anual consignado en el permiso coincide con el consumo hecho constar en otro permiso</i></li> <li>- <i>El año de captura que figura en la etiqueta del espécimen no coincide con el año del cupo de exportación reflejada en el permiso CITES</i></li> <li>- <i>El cupo de exportación reflejado en el permiso no coincide con el cupo de exportación comunicado por ese país</i></li> </ul> <p><b><u>7.- OTROS</u></b></p> <ul style="list-style-type: none"> <li>- <i>Las características del importador permiten deducir un posible uso comercial de un espécimen de una especie cuyo comercio está prohibido.</i></li> <li>- <i>Caducidad del permiso extranjero acaecida antes de la llegada de la mercancía</i></li> <li>- <i>Caducidad del permiso extranjero acaecida antes de que la Autoridad Administrativa del país emisor conteste a ciertas cuestiones planteadas para poder determinar la idoneidad de la operación o la validez del permiso</i></li> <li>- <i>El permiso de exportación CITES extranjero tiene un periodo de validez superior a los 6 meses permitidos</i></li> </ul>		
<p>7</p> <p>¿Se considera la captura y/o los cupos de exportación como un instrumento de gestión en el procedimiento de expedición de permisos?</p> <p>Comentarios.</p> <p><i>La aprobación de cupos de exportación es una de las herramientas básicas incluidas en los Planes de Gestión de las especies para una adecuada gestión de los recursos naturales propios basados en la sostenibilidad del recurso</i></p>		<p>Sí <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Sin información <input type="checkbox"/></p>

8	¿Cuántas veces se ha solicitado asesoramiento a la Autoridad Científica? Se han solicitado 340 dictámenes
9	¿Ha cobrado derechos la AA por la expedición de permisos, registro o actividades relacionadas con la CITES? <span style="float: right;">Marque si se aplica</span> – La expedición de documentos CITES <input checked="" type="checkbox"/> – La concesión de licencias o el registro de establecimientos que producen especies CITES <input type="checkbox"/> – La captura de especies incluidas en la CITES <input type="checkbox"/> – La utilización de las especies incluidas en la CITES <input type="checkbox"/> – La asignación de cupos para las especies incluidas en la CITES <input type="checkbox"/> – La importación de las especies incluidas en la CITES <input type="checkbox"/> <i>Se devenga tasa por la gestión de solicitudes de permisos de importación que exige la Unión Europea para poder importar especímenes de especies incluidas en los anexos A y B del Reglamento (CE) nº 338/97, así como por la gestión de las solicitudes permisos de exportación o certificados de reexportación para exportar especímenes de especies incluidas en los anexos A, B y C del Reglamento (CE) nº 338/97.</i> <i>También se devenga tasa al ser solicitado un Certificado de uso comunitario, de uso exclusivo dentro de la Unión Europea, a efectos de lo dispuesto en el apartado 3 del artículo 8 del Reglamento (CE) nº 338/97 y en el apartado 2 del artículo 9 del mismo Reglamento.</i> – Otro (especifique) <input type="checkbox"/>
10	En caso afirmativo, indique el monto de esos derechos. <i>La tasa es de:</i> - 20 € por permiso de importación, exportación o certificado de reexportación cuando estos contienen hasta 4 especies, que se incrementa en 5 euros más por especie adicional. - 20 € por Certificado de uso comunitario. - 30 € por Certificado de propiedad privada hasta 4 especies, que se incrementará en 5 € más por especie adicional. - 10 € por Certificado de exhibición itinerante. <i>Quedan exentos del pago de la tasa los organismos instituciones oficiales pertenecientes a cualquiera de las Administraciones Públicas.</i>
11	¿Se han utilizado los ingresos para aplicar la CITES o en pro de la conservación de la vida silvestre? <span style="float: right;">Marque si se aplica</span> – Completamente: <input type="checkbox"/> – Parcialmente: <input type="checkbox"/> – En modo alguno: <input checked="" type="checkbox"/> – Sin importancia: <input type="checkbox"/>

	Comentarios: <i>La legislación en materia presupuestaria no permite la utilización de los ingresos en programas específicos. Sí se utiliza de forma indirecta la generación de ingresos por esta vía para la obtención de recursos económicos para necesidades concretas en el mismo ámbito CITES</i>
12	Proporcione información sobre cualquier medida adicional que se haya adoptado

**D6 Fomento de capacidad**

1	¿Se ha realizado alguna de estas actividades para fomentar la eficacia de la aplicación de la CITES a escala nacional?	Marque si se aplica
	Aumentar el presupuesto para realizar actividades	<input checked="" type="checkbox"/> Mejorar las redes nacionales <input type="checkbox"/>
	Contratar más personal	<input type="checkbox"/> Adquirir equipo técnico para el control/observancia <input checked="" type="checkbox"/>
	Diseñar instrumentos de aplicación	<input type="checkbox"/> Informatizar la información <input type="checkbox"/>
	– Otro (especifique)	<input type="checkbox"/>



2	<p>¿Han recibido las autoridades CITES alguna de las siguientes actividades de fomento de capacidad impartidas por fuentes externas o se han beneficiado de ellas?</p>						
<p>Marque las casillas para indicar el grupo beneficiado y la actividad.</p>	<table border="1"> <thead> <tr> <th data-bbox="715 443 818 678">Asesoramiento u orientación oral o escrita</th> <th data-bbox="842 443 890 678">Asistencia técnica</th> <th data-bbox="914 409 946 678">Asistencia financiera</th> <th data-bbox="986 533 1018 678">Formación</th> <th data-bbox="1058 443 1098 678">Otro (especifique)</th> </tr> </thead> </table>	Asesoramiento u orientación oral o escrita	Asistencia técnica	Asistencia financiera	Formación	Otro (especifique)	<p>¿Cuáles fueron las fuentes externas?</p>
Asesoramiento u orientación oral o escrita	Asistencia técnica	Asistencia financiera	Formación	Otro (especifique)			
<p>Grupo elegido</p>							
<p>Personal de la AA</p>	<table border="1"> <tr> <td data-bbox="746 689 778 723"><input checked="" type="checkbox"/></td> <td data-bbox="842 689 874 723"><input type="checkbox"/></td> <td data-bbox="914 689 946 723"><input type="checkbox"/></td> <td data-bbox="986 689 1018 723"><input checked="" type="checkbox"/></td> <td data-bbox="1058 689 1090 723"><input type="checkbox"/></td> </tr> </table>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<p><i>Autoridades de diversos países colaborando con el VIII y IX Master en Gestión, Acceso y Conservación de Especies en Comercio: el marco internacional, celebrados del 13 de abril al 26 de junio del 2009 y del 5 de abril al 25 de junio de 2010 organizados por la Universidad Internacional Antonio Machado en Baeza, Jaen, España.</i></p>
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
<p>Personal de la AC</p>	<table border="1"> <tr> <td data-bbox="746 1317 778 1350"><input type="checkbox"/></td> <td data-bbox="842 1317 874 1350"><input type="checkbox"/></td> <td data-bbox="914 1317 946 1350"><input type="checkbox"/></td> <td data-bbox="986 1317 1018 1350"><input type="checkbox"/></td> <td data-bbox="1058 1317 1090 1350"><input type="checkbox"/></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			

Personal de las autoridades de observancia

- *Diversos cursos(6) organizados por la Guardia Civil destinados a formar:*

*Oficiales especializados en delitos medioambientales y otras infracciones; Jefes de equipo; Agentes de campo.*

Comerciantes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>Diversas charlas informativas sobre el funcionamiento de la CITES y la obtención de documentos</i>
ONG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Público	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Otro (especifique)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	-

3	¿Han ofrecido las autoridades CITES alguna de las actividades de fomento de capacidad siguientes?  Marque las casillas para indicar el grupo beneficiado y la actividad.	Asesoramiento u orientación oral o escrita	Asistencia técnica	Asistencia financiera	Formación	Otro (especifique)	Detalles
	<p>Grupo elegido</p> <p>Personal de la AA</p> <p>Personal de la AC</p> <p>Personal de las autoridades de observancia</p>	<input checked="" type="checkbox"/>   <input type="checkbox"/> <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>   <input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/>   <input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/> <input type="checkbox"/>	<p><i>VIII y IX Master en Gestión, Acceso y Conservación de Especies en Comercio: Marco Internacional</i></p> <p><i>Cursos CITES para el SEPRONA</i></p> <ul style="list-style-type: none"> <li>- Diversos cursos (8) destinados a las policías municipales del área medioambiental</li> <li>- Diversos cursos (3) destinados a agentes medioambientales de las administraciones regionales</li> </ul>
	<p>Comerciantes</p> <p>ONG</p> <p>Público</p>	<input checked="" type="checkbox"/>   <input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/> <input type="checkbox"/>	<p><i>Jornadas en las que se incluyen formación CITES abiertos a comerciantes y ONGs con participación de los mismos</i></p>
	<p>Otras partes o reuniones internacionales</p> <p>Otro (especifique)</p>	<input checked="" type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input checked="" type="checkbox"/>  <input type="checkbox"/>	<input checked="" type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<p><i>VIII y IX Master en Gestión, Acceso y Conservación de Especies en Comercio: Marco Internacional</i></p>
4	Proporcione información sobre cualquier medida adicional que se haya adoptado						

