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COMMISSION OF THE EUROPEAN COMMUNITIES

COM(76) 259 final.

Brussels, 4th June 1976.

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Draft

COMMISSION DECISION ECSC

implementing Decision 528/76/ECSC
on the Community system of measures by Member States
in aid of the coal industry

(submitted to the Council by the Commission)

COM(76) 259 final.

Explanatory Memorandum

Decision No 528/76/EEC of 25 February 1976 empowers the Commission to authorize financial aid by the Member States to the Community's coal-mining industry.

Decision No 528/76/EEC lays down to this end that the Member States must notify to the Commission by 1 November of each year at the latest all information relating to financial aid planned for the coming year, the grounds for and the scope of the aid. It also states that a common framework should be created for this information to ease collation of the data communicated and accelerate collection and checking.

The draft decision on the implementation of Decision No 528/76/EEC will be sent to the Council for consultation, after which the Commission will be able to adopt the above-mentioned decision which is provided for in Article 17 of Decision No 528/76/EEC.

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DRAFT
Commission Decision /ECSC
of
implementing Decision 528/76/ECSC
on the Community system of measures by Member States
in aid of the coal industry

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

HAVING REGARD to the Treaty establishing the European Coal and Steel Community,

HAVING REGARD to Commission Decision 528/76/ECSC of 25 February 1976 on the Community system of measures by Member States in aid of the coal industry¹, and in particular Article 17 thereof,

HAVING consulted the Council,

Whereas Decision 528/76/ECSC empowers the Commission to authorize, subject to the conditions therein set out, financial measures by Member States in aid of the Community coal industry;

Whereas Decision 528/76/ECSC therefore provides that Member States must notify the Commission by 1 November of any given year of full particulars of the financial measures planned for the following year and the reasons therefor and scope thereof; whereas to ensure that the communications in question are comparable and to expedite the assembling and checking of this information, it is desirable that a common framework for presenting it should be set up,

HAS ADOPTED THIS DECISION:

¹ OJ No. L63, 11.3.1976, p.1.

Article 1

The information provided for under Article 2 of Decision 528/76/ECSC shall be notified in the forms shown in Annexes 1-12 hereto, bearing in mind the explanatory notes in Annex 13.

Article 2

At the request of one or more Member States, the Commission may authorize simplifications of the communication procedure.

Article 3

The material obtained or compiled by the national authorities in implementing of this Decision shall be centralized in the national departments and held at the disposal of the Commission at all times.

Article 4

This Decision shall enter into force on the day of its publication in the Official Journal of the European Communities.

This Decision shall be binding in its entirety and directly applicable in all Member States.

Brussels,

For the Commission
The President
François-Xavier ORTOLI

ANNEX 1

(ref. Article 2(1)(2) and Article 4)

Financial measures concerning social security benefits
in the coal industry

Form 1a

Country: Federal Republic of Germany

Date:.....

I. Tabulation of financial measures
concerning social security benefit

Year x =.....

(figures in millions of DM)

Origin of funds	Amount	Purpose
Total		

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ANNEX 1
(contd.)

Class of insurance: Pensions¹

Form 1b

Country: Federal Republic of Germany

Date:

	Mines scheme	General scheme
II. <u>Pension insurance</u>		
1. <u>Basic data</u>		
A. <u>Total persons covered</u>		
1. Contributors ²		
2. Beneficiaries		
a) Total		
b) Pensioners under 55 ³		
Difference		
B. <u>Financial data</u> (in millions of DM)		
1. Charge to the industry (employers' and workers' contributions) ⁴		
2. Total expenditure		
a) Total benefits		
b) Other expenditure		
Total (a + b)		
less:		
a) Refunds to migrant workers		
b) Benefit to pensioners under 55 ³ (of which: other expenditure) ⁵	()	()
Net total expenditure		

¹Invalidity, old age and survivors'.

²Compulsorily insured.

³Excluding widows' and orphans' pensions.

⁴Excluding State subsidies.

⁵"Other expenditure" should be broken down in respect of pensioners under 55 as follows:

Mines scheme:

$$\frac{\text{benefit to pensioners under 55}}{\text{total benefits}} \times \text{other expenditure}$$

General scheme:

$$\frac{\text{benefit to pensioners under 55}}{\text{total benefits}} \times \text{other expenditure}$$

ANNEX 1
(contd.)

