

# The Europeanization of National Environmental Policy: A Comparative Analysis

*Andrew Jordan and Duncan Liefferink<sup>i</sup>*

Paper for presentation at the  
European Union Studies Association 8th Biennial International Conference, Nashville  
(Tennessee), March 27-29, 2003.

Session 5D

The Europeanisation of National Environmental Policy: EU and Member State Perspectives  
(chair: Andrew Jordan/Duncan Liefferink)

## 1. Introduction

The European Union (EU) decisively affects domestic politics, policies and administrative structures. Even ten years ago, this statement might have generated controversy in some quarters. But today, it is almost axiomatic that the EU 'matters', sometimes hugely, in the daily political life of its citizens. The shift in perceptions about the EU's importance has helped to open up a new and important research frontier in the social sciences, termed 'Europeanization'. The 'Europeanization turn' is exciting because it provides a fresh perspective on some very old debates within European studies. Traditionally, the EU has always been researched and taught using the theoretical models and organising principles of International Relations. It was, as Stephen George (1996: 11) explains, entirely logical to have proceeded in this way because 'what was taking place... was an experiment in putting *inter-state* relations on a new footing'. The primary aim of that work was to understand the development of institutions and policies at the *European* level. The steadily growing size and importance of the EU's policy competences in fields ranging from trade and finance, through to energy and the environment, has now prompted scholars to investigate the 'rebound' or reverse effect of European integration (that is the process through which decision making powers are pooled in the EU) on the Member States. In particular, there is a growing awareness that European integration is not simply something which occurs at the European level, 'above the heads' of states, but has developed to the extent where it now impacts on the basic building blocks of the EU, that is the very states that initially created it. In other words, the EU has, it has been widely claimed, begun to 'Europeanize' national cultures, legislatures and policy systems.

The aim of this chapter is to document the *Europeanization* of national policy since 1970 in 10 Member States, namely Austria, Eire, Finland, France, Germany, Greece, the Netherlands, Spain, Sweden and the UK.<sup>ii</sup> Given that our aim is to explore how common policies adopted by the EU are refracted by different national institutional forms, we have opted to focus on one sector, namely environmental policy, rather than looking at the Europeanization of several sectors in one single country, or a mixture of sectors and countries. Our selection of countries constitutes a representative sample of 'new' (i.e. post-1995) and older Member States, environmental 'leaders' and 'laggards', and northern and southern states, to understand the main dynamics at work. By national 'policy' we mean the *content* of policies (the paradigms of action, the objectives and the policy instruments), the legal and administrative *structures* that have been established to oversee them, and the dominant *style* in which policy is made and implemented.

Our selection of cases raises a number of puzzling questions regarding the process and outcomes of Europeanization. For instance, have some national environmental policies been more Europeanized than others? It seems reasonable to expect that states that are relatively good at nationalising or 'domesticating' (Wallace, 2000: 369-70) EU policy, i.e. exporting ideas and standards to Brussels, will be little touched by Europeanization, whereas net importers will have to significantly adjust their policy systems under the influence of Europeanization. Similarly, which aspects of national activity

have been most significantly Europeanized: national structures, styles or policies? And has Europeanization proceeded faster and further in some sub-sectors (e.g. water) than others and what are the causal factors? Furthermore, has Europeanization affected the relationship between different national actors, both vertically (i.e. between levels of governance – European, national and sub-national) and horizontally (i.e. between environment and cognate policy sectors such as transport and energy)? Finally, who have been the main losers and winners to emerge from the Europeanization process?

The remainder of this chapter proceeds as follows. The next section (section 2) discusses some basic aspects of our research approach, the reasons for concentrating on environmental policy, and the basic categories for ‘measuring’ the degree of Europeanization. The main findings of our comparative analysis are presented in section 3 and further analysed in section 4. Section 5 draws together the main conclusions and considers their implications for the future study of Europeanization.

## **2. Europeanization: Definitions and Causal Processes**

### ***Definitions of Europeanization***

To date, there is no single, all-encompassing ‘theory’ of Europeanization which we can employ, and even its basic meaning remains contested (see: Radaelli, 2000; Buller and Gamble, 2002). However, the mainstream opinion is that Europeanization research should seek to understand the domestic impacts of European integration (e.g. Heritier *et al.*, 2001: 3; Boerzel, 2002a: 6). According to this view, which we shall employ in this particular chapter, Europeanization concerns the process through which European integration penetrates and, in certain circumstances brings about adjustments to, domestic institutions, decision-making procedures and public policies. Of course, this definition begs many more questions than it answers, some of which we return to below.

In adopting this particular interpretation of Europeanization, we are consciously choosing *not* to frame our research in order to explore two other possible interpretations of that term. The first holds that Europeanization is really about the accumulation of policy competences at the EU level. This definition was particularly popular in early studies of member state-EU dynamics (e.g. Rehinder and Stewart, 1985, xx), but it was recently resurrected by Cowles *et al.*, (2001: 2). The main problem with this particular definition is that it risks eliding Europeanization with the alleged source of domestic change, namely European integration.

The second interpretation views Europeanization as a ‘two way street’, in which states affect the EU at the same time as the EU affects states. While the argument that the flow of influence between states and the EU is reciprocal and continuous is essentially unimpeachable, it is nonetheless difficult to fashion it into a rigorous research strategy (i.e. where does the analyst start to look for the causes and consequences of change if they are reciprocally interconnected?) (see: Boerzel, 2002a/b; Jordan, 2002a). In the language of more positivistic social science, a two-way definition of Europeanization lacks a set of dependent and independent variables.

### ***Why environmental policy?***

There are three good reasons for taking environment policy as an empirical ‘case’ of Europeanization: two of them theoretical, the third pragmatic. First, it is one of the EU’s most well developed areas of competence. Most of the early measures of the 1970s were tied quite closely to the logic of creating an internal market in goods. However, EU environmental policy soon broke free of the legal and political constraints that linked it to the internal market, to colonize areas that had never been comprehensively regulated at the national level before, such as access to environmental information, the protection of natural habitats and systems of land use planning (Liefferink *et al.* 1993; Jordan, 2002b). Consequently, many have claimed that national systems have been deeply and irreversibly Europeanized as a result of their involvement in EU policy making (Haigh, 1984; Lowe and Ward, 1998). This thirty year time period (i.e. 1970-2000) also provides us with a sufficiently long timeframe comprehensively to assess

the impacts of Europeanization. It would be much more difficult (though no less important) to study Europeanization in sectors where the EU does not have such a long and intensive history of involvement, such as defence or foreign affairs.