**D7 Iniciativas de colaboración**

1	¿Hay un comité interorganismos o intersectorial sobre la CITES?	Sí <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Sin información <input type="checkbox"/>				
2	En caso afirmativo, ¿qué organismos están representados y cuántas veces se reúnen?							
3	En caso negativo, indique la frecuencia de las reuniones o consultas celebradas por la Autoridad Administrativa para garantizar la coordinación entre las autoridades CITES (p.ej. otras AA, AC, aduanas, policía, otras):							
		Diarias	Semanales	Men-suales	Anuales	Nin-guna	Sin información	Otro (especifíquelo)
	Reuniones	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	5
	Consultas	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Se han desplegado esfuerzos a escala nacional para colaborar con:	Marque si se aplica		Información, si se dispone de ella				
	Organismos de desarrollo y comercio	<input type="checkbox"/>						
	Autoridades provinciales, estatales o territoriales	<input checked="" type="checkbox"/>						
	Autoridades o comunidades locales	<input checked="" type="checkbox"/>						
	Poblaciones indígenas	<input type="checkbox"/>						
	Asociaciones comerciales o de otro sector privado	<input checked="" type="checkbox"/>		<i>Reuniones informativas y de colaboración</i>				
	ONG	<input checked="" type="checkbox"/>						
	Otro (especifique)	<input type="checkbox"/>						
5	¿Se ha concertado algún Memorando de Entendimiento u otros acuerdos oficiales en favor de la cooperación institucional relacionada con la CITES entre la Autoridad Administrativa y los siguientes organismos?	Marque si se aplica						
	Autoridad Científica	<input type="checkbox"/>						
	Aduanas	<input type="checkbox"/>						
	Policía	<input type="checkbox"/>						
	Otras autoridades fronterizas (especifique)	<input type="checkbox"/>						
	Otros organismos gubernamentales	<input type="checkbox"/>						
	Organismos del sector privado	<input type="checkbox"/>						
	ONG	<input type="checkbox"/>						
	Otro (especifique)	<input type="checkbox"/>						
6	¿Ha participado el personal de su gobierno en alguna actividad regional relacionada con la CITES?	Marque si se aplica						
	Cursillos	<input type="checkbox"/>						
	Reuniones	<input type="checkbox"/>						
	Otro (especifique)	<input checked="" type="checkbox"/>						
	<i>Se realiza un taller sobre Convenio Internacional de</i>							

<i>Especies Amenazadas de la Fauna y Flora Silvestres (CITES) con la Gendarmería Nacional Republicana de Portugal con asistencia de las autoridades administrativas y de observancia de ambos países</i>			
7	¿Se han desplegado esfuerzos para alentar a algún Estado no Parte a adherirse a la Convención?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	En caso afirmativo, ¿cuál y en qué medida?		
9	¿Se ha prestado asistencia técnica o financiera a otro país en relación con la CITES?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	En caso afirmativo, ¿cuál y qué tipo de asistencia?		
11	¿Se han proporcionado datos para incluirlos en el Manual de Identificación de la CITES?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	En caso afirmativo, describa someramente.		
13	¿Se han tomado medidas para lograr la coordinación y reducir la duplicación de actividades entre las autoridades nacionales CITES y otros acuerdos ambientales multilaterales (p.ej., las convenciones relacionadas con la diversidad biológica)?	Sí No Sin información	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
14	En caso afirmativo, describa someramente		
15	Proporcione información sobre cualquier medida adicional que se haya adoptado		

### **D8 Esferas de actividad futura**

1	¿Se necesita alguna de las actividades siguientes para reforzar la eficacia de la aplicación de la CITES a escala nacional y cuál es el respectivo nivel de prioridad?			
	Actividad	Alta	Media	Baja
	Aumentar el presupuesto para realizar actividades	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Contratar más personal	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Diseñar instrumentos de aplicación	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Mejorar las redes nacionales	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Adquirir equipo técnico para el control/observancia	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Informatizar la información	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Otro (especifique)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	¿Se ha encontrado alguna dificultad para aplicar determinadas resoluciones o decisiones aprobadas por la Conferencia de las Partes?	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	

3	En caso afirmativo, ¿cuáles y cuál ha sido la principal dificultad?		
4	¿Se plantea alguna dificultad en su país para aplicar la Convención que requiera atención o asistencia?	Sí	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Sin información	<input type="checkbox"/>
5	En caso afirmativo, describa la dificultad y el tipo de atención o asistencia necesaria. <i>Se mantiene la necesidad de formación en especies maderables. Formación específica para una correcta aplicación de las exenciones en materia de especies vegetales.</i>		
6	¿Se han identificado medidas, procedimientos o mecanismos en la Convención que deberían revisarse o simplificarse?	Sí	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Sin información	<input checked="" type="checkbox"/>
7	En caso afirmativo, describa someramente.		
8	Proporcione información sobre cualquier medida adicional que se haya adoptado		

### E. Intercambio de información general

Formule comentarios adicionales, inclusive sobre este formato.

Muchas gracias por completar este formulario. No olvide incluir los anexos relevantes a que se hace referencia en el informe. Para facilitar su labor, se repiten a continuación:

Cuestión	Punto		
B4	Copia del texto completo de la legislación CITES relevante	Se adjunta	<input checked="" type="checkbox"/>
		No disponible	<input type="checkbox"/>
		No es relevante	<input type="checkbox"/>
C3	Detalles sobre las violaciones y las medidas administrativas impuestas	Se adjunta	<input type="checkbox"/>
		No disponible	<input checked="" type="checkbox"/>
		No es relevante	<input type="checkbox"/>
C5	Detalles sobre los especímenes incautados, decomisados o confiscados	Se adjunta	<input checked="" type="checkbox"/>
		No disponible	<input type="checkbox"/>
		No es relevante	<input type="checkbox"/>
C7	Detalles sobre las violaciones y los resultados de las acciones judiciales	Se adjunta	<input type="checkbox"/>
		No disponible	<input checked="" type="checkbox"/>
		No es relevante	<input type="checkbox"/>
C9	Información sobre las violaciones y los resultados de las acciones judiciales	Se adjunta	<input type="checkbox"/>
		No disponible	<input checked="" type="checkbox"/>
		Sin relevancia	<input type="checkbox"/>
D4(10)	Información sobre folletos o prospectos acerca de la CITES producidos nacionalmente con fines educativos o de sensibilización del público	Se adjunta	<input type="checkbox"/>
		No disponible	<input type="checkbox"/>
		Sin relevancia	<input type="checkbox"/>
	Comentarios		

## PARTE 2.

### B. Medidas legislativas y de fiscalización

1b	Si no se ha proporcionado aún en las preguntas B(2) y B(4), facilite detalles de cualquier legislación nacional que haya sido actualizada durante el periodo considerado en este informe y adjunte copia del texto completo de la legislación.						
2b	Si se ha previsto, redactado o sancionado alguna legislación relevante para la CITES, distinta de la mencionada en la pregunta B(2) o en la de más arriba, proporcione la siguiente información:						
	Título: _____			Estado: _____			
	Descripción somera del contenido: _____						
5b	¿Ha adoptado su país alguna medida nacional más estricta, aparte de aquellas acerca de las cuales se ha informado en la pregunta B(5), específicamente para especies no incluidas en los apéndices de CITES <sup>1</sup> ?					Marque la opción que corresponda de cada una de las categorías que se indican más abajo	
	Las condiciones para:			La completa prohibición de:			
	Cuestión	Sí	No	Sin información	Sí	No	Sin información
	Comercio	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Captura	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Posesión	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transporte	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Otro (especifique)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Comentarios adicionales						
	<i>Existe normativa de carácter regional limitando la tenencia de especies exóticas, entre ellas las especies incluidas en los Anexos del R. CE 338/97</i>						
8b	¿Se ha realizado un examen de algunos aspectos de la legislación en relación con la aplicación del Reglamento?						
		Sí	No	Sin información			
	Introducción en la Comunidad de especímenes vivos de especies incluidas en el Reglamento que podrían amenazar la fauna y flora autóctona (de acuerdo con la letra (d) del apartado 2 del artículo 3)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>			

<sup>1</sup> En este cuestionario, "especies no incluidas en los apéndices de CITES" se refiere a especies que están incluidas en los anexos del Reglamento, pero no en los apéndices de CITES. Incluye algunas especies en los anexos A y B y todas aquellas incluidas en el anexo D.



	Marcado de especímenes para facilitar la identificación (de acuerdo con la letra iii) del apartado 1 del artículo 19)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Proporcione información, según proceda:				
9b	Proporcione detalles relativos a infracciones a los Reglamentos: i) penas máximas que pueden ser impuestas; ii) o de cualquiera otra medida adicional adoptada en relación con la implementación del Reglamento acerca de la cual no se haya informado en la pregunta B (9).  <i>Penas máximas que pueden ser impuestas: En infracciones administrativas, hasta tres veces el valor de la mercancía. En delitos penales, hasta cuatro veces el valor de la mercancía, estando prevista pena de prisión por sentencia judicial.</i>			

### C. Medidas de cumplimiento y observancia

2b	¿Se ha entablado alguna acción en relación con las infracciones al Reglamento, además de aquellas acerca de las cuales se ha informado en las preguntas C (2-9) de más arriba?	Sí	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Sin información	<input type="checkbox"/>
9b	Proporcione los siguientes detalles acerca de las infracciones a los Reglamentos: i) penas máximas que han sido impuestas durante el periodo que abarca este informe; ii) los resultados de las acciones judiciales. <i>Un año y nueve meses de prisión, multa de 45.000 € y el comiso de los especímenes.</i>		
16b	¿Se ha realizado algún examen o evaluación de la observancia relacionada con el Reglamento, además de aquellos acerca de los cuales se ha informado en la pregunta C (16) de más arriba?  Comentarios:	Sí	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Sin información	<input checked="" type="checkbox"/>
18	¿Se han marcado los especímenes para establecer si han nacido y han sido criados en cautividad? (De acuerdo con el artículo 66 del Reglamento (CE) de la Comisión nº 865/2006)  Comentarios:	Sí	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Sin información	<input type="checkbox"/>
19	¿Se ha realizado algún control para asegurar que el lugar de alojamiento previsto en el lugar de destino de un espécimen vivo está equipado de manera adecuada para conservarlo y cuidarlo? (De acuerdo con la letra c) del apartado 1 del artículo 4 del Reglamento (CE) del Consejo nº 338/97).  Comentarios	Sí	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Sin información	<input type="checkbox"/>