Class of insurance: Pensions (contd.)

Form 1b (contd.)

Country: Federal Republic of Germany

Date:

	Mines Scheme	General Scheme
2. Calculations		
A. Charge per worker employed		
<u>Total contributions</u> (DM million)		
Number of contributors		
Contributions per worker employed (DM)		$C_G =$
B. Benefit per beneficiary (excluding pensioners under 55)		
<u>Net expenditure</u> (DM million)		
number of beneficiaries		
Benefit per beneficiary (DM)	$P_M =$	$P_G =$

"Normal" charge to the industry
per worker employed =

$$C_M = \frac{P_M}{P_G} \times C_G = DM$$

"Normal" charge to the industry = C_M x number of contributors (DM million)

+ pensions to pensioners under 55 (DM million)

Total amount of "normal charge" (DM million)

Actual charge (deducted) (DM million)

Difference (DM million)

1

ANNEX 1
(contd.)

Class of insurance: **Sickness¹**

Form 1c

Country: Federal Republic of Germany

Date:

	Mines scheme	General scheme
III. <u>Sickness insurance</u>		
1. <u>Basic data</u>		
A. <u>Total persons covered</u>		
1. <u>Persons insured</u>		
a) Members, excluding pensioners		
b) Pensioners		
2. <u>Persons covered</u>		
a) Members, excluding pensioners		
b) Dependents of members, excluding those of pensioners		
Total (a + b)		
c) Pensioners		
d) Dependents of pensioners		
Total (c + d)		
B. <u>Financial data (in millions of DM)</u>		
1. <u>Revenue</u>		
a) Contributions of members, excluding pensioners		
b) Contributions of pensioners		
2. <u>Expenditure</u>		
a) Benefits (in cash and in kind) to members, excluding pensioners		
Other expenditure for members, excluding pensioners ²		
Total (a)		
b) Benefits (in cash and in kind) to pensioners		
Other expenditure for pensioners ²		
Total (b)		
Total		

¹ Sickness, maternity.

² "Other expenditure" should be broken down in respect of "members, excluding pensioners" and "pensioners" according to the number of persons covered in the two categories:

- a) Mines scheme (members, excluding pensioners):

$$\frac{\text{number of members, excluding pensioners, + dependants}}{\text{total number of persons covered}} \times \text{other items of total expenditure} = \text{DM million}$$
- b) General scheme (members, excluding pensioners):

$$\frac{\text{number of members, excluding pensioners, + dependants}}{\text{total number of persons covered}} \times \text{other items of total expenditure} = \text{DM million}$$
- c) The formulas for pensioners should be worked out in the same way as in (a) and (b).

ANNEX 1
(contd.)

Class of insurance: Sickness

Form 1c (contd.)

Country: Federal Republic of Germany

Date:

2. Calculations

A. Members, excluding pensioners, and dependents

$$P_M = \frac{\text{expenditure for members, excluding pensioners (M.S.) (DM million)}}{\text{number of members, excluding pensioners, + dependents (M.S.)}} = \text{DM}$$

$$C_G = \frac{\text{contributions of members, excluding pensioners (G.S.) (DM million)}}{\text{number of members, excluding pensioners (G.S.)}} = \text{DM}$$

$$P_G = \frac{\text{expenditure for members, excluding pensioners (G.S.) (DM million)}}{\text{number of members, excluding pensioners, + dependents (G.S.)}} = \text{DM}$$

"Normal charge" per member, excluding pensioners:

$$C_M = \frac{P_M}{P_G} \times C_G = \text{DM}$$

"Normal charge" to the industry (members, excluding pensioners) = $C_M \times$
number of members, excluding pensioners = DM million

Actual charge to the industry (members, excluding pensioners) = DM million
Difference = DM million

B. Pensioners and their dependents

$$P_M = \frac{\text{expenditure for pensioners (M.S.) (DM million)}}{\text{number of pensioners + dependents (M.S.)}} = \text{DM}$$

$$C_G = \frac{\text{contributions of pensioners (G.S.) (DM million)}}{\text{number of pensioners (G.S.)}} = \text{DM}$$

$$P_G = \frac{\text{expenditure for pensioners (G.S.) (DM million)}}{\text{number of pensioners + dependents (G.S.)}} = \text{DM}$$

"Normal charge" to the industry (pensioners) = $C_M \times$ number of pensioners = DM million

Actual charge to the industry (pensioners) = DM million
Difference = DM million

ANNEX 1
(cont.)