Second, contra Moravcsik (1994), national policy *was* already relatively well developed when the EU started to develop its own environmental powers. This allows us to construct a policy ‘baseline’ for the ten countries for the year 1970, against which we can measure any subsequent EU-induced effects. It will be much harder (though not impossible) to study Europeanization in sectors where EU and national policy have co-evolved.

Finally, a huge amount of good empirical work has already been conducted on the implementation of EU environmental policy in national contexts. The purpose of this chapter is to build upon that substantial empirical base, by looking for broad patterns in the national adaptations to the EU (i.e. Europeanization) and searching for causal mechanisms.

***The Europeanization of national environmental policy***

How then, does Europeanization take place in the environmental sector? Throughout the history of the EU environmental policy, states have tried to shape European rules to ensure they are aligned with their own national approaches and practices. By working to achieve a ‘goodness of fit’ (Cowles *et al.*, 2001) between the two, states hope to reduce adjustment costs, secure ‘first mover advantages’ and reduce political and legal uncertainty by minimising the extent of Europeanization. The ‘regulatory competition’ (Héritier *et al.*, 1996) between the fifteen Member States to set the ‘rules of the game’ at the European level, defines the scope of European integration. Crucially, this process inevitably creates instances of institutional ‘misfit’ when European requirements conflict with the way in which states have traditionally organized their domestic environmental affairs – i.e. the structures, style and philosophy of national policy. It is commonly argued that these ‘misfits’ are pre-requisite for Europeanization (Cowles *et al.*, 2001). The logical implication of this argument is that more proactive states can forestall Europeanization by exporting, uploading or projecting (Bulmer and Burch, 1998) their preferred national policy approach to the EU. After all, if (as has often been the case with the more environmentally progressive states such as The Netherlands (Liefverink, 1996) and Germany (Weale, 1992)) European rules are based on the core features of national rules, the misfit is likely to be low and the degree of Europeanization correspondingly weak. By contrast, states that consistently download EU policies which are modelled on alien institutional systems, will find themselves under European and domestic pressure to fall into line. The gradual accumulation of misfits will eventually produce serious implementation problems, significant political crises and, possibly, sudden domestic transformations (Risse *et al.* 2001: 8).

To summarize the argument thus far, ‘policy shapers’ in the EU seek to ensure that the two logics of action – the European and the national – are as closely aligned as possible, whereas as ‘policy takers’ struggle to achieve such a fit. Consequently, they find themselves under pressure from national actors such as environmental pressure groups, as well as EU bodies such as the Commission and the European Court of Justice (ECJ), to adapt their policy systems to EU requirements. Generally speaking, the larger the ‘misfit’ the greater the likelihood of domestic change (see Table 1).

***Table 1 Degrees of Domestic Policy Change***

|                | Extent of policy ‘misfit’                       | Amount of domestic change   |
|----------------|---|---|
| Absorption     | Small: EU and national policy similar           | Small: States are able to incorporate/domesticate EU requirements without substantially modifying national policies   |
| Accommodation  | Medium: EU and national policy differ           | Medium: states accommodate/mediate EU requirements by adapting existing policy while leaving its core features intact |
| Transformation | High: EU and national policy markedly different | High: domestication fails; states forced to replace or substantially alter existing policy to satisfy EU requirements |

Source: based on Boerzel and Risse (2000)

However, several observers have correctly pointed out that the presence of a 'misfit' is only ever a necessary but an insufficient condition for Europeanization (i.e. domestic change). This is because EU policy is a complex amalgam of different national approaches (i.e. a 'patchwork' (Rehbinder and Stewart, 1985: 254)). Weale (2002: 209-10) claims that EU environmental standards are:

*'neither a reflection of a dominant coalition of countries pushing their own national style of regulation, nor a merry go round, in which different countries have a go at imposing their own national style in a sector that is of particular importance to them. Instead they are the aggregated and transformed standards of their original champions modified under the need to secure political accommodation from powerful veto players'*

The obvious implication of this is that no state can ever be perfectly aligned to every requirement listed in a single Directive, let alone every Directive in the whole *acquis* i.e. there will *always* be some misfits. Somehow, other intervening variables need to be included in the analysis to account for the specific patterns of Europeanization that we see unfolding in different Member States (see: Cowles *et al.* 2001; Boerzel, 2002a). These variables include, among others: the extent to which features of national policy are institutionally rooted (Knill and Lenschow, 2000; Knill, 2001), the number of 'veto points' that have to be passed at the domestic level (Haverland, 2000), the presence of national pressure groups able and willing to 'exploit' misfits, the national societal support for environmental protection and the national public support for EU action.

### ***Measuring the Europeanization of national environmental policy***

In this chapter we have chosen to measure the combined effects of Europeanisation and domestication along three distinct, but subtly interrelated variables, namely *policy content*, *policy structure* and *policy style*. Following Hall (1993), policy content can be divided into three different levels. The first relates to the precise setting of policy instruments (e.g. the level of emission standards or taxes, the chemicals included in 'grey' and 'black' lists, etc.). The second is the instruments or techniques by which policy goals are attained (e.g. direct regulation, fiscal instruments, or voluntary agreements). The third level comprises the overall goals that guide policy. These goals operate within a policy paradigm or a 'framework of ideas and standards that specifies not only the goals of policy and the kind of instruments that can be used to attain them, but also the very nature of the problems they are meant to be addressing' (Hall, 1993: 279).

The concept of policy structure is potentially very broad, which raises some problems defining its boundaries, not least in relation to policy style. National institutional structures range from the basic building blocks of the state (e.g. departments, agencies, etc) through to policy coordination networks, codes, guidelines, and 'ways of working' (Peters, 1999: 28, 146; Bulmer and Burch, 1998; 2000). The more cultural aspects of national policy structure – the norms and values associated with administrative work (e.g. Bulmer & Burch, 1998; 2000) – will be dealt with here separately as policy style.