20	¿Se han adoptado planes de acción nacionales para coordinar la observancia, que claramente definan objetivos y plazos de ejecución, que estén armonizados y sean revisados periódicamente? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II a.)	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comentarios <i>Existe una comunicación fluida entre la Autoridad Administrativa y las Autoridades de Observancia, por lo que no se considera necesario un Plan de Acción.</i>		
21	¿Tienen las autoridades de observancia acceso a equipo especializado y a competencias pertinentes, y a otros recursos financieros y de personal? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> párrafo II b.) Si la respuesta es afirmativa, proporcione detalles. <i>Los medios se enmarcan dentro de la actividad habitual del departamento.</i>	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios <i>Se precisan más recursos personales y presupuestarios</i>		
22	¿Las sanciones tienen en cuenta el valor de los especímenes en el mercado, el valor de las especies intervenidas desde el punto de vista de la conservación y los costes contraídos? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II c.)	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios <i>Las sanciones incluyen el valor de mercado de los especímenes así como el valor de conservación. Se está estudiando la posibilidad de tener en cuenta los costes del decomiso.</i>		
23	¿Se han llevado a cabo actividades de formación o concienciación dirigidas a a) autoridades de observancia, b) ministerios públicos y c) judicatura? (De acuerdo con <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II d.)	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios		
24	¿Se han llevado a cabo inspecciones periódicas de comercios y negocios, tales como tiendas de mascotas, criadores y viveros para garantizar el cumplimiento en el interior del país? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II g.)	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios <i>Los controles en criadores y viveros son regulares. Los controles en tiendas de mascotas y otros sectores comerciales son aleatorios o consecuencia de una investigación focalizada.</i>		
25	¿Se utilizan sistemáticamente evaluaciones de riesgo y de información para garantizar unos controles rigurosos en los países fronterizos y en el interior de los países? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II h.)	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comentarios <i>En las fronteras se utiliza un programa de análisis de riesgos de manera sistemática. En el interior del país no existe un programa de análisis de riesgos propiamente dicho, sólo recomendaciones basadas en la experiencia y alertas. Se realiza una evaluación continua de posibles situaciones de incumplimiento.</i>		

26	¿Se dispone de instalaciones para el cuidado temporal de los especímenes vivos incautados o confiscados, y de mecanismos para su reinstalación a largo plazo, en caso necesario? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo II i).	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios <i>Los costes son considerables. Las instalaciones privadas no son suficientes, especialmente para ciertas especies. Existe una situación de saturación de las instalaciones.</i>		
27	¿Se está cooperando con las autoridades competentes de otros Estados Miembros en lo que respecta a las investigaciones sobre los delitos previstos en el Reglamento (CE) nº 338/97? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III e.)	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios		
28	¿Se está asistiendo a otros Estados Miembros en el cuidado temporal y la reinstalación a largo plazo de los especímenes vivos incautados o confiscados (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III j.)	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios		
29	¿Se está colaborando estrechamente con las autoridades de gestión CITES y las autoridades competentes de los países de origen, tránsito y consumo de fuera de la Comunidad, así como con la Secretaría CITES, la OICP, Interpol y la Organización Aduanera Mundial, para contribuir a la detección, disuasión y prevención del comercio ilegal de vida silvestre mediante el intercambio de información? (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III k.)	Sí No Sin información	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comentarios <i>En los contados casos detectados, se intercambia información.</i>		
30	¿Se está proporcionando asesoramiento y apoyo a los órganos de gestión CITES y a las autoridades competentes de los países de origen, tránsito y consumo de fuera de la Comunidad para facilitar el comercio legal y sostenible mediante la correcta aplicación de los procedimientos?. (De acuerdo con la <i>Recomendación de la Comisión C (2007) 2551</i> , párrafo III l.)	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comentarios		

## D. Medidas administrativas

### D1 Autoridad Administrativa (AA)

8b	¿Las AA(s) han emprendido o apoyado actividades de investigación relacionadas con especies no incluidas en CITES o cuestiones técnicas (p.ej. identificación de especies) distintas a las enunciadas en D2(8) y D2(9)?	SÍ <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>
11	¿Ha sido informada la Comisión y la Secretaría CITES (si procediera) de los resultados de cualquier investigación que la Comisión haya considerado necesario realizar? (De acuerdo con el apartado 2 del artículo 14 del <i>Reglamento (CE) del Consejo nº 338/97</i> ).  <i>En el periodo considerado no se han registrado casos que la Comisión haya considerado necesario investigar.</i>	SÍ <input type="checkbox"/> No <input checked="" type="checkbox"/> Sin información <input type="checkbox"/>

### D2 Autoridad Científica (AC)

8	¿Ha emprendido su AC alguna actividad de investigación en relación con las especies no incluidas en CITES?	SÍ <input type="checkbox"/> No <input type="checkbox"/> Sin información <input checked="" type="checkbox"/>																																			
9	En caso afirmativo, indique el nombre de la especie y el tipo de investigación.																																				
	<table border="1" style="width: 100%; border-collapse: collapse; border: none;"> <thead> <tr> <th style="width: 10%;">Nombre de la especie</th> <th style="width: 15%;">Poblaciones</th> <th style="width: 15%;">Distribución</th> <th style="width: 15%;">Extracción</th> <th style="width: 10%;">Comercio legal</th> <th style="width: 10%;">Comercio ilegal</th> <th style="width: 15%;">Otro (especifique)</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: center;">2</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: center;">3</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: center;">etc.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Nombre de la especie	Poblaciones	Distribución	Extracción	Comercio legal	Comercio ilegal	Otro (especifique)	1							2							3							etc.							
Nombre de la especie	Poblaciones	Distribución	Extracción	Comercio legal	Comercio ilegal	Otro (especifique)																															
1																																					
2																																					
3																																					
etc.																																					
	Sin información <input type="checkbox"/>																																				
11	¿A cuantas reuniones del Grupo de Revisión Científica (SRG) ha asistido la AC? Número: se asiste a todas																																				
	Indique cualquier dificultad que haya imposibilitado la asistencia a las reuniones del SRG.																																				

### D3 Autoridades de observancia

6	¿En su país hay un punto de contacto para CITES designado en cada una de las autoridades competentes encargadas de la observancia?	SÍ <input checked="" type="checkbox"/> No <input type="checkbox"/> En estudio <input type="checkbox"/> Sin información <input type="checkbox"/>
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### D4 Comunicación, información, gestión e intercambio

1b	¿Está informatizada la información relativa al Reglamento en su país?	Marque si se aplica
	– Especies incluidas en el Anexo D	<input checked="" type="checkbox"/>

	– Otras materias acerca de las cuales no se ha informado en la pregunta D4 (1) (por favor, especifique)		<input type="checkbox"/>
3b	¿Hay un sistema de información electrónico que le proporciona información sobre las especies no incluidas en CITES?	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### D5 Procedimientos en materia de permisos y registro

9b	<p>¿Ha cobrado derechos la Autoridad Administrativa por cualquier asunto relacionado con el Reglamento no contemplado en la pregunta D5 (9)?</p> <p>En caso afirmativo, proporciones detalles de estos asuntos relacionados con el Reglamento así como el importe de cualquier tasa aplicada.</p> <p><i>Se han devengado derechos por la gestión de solicitudes de permisos de importación y permisos de exportación o certificados de reexportación y Certificados de uso comunitario para especies no incluidas en los apéndices de la CITES pero inscritas en los anexos A y B del Reglamento (CE) nº 338/97.</i></p> <p><i>La tasa es de:</i></p> <ul style="list-style-type: none"> <li>- 20 € por permiso de importación, exportación o certificado de reexportación cuando estos contienen hasta 4 especies, que se incrementa en 5 euros más por especie adicional.</li> <li>- 20 € por Certificado de uso comunitario.</li> <li>- 30 € por Certificado de propiedad privada hasta 4 especies, que se incrementará en 5 € más por especie adicional.</li> <li>- 10 € por Certificado de exhibición itinerante.</li> </ul> <p><i>Quedan exentos del pago de la tasa los organismos instituciones oficiales pertenecientes a cualquiera de las Administraciones Públicas.</i></p>	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
13	<p>¿Puede indicar el porcentaje de permisos/certificados emitidos que son devueltos a la AA tras ser diligenciados por la Aduana?</p> <p>85%</p>	Porcentaje:  Sin información	<input type="checkbox"/> <input type="checkbox"/>
14	<p>¿Se ha compilado una lista de puntos de introducción o exportación en su país conforme al artículo 12 del Reglamento (CE) del Consejo nº 338/97?</p> <p>En caso afirmativo, adjúntelo.</p> <p><i>La lista de puntos de introducción o exportación ha sido publicada</i></p>	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

	<i>por la Comisión de la Unión Europea, mediante comunicación nº C 2008/C 72/02 publicada en el Diario Oficial de la Unión Europea serie C nº 72 de 18/03/2008.</i>		
15	¿Se han registrado personas y entidades de conformidad con lo dispuesto en los artículos 18 y 19 del Reglamento (CE) de la Comisión nº 865/2006?  En caso afirmativo, proporcione detalles.	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
16	¿Se han registrado instituciones científicas de conformidad con lo dispuesto en el artículo 60 del Reglamento (CE) de la Comisión nº 865/2006?  En caso afirmativo, proporcione detalles.	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
17	¿Han sido acreditados criadores de conformidad con lo dispuesto en el artículo 63 del Reglamento (CE) de la Comisión nº 865/2006?  En caso afirmativo, proporcione detalles.	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
18	¿Se han autorizado empresas de procesado y envasado o reenvasado de caviar de conformidad con lo dispuesto en el apartado 7 del artículo 66 del Reglamento (CE) de la Comisión nº 865/2006?  En caso afirmativo proporcione detalles. <i>En total, hay registradas 6 empresas, 3 de ellas registradas en el periodo 2009-2010, cuyos detalles se han proporcionado a la Secretaría CITES y a la Comisión de la UE.</i>	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19	¿Se emplean certificados fitosanitarios de conformidad con lo dispuesto en el artículo 17 del Reglamento (CE) de la Comisión nº 865/2006?  En caso afirmativo, proporcione detalles.	Sí No Sin información	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20	¿Se han dado casos en los que se han emitido permisos de exportación y certificados de reexportación retroactivamente de conformidad con lo dispuesto en el artículo 15 del Reglamento (CE) de la Comisión nº 865/2006?  En caso afirmativo, proporcione detalles. <i>En total, 14 certificados de reexportación, varios de los cuales se emitieron en reemplazo de permisos emitidos conteniendo algún error u omisión no atribuibles a los titulares de los citados documentos, o que correspondían a permisos/ certificados extraviados en el transcurso del envío.</i>	Sí No Sin información	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