Country: Federal Republic of Germany

Form 1d
(figures in million DM)

IV. Summary

<u>Class of</u> <u>social insurance</u>	"Normal charge" (Article 4 of Decision 528/76)	Actual charge	Net balance (+ or -)
Pension insurance			
Sickness insurance			
Members, excluding pensioners, + dependents			
Pensioners + dependents			
Total			

The actual charge to the mining industry overall is thus DM million above/below the "normal charge" under Article 4 of Decision 3/71.

Of this, DM million (=%) is accounted for by the coal industry.

ANNEX 1
(contd.)

(ref. Article 2(1)(2) and Article 4)

Financial measures concerning social security benefits
in the coal industry

Form 2a

Country: Belgium

Date:

Year x=

I. Tabulation of financial measures
concerning social security benefits

(in millions of Bfrs)

Origin of funds	Amount	Purpose
Total		

ANNEX 1
(contd.)

Class of insurance: Invalidity

Form 2b

Country: Belgium

Date:

	Mines scheme	General scheme
<p>II. <u>Invalidity insurance</u></p> <p>1. <u>Basic data</u></p> <p>A. <u>Total persons covered</u></p> <p>1. Contributors</p> <p>2. Beneficiaries</p> <p>B. <u>Financial data in millions of Bfrs</u></p> <p>1. Charge to the industry (employers' and workers' contributions)</p> <p>2. Expenditure</p> <p>a) Benefits</p> <p>b) Other expenditure</p> <p>Net total expenditure</p> <p>2. <u>Calculations</u></p> <p>A. Charge per worker employed</p> <p><u>Total contributions</u> (in millions of Bfrs)</p> <p>Number of contributors</p> <p>Contribution per worker employed (Bfrs)</p> <p>B. Benefit per beneficiary</p> <p><u>Net total expenditure</u> (in millions of Bfrs)</p> <p>number of beneficiaries</p> <p>Benefit per beneficiary (Bfrs)</p>		
	$C_M =$	$C_G =$
	$P_M =$	$P_G =$

"Normal charge" to the industry per worker employed:

$$C_M = \frac{P_M}{P_G} \times C_G = \quad \text{(Bfrs)}$$

"Normal charge" to the industry overall:

number of contributors x $C_M =$ (Bfrs million)

ANNEX 1
(contd.)

Class of insurance: Sickness

Form 2c

Country: Belgium

Date:

(in millions of Bfrs)

	Mines scheme	General scheme
III. <u>Sickness insurance</u> 1. <u>Basic data</u> 1) Total charge to the industry (employers' and workers' contributions) 2) Volume of wage liable for compulsory contributions 2. <u>Calculations</u> $\frac{\text{Total charge}}{\text{Volume of wage}} = \quad \% \quad = \quad \%$		

"Normal charge" to the industry = %

$$\frac{\text{Charge under general scheme in \%} \times \text{mining industry's volume of wages}}{100} = \text{Bfrs million}$$

ANNEX 1
(contd.)

Country: Belgium

Form 2d

Date:

(in millions of Bfrs)

IV. Summary

Class of social insurance	"Normal charge" (Article 4 of Decision 528/76)	Actual charge	Net balance (+ or -)
Invalidity			
Sickness			
Total			

The actual charge to the mining industry overall is thus Bfrs million above/below the "normal charge" under Article 4 of Decision 3/71.

Of this, Bfrs million (= ..%) is accounted for by the coal industry.

ANNEX 1

(ref. Article 2(1)(2) and Article 4)

Financial measures concerning social security benefits
in the coal industry

Form 3a

Country: France

Date:

Year x =.....