In defining policy style, we follow the argument that a society's 'standard operating procedures for making and implementing policies' (Richardson *et al.*, 1982: 2) can be characterized along two axes: (1) a government's approach to problem solving, ranging from anticipatory/active to reactive, and (2) a government's relationship to other actors in the policy-making and implementation process, characterized by their inclination either to reach consensus with organized groups or to impose decisions. With the help of these two categories, dynamic changes in national policy styles due to Europeanization can be 'mapped'.

### **3. Patterns of Europeanization: A Comparative Analysis**

This section presents a comparative analysis of the Europeanization of national environmental policy in ten EU Member States (Austria, Eire, Finland, France, Germany, Greece, the Netherlands, Spain, Sweden and the UK) on the basis of a series of more detailed country studies (see: Jordan and Liefferink, 2003).

### ***Policy content***

Table 2 summarizes the main impacts of Europeanization on environmental policy content in the ten countries. The single most obvious point is that the EU has affected some aspect of policy content in all ten states, even the most environmentally progressive or 'leader' states such as Germany, Sweden and The Netherlands. At a very general level it is possible to identify instances where the EU has Europeanized all three levels of policy content as well as the overarching policy paradigms of national action. In terms of policy paradigms, the EU has undeniably promoted a more preventative, source-based approach to policy making, which fitted neatly with common practice in countries such as the Netherlands and Germany, but misfitted with (and required changes to be made to) everyday practice in Ireland and the UK. A raft of water and air pollution Directives dating back to the 1970s have also helped to bring about a fundamental shift in the main goals of national policy. Countries such as the UK, Finland, France and Greece have had to adapt their domestic arrangements, which contained relatively few explicit emission standards or focused on attaining pre-determined levels of environmental quality through the setting of environmental quality objectives (EQOs). However, the environmental *acquis* also contains some EQOs and environmental quality standards (e.g. the Directives relating to bathing water, freshwater fish and shellfish, as well as to air quality, and the application of sewage sludge to agricultural land), which have disrupted arrangements in countries such as Germany and Sweden which had traditionally relied upon emission limits.

***Table 2: Policy Content in c. 2000 as compared to 1970***

|             | GOALS                     | DOMINANT INSTRUMENT(S)  | CALIBRATION OF INSTRUMENTS: ADDITIONAL EU EFFECT |
|-------------|---------------------------|---|--|
| AUSTRIA     | Still source-based        | Still mostly regulation, but various NEPIs/procedural instruments | Little overall change                            |
| FINLAND     | Increasingly source based | Still mostly regulation, but some NEPIs                           | Tighter standards                                |
| FRANCE      | Increasingly source based | Still mostly regulation, but various NEPIs                        | Tighter standards                                |
| GERMANY     | Still mainly source based | Still mostly regulation, but various NEPIs/procedural instruments | Little overall change                            |
| GREECE      | Increasingly source-based | More regulation but also more procedural instruments              | Significantly tighter standards                  |
| IRELAND     | More source based         | More regulatory, but several NEPIs                                | Tighter standards (but implementation problems)  |
| NETHERLANDS | Still mainly source-based | Mostly still regulation, but several NEPIs                        | Little overall change                            |
| SPAIN       | More source-based         | Still mostly regulation, but various NEPIs/procedural instruments | Significantly tighter standards                  |
| SWEDEN      | Still mainly source-based | Still mostly regulation, but more procedural instruments          | Little overall change                            |
| UK          | More source based         | Mostly regulation, but some NEPIs                                 | Tighter standards                                |

The EU has in fact introduced entirely new policy instruments in some countries (e.g. air quality standards for SO<sub>2</sub> and smoke, lead and NO<sub>2</sub> in many Member States including The Netherlands and the UK; 'emission bubbles' and restrictions on the total production of certain chemicals such as CFCs). It has also altered the manner in which existing tools are applied (e.g. the use of environmental impact assessment (EIA) in Sweden). Paradoxically, the tools that appear to have caused the most disruption are those that belong to the new generation of seemingly less intrusive, 'bottom-up' instruments, sometimes referred to as 'new environmental policy instruments' (NEPIs) (Jordan and Wurzel, 2003; cf. Knill and Lenschow, 2000). Many of them are mainly procedural in nature, for example the Directives on access to environmental information, environmental impact

assessment and environmental management. While these have been fairly comfortably accepted in countries such as the UK (which helped promote them in the first place), they have misfitted with everyday practice in states such as Sweden, Germany and Austria. In these states, environmental policy objectives have traditionally been implemented through the setting of strong, source-based controls and the adoption of the 'best available technology' (BAT).

Finally, the EU has tightened the level at which these instruments are formally calibrated or 'set'. In some countries the overall extent of domestic adaptation has been relatively limited (e.g. The Netherlands, Austria and Sweden and Germany), whereas in others it has been quite dramatic (namely Greece, Ireland and Spain). The rest (e.g. France, Finland and the UK) have been forced to raise their standards by an intermediate amount.

In fact, the EU's influence extends well beyond the three main 'levels' of policy content. For instance, the EU has introduced entirely new policy issues in some countries. The best examples are probably Ireland (e.g. waste management), and Spain (e.g. fisheries), though the EU could also be said to have championed a formalized system of biodiversity protection that is alien to very many member states (in particular, France, the UK, Sweden and Ireland among others). The EU has also forced member states to alter the importance that they place on particular sub-categories of environmental protection. For instance, the EU forced the UK, which had a well developed corpus of policy relating to land use planning and nature conservation, to pay much more sustained attention to controlling industrial pollution at source.

### ***Policy structure***

As with policy content, the single most obvious point to make about the Europeanization of environmental policy structures (see Table 3) is that the EU *has* affected some aspect of policy structure in all ten countries, *including* the most environmentally progressive or 'leader' states. It is immediately obvious that the policy pressures arising from membership of the EU, have forced all states to develop new environmental policy coordination mechanisms. These take the form of committees or networks at the domestic level, whose purpose is to ensure that national negotiators present a single, coherent point of view or position in EU negotiations. Similar arrangements have had to be created in or involving the national permanent representations to coordinate the national position in Brussels. The most striking feature of these arrangements is that while they are 'new', they often represent only a very slight modification of the pre-existing networks that traditionally linked different departments. The degree and suddenness of these changes has been greatest in countries which joined the EU only very recently (e.g. Sweden and Finland), or had traditionally relied upon a much less coordinated approach to EU policy making (e.g. Germany and The Netherlands). The perceived need to coordinate better has not been nearly so pressing in hierarchically structured states such as the UK, which have simply fine-tuned their existing arrangements to respond to EU pressures.