#### **D8 Esferas de actividad futura**

2b	¿Ha encontrado su país alguna dificultad para aplicar determinadas suspensiones u opiniones negativas adoptadas por la Unión	Sí No	<input checked="" type="checkbox"/> <input type="checkbox"/>
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	Europea? (De acuerdo con el apartado 6 del artículo 4).	Sin información	<input type="checkbox"/>
4b	<p>¿Se plantea alguna dificultad en su país para aplicar el Reglamento, de la que no se haya informado en la pregunta D8 (4), que requiera atención o asistencia?</p> <p><i>Comercio intracomunitario y cría en cautividad de especies incluidas en el Anexo B del Reglamento (CE) nº 338/97.</i></p> <p><i>Las autoridades de observancia informan que en el periodo de tiempo del presente informe el número de infracciones penales y administrativas en relación con el convenio CITES no presenta una variación significativa. Por otra parte cabe reseñar que, como en años anteriores, se continúa observando el abuso de los llamados "documentos de cesión", con frecuencia incompletos, y que en muchos casos se usan para encubrir actividades comerciales de gran importancia que por otro lado, eluden del pago de los tributos correspondientes al simular entregas altruistas entre particulares o incluso entre comerciantes establecidos.</i></p>	<p>Sí</p> <p>No</p> <p>Sin información</p>	<p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>

# SWEDEN



### A. General information

Party	Sweden
Period covered in this report: 1 January 2007 to 31 December 2008	Swedish Board of Agriculture Division for CITES and Pet Animals SE-551 82 Jönköping
Details of agency preparing this report	CITES Management Authority
Contributing agencies, organizations or individuals	Swedish Environmental Protection Agency SA Swedish Custom National Police Board

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>	X <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____						
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable					
		The conditions for:		The complete prohibition of:			
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments	As one of the EU Member states Sweden implements the stricter measures of the EU CITES legislation for most of the above mentioned issues.					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Please provide details if available: No mail changes from previous biennial reports. CITES is still no priority for Customs control and police.				
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Please provide details if available:				
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Please provide details if available:				
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. Two cases (Testudo graeca resp. Leopardus pardalis)			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex.	Number 5 ( 2 custom 3 police) 36 Cust. + 46 Police See anex		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. Three pieces of coral (Scleratinia spp.) verdict of acquittal.			

10	How were the confiscated specimens generally disposed of?	Tick if applicable	
	– Return to country of export		<input type="checkbox"/>
	– Public zoos or botanical gardens		<input checked="" type="checkbox"/>
	– Designated rescue centres		<input checked="" type="checkbox"/>
	– Approved, private facilities		<input type="checkbox"/>
	– Euthanasia		<input type="checkbox"/>
	– Other (specify)		<input checked="" type="checkbox"/>
Comments: Goods are placed at the Natural History Museum or go to destruction			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
12	Has your country been involved in cooperative enforcement activities with other countries  (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	If Yes, please give a brief description: In 2009 a WCO control operation targeting CITES and in 2010 operation RAMP		
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
Comments:			
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### **D1 Management Authority (MA)**

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? 6		

6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation 80 %	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)? – Administration – Biology & Veterinarian – Economics/trade – Law/policy – Other (specify) – No information		Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country? – Government institution – Academic or research institution – Permanent committee – Pool of individuals with certain expertise – Other (specify)		Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	How many staff work in each SA on CITES issues? 2		
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)? – Botany – Ecology – Fisheries – Forestry – Welfare – Zoology – Other (specify) Systematics and taxonomy, Geography – No information		Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No	<input checked="" type="checkbox"/> <input type="checkbox"/>

	No information <input type="checkbox"/>						
9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Canis lupus		reporting				health
	Lynx lynx		reporting				health
	Ursus arctos		reporting				health
	Phocoena phocoena	Genetics	reporting				
	Lutra lutra		reporting				Health, contaminants
	Halichoerus grypus, Phoca vitulina, Phoca hispida	Monitoring, genetics					Health, contaminants
	Haliaeetus albicilla	monitoring					
	Anguilla anguilla						Publication of CITES Identification guide to the freshwater eels (Anguillidae)
	Anguilla anguilla						Public lectures on European eel from a CITES perspective
Anguilla anguilla						Lectures for the County Administrative boards on the national system for registration of commercial dealers in the European eel.	
Thunnus thynnus						Annex 1 to the proposal to include Atlantic Bluefin Tuna (Thunnus thynnus Linnaeus, 1758) on Appendix I of CITES: Analysis of productivity	
	No information <input type="checkbox"/>						
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?  There is a WWF led group meeting twice a year and an official group is under construction.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Concerning the police/ National Criminal Police/ Financial Intelligence unit/ Box 122 56, 102 26 Stockholm, Sweden.	
5	Please provide details of any additional measures taken:  Two custom Advisers with CITES as one of their areas.	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>																													
	– Monitoring and reporting of data on legal trade	<input type="checkbox"/>																												
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>																												
	– Permit issuance	<input type="checkbox"/>																												
	– Not at all	<input type="checkbox"/>																												
	– Other (specify)	<input type="checkbox"/>																												
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>																													
	<table border="1"> <thead> <tr> <th>Authority</th> <th>Yes, continuous and unrestricted access</th> <th>Yes, but only through a dial-up connection</th> <th>Yes, but only through a different office</th> <th>Some offices only</th> <th>Not at all</th> <th>Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate																								
Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
3	Do you have an electronic information system providing information on CITES species? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/></span>																													

4	If Yes, does it provide information on:	Tick if applicable		
	– Legislation (national, regional or international)?			<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?			<input checked="" type="checkbox"/>
	– Other (please specify)?			<input type="checkbox"/>
5	Is it available through the Internet:	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Please provide URL: <a href="http://eur-lex.europa.eu/">http://eur-lex.europa.eu/</a> <a href="http://www.lagrummet.se/">http://www.lagrummet.se/</a> <a href="http://www.artdata.slu.se/default.asp">http://www.artdata.slu.se/default.asp</a>			
6	Do the following authorities have access to the following publications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?			<input type="checkbox"/>
	Comments: Due to few physical checks on the items we do not have numbers for mortality or discrepancy between permits and items actually in trade.			
9	Is there a government website with information on CITES and its requirements?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.jordbruksverket.se/">http://www.jordbruksverket.se/</a>			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input checked="" type="checkbox"/>
	– Information at border crossing points			<input type="checkbox"/>
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify) Pedagogical information kit for schools			<input checked="" type="checkbox"/>
	Please attach copies of any items as Annex.			
11	Please provide details of any additional measures taken:			

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	326	61	5		
	How many applications were denied because of severe omissions or mis-information?	1	1	1		
	Year 2	How many documents were issued?	344	60	17	
	How many applications were denied because of severe omissions or mis-information?	5	-	-		
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input type="checkbox"/>		
			No	<input checked="" type="checkbox"/>		
			No information	<input type="checkbox"/>		
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
Comments						
8	How many times has the Scientific Authority been requested to provide opinions? 80 %					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?		Tick if applicable			
	- Issuance of CITES documents:		<input checked="" type="checkbox"/>			
	- Licensing or registration of operations that produce CITES species:		<input type="checkbox"/>			



	<ul style="list-style-type: none"> <li>- Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>- Use of CITES-listed species: <input type="checkbox"/></li> <li>- Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>- Importing of CITES-listed species: <input type="checkbox"/></li> <li>- Other (specify):EU certificates for commercial activities <input checked="" type="checkbox"/></li> </ul>
10	<p>If Yes, please provide the amounts of such fees.</p> <p>CITES permits 400 SEK</p> <p>CITES certificates for commercial activities 350 SEK</p>
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation? <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>- Entirely: <input type="checkbox"/></li> <li>- Partly: <input type="checkbox"/></li> <li>- Not at all: <input checked="" type="checkbox"/></li> <li>- Not relevant: <input type="checkbox"/></li> </ul> <p>Comments: The fees contribute to salaries and wages for the CITES staff</p>
12	Please provide details of any additional measures taken:

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span>						
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>	Computerisation	<input type="checkbox"/>			
	- Other (specify)			<input type="checkbox"/>			
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	EU/Traffic
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	EU/Traffic
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	EU/Traffic
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Halv a year
Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	At the national level have there been any efforts to collaborate with:					Tick if applicable	Details if available	
	Agencies for development and trade					<input checked="" type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input checked="" type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? Financial GAPIN		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description. European eel manual, the work started in 2008.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

## D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
		High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation Electronic permit system	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify) Easier legislation with less exceptions	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description. The exceptions (eg hunting trophies and personal goods) make it difficult for custom personal to work and take fast decisions at the border.			
8	Please provide details of any additional measures taken:			

## E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, Comments	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>

## PART 2 SUPPLEMENTARY QUESTIONS

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9b	Please provide the following details about Regulations-related violations:						
	i) Maximum penalties that may be imposed; 4 years imprisonment						
	ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).						

### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
9b	Please provide the following details about Regulations-related violations:		
	i) Maximum sanctions which have been imposed over this reporting period; Unit fines 4 500 SEK (customs)		
	ii) The outcomes of any prosecutions; Verdict of acquittal		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i> ).	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)  If yes, please provide details.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: Species experts, custom laboratories		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Comments:		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIh.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph Iii.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: problematic for some species and if it is a large amount of animals		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		

28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
Comments:		No information	<input type="checkbox"/>

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
Comments:		No information	<input type="checkbox"/>

30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIl.)	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
Comments:		No information	<input type="checkbox"/>

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes	<input type="checkbox"/>				
		No	<input checked="" type="checkbox"/>				
		No information	<input type="checkbox"/>				
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
1							
2							
3							
etc.							
		No information					<input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	8
	Indicate any difficulties that rendered attendance to the SRG difficult:		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

### D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage : 80%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>



**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

# UNITED KINGDOM

### A. General information

Party	UK
Period covered in this report:	1 January 2009 to 31 December 2010
Details of agency preparing this report	Department for Environment, Food and Rural Affairs (Defra)
Contributing agencies, organizations or individuals	Defra, Animal Health and Veterinary Laboratories Agency (AHVLA), UK Border Agency (UKBA), HM Revenue and Customs (HMRC), UK Scientific Authorities (RBG Kew, JNCC), UK National Wildlife Crime Unit (NWCU)