I. Tabulation of financial measures
concerning social security benefits

(in millions of FF)

Origin of funds	Amount	Purpose
Total		

Class of insurance: Pensioners' supplementary insurance

Country: France

Date:

II. Supplementary insurance

1. Supplementary Insurance for executives (formerly CARIM)

A. Contribution rates

	Rates on portions of salary liable to contribution	
	between social insurance ceiling and AGIRC ceiling (T2)	between AGIRC ceiling and double that amount (T3)
Contractual contribution	%	%
Supplementary contribution	%	%
Equalization contribution		
Total		

B. Calculation of charges (in millions of FF)

	<u>Portions of salary liable to contribution</u> (S)	<u>Total contributions</u> (A)	<u>(Normal) contractual contributions</u> (B)	<u>Excess charge</u> (A-B)
T2 contributions				
T3 contribution				
T2 + T3				

2. Supplementary insurance for clerical, technical and supervisory personnel¹
(in millions of FF)

	<u>Portion of income liable to contribution</u> (S)	<u>Actual charges</u> ¹ (A)	<u>Normal charges</u> ¹ (B)	<u>Excess charge</u> (A-B)
--	--	---	---	-------------------------------

3. Supplementary insurance for workers (CARCOM) (in millions of FF)

	<u>Portion of wages liable to contribution</u> (S)	<u>Actual charges</u> (A)	<u>Normal charge ...% of S²</u> (B)	<u>Excess charge</u> (A-B)
--	---	------------------------------	---	-------------------------------

¹CAREM scheme superseded from 1 January 1971 by AGIRC and UNIRS schemes.

²Rate of contribution is to move from 4.10% in 1971 to 4.40% in 1974, by 0.10% each year.

ANNEX 1
(contd.)

Class of insurance: Invalidity/old age

Form 3c

Country: France

Date:

	Mines scheme	General scheme
<u>III. Invalidity and old age insurance</u>		
1. <u>Basic Data</u>		
A. <u>Number of persons eligible</u>		
1. Contributors		
2. Beneficiaries		
of which: (a) under 55		
(b) over 55 (+ disabled persons and widows)		
B. <u>Financial data (in millions of FF)</u>		
1. Charge to industry (contributions)		
2. Expenditure		
a) Benefit (beneficiaries over 55 under mines scheme)		
of which: pensions		
heating		
accommodation		
b) Other expenditure less other revenue		
Net total expenditure (a + b)		

Class of insurance: Invalidity/old age
(contd.)

Form 3c (contd.)

Country: France

Date:

	Mines scheme	General scheme
2. Calculations		
A. Charge per worker employed		
<u>Total contributions</u>	(FF million)	
<u>Number of contributors</u>		
Charge per worker employed	(FF)	$C_M =$
		$C_G =$
B. Benefit per beneficiary		
<u>Net expenditure</u>	(FF million)	
<u>Number of beneficiaries</u>		
Benefit per beneficiary	(FF million)	$P_M =$
		$P_G =$

"Normal charge" on the industry
per worker employed =

$$C_M = \frac{P_M}{P_G} \times C_G = \text{FF}$$

Increase per worker employed in respect of
benefits to beneficiaries under 55 falling
wholly to the charge of the industry =

$$\frac{\text{Total net benefit (under 55)}}{\text{Number of workers employed}} = \text{FF}$$

Charge per mineworker employed thus amounts to at least:

- for benefit to pensioners over 55: FF
- for benefit to pensioners under 55: FF

$$\text{Total } C_M =$$

Total "normal charges" to the industry

$$C_M \times \text{number of contributors} = \text{FF million}$$

ANNEX 1
(contd.)

Class of insurance: Sickness/maternity/death (contd.)

Form 3d

Country: France (Gainfully employed only: cash benefits)

Date:

	Mines scheme	General scheme
IV. <u>Sickness/maternity/death insurance</u>		
1. <u>Basic data</u>		
A. <u>Number of persons eligible</u>		
1. Contributors		
2. Beneficiaries		
B. <u>Financial data (in million FF)</u>		
1. Total charge to the industry (contributions)		
2. Expenditure		
(a) Benefits		
(b) Net total expenditure (benefits + other expenditure - other revenue)		
2. <u>Calculation</u>		
A. <u>Charge per worker employed</u>		
<u>Total Contributions</u> <u>Number of contributors</u> = (million FF)		
Charge per worker employed (in FF)		C _G =
B. <u>Benefit per beneficiary</u>		
<u>Total net expenditure</u> <u>Number of beneficiaries</u> = (million FF)		
Benefit per beneficiary (in FF)	P _M =	P _G =

"Normal charge" to the industry per worker employed

$$C_M = \frac{P_M}{P_G} \times C_G = \text{FF}$$

"Normal charge" to the industry

"Normal charge" per worker employed x number of workers employed:

$$C_M \times \text{number of contributors} = \text{FF million}$$

ANNEX 1
(contd)

Class of insurance: Sickness/maternity/death (contd.)