Similarly, most states have created new institutional procedures to consult with their national parliaments throughout the course of a negotiation on a particular dossier. Again, many of these are new, but they do not represent a dramatic or wholesale break with the past (i.e. they are a modification of existing practices and structures). However, there is a common perception that the highly technical nature of much EU environmental decision-making, the speed at which dossiers are moved through the EU system, and the physical remoteness of Brussels and Strasbourg, have combined to reduced national parliamentary scrutiny of EU policy making.

The Europeanization of the content of national policy has also vastly increased the workload of officials in most national environmental departments. Consequently, some have grown in political importance and size relative to cognate, but less-Europeanized departments (e.g. the UK). Membership has also created new political opportunities and points of leverage for national environmental departments to exploit (Jordan, 2001). In less strongly coordinated national governments, the Europeanization of national environmental policy making allows national environment ministries to agree to ambitious proposals in the Environment Council, which can then be presented to cognate departments as a *fait accompli*. In more tightly coordinated national systems,

Europeanization has strengthened the arm of environment ministries in inter-departmental conflicts with cognate departments (Jordan, 2001; 2002a).

**Table 3: Policy Structure in c. 2000 as compared to 1970**

|             | ARRANGEMENTS FOR EU AND FOREIGN ENV. POLICY COORDINATION             | IMPORTANCE OF NATIONAL PARLIAMENT IN ENV. POLICY | STRENGTH OF NATIONAL ENVIRONMENT MINISTRY    | IMPORTANCE OF SUB-NATIONAL LEVEL IN ENV. POLICY     |
|-------------|--|--|--|---|
| AUSTRIA     | + Some new structures added  | - Weaker   | ++ Significantly stronger                    | -- Länder and 'social partners' weaker              |
| FINLAND     | ++ Strengthened domestically and in the EU                           | -  | +  | ??  |
| FRANCE      | ++ New coordination structures created; attempt to be more proactive | -- Increasingly marginalized                     | + Empowered                                  | - Weaker  |
| GERMANY     | ++ Strengthened domestically and in the EU                           | +/-  | +  | +/- Länder initially weaker, later partly reclaimed |
| GREECE      | ++ Strengthened domestically and in the EU                           | +/-  | ++ Creation of Environment. Ministry         | ??  |
| IRELAND     | + Some new coordination structures                                   | +/-  | +/- More powerful but still relatively small | +/-   |
| NETHERLANDS | ++ Strengthened domestically and in the EU                           | - Still low                                      | + Growing c.f. MFA                           | +/- Still low                                       |
| SPAIN       | ++ Strengthened domestically and in the EU                           | +/-  | ++ Creation of environment Ministry          | +/-   |
| SWEDEN      | ++ Strengthened domestically and in the EU                           | +/- New consultation procedures added            | +/-  | + Increased importance of technical agencies        |
| UK          | + Existing structures strengthened                                   | -  | +  | -- Significantly lower                              |

*Key: ++ significantly more; + slightly more; +/- unchanged; - slightly less; -- significantly less.*

In addition to that, our cases confirm that Europeanization has helped to centralize policy making responsibilities into the hands of central government departments (e.g. the UK), and technical agencies (e.g. Sweden) at the expense of sub-national pollution control bodies, and local or regional government (e.g. Germany). Finally, Europeanization has generally increased the political opportunities available to environmental NGOs. The possibility to lodge formal complaints with the Commission about flaws in the national implementation of EU law has created an important additional route for them to 'fight' their own national governments.

The overall pattern is one of slow and steady adaptation, with very few obvious discontinuities or sudden step changes. The two most dramatic changes to arise from EU membership are as follows. The first is probably the creation of integrated environmental ministries in Greece and Spain, and larger technical enforcement and monitoring agencies in the UK, France and Spain. However, crucially, in none of our ten cases was the EU the only motivating factor. The second is the deep

Europeanization of national legal structures, which is of course, a general feature of many policy areas where the EU enjoys competence, not just the environment. Nowadays, the Commission is usually very quick to commence infringement proceedings against states that do not adapt their national legal systems to fit EU legislative requirements. The practice of using administrative circulars to transpose Directives, for instance, which was a commonly employed in France, Germany, Eire and France to disguise the Europeanization of national systems, has been outlawed by the ECJ.

Finally, our study identifies the same overall pattern of winners and losers as that identified by other analysts (e.g. Rometsch and Wessels, 1996). Of the main winners, the most prominent in the environmental sectors are national environmental departments, technical agencies (e.g. France and Sweden) and environmental pressure groups. The main losers are national parliaments (which have seen their importance decline still further because of the centralization of policy making), foreign ministries (which are no longer solely responsible for determining the content of national foreign policy), and local and regional governments.

***Policy Style***

In sharp contrast to the content of national policies, national policy styles appear not to have altered substantially under the EU’s influence (see Table 4). On the whole, the dominant style remains consensual rather than adversarial. It has become more proactive in a number of member states. That this change is restricted mainly to the environmental ‘leader’ states, such as Germany, the Netherlands, Sweden, as well as France, suggests that this is an autonomous trend rather than an EU effect. In Finland, by contrast, the increasing role of the EU in agenda-setting seems to have led national policy actors to behave in a more reactive way. The basically reactive style of Greece, Spain, Ireland and the UK, moreover, appears to have been hardly affected by Europeanization. This is intriguing because the aim of many EU environmental policies (and established principles of several Action Programmes) is to prevent environmental problems before they appear and become serious, and to promote public consultation and public participation. We speculate on possible explanations below.