### B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.</p> <p>The UK overseas territories are at different stages in implementing their CITES legislation, the UK is working with the CITES Secretariat, the Overseas Territories and Crown Dependencies towards achieving compliance with the Convention under the National legislation Project at the earliest opportunity.</p>	<p>Yes (fully) <input type="checkbox"/></p> <p>Yes (partly) <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information/unknown <input type="checkbox"/></p>
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <p>Title and date: The Control of Trade in Endangered Species (Enforcement)(Amendment) Regulations 2009 (SI 2009 No. 1773)      Status: Came into force on 11 August 2009</p> <p>The Control of Trade in Endangered Species (Fees) Regulations 2009 (SI 2009 No. 496)      Status: Came into force 6 April 2009</p> <p>Brief description of contents:</p> <p><u>SI 2009 No. 1773</u> These Regulations amend the Control of Trade in Endangered Species (Enforcement) Regulations 1997 by closing a loophole identified in respect of the original Regulations. It concerns the prosecution of offences relating to commercial trade in specimens of 'split-listed' species (where a species or family is divided between Annex A and Annex B of the EU Wildlife Trade Regulations). It clarifies how a prosecution should be brought where it is not possible to establish the species, subspecies or population to which a specimen belongs.</p> <p><u>SI 2009 No. 496</u> This instrument increased the fees the UK charges for applications for licences issued for the trade in or movement of CITES specimens.</p>	
3	<p>Is enacted legislation available in one of the working languages of the Convention?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

4	<p>If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.</p> <p>SI 2009 No.1773  <a href="http://www.legislation.gov.uk/uksi/2009/1773/pdfs/uksi_20091773_en.pdf">http://www.legislation.gov.uk/uksi/2009/1773/pdfs/uksi_20091773_en.pdf</a></p> <p>SI 2009 No. 496  <a href="http://www.legislation.gov.uk/uksi/2009/496/made/data.pdf">http://www.legislation.gov.uk/uksi/2009/496/made/data.pdf</a></p> <p>(see Annex 1 for links to UK legislation)</p>	<p>legislation attached <input checked="" type="checkbox"/></p> <p>provided previously <input type="checkbox"/></p> <p>not available, will send later <input type="checkbox"/></p>																																																																																																
5	<p>Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable</p>																																																																																																	
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Additional comments	<p>A full list of the UK stricter domestic measures can be found at:  <a href="http://animalhealth.defra.gov.uk/cites/cites-species/strictermeasures.html">http://animalhealth.defra.gov.uk/cites/cites-species/strictermeasures.html</a></p> <p>In October 2010 introduced a strict interpretation of Council regulation EC 338/97 under which the UK bans any commercial use of raw rhino horns by refusing to issue the required Article 10 certificates. However the general derogation provided in Article 62(3) of EU Regulation 865/2006, meant that this did not apply to “worked” items acquired or prepared prior to 1 June 1947.</p> <p>From October 2010 the UKMA has applied strict criteria that worked specimens of rhino horn must satisfy before any commercial use is allowed.</p> <p>In addition the UK’s domestic conservation legislation includes controls relating to some CITES species which are stricter than required by the Convention:</p> <ul style="list-style-type: none"> <li>• The Wildlife and Countryside Act (WCA) 1981 makes it an offence to intentionally kill, injure, take from the wild, possess or have control of, any species listed in Schedule 5 of the Act. Bottle nosed dolphins, common dolphins and harbour porpoises are included in Schedule 5.</li> <li>• The Natural Environment and Rural Communities Act 2006 (NERC) makes it an offence to sell, offer or expose for sale, in a persons’ possession or transport for the purpose of sale, certain live non-native species which are banned by Order</li> <li>• The Conservation of Habitats and Species Regulations 2010 it is an offence to transport, offer to sell or exchange any (wild) live or dead cetacean – R 41 (3).</li> </ul> <p>A copy of the WCA 1981 and subsequent amendments is at:  <a href="http://www.legislation.gov.uk/title/wildlife%20and%20countryside%20act">http://www.legislation.gov.uk/title/wildlife%20and%20countryside%20act</a> and a copy of the Habitats regulation is at:  <a href="http://www.legislation.gov.uk/uksi/2010/490/made">http://www.legislation.gov.uk/uksi/2010/490/made</a> and NERC:  <a href="http://www.legislation.gov.uk/ukpga/2006/16/contents">http://www.legislation.gov.uk/ukpga/2006/16/contents</a>.</p>																																																																																																	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?			Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>					
Please provide details if available:					
<u>COTES regulations</u>					
Reviews on the Control of Trade in Endangered Species (COTES) Regulations, namely, COTES (Enforcement), COTES (Ports of Entry and Exit) and COTES (Fees) regulations took place during this reporting period.					
A review in 2008 had identified that the UK already had strong powers and penalties in place although there was identified some need to update domestic legislation to take account of new developments; such as non-invasive DNA sampling. The UK then undertook a consultation process involving key stakeholders in 2009 which built on the results of the 2008 review where the use of administrative measures was considered. It is planned that work to update the COTES Regulations will commence in Autumn 2011.					
<u>Eftec – UK stricter domestic measures review</u>					
The UK conducted a review of the effectiveness of the stricter obligations imposed by EU CITES Regulations (over and above those required by the Convention) and possible alternative approaches. The review, undertaken by consultants, started in 2010 and concluded in early 2011 (See Annex 2 for a summary of the report).					
<u>CITES charging</u>					
Following implementation of the Control of Trade in Endangered Species (Fees) Regulations 2009 (SI 2009 No. 496) a review was undertaken to assess the impacts of the new charging structure on businesses, conservation activity, and rates of compliance.					
7	If no review or assessment has taken place, is one planned for the next reporting period? N/A			Yes	<input type="checkbox"/>
				No	<input type="checkbox"/>
			No information	<input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable	
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available: For Scotland - the Welfare of Animals (Transport) (Scotland) Amendment Regulations 2009 – SSI 2009/339 <a href="http://www.legislation.gov.uk/ssi/2009/339/contents/made">http://www.legislation.gov.uk/ssi/2009/339/contents/made</a> came into force in 2009					

9	<p>Please provide details of any additional measures taken:</p> <p>Some bird species listed on CITES must be registered with AHVLA and the taking of native birds and animal species requires a licence under UK conservation legislation. See the following link for more information: <a href="http://animalhealth.defra.gov.uk/about/publications/cites/birdregistration/pdf/Information-Sheet3-List-of-Registrable-Bird-Species.pdf">http://animalhealth.defra.gov.uk/about/publications/cites/birdregistration/pdf/Information-Sheet3-List-of-Registrable-Bird-Species.pdf</a></p>
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### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p>In May 2009 an offender was given Serious Crime Prevention Order (SCPO), prohibiting him from trading in Annex A species for three years.</p>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p style="padding-left: 20px;"><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p style="padding-left: 20px;"><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p> <p>Details of 2009-10 seizures are attached at Annex 3.</p>		<p>Number</p> <p>Total seizures</p> <p>2009 - 358</p> <p>2010 - 387</p>	
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. There were 21 UK prosecutions for CITES related violations during 2009-2010, full details on prosecutions are included in Annex 4 and please see Annex 5 for information on emerging trends and other seizures			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

9	<p>If Yes, what were the violations involved and what were the results? Please attach details as Annex.</p> <p>Two ring tailed lemurs were being offered for sale without the relevant paperwork in late 2010. These were seized and housed by an animal welfare charity.</p> <p>In 2009 and 2010, four zoos were visited to check if they were complying with CITES regulations. The results of the checks were;</p> <p style="padding-left: 40px;">Zoo 1 – 24 CITES species were on display without Article 10's</p> <p style="padding-left: 40px;">Zoo 2 – 23 CITES species on display without Article 10's</p> <p style="padding-left: 40px;">Zoo 3 – incorrect paperwork was found</p> <p style="padding-left: 40px;">Zoo 4 – had Article 60 covering all CITES specimens.</p> <p>As there were no welfare issues at any of the zoo the specimens were not seized but the zoo's were warned to ensure they had the correct paperwork and that any further contraventions could lead to action being taken.</p>																
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	No		<input type="checkbox"/>														
	No information	<input type="checkbox"/>															
13	<p>If Yes, please give a brief description:</p> <p>International liaison through the EU Enforcement Working group including intelligence sharing with a number of EU member states on specific cases.</p> <p>NWCU provided analytical support at a tortoise trade workshop in January 2009</p> <p>NWCU involved in a joint operation with Belgium, Spain and the Netherlands</p> <p>UK participation in Operations Ramp and Tram (reptiles and TCMs),</p> <p>China and Belgium: seized ivory products and controlled deliveries</p> <p>USA: considerable dialogue concerning permit validity and legislation</p> <p>France: exchange of information concerning agarwood and ivory seizures</p> <p>Netherlands: Joint operation on export of ivory and seizure of live clams</p> <p>EU: all interesting seizures disseminated via EU TWIX alerts</p> <p>Czech Republic: intelligence exchange regarding seized reptiles</p> <p>Nicaragua: intelligence exchange regarding seized reptiles</p> <p>Switzerland: intelligence exchange on traders.</p>																
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	offenders?	No <input checked="" type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	
15	If Yes, please describe:  No direct incentives scheme for CITES offences, but the UK government funds in part the Crimestoppers Charity. Crimestoppers provides payments of up to £1,000 to individuals who give information which lead to the arrest and conviction of offenders of any type of offence.		
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input checked="" type="checkbox"/>	<input type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		Not applicable <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	Comments: The UK National Wildlife Crime Unit (NWCU) undertakes an annual wildlife crime Strategic Assessment (SA) which assesses and describes the current threats posed by criminals involved in all types of wildlife crime. Once wildlife crime priorities have been agreed annual operation plans are drawn up to tackle each priority. The illegal trade in CITES species was identified as a UK wildlife priority in 2009 and 2010.  NWCU sits on the multiagency 'Tasking and Co-ordination Group (TCG) (previously known as the High Level Group- HLG) which is made up UK enforcement agencies; including police and customs. The TCG provides the enforcement response for wildlife crime in the UK.		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/>	<input type="checkbox"/>
		No <input checked="" type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. (no changes during this reporting period but note that the Animal Health Agency merged with the Veterinary Laboratories Agency in April 2011 to form the Animal Health and Veterinary Laboratories Agency, AHVLA).		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/>	<input type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.  Two Government bodies make up the UK CITES MA, the Department for Environment Food and Rural Affairs (Defra) that deals with CITES policy issues. Animal Health's (now AHVLA) Wildlife Licensing and Registration Service undertake licensing activities for the UK. [Both organisations contact details are included in the CITES directory]		
5	How many staff work in each MA? 7.5 in the CITES policy team, 30 in the licensing team		
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/>	<input type="checkbox"/>
		No <input type="checkbox"/>	<input type="checkbox"/>
		No information <input type="checkbox"/>	<input type="checkbox"/>
	If yes, please give estimation 85%?		
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	- Administration	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	- Biology	<input type="checkbox"/>	<input type="checkbox"/>
	- Economics/trade	<input type="checkbox"/>	<input type="checkbox"/>
	- Law/policy	<input checked="" type="checkbox"/>	<input type="checkbox"/>



	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. Specific validation of DNA results using blood and mouth swabs from some of the more common birds of prey and outline work on identifying the stable isotope research into tracking movements of animals.		
10	Please provide details of any additional measures taken: N/A		

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?  The UK has two designated scientific authorities. The Joint Nature Conservation Committee (JNCC) for fauna and the Royal Botanic Gardens, Kew (RGB Kew) for flora.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?		Tick if applicable
	– Government institution - JNCC		<input checked="" type="checkbox"/>
	– Academic or research institution – RBG Kew		<input checked="" type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?		