Form 3e

Country: France (Gainfully employed and others covered
+ pensioners and others covered -
Benefits in kind and death grant)

Date:

	Mines scheme	General scheme
<u>IV. Sickness/maternity/death insurance</u>		
1. <u>Basic data</u>		
A. <u>Number of persons eligible</u>		
1. Contributors		
2. Beneficiaries		
B. <u>Financial data (in millions of FF)</u>		
1. Charge to the industry (contributions)		
2. Expenditure		
(a) Benefits		
(b) Net total expenditure (benefits + other expenditure - other revenue)		
2. <u>Calculations</u>		
A. <u>Charge per worker employed</u>		
<u>Total contributions</u> = FF million		
Number of contributors		
Charge per worker employed (FF)		$C_G =$
B. <u>Benefit per beneficiary</u>		
<u>Total net expenditure</u> = FF million		
Number of beneficiaries		
Benefit per beneficiary (FF) = P	$P_M =$	$P_G =$

"Normal charge" to the industry per worker employed

$$C_M = \frac{P_M}{P_G} \times C_G = FF$$

"Normal charge" to the industry

"Normal charge" per worker employed x number of workers employed:

$$C_M \times \text{number of contributors} = FF \text{ million.}$$

ANNEX 1
(contd.)

Country: France

Form 3f

Date:
(in millions of FF)

<p>V. <u>Summary</u></p> <p>1. <u>Primary insurance</u></p> <p>A. "Normal charge" on mines primary insurance</p> <p> Invalidity/old age</p> <p> Sickness/maternity/death</p> <p> a) gainfully employed persons only (cash benefit</p> <p> b) gainfully employed persons and others covered (benefits in kind and death grant)</p> <p> c) pensioners and others covered</p> <p style="text-align: right;">Total</p> <p>B. Total charge (invalidity/old age; sickness/maternity) (see above)</p> <p> "Normal charge" to mining industry</p> <p style="text-align: right;">Remainder</p> <p>of which: ...%¹ accounted for by coal industry (Charbonnages de France)</p> <p>"Normal charge" overall in respect of primary insurance (invalidity/old age and sickness/maternity) on Charbonnages de France</p>	
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¹The Charbonnages de France's share of the volume of wages in the mining industry as a whole subjected to a contribution ceiling amounted in 19.. to ...%.

Summary (contd.)

Form 3f (contd.)

Country: FranceDate:
(in millions of FF)

	Actual charge	"Normal charge" (Article 4 of Decision 528/76)	Excess charge (+)/ Shortfall charge (-)
2. <u>Supplementary insurance</u>			
Executives (formerly CARIM)			
Clerical, technical and supervisory personnel (formerly CAREM)			
Workers (CARCOM)			
Total			
3. <u>Conclusions</u>			
(primary insurance + supplementary insurance + charges carried forward)			
A. "Normal charge" to Charbonnages de France			
1. Primary insurance			
2. Supplementary insurance			
Total			
B. Actual charge (employers' and workers' contributions)			
1. Primary insurance			
2. Supplementary insurance			
Total			
C. Excess charge (B-A)			

The actual charge to the Charbonnages de France is thus FF million
above/below the "normal charge" under Article 4 of Decision 3/71.

ANNEX 1
(contd.)

(ref. Article 2(1)(2) and Article 4)

Financial measures concerning social security benefits in
the coal industry

Form 4

Country: United Kingdom

Date:

Year x=.....

I. Tabulation of financial measures with respect to social security benefits

(in millions of £)

Origin of funds	Amount	Purpose
Total		

(ref. Article 2(1)(3) and Article 5)

Aids towards covering the costs of inherited liabilities arising from pit closures

Country:

Coalfield:

Undertaking:

Date:

Year x =

(in millions of

	Estimated total inherited liabilities	of which:		
		financed by the undertaking		
		included in current production costs	covered by special-purpose reserve	probable amount of aid
1. Specific costs incurred only by undertakings in which closures have occurred (Cost categories in accordance with Article 5(1)(1))				
a)				
b)				
c)				
d)				
e)				
f)				
g)				
h)				
i)				
j)				
k)				
Total				
2. Specific costs also incurred by other undertakings (Cost categories in accordance with Article 5(1)(2))				
a)				
b)				
c)				
Total				
3. Total specific costs (1 + 2)				
4. Lump-sum payments (in accordance with Article 5(2))				