***Table 4: Policy Structure in c. 2000 as compared to 1970***

|             | ACTIVE (precautionary) VS. REACTIVE (curative) | ADVERSARIAL VS. CONSENSUAL |
|-------------|--|----------------------------|
| AUSTRIA     | More anticipatory/strategic                    | More adversarial           |
| FINLAND     | More reactive                                  | Somewhat more adversarial  |
| FRANCE      | More anticipatory                              | More adversarial           |
| GERMANY     | More anticipatory                              | Still consensual           |
| GREECE      | Still reactive                                 | Still state-led            |
| IRELAND     | Still reactive                                 | Still consensual           |
| NETHERLANDS | More anticipatory                              | Still consensual           |
| SPAIN       | Still reactive                                 | Still consensual           |
| SWEDEN      | More anticipatory                              | More adversarial           |
| UK          | Still reactive                                 | Still consensual           |

One other anomaly is worthy of note, namely the shift towards a more adversarial style of politics in countries that have traditionally been highly consensual. The two most obvious examples here are Austria and Sweden and to a lesser extent Finland. One explanation is that the deadlines governing EU policy making have accelerated the domestic policy process, reducing the scope for extensive consultation with affected interests. Apart from this quite specific change, the overall impact of the EU on national policy style appears to have been quite limited. Or at least it is very difficult to disentangle the ‘EU effect’ from other domestic and/or socio-economic causes of change. These include the post-industrial demand among national publics for higher environmental standards, financial budgetary pressures (Austria, Ireland, Germany, Finland), domestic political change (namely the election of right wing governments promoting variants of new public management) (e.g. the UK) and long-term economic transformations (e.g. the rapid development of the tourist industry in Greece and Spain)



#### 4. The Europeanization of national environmental policy: Dominant patterns and processes

Table 5 summarizes these general patterns of Europeanization in the ten countries using the measures introduced above (Table 1). It reveals that the impact of the EU is indeed differentiated across sectors and countries. The EU effect on the content of policy appears to have been the most profound, whereas policy structures and policy style appear to have been much less affected. The impact on structures has been incremental and mostly path dependent. In fact, recent research on even the most 'Europeanized' parts of state structures (i.e. those coordinating EU policy within Brussels) (Kassim *et al.*, (2000); Kassim *et al.* (2001)) has found that each country retains its own, distinctive approaches and procedures. Thus, national coordination mechanisms come in very different sizes, have very different ambitions and interface with national actors in markedly contrasting ways. The really big 'machinery of government' changes (e.g. the creation of a new ministry, or the dismemberment of an existing one) have arisen because of domestic and mostly 'non environmental' political demands. Finally, it is very difficult to make firm statements about the Europeanization of policy style, given the difficulties of disentangling the 'EU effect' from the many other causal factors.

**Table 5: The Overall Extent of Europeanization (for meaning of terms, see Table 1)**

|             | POLICY STRUCTURES                | POLICY CONTENT | POLICY STYLE |
|-------------|----------------------------------|----------------|--------------|
| AUSTRIA     | Accommodation                    | Accommodation  | Absorption   |
| FINLAND     | Absorption                       | Accommodation  | Absorption   |
| FRANCE      | Accommodation/<br>Transformation | Accommodation  | Absorption   |
| GERMANY     | Accommodation                    | Accommodation  | Absorption   |
| GREECE      | Accommodation                    | Transformation | Absorption   |
| IRELAND     | Accommodation                    | Transformation | Absorption   |
| NETHERLANDS | Accommodation                    | Absorption     | Absorption   |
| SPAIN       | Accommodation                    | Transformation | Absorption   |
| SWEDEN      | Accommodation                    | Absorption     | Absorption   |
| UK          | Absorption                       | Transformation | Absorption   |

The most obvious place to look for an explanation for this pattern is the basic *modus operandi* of the EU. First and foremost, the EU disseminates policy content, not policy structures and a policy style. To use Alberta Sbragia's apt phrase, the EU has taken an institutional 'vow of poverty'; it steers by issuing regulations (Sbragia, 2000). Some policy instruments may, of course, imply a change in policy style (e.g. the dissemination of emission limits and EIA procedures is supposed to promote more anticipatory policy style), but of themselves do not directly require it. Directives (the main instrument of EU environmental policy) specify the ends to be achieved, but not the means of doing so. Thus, the EU has little ability to dictate the operation or structure of national public administrations (Bossaert *et al.*, 2001: 3; Goetz, 2001, 1040), or directly influence the policy style of a country. Finally, European integration is ultimately a legal process, enshrined in and underpinned by written legal texts. Therefore, it is not at all surprising to find that national legal systems have been the most profoundly Europeanized (Alter, 2001; Snyder, 2001).

Another aspect of Europeanization we sought to understand was the overall geographical pattern of change. Simplifying greatly, the well-known 'pioneers' (Andersen and Liefferink, 1997) in our sample (i.e. Germany, the Netherlands and Sweden), have had to adapt the least, whereas some aspects of policy in Spain, Greece and Ireland have been completely transformed by EU membership. These two groups could be crudely labelled as policy 'shapers' and policy 'takers'. The UK originally also belonged to the group of heavily Europeanized policy 'takers' but started to adopt a more active stance in EU environmental policy from the 1990s. An intermediate grouping of states comprising France, Finland and Austria have neither consistently 'shaped' nor 'taken' EU policy since joining. They have, nevertheless, been able to limit the impact of the EU on national environmental policy as compared with the group of policy 'takers'. The overall pattern is shown in Figure 1, which provides a 'snapshot' of the situation around 2000.

**Figure 1: Patterns of Domestic Action and Domestic Impact, c. 2000**

|                              | EU Policy taker          | EU Policy maker              |
|------------------------------|--------------------------|------------------------------|
| <b>Weakly Europeanized</b>   | Austria, France, Finland | Netherlands, Germany, Sweden |
| <b>Strongly Europeanized</b> | Ireland, Greece, Spain   | UK                           |