6	<p>Can you estimate the percentage of time they spend on CITES related matters?</p> <p>If yes, please give estimation</p> <p>JNCC have five members of staff in total who work on CITES related matters, that is:</p> <ul style="list-style-type: none"> <li>• 1 staff member @ 100%</li> <li>• 1 staff member @ 80%</li> <li>• 1 staff member @ 75%</li> <li>• 1 staff member @ 50%</li> <li>• 1 Staff member @ 30%</li> </ul> <p>RBG Kew,</p> <ul style="list-style-type: none"> <li>• 2 staff members @ 75%</li> <li>• 2 staff members @ 50%</li> </ul>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
7	<p>What are the skills/expertise of staff within the SA(s)?</p> <ul style="list-style-type: none"> <li>- Botany</li> <li>- Ecology</li> <li>- Fisheries</li> <li>- Forestry</li> <li>- Welfare</li> <li>- Zoology</li> <li>- Other (specify)</li> </ul> <p>JNCC – Sustainable use and production systems.</p> <p>RBG Kew – capacity building, artificial propagation, plant trade issues, sustainable use, taxonomy and nomenclature expertise, horticulture, wood anatomy, DNA techniques.</p> <p>- No information</p>	<p>Tick if applicable</p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>
8	<p>Have any research activities been undertaken by the SA(s) in relation to CITES species?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No</p> <p>No information</p>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Fish and aquatic organisms	No	No	Yes	Yes	No	Analysis of the potential applications of MEAs to commercially exploited aquatic organisms
	Testudinid	No	No	No	Yes	No	Use of nanotech mini micro-chips for marking juvenile tortoises and other reptiles and amphibians
	<i>Galanthus and Cyclamen</i> Trade in Georgian snowdrops – A roadmap to sustainability	Yes	Yes	Yes	Yes	Yes	
	Nomenclature research				Yes		
	Cactaceae spp. CITES and Cacti, a users guide	Yes	Yes	Yes	Yes	Yes	
	<i>Gonystylus</i> spp. CITES, timber and ramin	Yes	Yes	Yes	Yes	Yes	
Development of forensic DNA techniques for the identification of ramin and rosewood timber				Yes	Yes		
					No information	<input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Police – responsible for enforcement within UK borders UKBA – responsible for enforcement at the UK borders AHVLA – CITES compliance team, who have specialised inspection powers.	
5	Please provide details of any additional measures taken: <ul style="list-style-type: none"> <li>The UK National Wildlife Crime Unit (NWCU) is a police led unit specialising in tackling all types of wildlife crimes.</li> <li>UKBA includes frontier anti-smuggling staff and is responsible for enforcement at the borders. A dedicated team of Customs Officials specialising in CITES operates at Heathrow Airport and act as a national focal point for all CITES operational enforcement and import/export issues.</li> <li>Operation Charm targets the illegal trade in CITES specimens and has been run by the Metropolitan Police for a number of years, see: <a href="http://www.operationcharm.org/">http://www.operationcharm.org/</a> for more information</li> </ul>	

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? <span style="float: right;">Tick if applicable</span>																												
	<ul style="list-style-type: none"> <li>– Monitoring and reporting of data on legal trade <input checked="" type="checkbox"/></li> <li>– Monitoring and reporting of data on illegal trade <input checked="" type="checkbox"/></li> <li>– Permit issuance <input checked="" type="checkbox"/></li> <li>– Not at all <input type="checkbox"/></li> <li>– Other (specify) <input type="checkbox"/></li> </ul>																												
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>																												
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Authority</th> <th style="width: 10%;">Yes, continuous and unrestricted access</th> <th style="width: 10%;">Yes, but only through a dial-up connection</th> <th style="width: 10%;">Yes, but only through a different office</th> <th style="width: 10%;">Some offices only</th> <th style="width: 10%;">Not at all</th> <th style="width: 30%;">Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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3	Do you have an electronic information system providing information on CITES <span style="float: right;">Yes <input checked="" type="checkbox"/></span>																												

	species?	No <input type="checkbox"/>	No information <input type="checkbox"/>																				
4	<p>If Yes, does it provide information on: <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Legislation (national, regional or international)? <input checked="" type="checkbox"/></li> <li>– Conservation status (national, regional, international)? <input checked="" type="checkbox"/></li> <li>– Other (please specify)? <input checked="" type="checkbox"/></li> </ul> <p>Tourist souvenir information is held on the DirectGov website and also the Foreign and Commonwealth Office (FCO) website</p>																						
5	<p>Is it available through the Internet:</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/></p> <p>Please provide URLs:</p> <p><a href="http://www.defra.gov.uk/animalhealth/cites/index.htm">http://www.defra.gov.uk/animalhealth/cites/index.htm</a>  <a href="http://www.defra.gov.uk/environment/natural/biodiversity/internationally/cites/">http://www.defra.gov.uk/environment/natural/biodiversity/internationally/cites/</a>  <a href="http://jncc.defra.gov.uk/page-1367">http://jncc.defra.gov.uk/page-1367</a>  <a href="http://www.kew.org/conservation/cites-ind.html">http://www.kew.org/conservation/cites-ind.html</a>  <a href="http://www.fco.gov.uk/en/travel-and-living-abroad/be-a-responsible-tourist/ResponsibleTourism">http://www.fco.gov.uk/en/travel-and-living-abroad/be-a-responsible-tourist/ResponsibleTourism</a>  <a href="http://www.direct.gov.uk/en/TravelAndTransport/Foreigntravel/BringinggoodsorcashintotheUK/DG_177075">http://www.direct.gov.uk/en/TravelAndTransport/Foreigntravel/BringinggoodsorcashintotheUK/DG_177075</a></p>																						
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7	If not, what problems have been encountered to access to the mentioned information?																						
8	<p>Have enforcement authorities reported to the Management Authority on: <span style="float: right;">Tick if applicable</span></p> <ul style="list-style-type: none"> <li>– Mortality in transport? <input checked="" type="checkbox"/></li> <li>– Seizures and confiscations? <input checked="" type="checkbox"/></li> <li>– Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/></li> </ul> <p>Comments:</p>																						
9	<p>Is there a government website with information on CITES and its requirements?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/></p> <p>If Yes, please give the URL:</p> <p><a href="http://www.defra.gov.uk/animalhealth/cites/index.htm">http://www.defra.gov.uk/animalhealth/cites/index.htm</a>  <a href="http://www.defra.gov.uk/environment/natural/biodiversity/internationally/cites/">http://www.defra.gov.uk/environment/natural/biodiversity/internationally/cites/</a></p>																						
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? <span style="float: right;">Tick if applicable</span></p> <p>Press releases/conferences <input checked="" type="checkbox"/></p>																						

	<ul style="list-style-type: none"> <li>• There have been a number of press releases on CITES related prosecutions and also Operations Ramp and Tram.</li> <li>• CITES was discussed at the annual PAW Open Seminar, and UK Wildlife Enforcement Conference in 2009 and 2010</li> </ul>	
	<p>Newspaper articles, radio/television appearances</p> <ul style="list-style-type: none"> <li>• AHVLA – Animal 24/7 (BBC1)</li> <li>• UK Border Agency – the One Show (BBC1)</li> </ul>	☒
	<p>Brochures, leaflets</p> <ul style="list-style-type: none"> <li>• PAW leaflet published including CITES information</li> <li>• Information on CITES is included in the general information leaflet on banned goods at UK passenger terminals</li> </ul>	☒
	<p>Presentations</p> <ul style="list-style-type: none"> <li>• Police wildlife crime officers (PWCOs) give regular talks to members of the public</li> <li>• AH presentation on CITES to museums in February 2010</li> <li>• RBG Kew have given a number of presentations (see Annex 6 for a full list of Kew’s capacity building activities)</li> <li>• UKBA provided a number of presentations to members of the public</li> </ul>	☒
	<p>Displays</p> <ul style="list-style-type: none"> <li>• Natural History Museum</li> <li>• Port of Felixstowe</li> <li>• Norwich Airport</li> <li>• PAW Roadshow</li> </ul>	☒
	<p>Information at border crossing points</p> <ul style="list-style-type: none"> <li>• General information on CITES is contained within the UKBA information leaflet on border controls and is available at passenger terminals</li> </ul>	☒
	<p>Telephone hotline</p> <ul style="list-style-type: none"> <li>• UK Customs Hotline (0800 595 000)</li> <li>• Crimestoppers Hotline (0800 555 111)</li> </ul>	☒
	<p>Other (specify)</p>	☒
	<p>Please attach copies of any items as Annex. For a more comprehensive list of UK communications activities please see Annex 7.</p>	
11	<ul style="list-style-type: none"> <li>• Please provide details of any additional measures taken: UK has established a ‘Communications Strategy’ stakeholder group which includes Defra/UKBA/AHVLA and conservation NGOs. The aim of the group is to take a coordinated approach to CITES communications in the UK.</li> </ul>	