ANNEX 3
(ref. Article 2(1)(5))

Trend in availabilities of coal

Form 1a

Country:

Date:

Year x =

(in millions of metric tons; national figures)

Year x =	Actual tonnage x-2	Estimate x-1	Forecast years		
			x		x+4
1. <u>Production</u>					
a) Coalfield/undertaking					
b) Coalfield/undertaking					
c) Coalfield/undertaking					
d) Coalfield/undertaking					
e) Coalfield/undertaking					
f) Total production					
2. <u>Withdrawals from stocks</u>					
a) From producers' stocks				-	-
b) From importers' stocks				-	-
3. <u>Imports</u>					
a) From other Community countries					- (-)
b) From non-member countries					- (-)
c) Total imports					(-)
4. <u>Total availabilities</u>					1 (-)

¹ Excluding withdrawals from stocks.

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ANNEX 3
(contd.)

Trend in dispositions of coal

Form 1b

Country:

Date:

Year x =

(national figures in millions of m.t.)

	Actual tonnage	Estimate	Forecast years	
	x-2	x-1	x	x+4
1. Home sales				
a) Coking plants				-
b) Gasworks				-
c) Power stations				
Pithead stations				-
Public stations				-
Other industrial stations				-
Total power stations				
d) Briquetting plants				-
e) Industrial consumers (excluding industrial power stations)				-
f) Private households and small consumers				-
g) Other consumers				-
h) Total home sales				
2. Additions to stocks				
a) To producers' stocks				-
b) To importers' stocks				-
3. Exports				
a) To other Community countries				- (-)
b) To non-member countries				- (-)
c) Total exports				(-)
4. Total dispositions				1

¹ Excluding additions to stocks.

ANNEX 3
(contd.)

Trend in availabilities of coke
(excluding gasworks coke)

Form 2a

Country:

Date:

Year x =
(in millions of m.t.)

	Actual tonnage	Estimate	Forecast year	
	x-2	x-1	x	
<u>1. Production</u>				
a) Mine-owned coking plants				
b) Steelworks-owned coking plants				
c) Independent coking plants				
d) Total production				
<u>2. Withdrawals from producers' stocks</u>				
<u>3. Imports</u>				
a) From other Community countries				
b) From non-member countries				
c) Total imports				
<u>4. Total availabilities</u>				

ANNEX 3
(contd.)

Trend in dispositions of coke
(excluding gasworks coke)

Form 2b

Country:

Date:

Year x =

(in millions of m.t.)

	Actual	Estimate	Forecast	
	tonnage		x	
	x-2	x-1		
1. <u>Home sales</u>				
a) To steel industry				
b) To other industries				
c) To private households				
d) Other sales				
e) Total (a+b+c+d)				
2. <u>Additions to producers' stocks</u>				
3. <u>Exports</u>				
a) To other Community countries				
b) To non-member countries				
c) Total exports				
4. <u>Total dispositions</u>				

ANNEX 4

(Ref. Article 2(1)(6))

Trends in production capacity of pitsCountry:Date:Year x =

(in millions of m.t./year)

	Present production capacity	Estimates for x + 4		
		Closures	New or extended pits	Capacity in x + 4 (1-2+3)
	1	2	3	4
a) Coalfield/undertaking				
b) Coalfield/undertaking				
c) Coalfield/undertaking				
d) Coalfield/undertaking				
e) Coalfield/undertaking				
Total production capacity (a+b+c+d+e)				

ANNEX 5
(ref. Article 2(1)(7))

Planned closures and partial closures

Form 1

Country:

Coalfield:

Undertaking:

Date:

Year x =

	Closure	Partial closure
1. <u>Pit</u> :		
2. <u>Production</u> ('000 m.t.) in		
x-2		
x-1		
x		
3. <u>Number employed</u>		
at beginning of		
x-2		
x-1		
x		
4. <u>Number employed underground</u>		
at beginning of		
x-2		
x-1		
x		
4. <u>Number employed under age 50</u>		
at beginning of		
x-2		
x-1		
x		
6. <u>Expected disposition of personnel</u>		
<u>at end of x</u>		
a) Number re-employed:		
a ₁) in other pits of the same undertaking		
a ₂) in other sectors of industry		
b) Number not re-employed:		
b ₁) pensioned		
b ₂) unemployed		
under age 50		
over age 50		
c) Decision pending		

ANNEX 5
(contd.)