At a very basic level, it is possible to explain this pattern in terms of the ‘regulatory competition’ between states to set the European ‘rules of the game’ (Héritier *et al.*, 1996). Every single country has at times – alone or together with other states – sought to upload aspects of policy content to the EU. The Netherlands has been conspicuously concerned with promoting long-term strategic planning, environmental impact assessment and ‘good governance’. These are very similar to the UK’s priorities. Germany in particular sought to promote uniform emission limits and the use of BAT, as well as measures to reduce car pollution. France and Germany on the other hand, were early advocates of stronger water pollution controls. Still other states have tried to upload generic issues rather than specific approaches, for example chemicals (Sweden), transport (Austria), water supply (Spain and Greece). Finally, deeply Europeanized states (i.e. Spain, Greece and Ireland) have not consistently uploaded anything, although Ireland has long standing reservations about nuclear power (especially in the UK), and Greece and Spain have successfully argued for EU cohesion funding (i.e. a EU financial subsidy for fitting domestic pollution control facilities). If anything, Figure 1 suggests that those countries that have been engaged most consistently in uploading policies to Brussels have generally been least Europeanized. By no means does this suggest a direct causal link between the two, however. With the exception of the UK’s shift from the late 1980s, perhaps, countries do not decide to invest in uploading primarily to limit Europeanization. There are many variables intervening in this process. To mention just a few: a generally positive attitude towards European integration is likely to increase the willingness to put considerable effort in uploading (or, in the cases of Germany and The Netherlands, ‘constructive pushing’) (cf. Liefferink and Andersen, 1998), whereas a high public and political profile of environmental issues ‘at home’ may have a similar effect (e.g. Sweden, and the UK since the late 1980s). On the other hand, those countries that have generally set their priorities on other issues of European integration than the environment, are more likely passively to ‘take’ policies suggested by the EU in this particular field (the Mediterranean countries, Ireland and, to a lesser extent, Finland). Figure 1 thus shows a set of outcomes rather than a direct causal relationship (for an alternative discussion of essentially the same relationship, see Boerzel, 2002b).

However, these very broad patterns mask a number of interesting sub-dynamics. The first sub-dynamic relates to the timing of change. Generally speaking, the element of national policy to be Europeanized first is policy content. Much later, states react to the emergence of politically embarrassing or financially costly misfits by making structural and tactical changes to better ‘shape’ EU policy. The best examples are to be found in The Netherlands and Germany (which improved their respective internal coordination capacities) and the UK (which eventually took a strategic decision to domesticate the EU by uploading national ‘success stories’ (Jordan, 2002a)). Similarly, traditional policy styles in Austria, Germany and Sweden are only gradually coming under pressure to adapt to cope with the Europeanization of their respective national policies.

The second sub-dynamic relates to specific items of legislation which, in certain circumstances, can have anomalous impacts. It is obvious that specific Directives can and often do provoke significant national adaptations even in those countries that have been much less Europeanized than the norm. A number of Directives stand out as having caused problems in almost all states. These include the drinking and bathing water Directives, the nitrates Directive, and the habitats and wild birds Directives. This pattern suggests that there are some Directives that misfit with most of if not all national policies.<sup>iii</sup> There are in fact various examples of an uploaded policy ‘backfiring’ in unexpected ways, that is causing much more domestic change (i.e. Europeanization) than even the original champion of the policy intended, e.g. in the UK (IPPC), the Netherlands (nature conservation), and Germany (various air pollution Directives). This finding has potentially important implications for the continuing debate among European scholars about which actor(s) exert(s) the

strongest control over the course of EU integration (see below). Interesting as they are, however, these and other sub-dynamics cannot be properly understood without more detailed comparative empirical research.

Thirdly, as touched upon above, the extent to which states 'take' or 'shape' policy (i.e. as represented by their positions on Figure 1) has varied over time. The UK is a good example of a 'taker' that has transformed itself into much more of a 'maker'. Germany on the other hand has shifted in the other direction, 'making' important areas of EU policy in the 1980s only then to lapse into a more passive mode of behaviour in the 1990s which has culminated in a significant 'proceduralization' of national policy. The behaviour of other states has remained much more constant, be they 'shapers' (The Netherlands) or 'takers' (Greece, Ireland and Spain). There are country-specific reasons for these changes, but the important overall point is that they cannot be understood simply as a response to 'outside pressures' from the EU, or 'inside out' pressure exerted by states on the EU (e.g. by uploading successful national policies). Rather, they demonstrate the intricate interrelationships between European integration and Europeanization. When viewed over longer periods of time, it is possible to appreciate that national and EU policy systems are, in fact, mutually co-evolving. However, above we identified the difficulties that mutual causality poses for the design of EU research.

It has been suggested above that Europeanization is the outcome of an external pressure exerted on member states by the EU. When states adapt to that external pressure by adjusting their domestic policy arrangements, then Europeanization has occurred. Therefore, a discontinuity or 'misfit' between what the EU requires and pre-existing national policy arrangements, is a necessary, though not a sufficient, condition for Europeanization to occur. If this view is correct, what additional, intervening variables might predict whether that change actually occurs or not?

A reasonable predictor of national change appears to be the level of domestic political support for environmental protection. Thus the most Europeanized states in Table 5 (namely Spain, Greece, Ireland and the UK) have generally exhibited lower than average levels of political support for environmental protection. On the other hand, 'leader' states with more environmentally demanding publics such as Germany, Sweden, The Netherlands and Austria have been far less Europeanized across all three domains of policy. There are two possible flaws in this line of argument. The first is that although levels of environmental ambition is negatively correlated with the depth of Europeanization, one is still left to explain the differential Europeanization of the three components of national policy, or, indeed, the variations within any one component (e.g. policy content). Secondly, levels of environmental ambition may simply be another way of measuring the level of misfit (i.e. more environmentally progressive societies tend to have more progressive environmental policies which fit with what the EU demands).

A more important intervening variable is the presence of actors who are sufficiently well motivated to exploit any misfit between EU and national policy. At this point, it becomes very difficult to identify *a priori* the precise conditions under which a misfit will translate into domestic change. Much depends on what is being Europeanized. Clearly, some changes (e.g. the amendment of national legal structures) are fairly easy for states to make, or are patently in their self-interest to make (e.g. the improvement of internal coordination arrangements). Others (e.g. the wholesale re-organisation of national permitting systems or the commitment of large amounts of new investment in environmental improvement) require harder choices and are less likely to occur without sustained political pressure. This helps to explain why the EU appears to have succeeded rather better at Europeanizing (i.e. harmonising) national legal systems, than national polities or policy outcomes (see below). On top of that, national actors do have to exploit misfits to exert political pressure on states. Environmental pressure groups have served this purpose in the UK and, to a much lesser extent, Spain, Greece and Ireland. Interestingly, also producers of environmental technology or 'progressive' industries with a competitive interest in strict environmental policies have at times played this role (so-called 'helpers interests', cf. Prittwitz (1990)). However, there must also be personalities in EU institutions willing to respond to these demands. The most important locus for these conflicts is the Commission's

infringement procedure. Often, unless and until this process is triggered, 'paper' misfits will not translate into domestic change (c.f. the 'pull-and-push' model of implementation, developed by Boerzel (2000)). It is very difficult to generalize, however, because in the final analysis EU demands are often just an input to highly complex domestic political processes.