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?				Yes	<input type="checkbox"/>
					No	<input type="checkbox"/>
					Not applicable	<input checked="" type="checkbox"/>
If no, please provide details of any:				No information	<input type="checkbox"/>	
Changes in permit format: N/A						
Changes in designation or signatures of relevant officials: Yes and all of these were reported						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	104,979	2,231	6,841	31,842	Other accounts for almost all certificates produced under Article 10 of EU Council Regulation 338/97
	How many applications were denied because of severe omissions or mis-information?	261	5	61	369	Please note that these figures are for total number of refusals. It is not possible to categorise them by reasons for refusal
	Year 2					
How many documents were issued?	38,830	1,743	8,048	23,049	Other accounts for almost all certificates produced under Article 10 of EU Council Regulation 338/97	
How many applications were denied because of severe omissions or mis-information?	139	15	47	199	Please note that these figures are for total numbers of refusals. It is not possible to categorise them by reasons for refusal	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this. Several certificates were declared invalid due to false declarations.					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable	
		Reason	Yes	No	No information
		Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?			Yes	<input type="checkbox"/>
				No	<input checked="" type="checkbox"/>
	Comments			No information	<input type="checkbox"/>
8	How many times has the Scientific Authority been requested to provide opinions?				
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?			Tick if applicable	
	–	Issuance of CITES documents:			<input checked="" type="checkbox"/>
	–	Licensing or registration of operations that produce CITES species:			<input checked="" type="checkbox"/>
	–	Harvesting of CITES-listed species :			<input type="checkbox"/>
	–	Use of CITES-listed species:			<input type="checkbox"/>
	–	Assignment of quotas for CITES-listed species:			<input type="checkbox"/>
	–	Importing of CITES-listed species:			<input type="checkbox"/>
	–	Other (specify):			<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. UK fees were increased in 2009, details are included in Annex 8				
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable	
	–	Entirely:			<input checked="" type="checkbox"/>
	–	Partly:			<input type="checkbox"/>
	–	Not at all:			<input type="checkbox"/>
	–	Not relevant:			<input type="checkbox"/>
	Comments: The fees paid for CITES licences are used towards the costs of running the UKMA				
12	Please provide details of any additional measures taken:				

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?			Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input checked="" type="checkbox"/>	
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input type="checkbox"/>	
	–	Other (specify)			<input type="checkbox"/>



2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Please tick boxes to indicate which target group and which activity.						
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<p><b><u>UKMA and UKSA</u></b></p> <p>Training was provided by WCMC to the UK MA and RBG Kew on the use of the UNEP-WCMC species database in 2010.</p> <p><b><u>UKSA</u></b></p> <p>RBG Kew attended the following capacity building events, the Central Point of Expertise on Timber (CPET – Timber procurement) at Chatham House, Illegal Logging Stakeholder meetings, EDIT meeting (Spain), Fairwild workshops (Vilm, Germany)</p> <p><b><u>Enforcement authorities</u></b></p> <p>UKBA received training from a caviar trader and also on timber recognition from Chichester University.</p>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<p>As part of the UK/China sustainable development dialogue (SDD), Chinese officers from their MA, SA and enforcement agencies visited the UK (UKMA, UKSAs and enforcement officials) to exchange ideas on best practice for CITES implementation and enforcement. A reciprocal visit was made to China by UK enforcement later in 2010.</p>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?  Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
Target group							
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<p><b><u>UKMA</u></b> The UK MA hosted a visit from the CITES Secretary General in September 2010 when meetings were arranged with a number of Government officials and representatives from green NGOs</p> <p>AHVLA have provided technical training to accession countries via the TAIEX programme.</p> <p>In their capacity as Chair of the Coalition Against Wildlife Trafficking (CAWT), the UKMA organised a side event at CoP15 for Parties.</p> <p><b><u>UKSAs</u></b> JNCC and Kew have provided general advice and assistance to UK Overseas Territories UK OTs) including on a review of legislation for the British Indian Ocean Territory (BIOT) and the Falkland Islands. JNCC and Kew also dealt with ad hoc queries from the UK OTs during the reporting period.</p> <p>JNCC and RBG Kew, AHVLA delivered reports, workshops and training for the Montserrat and Anguilla Islands on CITES implementation.</p>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

Staff of enforcement authorities



JNCC provided regular CITES training and assistance to Police and Customs authorities in metropolitan UK including, assistance with impact statements, as expert witnesses and on species identification.

RBG Kew have given advice and assistance to a number of Parties (inc Mexico, Ireland, Japan, Georgia, South Africa, Hong Kong and Zambia. Kew also provided a workshop on Geophytes in Georgia.

**Enforcement authorities**

UKBA provided enforcement training to UKBA detection officers, police, UK MA and scientific authorities

Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<p><b><u>UKMA</u></b></p> <p>The UKMA meets regularly with green NGOs and traders at the Joint NGO Liaison group (JLG) meetings.</p> <p>AHVLA have provided training in CITES procedures and provided traders with explanatory leaflets on CITES.</p> <p><b><u>UKSAs</u></b></p> <p>JNCC participated in and advised the Sustainable Eel Group which is made up of industry regulators, scientists, fisherman and government.</p> <p>JNCC and Kew have provided advice and attended meetings with the Sustainable Users Network (SUN) and Link (UK NGO umbrella organisation).</p> <p>Kew attended the International Carnivorous Plant Society annual conference and Plant Heritage training workshops</p>
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<p><b><u>UKSAs</u></b></p>
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<p>JNCC and Kew both contributed as lecturers at the CITES MSc courses in Baeza, Spain in 2009 and 2010</p> <p>Kew delivered several training presentations to educational establishments</p>
Other parties/International meetings	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<p>JNCC and Kew attended CoP15, Animals and Plants Committee meetings and EU scientific review group (SRG) meetings.</p> <p>JNCC attended , the EU SRG eels working group set up to consider issues related to trade in European eels and a red corals workshops to consider the potential listing of red corals on CITES (Hong Kong – March 2009 and Naples – September 2009).</p>
4	<p>Please provide details of any additional measures taken.</p> <p>AHVLA participated in a joint e-permitting pilot with Switzerland and assisted with drafting the e-permitting toolkit (<a href="http://www.cites.org/eng/prog/e/e-permitting-toolkit.shtml">http://www.cites.org/eng/prog/e/e-permitting-toolkit.shtml</a>).</p>						

**D7 Collaboration/co-operative initiatives**

1	<p>Is there an inter-agency or inter-sectoral committee on CITES?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <ul style="list-style-type: none"> <li>• A CITES Officers Group (COG) made up of the UK CITES MA, Police, Customs and UK SA’s meets three times a year</li> <li>• The Partnership for Action Against Wildlife Crime (PAW) oversees wildlife law enforcement in the UK and includes CITES in its remit and consists of Government departments, enforcement officials and over 100 NGOs (see <a href="http://www.defra.gov.uk/paw/">http://www.defra.gov.uk/paw/</a> for a full list of PAW partners).</li> <li>• The Wildlife Law Enforcement Working Group (WLEWG) chaired by JNCC, is made up of statutory and non statutory nature conservation agencies and enforcement authorities that have a role in combating wildlife crime, sets conservation priorities, including CITES priorities, for law enforcement in the UK. The group meets once a year but works inter-sessionally</li> <li>• The CITES Joint Liaison Group (JLG) is made up of conservation NGO’s, traders, UK Scientific Authorities, UKBA and the CITES MA. JLG meets three times a year</li> <li>• A Tasking and Co-ordination Group (TCG) includes the statutory enforcement agencies, Police, Customs and Govt Departments. The group sets the biennial UK wildlife crime priorities and ensures that progress is made in tackling them.</li> <li>• The Sustainable Users Network (SUN) representing traders in CITES specimens meet with the UKMA licensing authority twice a year</li> <li>• The Inter-departmental Ministerial Group on Biodiversity (IDMGB) met for the final time in May 2009 where it established the Overseas Territories group. The UK attends meetings of this group along</li> </ul>	

	<p>with the Department for International Development (DfID), FCO, JNCC and UKOTA (UK Overseas Territories Association). The group meets every four months.</p> <ul style="list-style-type: none"> <li>UK has chaired the Coalition Against Wildlife Trafficking (CAWT) since July 2009 and arranges regular tele-conferences for CAWT members (<a href="http://www.cawtglobal.org">www.cawtglobal.org</a>).</li> </ul>																								
3	<p>If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <table border="1"> <thead> <tr> <th></th> <th>Daily</th> <th>Weekly</th> <th>Monthly</th> <th>Annually</th> <th>None</th> <th>No information</th> <th>Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
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4	<p>At the national level have there been any efforts to collaborate with:</p> <table border="1"> <thead> <tr> <th></th> <th>Tick if applicable</th> <th>Details if available</th> </tr> </thead> <tbody> <tr> <td>Agencies for development and trade</td> <td><input checked="" type="checkbox"/></td> <td>Department For International Development (DfID) – as part of the overseas territories bio-diversity group. UK assisted DfID with drafting a CITES livelihoods toolkit.</td> </tr> <tr> <td>Provincial, state or territorial authorities</td> <td><input checked="" type="checkbox"/></td> <td></td> </tr> <tr> <td>Local authorities or communities</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Indigenous peoples</td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Trade or other private sector associations</td> <td><input checked="" type="checkbox"/></td> <td>Sustainable Users Network (SUN)</td> </tr> <tr> <td>NGOs</td> <td><input checked="" type="checkbox"/></td> <td>Joint NGO Liaison Group (JLG)</td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>		Tick if applicable	Details if available	Agencies for development and trade	<input checked="" type="checkbox"/>	Department For International Development (DfID) – as part of the overseas territories bio-diversity group. UK assisted DfID with drafting a CITES livelihoods toolkit.	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>		Local authorities or communities	<input type="checkbox"/>		Indigenous peoples	<input type="checkbox"/>		Trade or other private sector associations	<input checked="" type="checkbox"/>	Sustainable Users Network (SUN)	NGOs	<input checked="" type="checkbox"/>	Joint NGO Liaison Group (JLG)	Other (specify)	<input type="checkbox"/>	
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Other (specify)	<input type="checkbox"/>																								