Trends in regional problems and employment in mining areas
affected by the proposed closures in Form 1 of this Annex¹

Form 2

Country:
Region:
Coalfield:

Date:
Year x =

	Actual figure x-2	Forecast year x
I. <u>Basic data on region</u>		
A. <u>Total population</u> (number)		
of which: working population	"	
of which: employed	"	
unemployed	"	
B. <u>Gross regional product of region</u> (million ...)		
C. <u>Pattern of economy</u>		
Number employed in industry		
agriculture		
services		
Total		
D. <u>Number of unfilled vacancies in region</u>		
II. <u>Data on coal industry in region</u>		
Total number employed		
a) Departures:		
natural wastage (pensioning)		
redundancies		
Total		
b) Intake:		
Number of redundant workers re-employed		
Number of new entrants		
Total		

¹If possible, the relevant regional programme should be attached.

ANNEX 6
(ref. Article 7)

Investment aids

Country:
Coalfield:
Undertaking:

Date:
Year x =

A. Investment aids under Article 7(1)

Project: (a)
(particulars on separate sheet)

1. Probable amount of non-repayable aid
Mill.
2. Government credits with interest
subsidy
3. Credits for which application has been
made to the Commission under Article 54
of the ECSC Treaty
4. Probable year of completion of
project
5. Probable annual production capacity
after completion of project
(in millions of m.t.)

B. Investment aids under Article 7(2)

1. Description of investment project or programme (particulars on separate
sheet)
2. Financial data (mill.)

	Total capital expenditure planned	of which: aid	Subsidy under Article 54	Remarks on form of aid ¹
				(a) (b)
Project a				
Project b				
Project c				
Investment programme				

¹(a) = non-repayable aid.
(b) = interest subsidy.

ANNEX 7

(ref. Article 8)

Aids towards recruitment, training, adaptation and stabilization
of labour force

Country:
Coalfield:
Undertaking:

Date:
Year x =

1. Reasons for aids and for amount thereof (on separate sheet)
2. Financial data

	Number of employees benefiting	Total expenditure	Aid	Form of total expenditure ¹	Form of aid ¹
		million.....			
Purpose:					
recruitment					
training					
adaptation					
stabilization					
Total					

3. Is the total expenditure given under 2 included wholly or partly in the costs of current production?

Yes/no

4. If so, what proportion? million

¹Indicate method of payment and payee(s).

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ANNEX 8

(ref. Article 9)

Aid towards the building-up and holding of exceptional (periodic) stocks

Country:

Coalfield:

Undertaking:

Date:

Year x =

	Actual tonnage	Estimate	Forecast
	x-2	x-1	x
(national figures in mill. of m.t.)			
A. Production			
B. Total stocks at end of year:			
Coal			
Coke			
of which:			
marketable coal			
marketable coke			

C. Storage costs

	Values in national currency	
	per m.t. of marketable coal	per m.t. of marketable coke
1. Average value of products held in store		
2. Cost of stocking		
3. Cost of destocking		
4. Net storage costs p.a.		
of which:		
depreciation		
service of capital		
other costs exclusive of write-down		

5. Are the costs listed under 2, 3 and 4 included wholly or partly in the costs of current production? Yes/no

6. If so, what proportion? million

D. Aid in forecast year

(1)

Tonnage eligible for aid ('000 m.t.)
 Rate of aid per m.t.(national currency)
 Amount of aid (..... million)

Coal	Coke

(1) The quantities which result from unfulfilled obligations of long-term contracts should be deducted

ANNEX 9
(ref. Article 10)

Aid for the building-up and holding of long-term security stocks

Country	Date
Coalfield	Year x.....
Undertaking	

A. Security stocks built-up on Government initiative:

Coal million m.t.
Coke million m.t.