## 5. Conclusions

The main finding of this chapter is that each and every state has been Europeanized to some extent. Overall, the EU has had a much deeper impact on the content of national policy than policy structures or the style in which they function. The impact is, of course, highly differentiated across countries and the three dimensions of national policy because states began from different starting positions. The level of 'fit' or 'misfit' between the EU and national policies provides a crude predictor of the overall level of Europeanization. Thus states such as The Netherlands, Sweden and (until recently) Germany have always been quite closely aligned to EU policies and have not been that deeply Europeanized. However, conscious human agency also plays an important part in modulating the long effect of any misfit. The most obvious action a state can take to circumvent misfits is to upload policies of its own to the EU. The UK has employed this strategy to particularly good effect since the early 1990s. However, the relationship between the depth of Europeanization and the eagerness to 'make' (rather than 'take' EU rules) is not clear cut (see Figure 1). There are, for instance, deeply Europeanized states such as Spain, Greece and Ireland that continue to 'take' policy from the EU and show little willingness to circumvent Europeanization by uploading their preferred policy models to the EU. At the same time there are very weakly Europeanized states such as The Netherlands and Sweden that continue to pursue a highly proactive environmental stance in the EU.

The findings imply that Europeanization, with all its differential effects, has been an important force in all Member States. For that reason, Europeanization remains an intriguing object of study. First, even though the EU has not completely overturned domestic structures and policy styles, its influence has been hugely significant over such a comparatively short period of time. National politics (as distinct from policy) has been deeply transformed by EU membership and to that extent cannot be properly understood outside of a EU framework of analysis. Future analysis might seek to describe and explain the patterns of Europeanization in other, non-environmental sectors, where the empirical base is not as well developed. Such research might explore how far the depth of Europeanization in various sectors can be related to the length of the EU's involvement (i.e. is it less significant in policy areas where the EU's competence is less well or more recently developed?), or the mode of the EU's action (i.e. positive or negative integration?)

Second, there are many instances where the EU has directly affected national policy. These provide fairly clear-cut symptoms of Europeanization at the national level (and particularly on the content of national policy), and their timing and distribution deserve to be documented empirically, not least because they provide such an important trigger of national policy and politics. However, having looked in some detail at Europeanization in the ten countries, one is struck by the extent to which states and the EU are involved in a highly dynamic set of two-way interactions. It is more meaningful, we would argue, to use the term 'Europeanization' to describe national adaptations to EU requirements, and use other terms (e.g. benchmarking, policy transfer etc.) to describe and explain the horizontal (i.e. predominantly state to state) flows of influence which occur in the EU. Having said that, future Europeanization research needs to question whether it is appropriate to bracket off the 'inside out' impact of states on the EU, and treat the EU as an independent variable. To the extent that states use the EU to upload their preferred policies (and thereby circumvent Europeanization), the EU is at best only an intervening variable.

Finally, irrespective of these new debates, Europeanization research is worth pursuing because it sheds much new light on the very much older debate about European integration, which pitted intergovernmentalists against their critics. By studying Europeanization, it is possible better to understand the extent to which states genuinely do achieve their objectives in the EU, although this feedback effect on integration is not always that well developed in the existing literature on Europeanization (but see Jordan (2002a)). One of the striking findings of this chapter is that for many

countries (and not just the weakly coordinated, policy 'takers'), Europeanization has been a hugely unexpected, unpredictable and, at times, chaotic process. This casts doubt on the intergovernmentalist claim that states are remote from (and largely in firm control of) the integration process (e.g. Moravcsik, 1998). Our conclusion is that such an argument imputes Member States with far more autonomy and human agency than everyday experience suggests they have or have ever had.

### Acknowledgements

This chapter presents the preliminary findings of a three day workshop held in Cambridge (UK) in June 2001. We would like to thank the participants at that workshop (see also fn. 1) as well as the European Science Foundation, the UK Economic Research Council (R000237870) and the University of Nijmegen for jointly funding it. An earlier draft was presented at the 32<sup>nd</sup> Annual Conference of the Public Administration Committee, 2-4 September 2002, University of York, UK. A revised version will be published in: J. Barry, B. Baxter and R. Dunphy (eds.), *Europe, Globalisation and Sustainable Development*. London: Routledge, forthcoming 2004

### References

- Alter, K. (2001) *Establishing the Supremacy of European Law*, Oxford University Press: Oxford.
- Andersen, M.S. and D. Liefferink, (eds) (1997) *European Environmental Policy: The Pioneers*, Manchester University Press: Manchester.
- Boerzel, T.A. (2000) 'Why there is no Southern Problem', *Journal of European Public Policy*, 7: 1, 141-62
- Boerzel, T. (2002a) *States and Regions in the European Union*, Cambridge University Press: Cambridge.
- Boerzel, T. (2002b) 'Pace setting, foot dragging and fence setting: member state responses to Europeanisation', *Journal of Common Market Studies*, 40: 2, 193-214.
- Boerzel, T. and T. Risse (2000) *When Europe Hits Home: Europeanization and Domestic Change*, Paper RSC 2000/56. European University Institute:: Florence
- Bossaert, D. *et al.*, (2001) *Civil Services in the Europe of the Fifteen*, European Institute of Public Administration: Maastricht.
- Buller, J. and A. Gamble (2002) 'Conceptualising Europeanisation', *Public Policy and Administration*, 17: 2, 4-24
- Bulmer, S. and M. Burch (1998) 'Organizing for Europe', *Public Administration*, 76: 4, 601-628.
- Bulmer, S. and M. Burch (2000) 'The Europeanisation of British central government', in: R. Rhodes, (ed) *Transforming British Government*, Macmillan: London.
- Cowles, M., Caporaso, J. and T. Risse (eds) (2001) *Transforming Europe*, Cornell University Press: Ithaca.
- George, S. (1996) 'The EU: approaches from IR', in H. Kassim and A. Menon (eds) *The European Union and National Industrial Policy*, Routledge: London.
- Goetz, K. (2001) 'Executive governance in Central and Eastern Europe', *Journal of European Public Policy*, 8: 6 (special issue), 863-1051.
- Haigh, N. (1984) *EEC Environmental Policy and Britain*, ENDS: London.
- Hall, P. (1993) 'Policy paradigms, social learning and the state', *Comparative Politics*, 25: 3, 275-96
- Haverland, M. (2000) 'National adaptation to European integration: the importance of institutional veto points', *Journal of Public Policy*, 20: 1, 83-103.
- Héritier, A. *et al.* (1996) *Ringing the Changes*, Walter De Gruyter: Berlin.
- Heritier, A., Kerwer, D. Knill, C., Lehmkuhl, D. Teutsch, M. and A-C. Douillet (2001) *Differential Europe*, Rowman and Littlefield: Boulder.
- Jordan, A. J. (2001) 'National environmental ministries: managers or ciphers of European environmental policy?', *Public Administration*, 79: 3, 643-663.
- Jordan, A.J. (2002a) *The Europeanisation of British Environmental Policy: A Departmental Perspective*, Palgrave: London.
- Jordan, A.J. (ed) (2002b) *Environmental Policy in the European Union: Actors, Institutions and Processes*, Earthscan: London.
- Jordan, A.J. and D. Liefferink (eds) (2003) *The Europeanization of National Environmental Policy: National and EU Perspectives*. Mimeo.