5	<p>To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?</p> <p>Service Level Agreements (SLA) have been agreed with:</p> <ul style="list-style-type: none"> <li>• Defra and RBG Kew, from 1 April 2009 to 31 March 2014</li> <li>• UKMA and NWCU</li> </ul> <p>Other border authorities (specify)</p> <p>Other government agencies</p> <ul style="list-style-type: none"> <li>• A new SLA was signed with the Food and Environmental Research Agency (FERA) and AHVLA to carry out forensic research into plants and animals DNA in 2010.</li> </ul> <p>Private sector bodies</p> <p>NGOs</p> <p>Other (specify)</p> <p>CITES Secretariat, see:  <a href="http://www.cites.org/common/disc/sec/CITES-UK.pdf">http://www.cites.org/common/disc/sec/CITES-UK.pdf</a></p>	<p style="text-align: right;">Tick if applicable</p> <p style="text-align: right;"><input checked="" type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;"><input checked="" type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p> <p style="text-align: right;"><input checked="" type="checkbox"/></p>
6	<p>Has your country participated in any regional activities related to CITES?</p> <p>Workshops</p> <p>Meetings</p> <p>Other (specify)</p> <p>The UK represents the European region at Standing Committee.</p> <p>Regular attendance at the following EU meetings:</p> <ul style="list-style-type: none"> <li>• Management Committee (UKMA)</li> <li>• Scientific Review Group (JNCC and RBG Kew) and the</li> <li>• Enforcement Working Group (NWCU, UKBA).</li> </ul>	<p style="text-align: right;">Tick if applicable</p> <p style="text-align: right;"><input checked="" type="checkbox"/></p> <p style="text-align: right;"><input checked="" type="checkbox"/></p> <p style="text-align: right;"><input type="checkbox"/></p>
7	<p>Has your country encouraged any non-Party to accede to the Convention?</p>	<p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>
8	<p>If Yes, which one(s) and in what way?</p>	
9	<p>Has your country provided technical or financial assistance to another country in relation to CITES?</p>	<p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p>



10	<p>If Yes, which country(ies) and what kind of assistance was provided? As part of the UK/China sustainable development dialogue (SDD) Defra funded the CITES officer exchange.</p> <p>UKBA provided technical expertise and training presentations on course in Portugal (twice), Slovakia and Estonia as part of a EG-Justice sponsored training programme for CITES enforcement.</p> <p>UKBA has provided training on international CITES enforcement courses in China and Morocco</p> <p>The UK has participated in three CITES working groups: E-Commerce (as chair); Report Writing (as chair); and E-Permitting. In doing so the UK has worked with several countries to review and make recommendations as requested of the working groups.</p> <p>The UK has attended all of the E-permitting working groups arranged during the period, and worked closely with Switzerland on a pilot study.</p> <p>The UK attended the E-Commerce workshop in Vancouver in February 2009, and was subsequently elected to chair a working group on the topic.</p> <p>The UK has also participated in several tiger related meetings in South and South East Asia, working with the GTF and GTI programmes, the CITES Secretariat and tiger range states to address management needs of the species.</p>									
11	<table border="0"> <tr> <td>Has your country provided any data for inclusion in the CITES Identification Manual?</td> <td>Yes</td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>No</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td>No information</td> <td><input type="checkbox"/></td> </tr> </table>	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>		No	<input checked="" type="checkbox"/>		No information	<input type="checkbox"/>
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	No	<input checked="" type="checkbox"/>								
	No information	<input type="checkbox"/>								
12	If Yes, please give a brief description.									
13	<table border="0"> <tr> <td rowspan="3">Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?</td> <td>Yes</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>No</td> <td><input type="checkbox"/></td> </tr> <tr> <td>No information</td> <td><input type="checkbox"/></td> </tr> </table>	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>		
Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes		<input checked="" type="checkbox"/>							
	No		<input type="checkbox"/>							
	No information	<input type="checkbox"/>								
14	<p>If Yes, please give a brief description.</p> <p>Matters relating to international biodiversity, in particular CBD, CITES and CMS, are managed within the same programme.</p>									
15	Please provide details of any additional measures taken:									

#### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?				
		Activity	High	Medium	Low
		Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) Improvements to the computerisation of the licensing service	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input checked="" type="checkbox"/>		
		No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>		

3	<p>If Yes, which one(s) and what is the main difficulty?</p> <p>Inconsistent interpretation of source codes by exporting Parties.</p> <p>Inconsistent interpretation of the CITES and EU Wildlife Trade Regulation definition of artificially propagated.</p> <p>Implementation of the personal effects derogation; UKBA think that whilst the regulations are understood by officers who deal with CITES on a day-to-day basis, they are difficult to understand by officers who do not deal with CITES on a regular basis.</p>
4	<p>Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
5	<p>If Yes, please describe the constraint and the type of attention or assistance that is required.</p> <p>UK has noted difficulties in identification of certain CITES timber species and believe that more research is need to improve identification techniques.</p> <p>Limited local resources in some of the UKs Overseas territories makes full and effective implementation of CITES difficult.</p>
6	<p>Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
7	<p>If Yes, please give a brief description.</p> <p>Source codes (as they apply to different production types) need clear guidance to ensure consistent application.</p> <p>Clear guidance is needed on the definition of worked specimens. The current definition is still unclear and the interpretation varies between Parties.</p> <p>Guidance is required on the use of the personal effects derogation to assist with implementation of the derogation</p> <p>Annotations need clearer guidance</p>
8	<p>Please provide details of any additional measures taken:</p>

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

## PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

### B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.						
2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:						
	Title and date:		Status:				
	Brief description of contents: N/A						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
8b	Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i> ?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
	The Wildlife and Countryside Act 1981 (WCA) makes it an offence to release or allows to escape any invasive alien species into the wild. A number of species that are listed in Annexes of the EU wildlife trade regulation are included in Schedule 9 WCA.						
	Schedule 9 WCA (1981) was reviewed and updated in 2010. <a href="#">The Wildlife and Countryside Act 1981 (Variation of Schedule 9) (England and Wales) Order 2010</a>						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;</p> <p>Under the Control of Trade in Endangered Species (Enforcement) Regulations 1997 (as amended); up to five years imprisonment and/or an unlimited fine. The Customs and Excise Management Act 1979; up to seven years imprisonment for certain import/export offences and/or an unlimited fine. For WCA offences the maximum penalties are up to six months imprisonment and/or a fine up to £5000</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9). N/A</p>
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### C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p>ii) The outcomes of any prosecutions;</p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments:</p>
18 new	<p>Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i>, Article 66)</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments: UK requires that all live vertebrate are marked in accordance with Article 66</p>
19 new	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i>).</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments: Checks are made regularly on accommodation for Annex A/Appendix 1 specimens to ensure they can adequately care for the specimens.</p>
20 new	<p>Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIa.)</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments: While the UK does not have a dedicated UK CITES enforcement action plan, the UK CITES wildlife crime priority and delivery group action the issues covered by the EU action plan. The Tasking and Coordination group does meets regularly throughout the year to revise the UK Wildlife Crime Priorities; one of which is CITES. A dedicated CITES priority delivery group meets on a quarterly basis, its objectives are:</p> <ul style="list-style-type: none"> <li>- Increasing the amount of targeted compliance activity</li> <li>- Increasing the number of intelligence submissions and products</li> <li>- Improving the quality of analytical assessments</li> <li>- Increase the number of enforcement outcomes</li> </ul>
21 new	<p>Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i>, paragraph IIb.)</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If yes, please provide details.</p>

	Comments: A dedicated UKBA/CITES team are based at Heathrow, the Animal Reception Centre (ARC), which can provide accommodation for CITES specimens; Animal Health Wildlife Inspectors ensure compliance with CITES licensing requirements; Wildlife DNA Services and more recently the Food and Environment Research Agency (FERA) provide assistance with DNA testing of CITES specimens for enforcement authorities.		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments:		
23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: Two wildlife crime foundation courses are run each year for Police officers, which include CITES and EU WTR's, training is provided by a number of agencies including the police, UKBA, AHVLA, JNCC and Kew. At least two week long CITES enforcement courses are run each year by UKBA with students from UKBA and UK police forces. UKBA also run numerous CITES awareness seminars as part of UKBA enforcement officer's basic training and refresher courses for more experienced officers. PAW arranges an annual court training day for police and the crown prosecution service (CPS). In 2009 the Magistrates Association updated its wildlife/environmental sentencing guidance document 'Costing the Earth' ( <a href="http://www.magistrates-association.org.uk/Earth">http://www.magistrates-association.org.uk/Earth</a> ).		
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIg.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: AHVLA Wildlife Inspectors conducted targeted checks during 2009 - 2010		
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIh.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: All UKBA customs examinations of CITES derivatives or live animals are conducted using a risk based system from low to high. In addition UKBA are able to set profiles on a computerised entry clearance system, to automatically select or identify shipments being imported from third countries that are worthy of examination or require document validation i.e. CITES permits.		
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIi.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: The Corporation of London Animal Reception Centre, Heathrow, who work closely with UKBA provide expert identification assistance and temporary accommodation for seized CITES specimens. Kew Garden's quarantine house is available for plant seizures.		
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIe.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: See part 1		
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIj.)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments: UKBA will offer assistance when required or if contacted by overseas authorities.		

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: As part of the SDD the UK funded a CITES officer exchange with China which looked at good practice and the implementation of CITES in the UK. The exchange provided contacts which will assist with future information exchange. UK enforcement agencies were also heavily involved in the Interpol lead international operations TRAM and RAMP.			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: THE UK/China officer exchange (see above). NWCUC have also participated in a number of CITES intelligence workshops.			

#### D. Administrative measures

##### D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
		11 new		
		Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i> )?		
		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>

##### D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>		
	Commercially exploited aquatic species, noted in Part 1 of the report.						
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Commercially exploited aquatic species	No	No	Yes	Yes	No	
	2						
	3						
	etc.						
No information <input type="checkbox"/>							

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	All <input checked="" type="checkbox"/>
	Both JNCC and Kew have attended all SRG meetings in this reporting period.		
	Indicate any difficulties that rendered attendance to the SRG difficult: N/A		

### D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?		
	Kate Fouracre UK MA Defra Temple Quay House 2 The Square Temple Quay Bristol BS1 6EB (NB the contact person is due to change in June 2011 To Jane Elliot-Malpass – same address)	Yes No Under consideration No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable
	– Annex D listed species	<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes No No information
		<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

### D5 Permitting and registration procedures -

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage: 80% No information	<input type="checkbox"/> <input type="checkbox"/>



14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ?  If yes, please attach. Statutory Instrument 1985 No. 1154, The Control of Trade in Endangered Species (Designation of Ports of Entry) Regulations 1985, designates Ports of Entry for the UK. A copy is attached at Annex 9.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.  UK has 35 companies registered under the provisions of Article 19 of EC reg 865/2006, no companies were registered under Article 18	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
16 new	Have Scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Approx 250 institutions are issued with a certificate under Article 60	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.  110 breeders	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details.  A list of caviar re-packaging packs is included at Annex 10.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. One retrospective was issued in January 2010	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>