B. Cost of stocking, destocking, depreciation, capital service and other costs including write-down.

Annual costs per m.t. of coal

Annual costs per m.t. of coke

C. Expected amount of aid in year x:

- per m.t. of coal

- per m.t. of coke

D. Are the costs listed under B. included wholly or partly in current production costs?

yes/no

E. If so, what proportion?

..... million

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ANNEX 10
(ref. Article 11)

Aid to encourage the sale of Community steam coal

Country	Date
Coalfield	Year x
Undertaking	

1. Description of measure
2. Probable amount of aid million
3. Quantity of steam coal aided ('000 m.t.)

ANNEX 11

(ref. Article 12)

Aids towards coverage of operating losses
(Pit costs)

Form 1a

Country
Coalfield
Undertaking

Date

1. Aid is to be granted pursuant to: - Article 12(1)(1) - Article 12(1)(2) ²		
	Past reference basis 19..-19..	Forecast year x = 19..
2. Basic data 1(a) National production ('000 m.t.) 1(b) Output per underground shift (national figures in kg) 1(c) Gross hourly wage underground (national figures)		
3. Production costs ² (In national currency per m.t. produced) (a) Labour costs, direct (b) Materials costs, direct (c) Depreciation for wear and tear, direct (d) Service of operating capital, direct (e) Other costs (f) Total (a to e inclusive) ² Less reduction in costs ³ in the form of aid under Article 4 (national currency per m.t. produced) Article 5 { " " " " " Article 7 ⁴ { " " " " " Article 8 { " " " " " Article 9 { " " " " " Article 10 { " " " " " (g) Total deductions (h) Remaining production costs (f minus g)		

¹Delete as necessary.
²Breakdown as in quarterly cost returns made by associations of undertakings to the Commission.
³To be deducted where the above production costs include costs refunded in the form of aid.
⁴To be deducted where the above production costs include depreciation referring to capital assets, subsidized under Article 7.

ANNEX 11
(cont)

Aids towards coverage of operating losses

(Pit revenues and results)

Form 1b

Country:
Coalfield:
Undertaking:

Date:

	Past reference basis 19..-19..	Forecast year x=
4. <u>Revenue</u> (in national currency, per m.t. produced)		
a) separate items		
a ₁) Sales to coking plants:		
tonnage ('000 m.t.)		
revenue per m.t.		
a ₂) Sales to power stations		
tonnage ('000 m.t.)		
revenue per m.t.		
b) Average overall revenue ¹ per m.t. produced		
plus ²		
coking coal aid under Decision 73/287		
steam coal aid pursuant to Article 11		
c) Total revenue (including aids)		
5. Operating loss eligible for aid (4c-3h) per m.t.		
6. Aid per m.t. applied for		

¹ Breakdown as in quarterly revenue returns made by associations of undertakings to the Commission.

² To be added only where the average overall revenue per m.t. produced does not include aids for the production of coking coal and steam coal.

ANNEX 12
(Ref. Article 2(1)(1))

Indirect financial measures in aid of the coal industry

Country:

Date:.....
(figures in national currency)

NO	Description of measure	Amount of aid in forecast year 19..
1)		
2)		
3)		

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ANNEX 13

Explanatory Notes to forms in Annexes 1-12

1. The basic data and itemized calculations with regard to State measures for the financing of social security benefits should be notified on the forms in Annex 1.

The "normal charge" referred to in Article 4 of Decision .528/76 should be calculated according to the following formula:

$$C_M = \frac{P_M}{P_G} \times C_G$$

where C_M = normal charge per person employed in the Mines Scheme

P_M = benefit per beneficiary in the Mines Scheme

P_G = benefit per beneficiary in the General Scheme

C_G = charge per person employed in the General Scheme

2. Forward estimates of trends in production, imports and sales of coal and coke should be entered; in the form of estimates, on the forms in Annex 3. Care should be taken to see that "total availabilities" tally with "total dispositions".

Annex 3 forms are to be completed by all Member States, irrespective of whether they possess a coal industry or provide aids.

3. Annex 4 and Annex 5 are to be completed by Member States opening new pits or closing pits.
4. In notifying aids towards the building and holding of exceptional periodic stocks on the form in Annex 8, the forecast level of stocks should be given not only for the end of "year x" but also, where appropriate, for the month

ANNEX 13
(contd)

in which stocks are expected to rise above one twelfth of annual production.

5. Other direct aids should be notified to the Commission on the forms in Annexes 2, 6, 7; 9, 10 and 11.

6. Indirect financial measures in aid of the Community coal industry should be notified on the form in Annex 12.