- Jordan, A. and R. Wurzel (eds) (2003) *New Instruments of Environmental Governance*, Frank Cass: London (in press).
- Kassim, H. Peters, B.G. and V. Wright (eds) (2000) *The National Coordination of EU Policy: The Domestic Level*, Oxford University Press: Oxford.
- Kassim, H., Menon, A. Peters, B.G. and V. Wright (eds) (2001) *The National Coordination of EU Policy: The European Level*, Oxford University Press: Oxford.
- Knill, C. (2001) *The Europeanisation of National Administrations*, Cambridge University Press Cambridge.
- Knill C. and A. Lenschow, (eds) (2000) *Implementing EU Environmental Policy*, Manchester University Press: Manchester.
- Liefferink, D. (1996) *Environment and the Nation State*, Manchester University Press: Manchester.
- Liefferink, D. and M.S. Andersen (1998) 'Strategies of the 'green' member states in EU environmental policy', *Journal of European Public Policy*, 5: 2, 254-70.
- Liefferink, D., P.D. Lowe and A.P.J. Mol (eds) (1993) *European Integration and Environmental Policy*, John Wiley: Chichester.
- Lowe, P. and Ward, S. (1998) (eds) *British Environmental Policy and Europe*, Routledge: London.
- Moravcsik, A. (1994) *Why the EC Strengthens the State*. Centre for European Studies Working Paper 52. Department of Government, University of Harvard: Cambridge, MA.
- Moravcsik, A. (1998) *The Choice For Europe*, Cornell University Press: Ithaca.
- Peters, B.G. (1999) *Institutional Theory in Political Science*, Continuum: London.
- Prittowitz, V. von (1990) *Das Katastrophenparadox. Elemente einer Theorie der Umweltpolitik*, Leske und Budrich: Opladen.
- Radaelli, C. (2000) 'Whither Europeanization?', *EiOP online working paper series*, 4, 8 (<http://eiop.or.at/eiop/>).
- Rehbinder, E. and R. Stewart (1985) *Integration Through Law, Volume II: Environmental Protection Policy*, Walter de Gruyter: Berlin.
- Richardson, J. G. Gustafsson, G. Jordan (1982) 'The concept of policy style', in J. Richardson (ed), *Policy Styles in Western Europe*, George Allen & Unwin: London.
- Risse, T. Cowles, M. and J. Caporaso (2001) 'Europeanization and domestic change: introduction', in: M. Cowles *et al.* (eds) *Transforming Europe*, Cornell University Press: Ithaca.
- Rometsch, D. and W. Wessels (eds) (1996) *The EU and Member States: Towards Institutional Fusion?* Manchester University Press: Manchester.
- Sbragia, A. (2000) 'The EU as coxswain: governance by steering', in: J. Pierre (ed) *Debating Governance*, Oxford University Press: Oxford.
- Snyder, F. (ed) (2001) *The Europeanization of Law*, Hart Publishing: Oxford.
- Wallace, H. (2000) 'Europeanisation and globalisation', *New Political Economy*, 5: 3, 369-382.
- Weale, A. (1992) *The New Politics of Pollution*, Manchester University Press: Manchester.
- Weale, A. (2002) 'Environmental rules and rule making in the EU', in A. Jordan (ed) *Environmental Policy in the European Union: Actors, Institutions and Processes*, Earthscan: London.

## Notes

<sup>i</sup> Dr. Andrew Jordan, Programme Manager, CSERGE, School of Environmental Sciences, University of East Anglia, Norwich NR4 7TJ, UK; Tel.: +44 1603 592552/593176; E-mail: [a.jordan@uea.ac.uk](mailto:a.jordan@uea.ac.uk). Dr. Duncan Liefferink, Senior Researcher, Department of Environmental Policy Sciences, Nijmegen School of Management, University of Nijmegen, P.O. Box 9108, NL- 6500 HK Nijmegen, The Netherlands; Tel.: +31 24 3612097/3611924; E-mail: [D.Liefferink@nsm.kun.nl](mailto:D.Liefferink@nsm.kun.nl).

<sup>ii</sup> This chapter draws upon a series of national case studies written by: Volkmar Lauber (Austria), Brendan Flynn (Ireland), Rauno Sairinen and Arto Lindholm (Finland), Henry Buller (France), Rüdiger Wurzel (Germany), Maria Kousis and Joseph Lekakis (Greece), Mariëlle van der Zouwen and Duncan Liefferink (The Netherlands), Susana Aguilar Fernández (Spain), Annica Kronsell (Sweden), Andrew Jordan (the UK). See: Jordan and Liefferink, 2003.

<sup>iii</sup> This bears a strong resemblance to what would presumably happen if the Commission stepped in and 'upgraded the common' interest of states.